1	Xavier Becerra	
2	Attorney General of California NICKLAS A. AKERS	
3	Senior Assistant Attorney General JINSOOK OHTA (SBN 223937)	
4	Supervising Deputy Attorney General MICHELLE BURKART (SBN 234121)	
5	Deputy Attorney General 300 South Spring Street, Suite 1702	
6	Los Angeles, CA 90013 Telephone: (213) 269-6357	
7	Fax: (213) 897-2802 E-mail: Michelle.Burkart@doj.ca.gov	NO FEE PURSUANT TO GOV.
8	Attorneys for Plaintiff, the People of the State of California	CODE SECTION 6103
9	SUPERIOR COURT OF THE	STATE OF CALIFORNIA
10	IN AND FOR THE COU	
11	IN AND FOR THE COOL	VII OI SAIV DIEGO
12		
13	PEOPLE OF THE STATE OF CALIFORNIA,	Case No.
14	Plaintiff,	STIPULATION FOR ENTRY OF FINAL
14 15	Plaintiff,	JUDGMENT AND PERMANENT
	Plaintiff, v.	
15		JUDGMENT AND PERMANENT
15 16	v.	JUDGMENT AND PERMANENT
15 16 17	v. C.R. BARD, INC.,	JUDGMENT AND PERMANENT
15 16 17 18	v. C.R. BARD, INC.,	JUDGMENT AND PERMANENT
15 16 17 18 19	v.  C.R. BARD, INC.,  Defendant.	JUDGMENT AND PERMANENT
15 16 17 18 19 20	v.  C.R. BARD, INC.,  Defendant.	JUDGMENT AND PERMANENT INJUNCTION  ia ("Plaintiff" or the "People"), acting by and
15 16 17 18 19 20 21	v.  C.R. BARD, INC.,  Defendant.  Plaintiff, the People of the State of Californ	JUDGMENT AND PERMANENT INJUNCTION  ia ("Plaintiff" or the "People"), acting by and tate of California and Defendant C.R. Bard,
15 16 17 18 19 20 21 22	V.  C.R. BARD, INC.,  Defendant.  Plaintiff, the People of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra through through Xavier Becerra through through through through the State of Californ through through through through through through through the State of Californ through through through through the State of Californ through through the State of Californ through through the State of Californ through the State of Californ through	JUDGMENT AND PERMANENT INJUNCTION  ia ("Plaintiff" or the "People"), acting by and tate of California and Defendant C.R. Bard,
15 16 17 18 19 20 21 22 23	V.  C.R. BARD, INC.,  Defendant.  Plaintiff, the People of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra through through Xavier Becerra through through through through the State of Californ through through through through through through through the State of Californ through through through through the State of Californ through through the State of Californ through through the State of Californ through the State of Californ through	JUDGMENT AND PERMANENT INJUNCTION  ia ("Plaintiff" or the "People"), acting by and tate of California and Defendant C.R. Bard, llows:  Injunction ("Judgment"), a true and correct
15 16 17 18 19 20 21 22 23 24	V.  C.R. BARD, INC.,  Defendant.  Plaintiff, the People of the State of Californ through Xavier Becerra, Attorney General of the State ("Bard" or "Defendant") hereby stipulate as for 1.  The Final Judgment and Permanent	JUDGMENT AND PERMANENT INJUNCTION  ia ("Plaintiff" or the "People"), acting by and tate of California and Defendant C.R. Bard, llows:  Injunction ("Judgment"), a true and correct try of Final Judgment and Permanent
15 16 17 18 19 20 21 22 23 24 25	V.  C.R. BARD, INC.,  Defendant.  Plaintiff, the People of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through Xavier Becerra, Attorney General of the State of Californ through the Sta	JUDGMENT AND PERMANENT INJUNCTION  ia ("Plaintiff" or the "People"), acting by and tate of California and Defendant C.R. Bard, llows:  Injunction ("Judgment"), a true and correct try of Final Judgment and Permanent

- 2. Concurrently with the filing of this Stipulation, Plaintiff has filed its Complaint in this matter pursuant to California Business and Professions Code sections 17200 *et seq.* and 17500 *et seq.*, alleging Bard committed violations of such code sections.
- 3. Becton, Dickinson and Company ("Becton") acquired Bard in December 2017, and Bard became a wholly owned subsidiary of Becton. Becton represents that the conduct at issue occurred prior to this acquisition. Becton as parent of Bard, agrees to be bound by the terms of the Stipulation and Judgment. For purposes of this Stipulation, Becton shall mean Becton, Dickinson and Company and its wholly owned subsidiary, C.R. Bard, Inc., including all of its subsidiaries and divisions, predecessors, successors, and assigns doing business in the United States.
- 4. Plaintiff, by its counsel, and Becton, by its counsel, have consented to the entry of the Judgment by the Court for the purposes of settlement only, without any admission by any party, and without trial or finding of any issue of fact or law.
- 5. Becton consents to the jurisdiction of the Court solely for purposes of this Stipulation and Judgment.
- 6. This Court has jurisdiction over the subject matter of this lawsuit and over Plaintiff and Becton (together, the "Parties"), and venue is proper in this Court.
- 7. Becton, at all relevant times, has transacted business in the County of San Diego and elsewhere in the State of California.
- 8. The terms of this Stipulation and Judgment shall be governed by the laws of the State of California.
- 9. Entry of this Judgment reflects a negotiated agreement among Plaintiff and Becton.
- 10. Plaintiff and Becton have agreed to resolve and settle the issues resulting from the Covered Conduct as defined in paragraph 2.1 of the Judgment by entering into this Stipulation and Judgment. The Judgment is entered into subject and pursuant to California Business and Professions Code sections 17200 *et seq.* and 17500 *et seq.*

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11. Becton is willing to enter into this Stipulation and Judgment regarding the Covered Conduct in order to resolve the Signatory Attorney General's concerns under the State Consumer Protection Laws<sup>2</sup> as to the matters addressed in this Stipulation and Judgment and thereby avoid significant expense, inconvenience, and uncertainty.

12. Becton is entering into this Stipulation and Judgment solely for the purpose of settlement, and nothing contained herein may be taken as or construed to be an admission or concession of any violation of law, rule, or regulation, or of any other matter of fact or law, or of any liability or wrongdoing, all of which Becton expressly denies. Defendant does not admit any violation of the State Consumer Protection Laws set forth in footnote 4<sup>3</sup> and do not admit any

(continued...)

<sup>&</sup>lt;sup>1</sup> "Signatory Attorney General" is defined in paragraph 2.13 of the Judgment to mean "the Attorney General of California, or his authorized designee, who has agreed to this Judgment."

<sup>&</sup>lt;sup>2</sup> "State Consumer Protection laws" is defined in paragraph 2.15 of the Judgment to mean "the consumer protection law(s) ... under which the Attorneys General have conducted the investigation." In California, the relevant state consumer protection statutes are California Business and Professions Code sections 17200 et seq. and 17500 et seq.

