

Title 11, Division 5, Chapter 7, Dangerous Weapons

ARTICLE 1. GENERAL PROVISIONS

4125. Title.

This subchapter shall be known as the "Department of Justice Regulations on Dangerous Weapons," may be cited as such and are referred to herein as "these regulations."

Note: Authority cited: Sections 12095, 12230, 12250, 12350 and 12306, Penal Code. Reference: Sections 12095, 12230, 12250 and 12305, Penal Code.

4126. Scope.

The provisions of these regulations shall apply to the possession, transportation, and/or sales of dangerous weapons as defined in s 4127 of these regulations.

Note: Authority cited: Sections 12095, 12230, 12250, 12305 and 12306, Penal Code. Reference: Sections 12095, 12230, 12250 and 12305, Penal Code.

ARTICLE 2. DEFINITIONS

4127. Definition of Key Terms.

- (a) The term, "Department," means the Department of Justice of the State of California.
- (b) The term, "Director," means the Director of the Firearms Division, Department of Justice, State of California.
- (c) The term, "Administrative Hearing Officer," means an employee of the Department designated by the Director. The hearing officer so designated shall not have participated in the decision to grant, deny, or revoke the permit or license that is the subject of a hearing before him/her.
- (d) The term, "machine gun license," means a license to sell machine guns pursuant to Penal Code section 12250.
- (e) The term, "machine gun permit," means a permit to possess, manufacture, and transport or possess, manufacture, or transport, machine guns pursuant to Penal Code section 12230.
- (f) The term, "destructive device permit," means a permit to collect, possess and transport or conduct business in destructive devices pursuant to Penal Code section 12305.

(g) The term, "short-barreled shotgun or short-barreled rifle permit," means a permit issued pursuant to Penal Code section 12095.

(h) The term, "licensee," means a person holding a machine gun license defined in subsection (d) of this section.

(i) The term "assault weapon permit" means a permit issued pursuant to Penal Code Section 12286.

(j) The term, "permittee," means a person holding a permit defined in subdivisions (e), (f), (g) or (i) of this section.

(k) The term, "collector" means a person holding a destructive device permit who collects destructive devices as a hobby and not a business.

(l) The term, "applicant" means any person who submits the required documentation, proper application forms, and appropriate fees for a dangerous weapons permit/license.

(m) The term "dangerous weapons" means machine guns as defined in Penal Code section 12200, destructive devices as defined in Penal Code section 12301, short-barreled shotguns and short-barreled rifles as defined in Penal Code section 12020, and assault weapons as defined in Penal Code section 12276.

(n) The term "conditions" means express terms that appear on the face of each permit issued by the Department. These are conditions or limitations such as time, place and circumstances that permittees shall comply with to retain their permits.

(o) The term, "market necessity," means a non-personal commercial need for dangerous weapons which is not being satisfied in the existing market place.

(p) The term, "public necessity," means a need for dangerous weapons to be used in government sanctioned training or activities or a need for repair and maintenance of dangerous weapons owned by public agencies.

(q) The term, "permit location," means the authorized place of business identified by the DOJ on the face of the permit/license.

(r) The term, "initial application," means the application for an individual who does not possess a current, valid permit/license.

(s) The term, "renewal application," means an application for a new permit/license term year for an individual holding a current, valid permit/license.

(t) The term, "term year," means the 12 month period that a permit/license is valid as stated on its face.

(u) The term, "sales demonstration" means the presentation of a dangerous weapon at a site other than the permit location to an agency/individual(s) legally qualified to purchase dangerous weapons. A valid sales demonstration is conducted exclusively for the purpose of generating legally authorized sales of the dangerous weapon being demonstrated.

Note: Authority cited: Sections 12095, 12200, 12230, 12250, 12286 and 12305, Penal Code.
Reference: Sections 12020, 12095, 12096, 12200, 12230, 12231, 12250, 12286 and 12305, Penal Code.

ARTICLE 3. LICENSES AND PERMITS

4128. General.

(a) Except as provided in sections 12285, 12288 and 12290 of the Penal Code, no person shall possess, transport, or sell any dangerous weapon in this state unless he/she has been granted a permit and/or license pursuant to these regulations.

(b) No permit or license shall be issued to any applicant who fails to establish good cause for such permit or license and that such permit or license would not endanger the public safety.

(c) To establish good cause, an applicant must provide the Department with clear and convincing evidence that there is a bona fide market or public necessity for the issuance of a dangerous weapons permit or license and that the applicant can satisfy that need without endangering public safety. Except as provided by Penal Code Section 12095, good causes recognized by the Department to establish a bona fide necessity for issuance of dangerous weapons permits or licenses include the following:

- (1) Sales to and/or manufacture for sales to law enforcement, military and/or dangerous weapon permittees/licensees.
- (2) Training, research and development; and/or manufacturing pursuant to government contract.
- (3) Use and/or manufacture of dangerous weapons as props in commercial motion picture, television production, or other commercial entertainment events.
- (4) Possession for the purpose of maintaining a collection of destructive devices as defined in Penal Code section 12301 but such possession shall not be allowed for short-barreled shotguns, short-barreled rifles, machine guns or assault weapons.
- (5) Repair and maintenance of dangerous weapons lawfully possessed by others.
- (6) Use of dangerous weapons in activities sanctioned by government military agencies by members of those agencies.

