

MAJOR LEAGUE SPORTS RAFFLE ELIGIBLE ORGANIZATION ANNUAL REPORT



PLEASE SEND COMPLETED REPORT TO:
Bureau of Gambling Control
P. O. Box 168024
Sacramento, CA 95816-8024
Phone: 916-227-3584; Fax (916) 227-0170

Annual Report Period: 9/272016-12/31/16

Eligible Organization's Name: Sharks Foundation

Eligible Organization's Registration Number: 16-000009

Pursuant to Penal Code section 320.6, subdivision (o)(12)(A), once registered, an eligible organization shall file annually thereafter with the Department of Justice a report that includes all of the following information for each of the eligible organization's last three fiscal years. Pursuant to Penal Code section 320.6, subdivision (o)(12)(B), failure to submit the annual report shall be grounds for denial of an annual registration.

REGULATION/STATUTE	Year 1 9/27/16 to 12/31/16	Year 2 to	Year 3 to
(i) The aggregate gross receipts from the operation of raffles.	\$319,430.00		
(ii) The aggregate direct costs incurred by the eligible organization from the operation of raffles.	\$104,609.19		
(iii) The charitable or beneficial purposes for which proceeds of the raffles were used.	See Appendix A		
(iv) The aggregate distributions of proceeds from the operation of raffles made to directly support beneficial or charitable purposes, other than beneficial or charitable purposes undertaken by the eligible organization, or eligible recipient organizations, under Penal Code section 320.5, subdivision (c).	\$0		
(v) The aggregate distributions of proceeds from the operation of raffles made to raffle winners.	\$148,012.50 (Winner was ineligible on 10/12/16)		
(vi) The aggregate distributions of proceeds from the operation of raffles made to any other organizations, or for any other purposes, other than those included in items (ii), (iv), and (v) above.	\$0		

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REGULATION/STATUTE	Year 1	Year 2	Year 3
	9/27/16 to 12/31/16	to	to
(vii) A schedule of distributions of proceeds from the operation of raffles, by individual raffle, made to eligible recipient organizations under Penal Code section 320.5, subdivision (c), that are not affiliated with the eligible organization.	See Appendix B		
(viii) A schedule of distributions of proceeds from the operation of raffles, by individual raffle, made to eligible recipient organizations under Penal Code section 320.5, subdivision (c), that are affiliated with the eligible organization.	N/A		
(ix) A schedule of distributions of proceeds from the operation of raffles, by individual raffle, made to any other organization not included under items (vii) or (viii) above, or for beneficial or charitable purposes undertaken by the eligible organization.	See Appendix C		
(x) The aggregate gross receipts from activities other than the operation of raffles.	\$221,686.53 (See Appendix D)		
(xi) The aggregate costs incurred by the eligible organization from activities other than the operation of raffles.	\$685,176.25 (See Appendix E)		
(xii) The aggregate distributions of funds other than proceeds from the operation of raffles made to directly support beneficial or charitable purposes or eligible recipient organizations under Penal Code section 320.5, subdivision (c).	\$433,613.00		
(xiii) The aggregate distributions of funds other than proceeds from the operation of raffles for purposes other than those listed in items (xi) and (xii) above.	\$0		

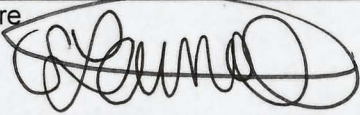
MAJOR LEAGUE SPORTS RAFFLE ELIGIBLE ORGANIZATION ANNUAL REPORT

REGULATION/STATUTE	Year 1	Year 2	Year 3
(xiv) A schedule of distributions of funds other than proceeds from the operation of raffles made to eligible recipient organizations under Penal Code section 320.5, subdivision (c), that are not affiliated with the eligible organization.	9/27/16 to 12/31/16	to	to
	See Appendix F		
(xv) A schedule of distributions of funds other than proceeds from the operation of raffles made to any other organization not included under item (xiv) above, or for beneficial or charitable purposes undertaken by the eligible organization.	\$0		

NOTE: The department shall make the reports required by this paragraph available to the public via the online search portal of the Attorney General's Registry of Charitable Trusts maintained pursuant to Section 12584 of the Government Code.

CERTIFICATION

I declare under penalty of perjury under the laws of the State of California that the foregoing information is true, correct, and complete.

Fiduciary's Signature	
	
Fiduciary's Printed Name	Date
Sarah Landers	Feb 2, 2017

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Privacy Notice

As Required by Civil Code § 1798.17

Collection and Use of Personal Information

The Bureau of Gambling Control in the Department of Justice collects the information requested on this form as authorized by Penal Code section 320.6. The Bureau uses this information to establish grounds for the license, permit or other approval indicated on this application form. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practice Act and state policy. The Department of Justice general privacy policy is available at <http://oag.ca.gov/privacy-policy>.