<sup>&</sup>lt;sup>3</sup> ALABAMA – Alabama Deceptive Trade Practices Act, Ala. Code § 8-19-1 et seg.; ALASKA – Alaska's Unfair Trade Practices and Consumer Protection Act, AS 45.50.471 – 561; ARIZONA – Arizona Consumer Fraud Act, A.R.S. § 44-1521 et seq.; ARKANSAS – Arkansas Deceptive Trade Practices Act, Ark. Code Ann. § 4-88-101, et seq.; CALIFORNIA – Bus. & Prof Code §§ 17200 et seq. and 17500 et seq.; COLORADO – Colorado Consumer Protection Act, Colo. Rev. Stat. § 6-1-101 et seq.; CONNECTICUT – Connecticut Unfair Trade Practices Act, Conn. Gen. Stat. §§ 42-110a et seg.; DELAWARE – Delaware Consumer Fraud Act and Uniform Deceptive Trade Practices Act, Del. CODE ANN. tit. 6, §§ 2511 to 2536; DISTRICT OF COLUMBIA – District of Columbia Consumer Protection Procedures Act, D.C. Code §§ 28-3901 et seg.; FLORIDA – Florida Deceptive and Unfair Trade Practices Act, Chapter 501, Part II, Florida Statutes, 501.201 et seq.; GEORGIA – Fair Business Practices Act, O.C.G.A. § 10-1-390 et seg.; HAWAII – Uniform Deceptive Trade Practice Act, Haw. Rev. Stat. Chpt. 481A and Monopolies; Restraint of Trade, Haw. Rev. Stat. Chpt. 480; IDAHO – Consumer Protection Act, Idaho Code Section 48-601 et seq.; ILLINOIS – Consumer Fraud and Deceptive Business Practices Act, 815 ILCS 505/1 et seg. and Uniform Deceptive Trade Practices Act, 815 ILCS 510/1 et seg.; INDIANA – Deceptive Consumer Sales Act, I.C. § 24-5-0.5 et seg.; IOWA – Iowa Consumer Fraud Act, Iowa Code Section 714.16, et seq.; KANSAS – Kansas Consumer Protection Act, K.S.A. 50-623 et seg.; KENTUCKY – KRS 367.110 et seg.; LOUISIANA – *Unfair Trade-Practices and Consumer Protection Law*, LSA-R.S. 51:1401, et seq.; MAINE – Unfair Trade Practices Act, 5 M.R.S. §§ 205-A through 214; MARYLAND – Maryland Consumer Protection Act, Md. Code Ann., Com. Law §§ 13-101 to 13-501; MASSACHUSETTS - Mass. Gen. Laws c. 93A, §§ 2 and 4; MICHIGAN - Michigan Consumer Protection Act, MCL § 445.901 et seg.; MINNESOTA – Minnesota Deceptive Trade Practices Act, Minn. Stat. §§ 325D.43-48; Minnesota False Advertising Act, Minn. Stat. § 325F.67; Minnesota Consumer

wrongdoing that was or could have been alleged by any Signatory Attorney General. No part of this Stipulation and Judgment, including its statements and commitments, shall constitute evidence of any liability, fault, or wrongdoing by Becton. This document and its contents are not intended for use by any third party for any purpose, including submission to any court for any purpose. The Parties acknowledge that the payment described in the Judgment is not a fine, penalty, or payment in lieu thereof.

13. This Stipulation and Judgment shall not be construed or used as a waiver or limitation of any legal right, remedy, or defense otherwise available to Becton in any action, or of Becton's right to defend themselves from, or make any arguments in, any private individual, regulatory, governmental, or class claims or suits relating to the subject matter or terms of this Stipulation and Judgment. This Stipulation and Judgment are made without trial or adjudication of any issue of fact or law, or finding of liability of any kind. Notwithstanding the foregoing, Plaintiff may file an action to enforce the terms of this Stipulation and Judgment.

Fraud Act, Minn. Stat. §§ 325F.68-694; Minnesota Deceptive Trade Practices Against Senior Citizens or Disabled Persons Act, Minn. Stat. § 325F.71.; MISSOURI – Merchandising Practices

Act, Chapter 407, RSMo. MONTANA – Mont. Code Ann. § 30-14-101 et seq.; NEBRASKA – Consumer Protection Act, Neb. Rev. Stat. § 59-1601 et seq. and Uniform Deceptive Trade

*Practices Act*, Neb. Rev. Stat. §§ 87-301 et seq.; NEVADA – Deceptive Trade Practices Act, Nevada Revised Statutes 598.0903 et seq.; NEW HAMPSHIRE – New Hampshire Consumer

Protection Act, RSA 358-A; NEW MEXICO – New Mexico Unfair Practices Act, NMSA 1978,

§§ 57-12-1 to -26 (1967, as amended through 2009); NEW YORK – General Business Law Art. 22-A, §§ 349-50, and Executive Law § 63(12); NORTH CAROLINA – *North Carolina Unfair* 

and Deceptive Trade Practices Act, N.C.G.S. §§ 75-1.1, et seq.; NORTH DAKOTA – Unlawful Sales or Advertising Practices, N.D. Cent. Code § 51-15-02 et seq.; OHIO – Ohio Consumer

Sales Practices Act, R.C. 1345.01, et seq.; OKLAHOMA – Oklahoma Consumer Protection Act 15 O.S. §§ 751 et seq.; PENNSYLVANIA – Pennsylvania Unfair Trade Practices and Consumer

Protection Law, 73 P.S. 201-1 et seq.; RHODE ISLAND – Rhode Island Deceptive Trade

Practices Act, Rhode Island General Laws § 6-13.1-1, et seq.; SOUTH CAROLINA – South Carolina Unfair Trade Practices Act, S.C. Code §§ 39-5-10 et seq.; SOUTH DAKOTA – South

Dakota Deceptive Trade Practices and Consumer Protection, SDCL ch. 37-24; TENNESSEE – Tennessee Consumer Protection Act, Tenn. Code Ann. § 47-18-101 et seq.; TEXAS – Texas

Deceptive Trade Practices-Consumer Protection Act, Tex. Bus. And Com. Code 17.41, *et seq.*; UTAH – Utah Consumer Sales Practices Act, Utah Code § 13-11-1, *et seq.*; VERMONT –

Consumer Protection Act, 9 V.S.A. §§ 2451 et seq.; VIRGINIA – Virginia Consumer Protection Act, Va. Code Ann. §§ 59.1-196 through 59.1-207; WASHINGTON – Unfair Business

Practices/Consumer Protection Act, RCW §§ 19.86 et seq.; WISCONSIN – Wis. Stat. § 100.18(1) (Fraudulent Representations).