(7) The sale of assault weapons and/or the manufacture of assault weapons for the sale to, purchase by, or possession of assault weapons by: the agencies listed in subdivision (e), and the officers described in subdivision (f) of Section 12280; entities and persons who have been issued assault weapon permits; entities outside the state who have, in effect, a federal firearms dealer's license solely for the purpose of distribution to an entity listed herein; federal law enforcement and military agencies; law enforcement and military agencies of other states; and foreign governments and agencies approved by the United States State Department.

(8) Use of dangerous weapons for the design, manufacture, demonstration, and sales of dangerous weapons accessories to law enforcement and military agencies, qualifying peace officers, and California dangerous weapons licensee/permittees.

(d) No permit or license shall be issued to any applicant who fails to comply with local zoning restrictions or local fire-protective services regulations or ordinances.

(e) The Department shall provide a notice of each permit or license issued to the Chief of Police or Sheriff having jurisdiction over the licensee or permittee's location. Copies of outstanding licenses and permits shall be provided to the Chief or Sheriff of jurisdiction upon request.

Note: Authority cited: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code.

4129. Limited Term Permits/Licenses; Conditions.

(a) Limited term permits/licenses (less than one year) may be granted to provide for lawful possession until the Department has issued a decision on an application to renew a permit/license if that determination has taken longer than 30 days or for the purpose of using dangerous weapons for a limited term during a commercial motion picture, television production, or other commercial entertainment event. Limited term permits may also be issued when a background investigation has not yet been completed or before a background investigation has been initiated if the applicant is working under a valid federal defense contract.

(b) In addition, permits shall stipulate any conditions or limitations that apply on their face.

Note: Authority cited: Sections 12095, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12230, 12231, 12250 and 12305, Penal Code.

4130. Record Keeping.

(a) All licensees/permittees shall maintain records of inventory, acquisitions, dispositions and manufacture of dangerous weapons.

(1) All licensees/permittees shall maintain current records of inventory, acquisitions, dispositions

and manufacture which shall contain a description of each dangerous weapon that identifies it uniquely including all identification marks, numbers/letters, and/or serial numbers (for firearms, the record shall contain the make, model, serial number, and caliber). The current records of inventory, acquisitions, dispositions, and manufacture shall be maintained on forms FD 041, 042, 043, and 044, or a computer generated printout of the same format as the forms listed above.

(2) All licensees/permittees shall submit to DOJ an annual report of inventory, acquisitions, dispositions and manufacture of dangerous weapons with every annual license/permit renewal application submission. The annual report shall be submitted on forms FD 041, 042, 043, and 044, or a computer generated printout of the same format as the forms listed above. The annual report shall be continuous from the prior year's renewal application annual report, the annual report shall present all dangerous weapons possessed by the licensee/permittee at any time during the reporting permit term, and the annual report shall denote all acquisitions, dispositions, manufactured weapons, weapons that have been lost or stolen, and weapons the licensee/permittee continues or continued to possess from the prior permit term.

(A) The annual report shall contain a description of each dangerous weapon as prescribed in paragraph (1) of this subdivision.

(B) The annual report shall identify the date of all transactions, type of transaction (acquisition, disposition, manufacture, loss or theft), the full name, address and, if applicable, the Federal Firearms License (FFL) number and/or California Dangerous Weapons Permit/License number(s), or other authorization of all parties to the transaction.

(b) Licensees/permittees authorized to demonstrate and sell dangerous weapons shall maintain records of dangerous weapons sales demonstrations.

(1) Licensees/permittees authorized to demonstrate and sell dangerous weapons shall maintain current records of all sales demonstrations performed during the term year on form FD 025, or a computer generated printout of the same format as form FD 025. For each demonstration conducted, the records shall include the date, time, place, agency(ies) name, and agency(ies) contact name(s) and rank(s). Records shall also include a description of each dangerous weapon demonstrated as prescribed in paragraph (1) of subdivision (a) of this section.

(2) Licensees/permittees authorized to demonstrate and sell dangerous weapons shall submit to DOJ an annual report of all sales demonstrations performed with every annual license/permit renewal application submission.

(A) The annual report shall be submitted on form FD 025 or a computer generated printout of the same format as form FD 025. The annual report shall be continuous from the prior year's renewal application annual report. The annual report shall present the date, time, place, agency(ies) name, and agency(ies) contact name(s) and rank(s). Records shall also include a description of the dangerous weapon(s) demonstrated as prescribed by paragraph (1) of subdivision (a) of this section.

(B) Licensees/permittees authorized to demonstrate and sell dangerous weapons who have not conducted any sales or demonstrations during the term year shall submit to DOJ documents demonstrating an effort to sell or demonstrate dangerous weapons. Such documents may include, but are not limited to, business correspondence and bid proposals which show any reasonable effort to sell dangerous weapons, and any rationale why DOJ should not deny the renewal of the permit pursuant to subparagraph (4) of subdivision (a) of s 4147.