Providing Personal Information

All the personal information requested in this form must be provided. If you do not provide this information, your application will be denied.

Access to Your Information

You may review the records maintained by the Bureau in the Department of Justice that contain your personal information as permitted by the Information Practices Act. (See below for contact information.)

Possible Disclosure of Personal Information

In order to process your application, we may need to share the information you give to us with law enforcement or regulatory agencies for investigation of unlawful activity, or for licensing or regulatory purposes.

The information you provide may also be disclosed in the following circumstances:

- In response to a Public Records Act request, as allowed by the Information Practices Act;
- To another government agency as required by state or federal law; or
- In response to a court or administrative order, a subpoena, or a search warrant.

Contact Information

For questions about this notice or access to your records, you may contact the Department of Justice, Bureau of Gambling Control, at P.O. Box 168024, Sacramento, CA 95816-8024; phone 916-227-3584; or, e-mail at GamblingControl@doj.ca.gov.

Appendix A iii	
Beneficiary	Description of Use
American Red Cross	CA Wildfire Relief- Inspired by Bay Area Loma Fires
American Red Cross	In response of the Oakland Ghostship Fire
VMC Foundation	Serving low-income families at the County Hospital
Dream Foundation	Fulfilling Adult end-of-life wishes
Jacob's Heart Children's Cancer Support Services	Hockey Fights Cancer Partner- Provides support services for youth and their families facing a family member's cancer diagnosis
Pancreatic Cancer Action Network	Hockey Fights Cancer Partner- Supports support, research, resources and hope to end pancreatic cancer.
The Leukemia & Lymphoma Society	Hockey Fights Cancer Partner- Dedicated to funding research, finding cures and access to treatment.
Okizu	Hockey Fights Cancer Partner- Provides support services in the form of a camp for children and families facing cancer.
Loved Twice	Provides donated baby clothing to low-income families for the first year of life
Salvation Army	Provides volunteer and donation opportunities in the Bay Area including disaster relief
Breakthrough Shelter Outreach Network	Brings resources to the homeless population by ways of a shower, resume editing, computer use to get them on their feet.
Sharks Foundation	Sharks Foundation Grant Process- contributes to a total of \$300,000 in grant funding to seven local non-profit organizations in 2016-17.

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Appendix B vii			
Date	Beneficiary	Distribution of Proceeds	Notes
Wed Sept 27, 2016	Red Cross (CA Wildfires)	\$ 2,750.00	
Fri Sept 30, 2016	Red Cross (CA Wildfires)	\$ 2,877.50	
Wed Oct 5, 2016	Red Cross (CA Wildfires)	\$ 3,987.00	
Wed Oct 12, 2016	VMC Foundation	\$ 23,405.00	*Winning fan was ineligible to claim prize
Tue Oct 25, 2016	Dream Foundation	\$ 6,512.50	
Thu Oct 27, 2016	Jacob's Heart Children's Cancer Support Services	\$ 5,917.50	
Sat Oct 29, 2016	Pancreatic Cancer Action Network	\$ 10,647.50	
Thu Nov 3, 2016	The Leukemia & Lymphoma Society	\$ 7,020.00	
Sat Nov 5, 2016	Okizu	\$ 9,900.00	
Mon Nov 21, 2016	Sharks Foundation	\$ 6,307.50	
Wed Nov 23, 2016	Loved Twice	\$ 5,492.50	
Fri Nov 25, 2016	Sharks Foundation	\$ 8,387.50	
Sat Nov 26, 2016	Salvation Army	\$ 10,340.00	
Tue Nov 29, 2016	Sharks Foundation	\$ 7,235.00	
Fri Dec 2, 2016	Sharks Foundation	\$ 9,552.50	
Wed Dec 7, 2016	American Red Cross	\$ 9,572.50	
Sat Dec 10, 2016	American Red Cross	\$ 13,755.50	
Tue Dec 20, 2016	Sharks Foundation	\$ 7,715.00	
Fri Dec 23, 2016	Breakthrough Shelter Outreach Network	\$ 9,777.50	
Fri Dec 30, 2016	Sharks Foundation	\$ 10,265.00	

Appendix C ix			
Date	Beneficiary	Prize Total	
Mon Nov 21, 2016	Sharks Foundation	\$ 6,307.50	
Fri Nov 25, 2016	Sharks Foundation	\$ 8,387.50	
Tue Nov 29, 2016	Sharks Foundation	\$ 7,235.00	
Fri Dec 2, 2016	Sharks Foundation	\$ 9,552.50	
Tue Dec 20, 2016	Sharks Foundation	\$ 7,715.00	
Fri Dec 30, 2016	Sharks Foundation	\$ 10,265.00	
	TOTAL:	\$ 49,462.50	The \$49,462.50 raised by the 50/50 Raffle will be contributed to the Foundation's annual grant process where a total of \$300,000 in grant funding is given to seven local non-profit organizations including: Vista Center for the Blind and Visually Impaired, Lucile Packard Foundation for Children's Health, George Mark Children's House, Breakthrough Silicon Valley, Montalvo Arts Center, Alearn and Students Rising Above.

