

AMENDMENT FORM - TRANSFER OF OWNERSHIP INTEREST

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FORM 600

1. The selling or transferring owner must file Form 600 with the Seller of Travel Program if you intend to complete a sale, transfer, or encumbrance of an ownership interest in the business or its income. Use Form 500 to submit other changes to information previously provided to the Seller of Travel Program. This form must be filed not less than 10 days before the transfer. **Until the time Form 600 is filed, the selling, encumbering, or transferring Owner is responsible for all acts of and obligations imposed by law on the transferring Owner to the same extent as the Owner would have been responsible had there been no transfer, sale, or encumbrance.** Any selling or transferring Owner must sign and date this form; however, if you are a corporation traded on a national stock exchange, then only one duly authorized Officer need sign, or if your registration is in a renewal year, then only one duly authorized Owner, Partner, or Officer need sign. Attach additional pages as needed and Forms as apply to the new Owners if the registered business is continuing. If a new business entity will be operating, the new Seller of Travel must submit a new registration at least 10 days before doing business.
2. You are required to provide all the information required by Section 17550.21(d) which applies to the purchasers and transferees. This includes the business and residential addresses, business telephone number, driver's license number and state of issuance or equivalent personal identification, date of birth of each Principal (Owner, Officer, Partner, or Sole Proprietor), and the Social Security Number of each Owner.
3. If you were approved as **Trust Account exempt**, some changes of ownership may end the exemption and you may now be required to provide the information asked for in Questions 13 or 14 and provide the completed Forms 100/100-A, 300, and/or 400.
4. Seller of Travel Program file or registration number: _____
5. The intended date of transfer: _____
6. Owners and Officers to be deleted from the current registration: _____
- 7.a. Provide complete information for all Principal (Owner, Officer, Partner, or Sole Proprietor) who are natural persons and for each person who owns/controls 10% or more of the business or has claim to 10% or more of its net income:

(1) Full name _____ Position(s) _____

Date of birth ____/____/____

BUSINESS TELEPHONE: _____

RESIDENCE ADDRESS: _____

BUSINESS ADDRESS: _____

Driver's license or identification number: _____ Issued in: _____

STATE OR FOREIGN COUNTRY

Does this person have ownership interest? YES NO

Owner's Social Security Number (SSN): ____ - ____ - ____

(2) Full name _____ Position(s) _____

Date of birth ____/____/____

BUSINESS TELEPHONE: _____

RESIDENCE ADDRESS: _____

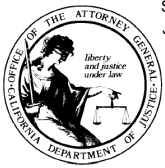
BUSINESS ADDRESS: _____

Driver's license or identification number: _____ Issued in: _____

STATE OR FOREIGN COUNTRY

Does this person have ownership interest? YES NO

Owner's Social Security Number (SSN): ____ - ____ - ____



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7.b. Legal entities which own/control 10% or more of the entity or have claim to 10% or more of net income:

(1) Name of legal entity: _____

Type of legal entity: _____

State or foreign country where formed: _____

PRINCIPAL OFFICE ADDRESS, INCLUDING COUNTRY

(2) If Owner is itself a Corporation or Partnership, enter the name of that Corporation's or Partnership's CEO, General or Managing Partner, position and residence address:

NAME

POSITION

RESIDENCE ADDRESS, INCLUDING COUNTRY

(3) If Owner is a Trust, list all Trustees, dates of birth, residence addresses, driver's license or identification numbers, and the state or foreign country where issued:

NAME

DATE OF BIRTH

RESIDENCE ADDRESS, INCLUDING COUNTRY

DRIVER'S LICENSE OR IDENTIFICATION NUMBER, STATE OR FOREIGN COUNTRY WHERE ISSUED

If applicable, also include:

Form 100, required for all sole proprietorships, partnerships, and trusts;

Form 100-A, required for all corporations;

Form 300, required for delegation of Trust Account responsibilities;

Form 400, for using a Seller of Travel Surety Bond;

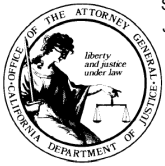
Form 500, if the answers to Questions 2, 8a, and 8b require new or additional information regarding the new Owner. Revisions to other information you have submitted may be provided on this form. Use an additional separate page for each question.

An amendment to an **original** application must be signed by each Principal (Owner, Officer, Partner, or Sole Proprietor) of the Seller of Travel. A corporation publicly traded on a national securities quotation system or stock exchange doing business from at least one location in California may have a duly authorized Officer of the corporation sign.

An amendment to a **renewal** application may be signed by the Chief Executive Officer of a corporation, managing partner of a partnership, or manager of a limited liability company.

Make a copy for your records and mail the original to:

**Seller of Travel Program
Office of the Attorney General
Department of Justice
300 South Spring Street, Suite 1702
Los Angeles, CA 90013-1230**



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VERIFICATION PAGE

FORM 600

I declare under penalty of perjury under the laws of the State of California that all of the information provided above, including applicable forms, is true and correct, and is the only material change to this seller's most recent previously filed registration or renewal application and the latest amendments thereto.

DATED

SIGNATURE

SIGNED AT: CITY, STATE

PRINT NAME & POSITION

DATED

SIGNATURE

SIGNED AT: CITY, STATE

PRINT NAME & POSITION

DATED

SIGNATURE

SIGNED AT: CITY, STATE

PRINT NAME & POSITION

DATED

SIGNATURE

SIGNED AT: CITY, STATE

PRINT NAME & POSITION

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SIGNATURE

SIGNED AT: CITY, STATE

PRINT NAME & POSITION



AMENDMENT FORM - TRANSFER OF OWNERSHIP INTEREST

SELLER OF TRAVEL AMENDMENT FORM - TRANSFER OF OWNERSHIP INTEREST PRIVACY NOTICE ON DATA COLLECTION As Required by Civil Code §1798.17

Privacy Notice

Collection and Use of Personal Information. The Public Rights Division in the Department of Justice collects the information requested on this form as authorized by Government Code sections 11180, 11181, and 11182, and Business and Professions Code sections 17550 et seq. The Public Rights Division uses this information in its review of your application to register, renew, or update your registration as a Seller of Travel. (Bus. & Prof. Code section 17550.1.) We may also use this information to investigate any alleged misconduct and in any law enforcement action based on that misconduct. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at <https://oag.ca.gov/privacy-policy>.

Providing Personal Information. All the personal information requested in the form must be provided.

Access to Your Information. You may review the records maintained by the Public Rights Division in the Department of Justice that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to review your registration application, renewal, or registration update as a Seller of Travel, we may need to share the information you give us with other government agencies. This may include sharing any personal information you gave us. We may also need to share the information to further any investigation of any claim against you by the Travel Consumer Restitution Corporation, or a government or law enforcement agency. In addition, some of your information, including the name of the business owner and the business's address, will be available and searchable on our website.

The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency as required by state or federal law.

Contact Information. For questions about this notice or access to your records, you may contact an analyst at the Seller of Travel Program at sellers.travel@doj.ca.gov or call (213) 269-6564. All records are maintained at the Los Angeles office at 300 S. Spring St., Suite 1702, Los Angeles, CA 90013.