(c) Permittees authorized to conduct training and/or research & development shall maintain records of training and/or research & development activities involving dangerous weapons.

(1) Permittees authorized to conduct training and/or research & development shall maintain current records of training and/or research & development activities involving dangerous weapons on Form FD 024. For each activity, the records shall include the date(s), location, supervising permittee name and permit number, a description of the dangerous weapon(s) used as prescribed by paragraph (1) of subdivision (a) of this section, and the pertinent contract number of the contracting governmental agency or subcontractor the training and/or research & development activity for which the activity is conducted.

(2) Permittees authorized to conduct training and/or research & development shall submit to DOJ an annual report of all training and/or research & development activities involving dangerous weapons.

(A) The annual report shall be submitted on form FD 024 or a computer generated printout of the same format as form FD 024. The annual report shall be continuous from the prior year's renewal application annual report. The annual report shall present the date(s), location, supervising permittee name and permit number, a description of the dangerous weapon(s) used as prescribed by paragraph (1) of subdivision (a) of this section, and the pertinent contract number of the contracting governmental agency, or subcontractor, for whom the training and/or research & development activity is conducted.

(B) Permittees authorized to conduct training and/or research & development who have not conducted any training and/or research & development activities during the term year shall submit to DOJ a detailed explanation why such activities were not performed and provide any rationale why DOJ should not deny the renewal of the permit pursuant to subparagraph (4) of subdivision (a) of s 4147.

(d) Permittees authorized to use dangerous weapons in commercial motion picture, television production, or other commercial entertainment event shall maintain records of those activities.

(1) Permittees authorized to use dangerous weapons in commercial motion picture, television production, or other commercial entertainment event shall maintain records of those activities on form FD 048, or a computer generated printout of the same format as form FD 048. For each activity, the records shall include the date(s), location, production company name and contact person, and a description of the dangerous weapon(s) used as prescribed by paragraph (1) of subdivision (a) of this section.

(2) Permittees authorized to use dangerous weapons in commercial motion picture, television production, or other commercial entertainment event shall provide an annual report of all commercial motion picture, television production, or other commercial entertainment event activities provided.

(A) The annual report shall be submitted on form FD 048, or a computer generated printout of the same format as form FD 048. The annual report shall be continuous from the prior year's renewal application annual report. The annual report shall present the date(s), location, production company name and contact person, a description of the dangerous weapon(s) used as prescribed by paragraph (1) of subdivision (a) of this section.

(B) Permittees authorized to use dangerous weapons in commercial motion picture, television production, or other commercial entertainment event who have not provided these services during the term year shall submit to DOJ a detailed explanation why such activities were not performed and provide rationale why DOJ should not deny the renewal of the permit pursuant to subparagraph (4) of subdivision (a) of s 4147.

(e) Permittees authorized to collect destructive devices shall provide a signed statement that the weapon(s) or ordnance were not fired or discharged. Permittees authorized to publicly display their destructive device inventory shall maintain records of when their collection was put on display.

(1) All permittees authorized to collect destructive devices shall provide a signed statement certifying that the weapon(s) or ordnance have not been fired or discharged on form FD 026.

(2) Permittees authorized to collect destructive devices shall maintain current records of any public displays during the term year on form FD 026, or a computer generated printout of the same format as form FD 026. For each display, the records shall identify the time, place and purpose of the display.

(3) Permittees authorized to collect destructive devices shall submit to DOJ an annual report of any public displays.

(A) The annual report shall be submitted on form FD 026, or a computer generated printout of the same format as form FD 026. The annual report shall be continuous from the prior year's renewal application annual report. The annual report shall present the time, place, device(s) displayed, and purpose of each display. Destructive devices used shall be described as prescribed by paragraph (1) of subdivision (a) of this section.

(B) Permittees authorized to collect destructive devices, who have disposed of their collection, shall submit to DOJ a detailed explanation why the collection was dissolved. Permittees with no collection shall provide any rationale why DOJ should not deny the renewal of the permit pursuant to subparagraph (4) of subdivision (a) of s 4147.

(f) Permittees authorized to conduct repair and maintenance of dangerous weapons shall maintain records of repair and maintenance services provided.

(1) Permittees authorized to repair and maintain dangerous weapons shall maintain current records of those services on form FD 027, or a computer generated printout of the same format as form FD 027. For each service performed, the record shall include the date of service, the service provided, the person to whom the service was provided, and a description of the dangerous weapon as prescribed by paragraph (1) of subdivision (a) of this section.

(2) Permittees authorized to repair and maintain dangerous weapons shall submit to DOJ an annual report of all services provided.

(A) The annual report shall be submitted on form FD 027, or a computer generated printout of the same format as form FD 027. The annual report shall be continuous from the prior year's renewal application annual report. The annual report shall include the date of service, the service provided, the person to whom the service was provided, and a description of the weapon.

(B) Permittees authorized to repair and maintain dangerous weapons who have not conducted any repairs or maintenance during the term year shall submit to DOJ any rationale why DOJ should not deny the renewal of the permit pursuant to subparagraph (4) of subdivision (a) of s 4147.

