

Text of Regulations

California Code of Regulations
Title 11. Law
Division 1. Attorney General
Chapter 18. Electronic Recording Delivery System
Article 7. Computer Security Auditor-

§ 999.190. Computer Security Auditor Application Procedure.

...

- (b) An individual requesting approval as a ~~DOJ~~ Computer Security Auditor shall contact the ERDS Program and request the ~~DOJ~~ Computer Security Auditor Approval application.
- (c) An individual applying for approval as a Computer Security Auditor shall comply with all of the following:
- (1) Submit an Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(August 2013), which shall be dated and signed declaring under penalty of perjury that under the laws of the State of California all the foregoing information, and all information submitted with the application is true, correct, and complete, and that a false or dishonest answer to any question may be grounds for denial or subsequent termination or suspension of approval. In addition, the individual shall attest to the fact that he or she is not an Authorized Submitter, Agent of an Authorized Submitter, or Vendor of ERDS Software as defined in these regulations.
 - (A) Check the geographical locations on the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(August 2013) that they are interested in auditing. The locations are:
 - (1) Northern California: Amador, Alpine, Butte, Colusa, Del Norte, El Dorado, Glenn, Humboldt, Lake, Lassen, ~~Marhi~~Marin, Mendocino, Modoc, Napa, Nevada, Placer, Plumas, Sacramento, Shasta, Sierra, Siskiyou, Solano, Sonoma, Sutter, Tehama, Trinity, Yolo, Yuba.
 - (2) Submit documentation with the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(August 2013) as follows to demonstrate that the individual has met the significant experience criteria required for approval as a Computer Security Auditor:
 - (A) A copy of their Certified Internal Auditor certification from the Institute of Internal Auditors for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(August 2013) and a completed Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 (May 2011) listing reference contacts **within the last 5-year period** that can verify the individual has had at least ~~1-year~~ 2 years of experience in the evaluation and analysis of Internet

security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or

- (B) A copy of their Certified Information Systems Auditor certification from the Information Systems Audit and Control Association for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 ~~(February 2007)~~ ~~(May 2011)~~ ~~(August 2013)~~ and a completed Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 (May 2011) listing reference contacts **within the last 5-year period** that can verify the individual has had at least ~~1-year~~ 2 years of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or
- (C) A copy of their Certified Fraud Examiner certification from the Association of Certified Fraud Examiners for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 ~~(February 2007)~~ ~~(May 2011)~~ ~~(August 2013)~~ and a completed ~~Attachment to ERDS 0002 Computer Security Auditor Significant Experience~~ Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 ~~(February 2007)~~ ~~(May 2011)~~ listing reference contacts **within the last 5-year period** that can verify ~~that~~ the individual has had at least ~~2 1-year~~ 2 years of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or
- (D) A copy of their Certified Information Systems Security Professional certification from the International Information Systems Security Certification Consortium for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 ~~(February 2007)~~ ~~(May 2011)~~ ~~(August 2013)~~ and a completed ~~Attachment to ERDS 0002 Computer Security Auditor Significant Experience~~ Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 ~~(February 2007)~~ ~~(May 2011)~~ listing reference contacts **within the last 5-year period** that can verify ~~that~~ the individual has had at least ~~2 1-year~~ 2 years of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or
- (E) A copy of their Global Information Assurance Certification from the SysAdmin, Audit, Networks Security Institute for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 ~~(February 2007)~~ ~~(May 2011)~~ ~~(August 2013)~~ and a completed ~~Attachment to ERDS 0002 Computer Security Auditor Significant Experience~~ Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 ~~(February 2007)~~ ~~(May 2011)~~ listing reference contacts **within the last 5-year period** that can verify ~~that~~ the individual has had at least ~~2 1-year~~ 2 years of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies.

- (3) Submit proof of fingerprint submission.

Note: Authority Cited: Section 27393, Government Code. Reference: Sections 27393(b)(2), 27393(b)(3), 27393(b)(9), 27394, 27395(a) and 27395(b), Government Code.

§ 999.191. Approval of Application.

...