- 14. It is the intent of the Parties that this Stipulation and Judgment not be admissible as evidence supporting liability or any other factual finding in other cases or binding on Becton in any respect other than in connection with the enforcement of this Stipulation and Judgment.
- 15. No part of this Stipulation and Judgment shall create a private cause of action or confer any right to any third party for violation of any federal or state statute except that Plaintiff may file an action to enforce the terms of this Stipulation and Judgment.
- 16. This Stipulation and Judgment do not constitute an approval by the Signatory Attorney General of Becton's business practices, and Becton shall make no representation or claim to the contrary.
- 17. This Stipulation and Judgment represent the full and complete terms of the settlement entered into by the Parties hereto. The Parties acknowledge that no other promises, representations, or agreements of any nature have been made or entered into by the Parties. The Parties further acknowledge that this Stipulation and Judgment constitute a single and entire agreement that is not severable or divisible, except that if any provision herein is found to be legally insufficient or unenforceable, the remaining provisions shall continue in full force and effect. In any action undertaken by the Parties, no prior versions of this Stipulation and Judgment and no prior versions of any of its terms that were not entered by the Court in the Judgment may be introduced for any purpose whatsoever.
- 18. The Judgment may be entered by any judge of the San Diego Superior Court.

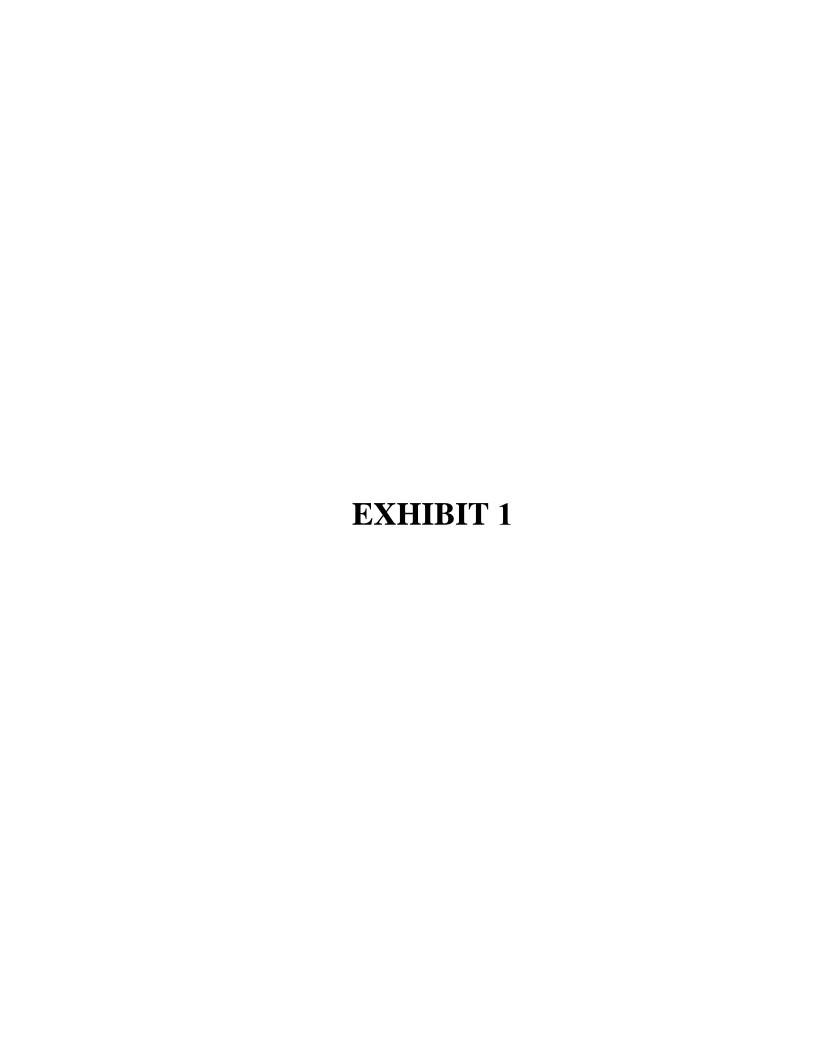
  Defendant will accept notice of entry of judgment entered in this action by delivery of such notice to its counsel of record, and agree that service of notice of entry of judgment will be deemed personal service upon them for all purposes.
- 19. This Stipulation may be executed in counterparts, and a facsimile or .pdf signature shall be deemed to be, and shall have the same force and effect as, an original signature.

1	FOR THE PEOPLE OF THE STATE	OF CALIFORNIA, BY AND THROUGH XAVIER
2	BECERRA, ATTORNEY GENERAL	OF THE STATE OF CALIFORNIA:
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4		
5	Date: September 22, 2020	Respectfully Submitted,
6		XAVIER BECERRA Attorney General of California
7		NICKLAS A. AKERS Senior Assistant Attorney General
8		JINSOOK OHTA Supervising Deputy Attorney General
9		
10		MB
11		MICHELLE BURKART
12 13		Deputy Attorney General 300 South Spring Street, Suite 1702 Los Angeles, CA 90013
14		Attorneys for Plaintiff the People of the State
15		of California
16		
17		(Additional signatures on next page)
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1	FOR DEFENDANT:
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3	C.R. Bard, Inc.
4	Date: 9/16/20 By: Ostelley
5	Greg A. Dadika Senior Vice President, Chief Legal Counsel
6	Greg A. Dadika Senior Vice President, Chief Legal Counsel Becton Dickinson and Company Greg.Dadika@bd.com
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1	Approved as to form:	
2	Date: 9/17/2020	By: Bay H Bolse
3		
4		Barry Boise
5		Barry Boise Troutman Pepper Hamilton Sanders LLP 3000 Two Logan Square Philadelphia, PA 19103 (215) 981-4591 Barry.boise@troutman.com
6		Philadelphia, PA 19103 (215) 981-4591
7		
8		Counsel for Defendant
9	2.	
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1	Approved as to form:		
2	Date: 7-18 · 2020	Ву:	
3		Ronald I. Raether	
4 5		California Bar No. 303118 Troutman Pepper Hamilton Sanders LLP 5 Park Plaza, Suite 1400 Irvine, CA 92614	
6		Irvine, CA 92614 (949) 622-2722	
7		Ron.raether@troutman.com	
8		Local Counsel for Defendant	
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# 1 2 3 4 5 6 7 8 SUPERIOR COURT OF THE STATE OF CALIFORNIA 9 **COUNTY OF SAN DIEGO** 10 11 PEOPLE OF THE STATE OF CALIFORNIA. Case No. 12 Plaintiff, FINAL JUDGMENT AND PERMANENT 13 **INJUNCTION** 14 v. 15 C.R. BARD, INC., 16 Defendant. 17 18 19 Plaintiff, the State of California has filed a Complaint for a permanent injunction and 20 other relief in this matter pursuant to California Business and Professions Code sections 17200 et 21 seq. and 17500 et seq. alleging that Defendant C. R. Bard, Inc. ("BARD" or "Defendant"), 22 committed violations of the aforementioned Act. Plaintiff, by its counsel, and BARD, by its 23 counsel, have agreed to the entry of this Final Judgment and Permanent Injunction 24 ("Judgment/Order") by the Court without trial or adjudication of any issue of fact or law, and 25 without finding or admission of wrongdoing or liability of any kind. 26 This Judgment may be signed by any judge of the San Diego Superior Court. 27 28