(g) Military personnel permitted to use personal assault weapons in military sanctioned activities shall maintain records of those activities.

(1) Permittees authorized to use personal assault weapons in military sanctioned activities shall maintain records of those activities on form FD 047, or a computer generated printout of the same format as form FD 047. For each permitted activity, the records shall include the date, location, type of activity and the weapons used. Records shall include a description of the weapon as prescribed by paragraph (1) of subdivision (a) of this section.

(2) Permittees authorized to use personal assault weapons in military sanctioned activities shall submit to DOJ an annual report of all services provided.

(A) The annual report shall be submitted on form FD 047, or a computer generated printout of the same format as form FD 047. The annual report shall be continuous from the prior year's renewal application annual report. The annual report shall include the date, location, type of activity, and a description of the weapons used.

(B) Military personnel permitted to use personal assault weapons in military sanctioned activities, who have not conducted any permitted activities, must provide a letter signed by the permittee's Base Commander from the permittee's employing agency stating that such a need still exists.

(h) All records described in this section shall be made available by the licensee/permittee to any peace officer or other persons designated by the Attorney General upon request.

Note: Authority cited: Sections 12095, 12097, 12230, 12250, 12286 and 12305, Penal Code.
Reference: Sections 12095, 12097, 12230, 12250, 12286 and 12305, Penal Code.

4131. Disposition of Trade-In Machine Guns.

- (a) A licensee who takes trade-in machine guns in the process of a sale of contemporary weapons, shall lawfully dispose of such weapons within eighteen months.
- (1) The licensee may apply for a longer period of retention by supplying the Department with an expression of interest by a qualified purchaser.
- (2) In no event shall such a machine gun be retained for a total of more than two years.
- (b) The licensee shall be able to provide written documentation that the transfer was concluded. Failure to dispose of such machine guns may result in revocation of the machine gun permit/license.

Note: Authority cited: Sections 12250 and 12251, Penal Code. Reference: Sections 12250 and 12251, Penal Code.

ARTICLE 3.5. DOCUMENTATION OF NECESSITY

4132. Sales.

- (a) Documentation required to determine bona fide necessity for sales and demonstration for the purpose of sales of dangerous weapons includes the following:
 - (1) A list of counties in California where the applicant plans to sell dangerous weapons.
 - (2) Expressions of interest from a chief of police or designee, sheriff or designee, or head of a government agency or designee in each specified county indicating the number and type of dangerous weapons they plan to buy during the next 18 months and a statement that the agency will consider purchasing dangerous weapons from the applicant.
 - (3) The number of demonstrations the applicant intends to conduct, the specific agencies to which the applicant intends to demonstrate, and the number/type of dangerous weapons to be used in the demonstrations.
 - (4) The number/type of dangerous weapons the applicant plans to have in his inventory for demonstrations, and a statement indicating whether these dangerous weapons are for sale.

- (5) A plan to notify law enforcement or government agencies concerning demonstrations and/or sales.
- (6) Letters from manufacturers authorizing an applicant to represent their dangerous weapons for sale.
- (7) A list of manufacturers, makes, and models of dangerous weapons that the applicant plans to market.
- (8) A plan to deliver dangerous weapons once a sale is made.
- (9) A plan for the disposal of dangerous weapons taken in trade.
- (10) A history of the applicant's experience in the handling and demonstrations of dangerous weapons.
- (b) Applicants for permits to possess dangerous weapons for sale must also provide evidence of a current Federal Firearms License (FFL), local business license, and current seller's permit issued by the State Board of Equalization.

Note: Authority cited: Sections 12095, 12230, 12250, 12286, 12290 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250, 12286, 12290 and 12305, Penal Code.

4133. Training; Research & Development; and/or Manufacturing.

- (a) Documentation required to determine bona fide necessity for training; research & development; and/or manufacturing of dangerous weapons pursuant to Government contract includes the following:
 - (1) Current copies of government agency contracts.
 - (2) Detailed statements of objectives of the training, research & development, and/or manufacturing including time frames of the effort and a projected completion date.

Note: Authority cited: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code.

4134. Commercial Motion Picture, Television Production, or Other Commercial Entertainment Event.

- (a) Documentation required to determine bona fide necessity for possession or manufacturing of dangerous weapons for commercial motion picture, television production, or other commercial entertainment event includes the following:
 - (1) If an agent of a studio, the applicant must provide a letter of need and verification of employment

from the studio.

(2) If an agent of a rental company or an independent property master, the applicant must provide letters of interest from the studios.

(b) Persons attempting to establish a business in this area who have not yet been retained by clients shall provide copies or business correspondence or other evidence of their activities which they deem will show reasonable efforts to supply dangerous weapons for use in commercial motion picture, television production, or other commercial entertainment event.

Note: Authority cited: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code.

4135. Collection of Destructive Devices.

(a) Documentation required to determine bona fide necessity for collecting destructive devices includes the following:

(1) A written statement from applicant certifying that he is a bona fide collector of destructive devices. The statement must identify the weapons or ordnance of interest to the collector and an estimate of the intended size of the collection.