- (2) An ERDS Certificate of Approval which authorizes the individual to contract with a County Recorder to perform the duties of a Computer Security Auditor. The certificate shall remain in effect for three years unless terminated based on a subsequent arrest and/or disposition.

...

Authority cited: Section 27393 Government Code.
Reference: Sections 27392(a), 27394 Government Code.

...

§ 999.192. Incomplete Application.

...

- (b) The applicant shall have 90 days to respond, after which the application shall be considered denied. The denial may not prohibit the submission of an Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(May 2011)(August 2013) at a later date.

Note: Authority cited: Sections 27392(a), 27393 and 27394(b), Government Code. Reference: Sections 27393(c) and 27394, Government Code.

§ 999.193. Denial of Application.

- (a) The Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(May 2011)(August 2013) may be denied for good cause. Good cause shall be deemed to exist when the applicant does not satisfy the qualifications or system requirements of these regulations, it is necessary to protect the public interest, protect the integrity of records, or to protect homeowners from financial harm.
- (b) Denied applications shall be returned to the individual with a written explanation for the denial. The denial may not prohibit the resubmission of an Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(May 2011)(August 2013) at a later date.

Note: Authority cited: Section 27393, Government Code. Reference: Sections 27393(c), 27394 and 27395(a), Government Code.

§ 999.195. Renewal of Approval.

- (a) The ERDS Certificate of Approval shall be renewed prior to expiration in order to remain valid. The certificate holder shall submit an Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(May 2011)(August 2013) indicating renewal, which shall be dated and signed declaring under penalty of perjury that

under the laws of the State of California all the foregoing information, and all information submitted with the application is true, correct, and complete, and that a false or dishonest answer to any question may be grounds for denial or subsequent termination or suspension of approval. In addition, the individual shall attest to the fact that he or she is not an Authorized Submitter, Agent of an Authorized Submitter, or Vendor of ERDS Software as defined in these regulations.

- (b) A copy of their Certified Internal Auditor certification from the Institute of Internal Auditors for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(**August 2013**) and a completed Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 (May 2011) listing reference contacts **within the last 5-year period** that can verify the individual has had at least ~~1-year~~ **2 years** of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or
- (c) A copy of their Certified Information Systems Auditor certification from the Information Systems Audit and Control Association for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(**August 2013**) and a completed Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 (May 2011) listing reference contacts **within the last 5-year period** that can verify the individual has had at least ~~1-year~~ **2 years** of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or
- (d) A copy of their Certified Fraud Examiner certification from the Association of Certified Fraud Examiners for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(**August 2013**) and a completed ~~Attachment to ERDS-0002 Computer Security Auditor Significant Experience~~ Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 (~~February 2007~~)(~~May 2011~~) listing reference contacts **within the last 5-year period** that can verify ~~that~~ the individual has had at least ~~2 1-year~~ **2 years** of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or
- (e) A copy of their Certified Information Systems Security Professional certification from the International Information Systems Security Certification Consortium for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(**August 2013**) and a completed ~~Attachment to ERDS-0002 Computer Security Auditor Significant Experience~~ Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 (~~February 2007~~)(~~May 2011~~) listing reference contacts **within the last 5-year period** that can verify ~~that~~ the individual has had at least ~~2 1-year~~ **2 years** of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies, or
- (f) A copy of their Global Information Assurance Certification from the SysAdmin, Audit,

Networks Security Institute for which they are in good standing attached to the Application for ~~DOJ~~ Computer Security Auditor Approval form # ERDS 0002 (~~February 2007~~)(~~May 2011~~)(**August 2013**) and a completed ~~Attachment to ERDS-0002 Computer Security Auditor Significant Experience~~ Reference(s) for ERDS Computer Security Auditor form # ERDS 0004 (~~February 2007~~)(May 2011) listing reference contacts **within the last 5-year period** that can verify ~~that~~ the individual has had at least ~~2 1-year~~ 2 years of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies.

Note: Authority Cited: Sections 27393 and 27394(b), Government Code. Reference: Sections 27392(a), 27393(b)(2), 27393(c) and 27394(b), Government Code.