Appendix D x		
Date	Description/Event	Gross Receipts
9/27-12/30	Company Matching	\$ 10,789.90
9/27-12/30	In-Game Auctions	\$ 59,557.00
11/28/2016	Sharks and Strikes Bowling Event	\$ 71,606.87
12/2-12/10	Star Wars Mystery Pucks	\$ 35,330.00
12/2/2016	Star Wars Jerseys	\$ 34,402.76
12/20/2016	Toys for Tots (Fan donations)	\$ 10,000.00
	TOTAL:	\$ 221,686.53
<p>*These totals are only reflected from 9/27-12/31 of 2016. This does not include the full year of incoming revenue.</p>		

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Appendix E xi	
BUDGET 9/27/16-12/31/16	
	Expenses
Programs	\$ 15,600.00
Bowling	\$ 30,000.00
Events- All other	\$ 14,430.00
Merchandise Net	\$ 35,200.00
Grant Giving	\$ 420,000.00
Admin	\$ 169,946.25
TOTAL	\$ 685,176.25

State of California

BGC 204

Appendix F xiv		
9/29/2016	Vision To Learn	\$20,000.00
10/13/2016	People Acting in Community Together (PACT)	\$5,000.00
10/20/2016	Minneapolis Heart Institute Foundation	\$250.00
10/24/2016	Jessie Rees Foundation	\$10,000.00
10/27/2016	Silicon Valley Council of Non-Profits	\$2,500.00
11/1/2016	United Heroes League (Formerly Defending the Blue Line)	\$15,000.00
11/5/2016	Austen Everett Foundation	\$5,363.00
11/10/2016	Hunger at Home	\$25,000.00
11/13/2016	March of Dimes	\$5,000.00
11/16/2016	Second Harvest Food Bank	\$10,000.00
11/22/2016	Loaves & Fishes Family Kitchen	\$20,000.00
11/28/2016	Cake4Kids	\$5,000.00
12/1/2016	Camp Kesem at The University of Oregon	\$500.00
12/14/2016	Vista Center for the Blind and Visually Impaired	\$33,848.00
12/14/2016	Lucile Packard Foundation for Children's Health	\$50,000.00
12/14/2016	George Mark Children's House	\$40,000.00
12/14/2016	Breakthrough Silicon Valley	\$50,000.00
12/14/2016	Montalvo Arts Center	\$30,000.00
12/14/2016	Alern	\$46,152.00
12/14/2016	Students Rising Above	\$50,000.00
12/20/2016	Toys for Tots	\$10,000.00
	TOTAL:	\$433,613.00

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Annual Report Period: 2016-2017

Eligible Organization's Name: Sharks Foundation

Eligible Organization's Registration Number: 16-000009

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(x) The aggregate gross receipts from activities other than the operation of raffles.	\$1,700,000			\$1,700,000			
(xi) The aggregate costs incurred by the eligible organization from activities other than the operation of raffles.	\$300,000			\$250,000			
(xii) The aggregate distributions of funds other than proceeds from the operation of raffles made to directly support beneficial or charitable purposes or eligible recipient organizations under Penal Code section 320.5, subdivision (c).	\$1,330,000			\$1,450,000			
(xiii) The aggregate distributions of funds other than proceeds from the operation of raffles for purposes other than those listed in items (xi) and (xii) above.	\$0			\$0			

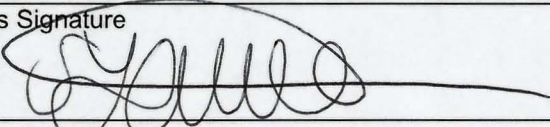
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(xiv) A schedule of distributions of funds other than proceeds from the operation of raffles made to eligible recipient organizations under Penal Code section 320.5, subdivision (c), that are not affiliated with the eligible organization.	Appendix G	Appendix H	
(xv) A schedule of distributions of funds other than proceeds from the operation of raffles made to any other organization not included under item (xiv) above, or for beneficial or charitable purposes undertaken by the eligible organization.	\$0	\$0	

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I declare under penalty of perjury under the laws of the State of California that the foregoing information is true, correct, and complete.