(2) A written statement from applicant certifying that the weapon or ordnance will not be fired or discharged.

Note: Authority cited: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code.

4136. Repair and Maintenance.

(a) Documentation required to determine bona fide necessity for repair of dangerous weapons includes the following:

(1) The applicant must provide evidence of expertise in the specific weaponry (i.e., educational certificates/diplomas, employment history, experience).

(2) Letters of interest must be provided from individuals/agencies indicating a need for applicant to repair or maintain their weaponry.

Note: Authority cited: Sections 12095, 12230, 12250, 12286 and 12305, Penal Code. Reference: Sectis

4137. Activities Sanctioned by Military -Assault Weapons.

(a) Documentation required to determine bona fide necessity for use of personal assault weapons by military personnel in sanctioned activities includes the following:

- (1) A current copy of the applicant's official identification card.
- (2) The applicant must provide a letter, signed by the applicant's Base Commander, stating that such a need exists.

Note: Authority cited: Section 12286, Penal Code. Reference: Section 12286, Penal Code.

ARTICLE 4. INVESTIGATIONS

4138. General.

The Department shall conduct investigations of applicants for dangerous weapon permits/licenses to establish grounds for the issuance or denial of the application as follows:

(a) Related Persons. Additional persons who may be investigated are:

- (1) Persons with 10 percent or more interest in the permittee/licensee's business.
- (2) Persons with authority to make management decisions for the permittee/licensee.
- (3) Persons who have access to the dangerous weapon(s).

(b) Applicant's Business Role and/or Control of the Business. The following areas may be investigated concerning the applicant's business role and/or control of the business:

- (1) Primary function of the business.
- (2) Law enforcement's comments on the business.
- (3) Applicant's financial interest in the business and source of funds.
- (4) Applicant's role in and/or control of the business.

(5) Spouse's association with the business.

(c) Applicant's Personal Qualifications. The following areas may be investigated concerning the applicant's personal background:

(1) Character assessment by personal and/or business acquaintances and appropriate law enforcement and government agencies.

(2) Criminal history and driving record.

(3) Military record.

(4) Past employment or expertise related to the weapon to be covered by the requested permit/license.

(5) Medical history.

Note: Authority cited: Sections 12095, 12230, 12250 and 12305, Penal Code. Reference: Sections 12050, 12095, 12230, 12250 and 12305, Penal Code; and Sections 1100, 1101, 1102, 1103 and 1105, Evidence Code.

4139. Investigation of New Information.

Each applicant and permittee/licensee shall provide written notification to the Department of any change in the information required on the application forms within 10 days of the time that the change occurred. An investigation concerning any such change in information may be conducted.

Note: Authority cited: Sections 12095, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250 and 12305, Penal Code.

ARTICLE 5. SECURITY

4140. General Compliance.

(a) Dangerous weapons shall only be stored in facilities, or transported in vehicles, that meet the security requirements described by s 4141, and that have been reported to DOJ on form FD 045.

(b) Form FD 045 will require the permit/license holder to provide the following information:

(1) The phone number and physical address of all primary and, if applicable, secondary security

compliant storage locations.

(2) The year, make, model, and vehicle identification or license plate number(s), of all security compliant vehicles and trailers.

(3) Statement that all locations and vehicles meet security requirements.

(4) Date and signature of permit/license holder, attesting, under penalty of perjury, that the preceding is true.

(c) Any change to the information on security compliant storage facilities or transportation vehicles must be reported to DOJ within 10 days on a new copy of form FD 045.

(d) A violation of this section establishes grounds for revocation pursuant to s 4142.(a)(5).

Note: Authority cited: Sections 12095, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250 and 12305, Penal Code.

4141. Security Requirements.

The following is a description of required security for storing and transporting machine guns, short-barreled shotguns, short-barreled rifles, assault weapons and destructive devices:

(a) Exterior Building Security Requirements

(1) All perimeter doors to the building shall be solid core and have dead-bolt locks or the equivalent. Sliding glass doors shall have steel window guards or be connected to an audible or silent alarm to detect entry.

(2) All direct entries into the interior storage room from the exterior of the building, including windows and skylights, require steel window guards (not recommended for private residences), or audible, silent or sonic alarm to detect entry, or 24-hour security guard service.

(3) If the dangerous weapon(s) will be stored at a location different from the principal place of business, or at the applicant's residence and that residence is rented/leased, the applicant shall submit written approval from the owner and the location shall meet all specified exterior and interior security requirements.

(4) When the size of the weapon(s) prohibits storage in a residence or room of a business, the weapon shall be permanently secured to prohibit easy removal, and the firing mechanism and any ammunition shall be removed and stored in a separate locked room, cabinet, or box in an area separate from the storage area for the weapon.

(b) Interior Building Security Requirements

(1) The weapon(s) shall be stored in a separate room away from any general living area or work area. Destructive device collectors may display historic, antique or curio weapons in a general living or work area provided such weapons have been disabled by removal of firing mechanisms which shall be stored separately in a safe or equivalent high security storage area.