1	The Court having considered the pleadings and the Stipulation for Entry of Final
2	Judgment and Permanent Injunction ("Stipulation") executed by the People of the State of
3	California and BARD filed herewith, and good cause appearing,
4	IT IS HEREBY ORDERED THAT:
5	1. PARTIES AND FINDINGS
6	1.1. Plaintiff is the People of the State of California.
7	1.2. Defendant C.R. Bard, Inc. is a New Jersey company and wholly-owned subsidiary
8	of Becton, Dickinson and Company.
9	1.3. This Court has jurisdiction over the subject matter of this lawsuit and over all
10	Parties, and venue is proper in this Court.
11	1.4. At all relevant times, BARD transacted business in the County of Los Angeles and
12	elsewhere in the State of California.
13	1.5. This Judgment is entered into pursuant to and subject to California Business and
14	Professions Code sections 17200 et seq. and 17500 et seq.
15	1.6. The terms of this Judgment/Order shall be governed by the laws of the State of
16	California.
17	1.7. Entry of this Judgment/Order is in the public interest and reflects a negotiated
18	agreement among the Parties.
19	1.8. The Parties have agreed to resolve the issues resulting from the Covered Conduct
20	by entering into this Judgment/Order. <sup>1</sup>
21	1.9. BARD is willing to enter into this Judgment/Order regarding the Covered Conduct
22	in order to resolve the Attorneys General's concerns under the State Consumer Protection Laws as
23	to the matters addressed in this Judgment/Order and thereby avoid significant expense,
24	inconvenience, and uncertainty.
25	1.10. BARD is entering into this Judgment/Order solely for the purpose of settlement, and
26	nothing contained herein may be taken as or construed to be an admission or concession of any
27	This agreement is entered into pursuant to and subject to the State Congrues Protection
28	<sup>1</sup> This agreement is entered into pursuant to and subject to the State Consumer Protection laws cited in footnote 4.
	^

violation of law, rule, or regulation, or of any other matter of fact or law, or of any liability or wrongdoing, all of which BARD expressly denies. BARD does not admit any violation of the State Consumer Protection Laws set forth in footnote 4, and does not admit any wrongdoing that was or could have been alleged by any Attorney General before the date of the Judgment/Order under those laws. No part of this Judgment/Order, including its statements and commitments, shall constitute evidence of any liability, fault, or wrongdoing by BARD.

- 1.11. This Judgment/Order shall not be construed or used as a waiver or limitation of any defense otherwise available to BARD in any other action, or of BARD's right to defend itself from, or make any arguments in, any other private individual, regulatory, governmental, or class claims or suits relating to the subject matter or terms of this Judgment/Order. This Judgment/Order is made without trial or adjudication of any issue of fact or law or finding of liability of any kind. Notwithstanding the foregoing, a State may file an action to enforce the terms of this Judgment/Order.
- 1.12. No part of this Judgment/Order shall create a private cause of action or confer any right to any third party for violation of any federal or state statute except that a State may file an action to enforce the terms of this Judgment/Order. It is the intent of the Parties that this Judgment/Order shall not be binding or admissible as evidence supporting liability or any other factual finding in any other matter, including, but not limited to, any investigation or litigation, other than in connection with the enforcement of this Judgment/Order.
- 1.13. This Judgment/Order (or any portion thereof) shall in no way be construed to prohibit BARD from making representations with respect to any BARD products in Labeling that are required under Federal law, regulations, or policies or guidance having the force of law, including in Food and Drug Administration ("FDA") approved Labeling.
  - 1.14. Nothing in this Judgment/Order shall require BARD to:
- (a) take any action that is prohibited by the Food, Drug and Cosmetic Act, 21 U.S.C. § 301 *et seq.* ("FDCA") or any regulation promulgated thereunder, or by the FDA; or
- (b) fail to take any specific action that is expressly permitted or is required by the FDCA or any regulation promulgated thereunder.

#### 2. **DEFINITIONS**

.

The following definitions shall be used in construing the Judgment/Order:

- 2.1. "Covered Conduct" means BARD's marketing and promotional practices, and dissemination of information to Health Care Providers (HCPs) and consumers, regarding Urogynecologic Surgical Mesh products, including but not limited to the dissemination of Marketing Materials, disclosure of Significant or Inherent Complications in Instructions for Use (IFUs), Sponsorship of any programs, training any sales professionals, the publication of any clinical or pre-clinical data, or the reporting of MDRs or adverse events, through the Effective Date of the Judgment/Order.
- 2.2. "Effective Date" means the date on which a copy of the Judgment/Order, duly executed by BARD and by the Signatory Attorney General, is approved by, and becomes a Judgment/Order of the Court.
- 2.3. "Health Care Provider" or "HCP" means any physician or other health care practitioner who is licensed to provide health care services.
- 2.4. "BARD" means C. R. Bard, Inc. and Becton, Dickinson and Company and all of their officers, directors, employees, representatives, agents, affiliates, parents, subsidiaries, operating companies, assigns and successors.
- 2.5. "Labeling" means "all labels and other written, printed, or graphic matter (1) upon any article or any of its containers or wrappers, or (2) accompanying such article," as defined under Section 201(m) of the Federal Food, Drug, and Cosmetic Act (FDCA).
- 2.6. "Marketing Materials" means any written, electronic, or verbal material or statements either publicly disseminated (including videos, websites it hosts or controls, or any other form of media) or made for the purpose of public dissemination in the United States, in the course of marketing, promoting, or informing Health Care Providers, consumers, or patients about Urogynecologic Surgical Mesh, including, but not limited to, HCP training materials and training materials for sales representatives made for the purpose of public dissemination and delivery to HCPs.

- 2.7. "Multistate Executive Committee" means the Attorneys General and their staffs representing California, Florida, Indiana, Maryland, Ohio, South Carolina, Texas, and Washington.
- 2.8. "Multistate Working Group" means the Attorneys General and their staffs representing Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii², Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah³, Vermont, Virginia, Washington, and Wisconsin.
- 2.9. "Parties" means BARD as defined in Section 2.4 and the Signatory Attorney General.
- 2.10. "Post-effective Date Urogynecologic Surgical Mesh" means Urogynecologic Surgical Mesh that enters the market in the United States after the Effective Date, and that is not identical or substantially equivalent to Urogynecologic Surgical Mesh that was on the market in the United States prior to the Effective Date.
- 2.11. "Significant Complications" means all complications of Urogynecologic Surgical Mesh, including complications discovered subsequent to the Effective Date, which constitute clinically significant risks material to a Health Care Provider's decision to implant Urogynecologic Surgical Mesh.
- 2.12. "Inherent Mesh Complications" means Significant Complications that may not be eliminated with surgical technique and are associated with the use of Urogynecologic Surgical Mesh. Disclosure of such risks shall include an adequate description of the chronicity, acuteness,

<sup>&</sup>lt;sup>2</sup> Hawaii is represented on this matter by its Office of Consumer Protection, an agency which is not part of the state Attorney General's Office, but which is statutorily authorized to undertake consumer protection functions, including legal representation of the State of Hawaii. For simplicity, the entire group will be referred to as the "Attorneys General," and such designation, as it includes Hawaii, refers to the Executive Director of the State of Hawaii Office of Consumer Protection.