Fiduciary's Signature 	
Fiduciary's Printed Name Sarah Landers	Date 3/3/2017

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Appendix G - 2014/2015

Legacy Project (see next page)	\$375,000.00
Goals for Kids (see next page)	\$247,500.00
KaBOOM!	\$93,026
Katie Moore Foundation	\$12,979.81
Rotary Club of San Jose	\$12,000
Silicon Valley Turkey Trot	\$10,000
Good Karma Bikes	\$8,399.27
InnVision Shelter Network	\$7,957.57
Sierra Club	\$7,439.12
Second Harvest Food Bank	\$6,316
Defending the Blue Line	\$5,022.24
San Francisco Zoo	\$5,022.24
Leukemia & Lymphoma Society	\$4,371.84
Sacred Heart Community Service	\$3,998
Folds of Honor	\$3,338
Muscular Dystrophy Association	\$1,924.47
GRANT RECIPIENTS:	
AAUW- San Jose, Gifts for Teens	\$24,300
AchieveKids	\$20,000
American Heart Association, Inc.	\$20,000
Assistance League of San Jose	\$25,000
Bill Wilson Center	\$25,000
CreaTV San Jose	\$25,000
Downtown College Prep	\$25,000
Environmental Volunteers Inc.	\$25,000
Family Supportive Housing, Inc.	\$25,000
JW House	\$20,000
Loved Twice	\$20,000
Montalvo Arts Center	\$25,000
Muscular Dystrophy Association	\$25,000
My New Red Shoes	\$25,000
Reading Partners	\$20,000
Sacred Heart Community Service	\$25,000
San Jose Grail Family Services	\$20,000
South Bay Kidpower Teenpower Fullpower	\$25,000
Sunnyvale Community Services	\$25,000
Symphony Silicon Valley	\$20,000
The Tech Museum of Innovation	\$10,700
Youth Science Institute (YSI)	\$25,000
YWCA Silicon Valley, Domestic Violence Department	\$25,000
Total	\$1,329,295

Goals for Kids:	
Child Advocates of Silicon Valley	\$45,000
City Year	\$45,000
Loaves & Fishes Family Kitchen	\$45,000
Ronald McDonald House at Stanford	\$45,000
Via Services	\$45,000
Rock the CASA	\$22,500
Total:	\$247,500

Legacy Projects:	
Valley Medical Center	\$350,000
Tech Museum of Innovation	\$25,000
Total:	\$375,000

Appendix H - 2015/2016

Shop with a Cop Foundation of Silicon Valley	\$5,000.00
Second Harvest Food Bank	\$9,700.00
Austen Everett Foundation	\$8,000.00
Okizu Foundation	\$17,000.00
Cancer CAREPoint	\$8,000.00
Jacob's Heart	\$17,000.00
Dream Foundation	\$8,000.00
Toys for Tots	\$23,448.00
AchieveKids	\$20,000
Advent Group Ministries	\$25,000
Alearn	\$25,000
Assistance League of San Jose	\$25,000
Boys & Girls Clubs of Silicon Valley	\$25,000
Downtown College Prep	\$25,000
Family Supportive Housing, Inc.	\$25,000
George Mark Children's House	\$25,000
InnVision	\$25,000
Loved Twice	\$25,000
Lucile Packard Foundation for Children's Health	\$25,000
My New Red Shoes	\$25,000
Palo Alto Medical Foundation	\$25,000
Parent Institute for Quality Education	\$25,000
Project Access	\$25,000
San Jose Jazz	\$25,000
San Jose Museum of Art	\$25,000
Sunnyvale Community Services	\$25,000
Third Street Community Center	\$20,000
Unity Care Group	\$25,000
Via Services, Inc.	\$25,000
Vista Center for the Blind and Visually Impaired	\$22,426
Youth Science Institute (YSI)	\$25,000
Triton Museum of Art	\$2,574
Capes4Heroes	\$3,930.00
Operation Care and Comfort	\$22,000.00
Folds of Honor	\$8,000.00
San Jose Rotary - Rotaplast International	\$20,000.00
Tech Museum of Innovation	\$50,000.00
HelpAMotherOut.org	\$5,300.00
Loved Twice	\$5,300.00
Capes4Heroes	\$1,000.00

Red Cross	\$20,000.00
City Year San Jose/Silicon Valley	\$50,000.00
City Year San Jose/Silicon Valley	\$2,667.00
Make-A-Wish Greater Bay Area	\$52,667.00
Child Advocates of Silicon Valley	\$52,667.00
JW House	\$52,667.00
Silicon Valley Children's Fund	\$52,667.00
Loaves & Fishes Family Kitchen	\$52,667.00
Alisa Ann Ruch Burn Foundation	\$50,000.00
Little Kids Rock	\$7,900.00
Ronald McDonald House at Stanford	\$7,900.00
Good Tidings Foundation	\$19,853.00
Tech Museum of Innovation	\$100,000.00
Valley Medical Center	\$50,000.00
KaBOOM!	\$4,829.35
KaBOOM!	\$67,610.90
KaBOOM!	\$31,000.00
Total	\$1,451,773.25