(2) All doors leading into the storage room shall be solid core with a dead-bolt lock or the equivalent and be locked while unattended, or the weapon(s) shall be stored in an anchored, locked metal box in the room. Anchored is defined as permanently mounted to the floor or having a gross weight of 1,000 pounds or more so that heavy equipment or tools would be required to remove the box.

(3) Any ammunition shall be removed and stored in a separate and locked room, cabinet or box away from the storage area for the weapon. Ammunition may be stored with a weapon if locked in a safe.

(c) Security Requirements for Transporting Machine Guns, Assault Weapons and Short-Barreled Shotguns/Rifles

(1) Machine guns, assault weapons, short-barreled shotguns and short-barreled rifles shall be transported in a locked metal container or the equivalent which is bolted to the floor of the vehicle's trunk or securely fastened to the floor in the passenger compartment of the vehicle to prevent unauthorized access. The vehicle shall be locked at all times while unattended.

(2) All locking devices shall be in good operating condition.

(d) Security Requirements for Transporting Destructive Devices

(1) When size or quantity of the weapon(s) permits storage inside the vehicle:

(A) The weapon(s) shall be transported either concealed in the locked storage area of the vehicle, or in a locked metal box or the equivalent which is bolted to the floor of the vehicle. The vehicle shall be locked at all times while unattended.

(B) All locking devices shall be in good operating condition.

(2) When size or quantity of the weapon(s) prohibits storage inside the vehicle:

(A) If the weapon is transported exposed on a pick-up truck or other vehicle, a locking device connecting the weapon to the vehicle is required. The locking mechanism shall be able to resist common tools such as bolt cutters, hammers and cold chisels.

(B) If the weapon is transported on a trailer, the weapon shall be locked to the trailer and the trailer locked to the vehicle at all times while unattended. The locking mechanism shall be able to resist common tools such as bolt cutters, hammers and cold chisels.

(C) If the weapon is mounted on its own axle, the trailer hitch or tongue shall have a locking device connected to the vehicle at all times while unattended. The locking mechanism shall be able to resist common tools such as bolt cutters, hammers and cold chisels.

(D) If a padlock is used to secure a weapon, it shall be secured with a high security, case-hardened, large shackle padlock which is in good operating condition.

(E) A special event permit may be granted to historical societies, civic organizations or collectors requesting such permits to waive transportation security requirements for the purpose of allowing the authentic display of historic, antique, curio destructive devices in patriotic, historic, civic or cultural events.

Note: Authority cited: Sections 12095, 12230, 12250, 12276 and 12305, Penal Code. Reference: Sections 12095, 12230, 12250, 12276 and 12305, Penal Code.

ARTICLE 6. APPLICATION FORMS, PROCESSING TIME, REPORTING REQUIREMENTS, RENEWALS, FEES, DENIALS, REVOCATIONS

4142. Application Forms.

(a) Initial applicants shall do all of the following:

(1) Submit fingerprint impressions at a Department of Justice approved Live Scan station and obtain an Applicant Tracking Identifier (ATI) number.

(2) Complete an Initial Dangerous Weapons License/Permit Application (Form FD 030), including the ATI number obtained pursuant to paragraph (1).

(3) If applicable, complete the Facility and Vehicle Compliance (Form FD 045) statement as described by subdivision (b) of s 4140.

(4) Submit the completed Initial Dangerous Weapons License/Permit Application (Form FD 030), applicable fees pursuant to s 4145, and/or the Facility and Vehicle Security Compliance (Form FD 045) statement to the department.

(b) Renewal applicants shall submit a dangerous weapons permit/license application form FD 031, identifying the permit(s)/license(s) for which they are applying and/or requesting renewal.

(c) The forms described in paragraph (2) of subdivision (a) and subdivision (b) require the applicant to provide the following information:

(1) Initial Application will require the following information signed, dated, and certified under penalty of perjury: application type requested; applicant's name; business physical addresses;

business mailing address; business phone number; business facsimile number (if any); residence address, residence phone number; gender, date of birth, Applicant Tracking Identifier (ATI) number, United States citizenship; if not a United States citizen, the country of citizenship and the federal Alien Registration Number or federal I-94 number; California driver's license number; social security number; height, weight, eye color, hair color; past and present employer data; spousal history; personal history including criminal and medical history background; personal references; business history; identification of the permit location, and a documentation of necessity for obtaining the permit/license.

(b) Renewal Application form requires the following information signed, dated, and certified under penalty of perjury: application type requested; applicant's name; business physical addresses; business mailing address; business phone number; current supervisor's name; residence address, residence phone number; gender, date of birth, United States citizenship; if not a United States citizen, the country of citizenship and the federal Alien Registration Number or federal I-94 number; California driver's license number; social security number; height, weight, eye color, hair color, and updates to any changes of information required by the Initial Application described in paragraph (1).

(d) False statements on application forms or the Facility and Vehicle Security Compliance statement constitute cause for denial or revocation of license/permit.

Note: Authority cited: Sections 12096, 12231, 12250, 12286 and 12305, Penal Code. Reference: Sections 12096, 12231, 12250, 12286 and 12305, Penal Code.

4143. Processing Time.

Note: Authority cited: Section 15376, Government Code. Reference: Sections 12095, 12230, 12250, 12305 and 12306, Penal Code.