<sup>&</sup>lt;sup>3</sup> With regard to Utah, the Utah Division of Consumer Protection is charged with administering and enforcing the Consumer Sales Practices Act, the statute relevant to this Judgment/Order. References to the "States," "Parties," or "Attorneys General," with respect to Utah, refers to the Utah Division of Consumer Protection.

1	and permanence of the risks. A non-verbatim description of these risks shall include, but are not
2	limited to, risks of:
3	<ul> <li>Exposure of mesh material into the vagina, which can be associated with pain during intercourse for the woman and/or her partner</li> </ul>
5	<ul> <li>Pain caused by exposure may be severe and may result in permanent sexual dysfunction</li> </ul>
6	<ul> <li>Erosion</li> <li>Implantation of Urogynecologic Surgical Mesh through the vagina may cause</li> </ul>
7	bacterial contamination  • Infection
8	<ul> <li>Voiding dysfunction, including de novo urge incontinence</li> <li>Foreign body reaction</li> </ul>
9	<ul><li>Inflammation</li><li>Scar plating around mesh</li></ul>
10	Clinical consequences of mesh contracture
11	<ul> <li>Acute and/or chronic pain</li> <li>Pelvic pain, which in some patients may not resolve</li> </ul>
12	<ul> <li>Pain with intercourse, which in some patients may not resolve</li> </ul>
13	• Excessive contraction or shrinkage of the tissue surrounding the mesh, vaginal scarring, tightening and/or shortening may occur
14	
15	Such description shall also note that the occurrence of one or more of these
16	complications may require treatment or surgical intervention:
17	i. In some instances, the complication may persist as a permanent condition after the
18	surgical intervention or other treatment;
19	ii. Removal of mesh or correction of mesh-related complications may involve multiple
20	surgeries; and
21	iii. Complete removal of mesh may not be possible and additional surgeries may not
22	always fully correct the complications
23	
24	However, for Post-Effective Date Urogynecologic Surgical Mesh, a non-verbatim
25	description of these risks may include, but are not limited to, the risks listed in the bullet points
26	above, depending upon the available Valid Scientific Evidence.
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2.15. "State Consumer Protection Laws" means the consumer protection laws cited in footnote 4 under which the Attorneys General have conducted the investigation.<sup>4</sup>

<sup>4</sup> ALABAMA – Alabama Deceptive Trade Practices Act § 8-19-1 et seq. (2002); ALASKA – Alaska Unfair Trade Practices and Consumer Protection Act AS 45.50.471 – 45.50.561; ARIZONA - Consumer Fraud Act, A.R.S. §44-1521 et seq.; ARKANSAS – Arkansas Deceptive Trade Practices Act, Ark. Code Ann. § 4-88-101, et seq.; CALIFORNIA – Bus. & Prof Code §§ 17200 et seq. and 17500 et seq.; COLORADO - Colorado Consumer Protection Act, Colo. Rev. Stat. § 6-1-101 et seq.; CONNECTICUT – Connecticut Unfair Trade Practices Act, Conn. Gen Stat. §§ 42-110a through 42-110q; DELAWARE – Delaware Consumer Fraud Act, Del. CODE ANN. tit. 6, §§ 2511 to 2527; DISTRICT OF COLUMBIA, District of Columbia Consumer Protection Procedures Act, D.C. Code §§ 28-3901 et seq.; FLORIDA – Florida Deceptive and Unfair Trade Practices Act, Part II, Chapter 501, Florida Statutes, 501.201 et. seq.; GEORGIA - Fair Business Practices Act, O.C.G.A. Sections 10-1-390 et seq.; HAWAII – Uniform Deceptive Trade Practice Act, Haw. Rev. Stat. Chpt. 481A and Haw. Rev. Stat. Chpt. 480; IDAHO – Idaho Consumer Protection Act, Idaho Code § 48-601 et seq.; ILLINOIS – Consumer Fraud and Deceptive Business Practices Act, 815 ILCS 505/2 et seq.; INDIANA – Deceptive Consumer Sales Act, Ind. Code §§ 24-5-0.5-0.1 to 24-5-0.5-12; IOWA - Iowa Consumer Fraud Act, Iowa Code Section 714.16; KANSAS - Kansas Consumer Protection Act, K.S.A. 50-623 et seq.; KENTUCKY – Kentucky Consumer Protection Act, KRS Ch. 367.110, et seq.; LOUISIANA – Unfair Trade-Practices and Consumer Protection Law, LSA-R.S. 51:1401, et seq.; MAINE - Unfair Trade Practices Act, 5 M.R.S.A. § 207 et seq.; MARYLAND -Maryland Consumer Protection Act, Md. Code Ann., Com. Law §§ 13-101 et seq.; MASSACHUSETTS - Mass. Gen. Laws c. 93A, §§ 2 and 4; MIČHIGAN - Michigan Consumer Protection Act, MCL § 445.901 et seq.; MINNESOTA – Minn. Stat. §§325D.44, 325F.69; MISSISSIPPI - Mississippi Consumer Protection Act, Miss. Code Ann. § 75-24-1, et seq.; MISSOURI – Missouri Merchandising Practices Act, Mo. Rev. Stat. §§ 407.010 et seq.; MONTANA – Montana Consumer Protection Act §§ 30-14-101 et seq.; NEBRASKA – Consumer Protection Act, Neb. Rev. Stat. §§ 59-1601 et seq. and Uniform Deceptive Trade Practices Act, Neb. Rev. Stat. §§ 87-301 et seq.; NEVADA – Deceptive Trade Practices Act, Nevada Revised Statutes 598.0903 et seq.; NEW HAMPSHIRE – NH RSA §358-A et seq; NEW JERSEY – New Jersey Consumer Fraud Act, NJSA 56:8-1 et seq.; NEW MEXICO – NMSA 1978, § 57-12-1 et seq.; NEW YORK – General Business Law Art. 22-A, §§ 349-50, and Executive Law § 63(12); NORTH CAROLINA – North Carolina Unfair and Deceptive Trade Practices Act, N.C.G.S. 75-1.1, et seq.; NORTH DAKOTA – Unlawful Sales or Advertising Practices, N.D. Cent. Code § 51-15-02 et seq.; OHIO – Ohio Consumer Sales Practices Act, R.C. 1345.01, et seq.; OKLAHOMA – Oklahoma Consumer Protection Act 15 O.S. §§ 751 et seq.; OREGON - Oregon Unlawful Trade Practices Act, Or. Rev. Stat. § 646.605 et seq.; PENNSYLVANIA – Pennsylvania Unfair Trade Practices and Consumer Protection Law, 73 P.S. 201-1 et seq.; RHODE ISLAND – Deceptive Trade Practices Act, Rhode Island Gen. Laws § 6-13.1-1, et seq.; SOUTH CAROLINA – South Carolina Unfair Trade Practices Act, S.C. Code Ann. § 39-5-10 et seq.; SOUTH DAKOTA – South Dakota Deceptive Trade Practices and Consumer Protection, SDCL ch. 37-24; TENNESSEE – Tennessee Consumer Protection Act,

(continued...)

