Tradier Brokerage, Inc.
3420 Toringdon Way, Suite 300
Charlotte, NC 28277
Tel: 980.272.3880

Dear Customer:

We value your business and respect the privacy of your information. We are writing to notify you of a data incident that involved, or is reasonably likely to have involved, some of your information for which Tradier Brokerage Inc. (“Tradier”) holds ultimate responsibility. This notice explains what happened, what information was affected, what we are doing in response, and the steps you can take to protect yourself.

WHAT HAPPENED?

On September 3, 2026, Tradier became aware of an attack against Etna Software Corporation (“Etna”), a company that provides the order management system and pre-trade controls used by Tradier in running its business. Tradier’s network remained secure. Some data required for account identification by Etna may have been subject to unauthorized access.

WHAT INFORMATION WAS INVOLVED?

The extraction file information that was, or is reasonably believed to have been, accessed, or used without authorization may have included the following: your full name, address, phone number, and other PII including possibly your Social Security number (or similar government-issued tax ID number).

WHAT WE ARE DOING.

Tradier values your privacy and deeply regrets that this incident occurred. In conjunction with Etna, Tradier is conducting a thorough review of the accounts of individuals with potentially affected records/information and will notify you if there are any significant developments. We are actively reviewing our third-party relationships. We are reviewing our data minimization program.

WHAT YOU CAN DO.

If you have received this notice, it is because we believe your information was, or is reasonably believed to have been, accessed or used without authorization. We recommend that you review your account statements and report any suspicious activity to us immediately using the contact information below. Please also download/visit this PDF document, for further information on the steps you can take to protect yourself, and use the code: [redacted], to receive up to two years of free credit monitoring/identity theft protection services.

FOR MORE INFORMATION.

If you have questions about this incident or would like additional information or assistance, please contact our toll-free hotline 1 844-772-8140, between 8:00 a.m. ET and 8:00 p.m. ET, Monday through Friday; or write to us at:

Tradier Brokerage, Inc.
3420 Toringdon Way, Suite 300
Charlotte, NC 28277

Tradier Brokerage Service Team

Email: service@tradierbrokerage.com
Phone: 980.272.3880

Steps You Can Take to Protect Your Information

- **Review Your Account Statements and Notify Law Enforcement of Suspicious Activity**

As a precautionary measure, we recommend that you remain vigilant by reviewing your account statements and credit reports closely. If you detect any suspicious activity on an account, you should promptly notify the financial institution or company with which the account is maintained, including Tradier for any account you hold with us. You also should promptly report any fraudulent activity or any suspected incidence of identity theft to proper law enforcement authorities, including your state attorney general and the Federal Trade Commission (FTC).

To file a complaint with the FTC, go to www.IdentityTheft.gov or call 1-877-ID-THEFT (877-438-4338). Complaints filed with the FTC will be added to the FTC's Identity Theft Data Clearinghouse, which is a database made available to law enforcement agencies.

- **Obtain and Monitor Your Credit Report**

We recommend that you periodically obtain a free copy of your credit report from each of the three major credit reporting agencies. You are entitled to a free copy from each agency once every 12 months by visiting www.annualcreditreport.com, calling toll-free 877-322-8228, or by completing an Annual Credit Report Request Form and mailing it to Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348. You can access the printable request form at https://www.annualcreditreport.com/manualRequestForm.action or fill out the online form at https://www.annualcreditreport.com/requestReport/requestForm.action. When you review your credit reports, look for accounts, charges, or inquiries you do not recognize. If you find information relating to any fraudulent transaction, you should request that the credit reporting agency delete or block that information from your credit file. Contact information for the three national credit reporting agencies for the purpose of requesting a copy of your credit report or for general inquiries is provided below:

	Equifax	**Experian**	**TransUnion**
Contact Information	(866) 349-5191 www.equifax.com P.O. Box 740241 Atlanta, GA 30374	(888) 397-3742 www.experian.com P.O. Box 2002 Allen, TX 75013	(800) 888-4213 www.transunion.com 2 Baldwin Place P.O. Box 1000 Chester, PA 19016

- **Consider Placing a Fraud Alert on Your Credit Report**

You may want to consider placing a fraud alert on your credit report. An initial fraud alert is free and will stay on your credit file for at least 90 days. The alert informs creditors of possible fraudulent activity within your report and requests that the creditor contact you prior to establishing any accounts in your name. To place a fraud alert on your credit report, contact any of the three credit reporting agencies identified above. Additional information is available at www.annualcreditreport.com.

- **Credit Report Monitoring/Identity Theft Protection Services**

In addition, Tradier Brokerage, Inc. has arranged with Cyberscout, a TransUnion Company, to provide you with credit monitoring/identity theft protection services for up to two years, at no cost to you. These services include the following benefits:

- TransUnion Credit Monitoring;
- Proactive Fraud Assistance;
- Identity Resolution Support; and
- $1,000,000 in Identity Theft Insurance.

To take advantage of this offer, you must enroll within 90 days from the date of this letter. To enroll, visit https://www.mytrueidentity.com/cvd/?enterprise=TUCI1#/ and enter the code: [redacted]. Enrollment requires an internet connection and e-mail account and may not be available to minors under the age of 18 years of age. Please note that when signing up for monitoring services, you may be asked to verify personal information for your own protection to confirm your identity.

- **Take Advantage of Additional Free Resources on Identity Theft**

The Federal Trade Commission (FTC) and the federal government maintain free online guidance on the steps you can take to protect yourself against identity theft. We encourage you to review these resources, and to report any incident of identity theft to the FTC.

- FTC identity theft resources: https://www.identitytheft.gov and https://consumer.ftc.gov/identity-theft-and-online-security.

- Federal government resources: https://www.usa.gov/identity-theft.

- To report identity theft to the FTC, visit https://www.identitytheft.gov or call 1-877-ID-THEFT (877-438-4338).

A copy of Identity Theft – A Recovery Plan, a comprehensive guide from the FTC to help you guard against and deal with identity theft, can be found on the FTC's website at https://www.bulkorder.ftc.gov/system/files/publications/501a_idt_a_recovery_plan_508.pdf.

OTHER IMPORTANT INFORMATION

- **Security Freeze**

In some US states, you have the right to put a security freeze on your credit file. A security freeze (also known as a credit freeze) makes it harder for someone to open a new account in your name. It is designed to prevent potential creditors from accessing your credit report without your consent. As a result, using a security freeze may interfere with or delay your ability to apply for a new credit card, wireless phone, or any service that requires a credit check. You must separately place a security freeze on your credit file with each credit reporting agency. To place a security freeze, you may be required to provide the consumer reporting agency with information that identifies you including your full name, Social Security number, date of birth, current and previous addresses, a copy of your state-issued identification card, and a recent utility bill, bank statement, or insurance statement. There is no charge to request a security freeze or to remove a security freeze.