4144. Renewal of Permit/License.

(a) Completed applications for renewal of permit/license shall be submitted not less than 30 days prior to expiration of the permit/license.

(b) A penalty of 50% of the permit/license fee shall be assessed in cases where the renewal fee is not paid on or before 30 days prior to expiration of the permit/license.

(c) An application for renewal of a permit/license may be denied for any of the reasons listed under ss 4146 and 4147.

(d) At renewal time, all permittees and licensees shall submit their inventory records in the format described in subdivision 4130.

(e) If the Department denies renewal of a permit/license, the Department shall provide the

permittee/licensee with a Notice of Denial of Renewal which shall specify the causes on which the denial of renewal is based within ten calendar days from the effective date of denial. The Department shall not add any additional causes to a notice that has been transmitted to a licensee/permittee but it may, transmit an amended notice with additional causes on which the denial of renewal is based.

Note: Authority cited: Sections 12095, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12096, 12230, 12231, 12250, 12286, 12287 and 12305, Penal Code.

4145. Fees.

(a) Each application for a permit/license shall be accompanied with the appropriate fee(s) as follows:

(1) New Application:(A) Basic fee \$377.00.

(B) When more than one permit/license is applied for at the same time and for the same permit location, a fee of \$22.00 is required for each additional permit/license requested by the applicant at the permit location.

(2) Renewal Application:

(A) Basic fee \$126.00.

(B) When more than one renewal is applied for at the same time and for the same permit location, a fee of \$22.00 is required for each additional renewal requested by the applicant at the permit location.

(3) Annual Inspection Fee

Annual Inspection fee is due with the Annual Renewal fee. Annual Inspection fee will be determined by the Department based on the permit/license holder's prior year's reported inventory. No Annual Inspection fee will be required with the Initial Application except for those applicants who have held a dangerous weapon permit within the last 24 months. Applicants who have held a permit/license within the last 24 months will be required to pay the Annual Inspection fee (based on the prior permit term's inventory) at the time the Initial Application is approved.

(A) Permit/license holders with less than five dangerous weapons recorded in their inventory in the last 12 months (regardless of whether or not the dangerous weapons were in the inventory at the same time): inspection fee \$165.00.

(B) Permit/license holders with five to twenty-five dangerous weapons recorded in their inventory in the last 12 months (regardless of whether or not the dangerous weapons were in the inventory at the same time): inspection fee \$750.00.

(C) Permit/license holders with twenty-six or more dangerous weapons recorded in their inventory in the last 12 months (regardless of whether or not the dangerous weapons were in the inventory at the same time): inspection fee \$1,500.00.

(D) Out-of-state permit/license holders with no California based permit location and no California based inventory: inspection fee \$100.00.

(b) Inspection Frequency

Annual inspection frequency is based on inventory volume. Permittees/licenses with a combined total of five or more dangerous weapons recorded in their inventory during the last 12 months (regardless of whether or not the dangerous weapons were in the inventory at the same time) shall be subject to on-site inspections annually. All other permittees/licenses, including out-of-state permittees/licensees, shall be subject to an on-site inspection (records only inspection for out-of-state permittees/licensees) at least once every five years.

(c) The Department shall review and may adjust the fees annually pursuant to Penal Code sections 12096, 12231, 12250, 12286, 12287, and 12305 and establish a schedule of those fees.

Note: Authority cited: Sections 12096, 12231, 12250, 12286, 12287 and 12305, Penal Code; and Stats. 2002, ch. 1106 (Senate Bill 1312), Sec. 3, Stats. 2002, ch. 910 (Assembly Bill 2580), Sec. 3-7. Reference: Sections 12096, 12231, 12250, 12286, 12287 and 12305, Penal Code; and Stats. 2002, ch. 1106 (Senate Bill 1312), Sec. 3, Stats. 2002, ch. 910 (Assembly Bill 2580), Sec. 3-7.

4146. Denial of Application, Reasons, Reconsideration.

(a) Applications for permits/licenses shall be denied for the following reasons:

(1) Applicant fails to establish good cause for issuance of the permit or license.

(2) Applicant does not meet security requirements.

(3) Applicant makes false statements on application.

(4) Applicant fails to establish that issuance of the permit or license would not endanger the public safety.

(5) Applicant fails to notify the Department of any changes in information as required by s 4139.

(b) When an initial application is denied, the applicant may file, within 30 days, a written request for reconsideration by an Administrative Hearing Officer. Such request may include any and all evidence and legal arguments which applicant feels is relevant to a reconsideration of the application. The Department shall provide the applicant with a written notice of its final decision

within 60 days of the time the request for reconsideration is filed.

(c) **When an application is denied after reconsideration a new application may be filed pursuant to s 4148.**

Note: Authority cited: Sections 12095, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12098, 12230, 12233, 12250 and 12305, Penal Code.

4147. Revocation and/or Denial of Renewal of Permit/License, Reasons.

(a) A permit/license may be revoked anytime during the permit/license year when it is determined the permittee/licensee is no longer eligible, a legitimate need no longer exists, or the permit/license has been misused. Reasons for revocation of permit and/or license include, but are not limited to:

(1) The permittee/licensee is arrested for an offense which prohibits possession of the permit/license weapon(s).