2.16. "Urogynecologic Surgical Mesh" means any medical device cleared or approved by the FDA (as the term "device" is defined in 21 U.S.C. § 321(h)) that contains synthetic, multistrand, knitted, or woven mesh and that is indicated to be used for implantation in the pelvic floor to treat stress urinary incontinence (SUI) and/or pelvic organ prolapse (POP) sold or marketed in the United States.

2.17. "Valid Scientific Evidence" means evidence from well-controlled investigations, partially controlled studies, studies and objective trials without matched controls, well-documented case histories conducted by qualified experts, or reports of significant human experience with a marketed device, from which it can fairly and responsibly be concluded by qualified experts that there is reasonable assurance to substantiate that a representation is true.

2.18. Any reference to a written document shall mean a physical paper copy of the document, electronic version of the document, or electronic access to such document.

#### 3. COMPLIANCE PROVISIONS

## A. Exit from Urogynecologic Surgical Mesh Business

3.1. BARD states that it ceased the marketing, promotion, sale, and distribution of Urogynecologic Surgical Mesh in the United States and the manufacturing of Urogynecologic Surgical Mesh for sale in the United States by December 30, 2016.

3.2. In the event that BARD engages in any conduct involving the manufacture, promotion, marketing, sale, or distribution of Urogynecologic Surgical Mesh, either directly or indirectly through any third parties, in the United States, it shall be bound by the following provisions contained in Sections 3.4 through 3.27 of this Judgment/Order for ten (10) years from the date of first sale of an Urogynecologic Surgical Mesh product in the United States or for twenty (20) years from the Effective Date of this Agreement, whichever is less. Section 3.3 is not time restricted. Nothing in this Judgment/Order shall be construed to require BARD, for any

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Tenn. Code Ann. 47-18-101 et seq.; TEXAS – Texas Deceptive Trade Practices-Consumer Protection Act, Tex. Bus. And Com. Code 17.41, et seq.; UTAH - Consumer Sales Practices Act, Utah Code Ann. §§ 13-11-1 et seq.; VERMONT – Vermont Consumer Protection Act, 9 V.S.A. § 2451, et seq.; VIRGINIA-Virginia Consumer Protection Act, Va Code Ann. §59.1-196 et seq.;

WASHINGTON – Unfair Business Practices/Consumer Protection Act, RCW §§ 19.86 et seq.; WISCONSIN – Wis. Stat. § 100.18 (Fraudulent Representations).

Urogynecologic Surgical Mesh product approved through the FDA Premarket Approval process, to utilize product labeling different from that which is approved by the FDA.

### **B.** Marketing, Information, and Training

- 3.3. In promoting Urogynecologic Surgical Mesh, BARD shall not violate California Business and Professions Code sections 17200 *et seq.* and 17500 *et seq.*
- 3.4. BARD shall not, in any Marketing Materials, make any claim comparing safety or efficacy clinical outcomes with the use of Urogynecologic Surgical Mesh to any non-mesh procedure safety or efficacy clinical outcomes, unless any such representation is supported by Valid Scientific Evidence. BARD, however, may make comparisons in any Marketing Materials not involving safety or efficacy clinical outcomes, if not false, misleading, or deceptive.
- 3.5. BARD shall not, in any Marketing Materials, misrepresent the safety or efficacy of its Urogynecologic Surgical Mesh by omitting Significant Complications or Inherent Mesh Complications, as appropriate given the length, context, medium, and placement of the Marketing Material and in all instances where the Marketing Material purports to address the subject of complications.
- 3.6. In any Marketing Material that is intended to reach patients or consumers other than or in addition to Health Care Providers, BARD shall also include descriptions of Significant Complications and Inherent Mesh Complications in terms reasonably understandable to a patient.
- 3.7. BARD shall not, in any Marketing Materials, misrepresent the extent to which Inherent Mesh Complications are risks or complications common to all pelvic floor or other surgeries.
- 3.8. BARD shall not, in any Marketing Materials, represent or imply that Significant Complications or Inherent Mesh Complications can be eliminated with surgical experience or technique alone. However, for Post-Effective Date Urogynecologic Surgical Mesh, BARD may, in any Marketing Materials, represent or imply that Significant Complications can be eliminated with surgical experience or technique alone, if such statement is supported by Valid Scientific Evidence.

3.9. BARD shall not represent or imply that such Urogynecologic Surgical Mesh does not cause a foreign body reaction, including any chronic foreign body reaction, after the Urogynecologic Surgical Mesh is implanted inside the body. However, for Post-Effective Date Urogynecologic Surgical Mesh, BARD may represent or imply that such Urogynecologic Surgical Mesh does not cause a foreign body reaction, including any chronic foreign body reaction, after the Urogynecologic Surgical Mesh is implanted inside the body, if such statement is supported by Valid Scientific Evidence.

3.10. BARD shall not, in any Marketing Materials, represent or imply that such Urogynecologic Surgical Mesh is "soft" or that it has "multidirectional elasticity" within the body after implantation or use any other phrases having an equivalent meaning. However, for Post-Effective Date Urogynecologic Surgical Mesh, BARD may, in any Marketing Materials, represent or imply that such Urogynecologic Surgical Mesh is "soft" or that it has "multidirectional elasticity" within the body after implantation or use any other phrases having an equivalent meaning, if such statement is supported by Valid Scientific Evidence. Nothing shall prevent BARD from making claims to Health Care Providers about the softness and elasticity of Urogynecologic Surgical Mesh prior to implantation inside the body provided the claims do not suggest these properties are retained in the body.

3.11. BARD shall not, in any Marketing Materials, represent or imply that such Urogynecologic Surgical Mesh, including its collagen Urogynecologic Surgical Mesh, helps the body more readily accept a foreign body implant, or reduces the risk of foreign body reaction, erosion, infection, or any other Urogynecologic Surgical Mesh complications, including any Significant Complications or Inherent Complications. However, for Post-Effective Date Urogynecologic Surgical Mesh, BARD may, in any Marketing Materials, represent or imply that such Urogynecologic Surgical Mesh, including its collagen Urogynecologic Surgical Mesh, helps the body more readily accept a foreign body implant, or reduces the risk of foreign body reaction, erosion, infection, or any other Urogynecologic Surgical Mesh complications, including any Significant Complications or Inherent Complications, if such statement is supported by Valid Scientific Evidence.

3.12. BARD shall not, in any Marketing Materials, misrepresent the FDA approval or clearance status of its Urogynecologic Surgical Mesh devices or the extent to which any of its Urogynecologic Surgical Mesh products have been studied or clinically proven.

- 3.13. BARD shall not, in any Marketing Materials, misrepresent the complexity of Urogynecologic Surgical Mesh implantation procedures or the level of surgical skill and/or experience necessary to perform these procedures safely. Moreover, BARD employees shall not encourage a Health Care Provider to perform Urogynecologic Surgical Mesh implants without receiving adequate information and training on how to implant its Urogynecologic Surgical Mesh.
- 3.14. In any training in which BARD provides risk information, either directly or through third parties, to any Health Care Provider, BARD shall disclose all Significant Complications and Inherent Mesh Complications of its Urogynecologic Surgical Mesh.
- 3.15. BARD shall, in the marketing and promotion of any Urogynecologic Surgical Mesh product, ensure that its Marketing Materials and other communications do not misrepresent FDA updates or communications regarding Urogynecologic Surgical Mesh.

