

**California Department of Justice, Bureau of Gambling Control
Applicable Statutes and Regulations for A
Gambling Establishment Compliance Inspection**

The purpose of the compliance inspection conducted by the Bureau of Gambling Control (BGC) is to ensure compliance with all applicable gambling/gaming statutes, regulations, and local ordinances. To assist an owner/licensee of a gambling establishment with compliance inspections, BGC has compiled a generalized list from the applicable governing statutes, regulations and local jurisdictional ordinances. Although this generalized listing is intended as a basic guideline for the compliance inspections, the scope of the inspections is not limited to the sections listed below from the applicable sources. BGC reserves the right to modify this listing as needed. To access the specific code sections from the statutes and regulations, please use the following websites:

[California Department of Justice – Office of the Attorney General – Gambling Control](#)
[California Gambling Control Commission](#)
[Official California Legislative Information](#)
[California Code of Regulations](#)

If computer access is not available, please call (916) 227-3584 to obtain a copy of the Gambling Control Act, BGC, and/or California Gambling Control Commission Regulations. Local jurisdictional ordinances are available from the applicable City/County Clerk's Office, Police/Sheriff's Department, Public Library and/or from the city/county's website.

1. Local City/County Ordinances (Pursuant to Business and Professions Code Section 19923)

In reference to, but not limited to the following areas:

- Required Postings
- Gaming Information
- Operational Regulations
- Work Permits – Gambling Enterprise Employees
- Patron Safety and Security Plans

2. Gambling Control Act – Business and Professions Code, Division 8, Chapter 5, Section 19800-19987, Articles 1 thru 17, Sections:

In reference to, but not limited to the following areas:

- 19805. Definitions
- 19843. Placement of Wager on Controlled Game by Person at Table
- 19850. State Gambling License, Key Employee License, or Work Permit; Requirements; Violation; Punishment
- 19851. State Gambling License; Owner of Gambling Enterprise; Endorsement on License
- 19854. Key Employee License; Positions; Qualifications
- 19875. Owner's Gambling License; Posting
- 19911. Eligibility; Age; Issuance of Permit
- 19912. Gambling Enterprise Employee or Independent Agent; Work Permit Application; Issuance or Denial; Procedures
- 19920. Operation to Protect Public Health, Safety, and General Welfare; Disciplinary Action
- 19921. Persons Under 21; Areas of Access in a Gambling Establishment; Entrance; License Renewal

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2. Gambling Control Act – Business and Professions Code, Division 8, Chapter 5, Sections 19800-19987, Articles 1 thru 17, Sections (cont'd):

- 19922. Gambling Enterprise Operation in Violation of Chapter Provisions or Regulations
- 19923. Gambling Enterprise Operation in Violation of Local Ordinance
- 19924. Security Controls; Approval of Commission
- 19940. List of Persons to be Excluded or Ejected from Establishment; Violation on Entry
- 19941. Persons Under 21; Violations; Defense
- 19942. License Fees; Violation; General Penalty
- 19951. Fees
- 19960. Ordinances Not Inconsistent with Chapter; Issuance of Gambling License; Conditions
- 19964. Local License; Owner Licensee Qualification; Duty to Issue
- 19984. Contracts for Providing Proposition Player Services

3. California Penal Code Sections:

In reference to, but not limited to the following sections:

- 319. Lotteries
- 330. Illegal Forms of Gambling; Punishment
- 330.11. Banking Game, Banked Game, Definition
- 332. Winning by Fraudulent Means, Trick or Cheating
- 334. Use, Manufacture or Sale of Hidden Device to Diminish Chance or Any Other Fraudulent Means of Winning at Concession
- 337j. Controlled Game; License Requirements; Fee Collections

4. California Code of Regulations, Title 11, Division 3, Chapter 1, Articles 1 thru 13, Sections:

In reference to, but not limited to the following sections:

- 2010. Definitions
- 2050. Owner or Key Employee on Premises
- 2051. Gambling Chips
- 2060. Employee Reports
- 2070. Unsuitable Gaming Activities
- 2071. Gaming Activity Authorization
- 2072. Report of Gaming Activities

5. California Code of Regulations, Title 4, Division 18:

- Sec. 12358. Request for Additional Temporary Tables for Tournaments or Special Events
- Chap. 2.1. Third Party Providers of Proposition Player Services
- Chap. 2.2. Gambling Businesses; Registration; Licensing
- Chap. 7.2. Emergency Preparedness and Evacuation Plan
- Chap. 7.4. Accounting and Financial Reporting

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6. Minimum Internal Control Standards (MICS) for Gambling Establishments

- Sec. 12380. MICS; General Terms, Conditions, Definitions
- Sec. 12381. Policies and Procedures
- Sec. 12384. Drop and Drop Collection
- Sec. 12385. Count; Count Room Functions
- Sec. 12386. Cage Functions
- Sec. 12388. Extension of Credit, Check Cashing, and Automatic Teller Machines (ATMs)
- Sec. 12395. Security
- Sec. 12396. Surveillance

7. Program for Responsible Gambling

- Sec. 12460. Article; Definitions
- Sec. 12461. Posting Referral Information
- Sec. 12462. Training Requirement
- Sec. 12463. Self-Restriction Program
- Sec. 12464. Self-Exclusion Program
- Sec. 12466. Responsible Gambling Program Review

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