(2) The permittee/licensee uses the weapon(s) for purposes other than permitted/licensed.

(3) The permittee/licensee fails to engage in business or violates conditions stipulated in the permit/license.

(4) The permittee/licensee fails to keep or provide records of sales and/or demonstrations, if applicable.

(5) The permittee/licensee fails to provide required security.

(6) **The permittee/licensee fails to notify the Department of any changes in information as required in s 4139.**

(7) **Permittee/licensee violates any law punishable as a felony, or is convicted of a substantially related misdemeanor crime.**

(8) **Any reason which would be grounds for denial of an application pursuant to s 4146.**

Note: Authority cited: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code.

4148. Waiting Period.

An applicant whose permit/license was either denied or revoked may reapply after one year has elapsed from date of denial/revocation except those persons prohibited from possessing firearms under State or Federal law. The waiting period may be shortened upon a showing of good cause.

Note: Authority cited: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code. Reference: Section

ARTICLE 7. APPEAL PROCESS

4149. Notice of Revocation or Notice of Denial of Renewal.

- (a) The Department shall provide the permittee/licensee with a Notice of Intent to Revoke which shall specify all causes on which the revocation is based. Notice shall be provided by personal service, certified or registered mail.
- (b) A permittee/licensee who has been served with a Notice of Intent to Revoke or a Notice of Intent to Deny a Renewal may file, within 30 days, a written answer to the notice, which answer shall be deemed a denial of all of the allegations in the notice not expressly admitted.
- (c) A permittee/licensee may request a hearing in his/her answer to the notice or no later than 60 days from the date of Notice of Revocation or Notice of Denial of Renewal. If a hearing is requested, the Department shall take no revocation action until completion of hearing proceedings pursuant to s 4153 of these regulations.
- (d) Failure to make a written request for a hearing within the time period specified in (b) constitutes a waiver of the right to a hearing.
- (e) If the permittee/licensee fails to answer within the time specified in (b) or, after answer, withdraws his appeal, the action taken by the Department shall be final.
- (f) Notwithstanding subsection (c), the Department shall take immediate action to revoke any permit or license when there is reason to believe that public safety may be endangered if the permittee/licensee were to retain the permit/license.

Note: Authority cited: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code.

4150. Administrative Hearing.

- (a) If a hearing is requested by the permittee/licensee, a hearing shall be held within 30 days unless time is waived by the permittee/licensee. The hearing shall be conducted by the Department's Administrative Hearing Officer.
- (b) The permittee/licensee shall be given the opportunity to be heard and to be represented by counsel during any hearing proceedings.
- (c) An Administrative Hearing Officer shall voluntarily disqualify himself or herself and withdraw from any case in which he or she cannot accord a fair and impartial hearing or consideration. Any party may request the disqualification of the Administrative Hearing Officer by filing an affidavit, prior to the taking of evidence at a hearing, stating with particularity the grounds upon which it is claimed that a fair and impartial hearing cannot be accorded. The issue shall be determined by

another Administrative Hearing Officer.

(d) The proceedings at the hearing shall be reported by a phonographic reporter; except that, upon the consent of all the parties, the proceedings may be reported electronically.

Note: Authority cited: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code; and Section 11512, Government Code.

4151. Time and Place of Administrative Hearing.

The Department shall notify the permittee/licensee of the time and place of hearing. Failure of the permittee/licensee to appear at the hearing shall be deemed a withdrawal of his/her answer and the action of the Department shall be final.

Note: Authority cited: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code.

4152. Evidence Rules.

(a) Oral evidence shall be taken only on oath or affirmation.

(b) Each party shall have these rights: to call and examine witnesses; to introduce exhibits; to cross-examine opposing witnesses on any matter relevant to the issues even though that matter was not covered in the direct examination; to impeach any witness regardless of which party first called him to testify; and to rebut the evidence against him. If respondent does not testify in his own behalf he may be called and examined as if under cross-examination.

(c) The hearing need not be conducted according to technical rules relating to evidence and witnesses. Any relevant evidence shall be admitted if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs, regardless of the existence of any common law or statutory rule which might make improper the admission of such evidence over objection in civil actions. Hearsay evidence may be used for the purpose of supplementing or explaining other evidence but shall not be sufficient in itself to support a finding unless it would be admissible over objection in civil actions.

Note: Authority cited: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code; and Section 11513, Government Code.

4153. Proposed Decision.

The Administrative Hearing Officer shall prepare a Proposed Decision in such a form that it may be adopted as the decision in the case. A copy of the proposed decision shall be furnished to the permittee/licensee and the Director no later than 20 days after the hearing. The Director may adopt the decision in its entirety or may decide the case upon the record with or without taking additional evidence. The permittee/licensee shall be notified of the Director's decision within 30 days of the hearing or within 30 days of the taking of additional evidence, whichever is later.

Note: Authority cited: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code. Reference: Sections 12095, 12098, 12230, 12250 and 12305, Penal Code.