#### **C. Disclosures to Health Care Providers**

- 3.16. To the extent not prohibited by federal law, BARD shall ensure that all IFUs for its Urogynecologic Surgical Mesh products cleared through the 510(k) process include a list of all known Significant Complications and Inherent Mesh Complications.
- 3.17. BARD shall evaluate emerging risk information on an ongoing basis and, consistent with such risk information, shall update the warnings and precautions section of IFUs and all Marketing Material to include Significant Complications associated with its Urogynecologic Surgical Mesh products as soon as practicable. If Bard obtains, receives, or is aware of any new risk information that necessitates a more immediate disclosure for public health and safety purposes, Bard shall notify HCPs of this information through other means, such as notices or "dear doctor letters," as appropriate given the nature of the new information and unless otherwise directed by the FDA.

#### D. Studies, Clinical Data, and Sponsorship

- 3.18. BARD shall, when citing to any clinical study, clinical data, or preclinical data, present a fair and balanced view of available scientific literature with respect to the safety, efficacy, risks and complications of Urogynecologic Surgical Mesh.
- 3.19. BARD shall, when citing to any clinical study, clinical data, or preclinical data regarding Urogynecologic Surgical Mesh in its Marketing Materials, not misrepresent the results, scope, or clinical significance of any particular clinical study, clinical data, or preclinical data, including by implying a more favorable result than supported by the study or data.
- 3.20. BARD shall, when submitting a clinical study, clinical data, or preclinical data regarding Urogynecologic Surgical Mesh for publication, disclose BARD's role as a Sponsor and any author's potential conflict of interest consistent with the disclosure requirements for the International Committee of Medical Journal Editors (ICMJE) or, if different, the disclosure policies of the relevant publication.
- 3.21. BARD shall not cite to any clinical study, clinical data, or preclinical data regarding Urogynecologic Surgical Mesh for which BARD has not complied with the requirements of Section IIID.
- 3.22. BARD shall not cite to any clinical study, clinical data, or preclinical data regarding Urogynecologic Surgical Mesh for which any author/consultant, to the extent BARD knows, has not complied with the applicable publication's conflict disclosure requirements unless BARD discloses the conflict in a clear and conspicuous manner when citing to such study or data.
- 3.23. In all contracts for consulting services regarding Urogynecologic Surgical Mesh between BARD and any Health Care Provider or other author/consultant, BARD shall include a Sponsorship disclosure provision under which the Health Care Provider or other author/consultant agrees that he or she shall, in terms likely to be read and understood by the audience, disclose in any public presentation or submission for publication BARD's sponsorship of the contracted-for activities. BARD shall also include a disclosure clause under which the Health Care Provider or other author/consultant acknowledges that BARD may publicly report the fact that BARD made value transfers to him or her. To the extent within its control, BARD shall ensure that any HCP or

author/consultant who submits for publication a clinical study, clinical data, or pre-clinical data that BARD has Sponsored, authored, or edited, in whole or in part, shall comply with the publication's conflict disclosure requirements.

3.24. In accordance with applicable law, BARD shall register BARD-sponsored clinical studies regarding its Urogynecologic Surgical Mesh with ClinicalTrials.gov. BARD shall also retain any design history files and clinical records, including but not limited to clinical data, relating to its post-December 30, 2016 Urogynecologic Surgical Mesh devices and any Urogynecologic Surgical Mesh devices that existed prior to December 30, 2016 (or substantially equivalent to such devices) over which it has or should have possession, custody or control for 15 years past the last sale date of the Urogynecologic Surgical Mesh devices to which those files and records apply, unless a longer period is required by applicable law. BARD shall retain any non-clinical data relating to its post-December 30, 2016 Urogynecologic Surgical Mesh devices and any Urogynecologic Surgical Mesh devices that existed prior to December 30, 2016 (or substantially equivalent to such devices) over which it has or should have possession, custody or control until December 30, 2031, if not introduced prior to that date. If introduced prior to December 30, 2031, then BARD shall retain non-clinical data for 15 years past the last sale date, unless a longer period is required by applicable law.

#### E. BARD Internal Policies and Training

3.25. BARD shall ensure that its independent contractors, agents, and employees, who sell, market, or promote Urogynecologic Surgical Mesh or otherwise train, provide information to, or communicate with Health Care Providers regarding Urogynecologic Surgical Mesh, are adequately informed and trained regarding their obligations to report all patient complaints and/or adverse events to BARD.

3.26. BARD shall ensure that its company practices regarding the reporting of patient complaints relating to Urogynecologic Surgical Mesh as MDR reportable adverse events are consistent with FDA requirements.

#### F. Monitoring and Compliance

3.27. BARD shall be responsible for ensuring monitoring and compliance with the provisions of this Judgment/Order.

#### 4. PAYMENT

4.1. BARD shall pay a total amount of \$60 million as follows: 1) the initial payment of \$15 million shall be paid by the later of October 30, 2020 or 30 days after the Effective Date; 2) the second payment of \$15 million shall be paid by April 1, 2021; and 3) the final payment of \$30 million shall be paid by October 30, 2021. These payments will be divided and paid by BARD to each Signatory Attorney General of the Multistate Working Group in amounts to be designated by and in the sole discretion of the Multistate Executive Committee. Said payment shall be used and allocated to support investigations and prosecutions of California's consumer protection laws. The Parties acknowledge that the payments described herein are not a fine, penalty, or payment in lieu thereof.

#### 5. ENFORCEMENT

- 5.1. For the purposes of resolving disputes with respect to compliance with this Judgment/Order, should any of the Signatory Attorneys General have a reasonable basis to believe that BARD has engaged in a practice that violates a provision of this Judgment/Order subsequent to the Effective Date, then such Attorney General shall notify BARD in writing of the specific objection, identify with particularity the provision of this Judgment/Order that the practice appears to violate, and give BARD thirty (30) days to respond to the notification; provided, however, that a Signatory Attorney General may take any action if the Signatory Attorney General believes that, because of the specific practice, a threat to the health or safety of the public requires immediate action.
- 5.2. Upon receipt of written notice, BARD shall provide a good-faith written response to the Attorney General notification, containing either a statement explaining why BARD believes it is in compliance with the Judgment/Order, or a detailed explanation of how the alleged violation occurred and a statement explaining how BARD intends to remedy the alleged breach. Nothing in

<sup>&</sup>lt;sup>5</sup> The total payment, over three installments, to the State of California shall be \$5,022,216.

this section shall be interpreted to limit the State of California's Civil Investigative Demand ("CID") or investigative subpoena authority, to the extent such authority exists under applicable law, and BARD reserves all of its rights in responding to a CID or investigative subpoena issued pursuant to such authority.

- 5.3. The Attorney General may agree, in writing, to provide BARD with additional time beyond the thirty (30) days to respond to a notice provided under section 5.1 above.
- 5.4. Upon giving BARD thirty (30) days to respond to the notification described above, the Signatory Attorney General shall also be permitted reasonable access to inspect and copy relevant, non-privileged, non-work product records and documents in the possession, custody, or control of BARD that relate to BARD's compliance with each provision of this Judgment/Order pursuant to that State's CID or investigative subpoena authority. If the Signatory Attorney General makes or requests copies of any documents during the course of that inspection, the Signatory Attorney General shall provide a list of those documents to BARD.
- 5.5. The State may assert any claim that BARD has violated this Judgment/Order in a separate civil action to enforce compliance with this Judgment/Order, or may seek any other relief afforded by law for violations of the Judgment/Order, but only after providing BARD an opportunity to respond to the notification described in paragraph 5.1 above; provided, however, that a Signatory Attorney General may take any action if the Signatory Attorney General believes that, because of the specific practice, a threat to the health or safety of the public requires immediate action.

#### 6. RELEASE

6.1. Released Claims. By its execution of this Judgment/Order, the State of California releases and forever discharges BARD and its past and present officers, directors, employees, representatives, agents, affiliates, parents, subsidiaries, operating companies, predecessors, assigns and successors (collectively, the "Releasees") from the following: all civil causes of action, claims, damages, restitution, disgorgement, fines, costs, attorney's fees, or penalties that the California Attorney General has asserted or could have asserted against the Releasees under the State Consumer Protection Laws, or any amendments thereto, or by common law claims concerning

deceptive or fraudulent trade practices, that the Signatory Attorney General has the authority to release resulting from the Covered Conduct up to and including the Effective Date. For purposes of this Section 6.1, Releasees do not include Covidien Ltd. or Medtronic PLC, or their past and present officers, directors, employees, representatives, agents, affiliates, parents, subsidiaries, operating companies, predecessors, assigns and successors.

- 6.2. <u>Claims Not Covered.</u> Notwithstanding any term of this Judgment/Order, specifically reserved and excluded from the release in Paragraph 6.1 as to any entity or person, including Releasees, are any and all of the following:
- (a) Any criminal liability that any person or entity, including Releasees, has or may have to the State of California;
- (b) Any civil or administrative liability that any person and/or entity, including Releasees, has or may have to the State of California not expressly covered by the release in Section 6.1, including, but not limited to, any and all of the following claims:
  - i. State or federal antitrust violations;
- ii. Claims involving "best price," "average wholesale price," "wholesale acquisition cost," or any reporting practices;
- iii. Medicaid claims, including but not limited to federal Medicaid drug rebate statute violations, Medicaid fraud or abuse (whether common law, statutory or otherwise), and/or kickback violations related to any state's Medicaid program;
  - iv. State false claims violations; and
  - v. Claims to enforce the terms and conditions of this Judgment/Order.
- (c) Actions of, or on behalf of, state program payors of the State of California arising from the purchase of Urogynecologic Surgical Mesh.
- (d) Any claims individual consumers have or may have under above-cited State Consumer Protection Laws against any person or entity, including the Releasees.
- 6.3. Nothing contained in this Judgment/Order shall relieve BARD of the obligations it maintains under any other Judgment/Order or agreement relating to any BARD product.

#### 7. ADDITIONAL PROVISIONS

- 7.1. Nothing in this Judgment/Order shall be construed to authorize or require any action by BARD in violation of applicable federal, state, or other laws.
- 7.2. <u>Modification.</u> The Judgment/Order may be modified by a stipulation of the Parties as approved by the Court, or by court proceedings resulting in a modified judgment of the Court.
- 7.3. BARD shall not cause or encourage third parties, nor knowingly permit third parties acting on its behalf, to engage in practices from which BARD is prohibited by this Judgment/Order.
- 7.4. The acceptance of this Judgment/Order by the State of California shall not be deemed approval by the State of California of any of BARD's advertising or business practices. Further, neither BARD nor anyone acting on its behalf shall state or imply, or cause to be stated or implied, that the State of California or any other governmental unit of California has approved, sanctioned or authorized any practice, act, advertisement, or conduct of BARD.
- 7.5. Any failure by any party to this Judgment/Order to insist upon the strict performance by any other party of any of the provisions of this Judgment/Order shall not be deemed a waiver of any of the provisions of this Judgment/Order, and such party, notwithstanding such failure, shall have the right thereafter to insist upon the specific performance of any and all of the provisions of this Judgment/Order.
- 7.6. Entire Agreement: This Judgment/Order represents the full and complete terms of the settlement entered into by the Parties hereto. In any action undertaken by the Parties, no prior versions of this Judgment/Order and no prior versions of any of its terms that were not entered by the Court in this Judgment/Order, may be introduced for any purpose whatsoever.
- 7.7. <u>Jurisdiction</u>: This Court retains jurisdiction of this Judgment/Order and the Parties hereto for the purpose of enforcing and modifying this Judgment/Order and for the purpose of granting such additional relief as may be necessary and appropriate.
- 7.8. <u>Counterparts</u>: This Judgment/Order may be executed in counterparts, and a facsimile or .pdf signature shall be deemed to be, and shall have the same force and effect as, an original signature.

1	7.9. <u>Notice</u> : All Notices under this Judgment/Order shall be provided to the following
2	via email and Overnight Mail:
3	<u>Defendant</u> :
4	Greg A. Dadika Senior Vice President, Chief Legal Counsel
5	Becton Dickinson and Company
6	Greg.Dadika@bd.com
7	Copy to BARD's attorneys at Troutman Pepper via electronic mail sent to:
8	Barry H. Boise (barry.boise@troutman.com)
9	Signatory Attorney General:
10	Michelle Burkart
11	Deputy Attorney General California Department of Justice
12	300 South Spring Street, Suite 1702 Los Angeles, CA 90013 Michelle Dynkret Odei on gay
13	Michelle.Burkart@doj.ca.gov
14	7.10 To the contest that are a continue of this Later work (October 11) and a DARD to the contest of the contes
15	7.10. To the extent that any provision of this Judgment/Order obligates BARD to change
16	any policy(ies) or procedure(s) and to the extent not already accomplished, BARD shall implement
17	the policy(ies) or procedure(s) as soon as reasonably practicable, but no later than 120 days after
18	the Effective Date of this Judgment/Order.
19	<u>APPROVAL BY COURT</u>
20	APPROVED FOR FILING and SO ORDERED this day of, 2020.
21	
22	Judge
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# Approved: For Defendant C.R. Bard, Inc. 9/16/20 Greg A. Dadika Date Senior Vice President, Chief Legal Counsel Becton Dickinson and Company Greg.Dadika@bd.com

1	
2	Local Counsel for C.R. Bard, Inc.
3	
4	Ronald I. Raether Date
5	California Bar No. 303118
6	5 Park Plaza, Suite 1400 Irvine, CA 92614
7	Troutman Pepper Hamilton Sanders LLP 5 Park Plaza, Suite 1400 Irvine, CA 92614 (949) 622-2722 ron.raether@troutman.com
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1	For Plaintiff State of California
2	Xavier Becerra Attorney General of California
3	1.1R
4	Michelle Burkart  September 22, 2020  Date
5	Deputy Attorney General
6	300 South Spring Street, Suite 1702 Los Angeles, CA 90013
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