

<<Name 1>> <<Name 2>>
<<Address 1>>
<<Address 2>>
<<City>>, <<State>> <<ZIP Code>>

Enrollment Code: <<XXXXXXXXXX>>

To Enroll, Scan the QR Code Below:



Or Visit:

<https://app.idx.us/account-creation/protect>

May 22, 2025

RE: NOTICE OF DATA BREACH

Dear <<Name 1>> <<Name 2>>:

Interactive Brokers LLC (“Interactive Brokers”) is writing to notify you of a recent incident that may affect the privacy of some of your personal information. Although we have no evidence of any access into any client accounts, this letter provides information about the event, our response, and steps you may wish to take to protect against misuse of your information.

What Happened?

We recently learned that on April 14, 2025, certain customer information was inadvertently disclosed to an unauthorized third party. In response, we swiftly took steps to investigate the nature and scope of this incident, identified the individuals whose personal information may have been impacted, and commenced the notification process.

What Information Was Involved?

The investigation determined that certain information, including your <<Data Elements>>, was involved in this incident.

What We Are Doing.

Data privacy and security are among our highest priorities and we have extensive measures in place to help protect information in our care. As noted above, upon learning of this occurrence, we promptly conducted a full investigation to determine the nature and scope of the event. We also notified federal law enforcement. We continually reassess our security policies and are currently in the process of implementing additional technical and administrative safeguards to help prevent against similar incidents in the future.

Because you may be at risk of identity theft from this incident, we are offering you access to twenty-four (24) months of complimentary credit monitoring and identity protection services through IDX. Details of this offer and instructions on how to enroll in the services may be found in the attached *Steps You Can Take to Help Protect Your Information*. If you would like to enroll in these services, you will need to follow the attached instructions, as we are unable to enroll you automatically. Please note the deadline to enroll is August 22, 2025.

What You Can Do.

Please review the information contained in the attached *Steps You Can Take to Help Protect Your Information*. We encourage you to remain vigilant against incidents of identity theft and fraud by reviewing your account statements, monitoring free credit reports you are entitled to receive, and immediately reporting any suspicious activity to your bank

or other financial institution(s). You may also activate your access to the IDX identity and credit monitoring services we are making available to you.

For More Information.

If you have questions regarding this incident, you may contact our Customer Service team at (877) 442-2757 Monday to Friday from 8 am – 8 pm Eastern Time and Sunday from 1 pm – 7 pm Eastern Time. You may also send secure communications to the Customer Service team through the “Send an Email” option located in the “IBKR Support” section of the Interactive Brokers website (<https://www.interactivebrokers.com/en/general/contact/newContact/contact.php>), or write to Interactive Brokers at One Pickwick Plaza, Greenwich, CT 06830 USA.

Sincerely,

Interactive Brokers, LLC

STEPS YOU CAN TAKE TO HELP PROTECT YOUR INFORMATION

Enroll in Monitoring Services

1. Website and Enrollment. Scan the QR image or go to <https://app.idx.us/account-creation/protect> and follow the instructions for enrollment using your Enrollment Code provided at the top of the letter.

2. Activate the credit monitoring provided as part of your IDX identity protection membership. The monitoring included in the membership must be activated to be effective. Note: You must have established credit and access to a computer and the internet to use this service. If you need assistance, IDX will be able to assist you.

3. Telephone. Contact IDX at 1-800-939-4170 to speak with knowledgeable representatives about the appropriate steps to take to protect your credit identity.

Monitor Your Accounts

Under U.S. law, each consumer is entitled to one free credit report annually from each of the three major credit reporting bureaus, Equifax, Experian, and TransUnion. We recommend that you periodically obtain a credit report from each nationwide credit reporting agency and request deletion of any information relating to fraudulent transactions. To order a free credit report, visit www.annualcreditreport.com or call toll-free 1-877-322-8228. You may also directly contact the three major credit reporting bureaus listed below to request a free credit report.

You have the right to place an initial or extended “fraud alert” on your credit file at no cost. An initial fraud alert is a 1-year alert that is placed on a credit file. If a fraud alert is displayed on a consumer’s credit file, a business is required to take steps to verify the consumer’s identity before extending new credit. Victims of identity theft are entitled to an extended fraud alert, which is a 7-year fraud alert. If you wish to place a fraud alert, contact any of the three major credit reporting bureaus listed below.

You also have the right to place a free “credit freeze” on your credit report pursuant to 15 U.S.C. § 1681c-1, which will prohibit a credit bureau from releasing information in the credit report without your express authorization. The credit freeze is designed to prevent credit, loans, and services from being approved in your name without your express consent. However, using a credit freeze to control access to the personal and financial information in a credit report may delay, interfere with, or prohibit the timely approval of any request or application made regarding a new loan, credit, mortgage, or any other account involving the extension of credit. Pursuant to federal law, you cannot be charged to place or lift a credit freeze. To request a credit freeze, you may need to provide some or all of the following information, depending on whether the request is made online, by phone, or by mail:

1. Full name (including middle initial as well as Jr., Sr., II, III, etc.);
2. Social Security number or copy of Social Security card;
3. Date of birth;
4. Addresses for the prior two to five years;
5. Proof of current address, such as a current utility bill or telephone bill;
6. A legible photocopy of a government-issued identification card (state driver’s license or ID card, etc.); and
7. If you are a victim of identity theft, a copy of either the police report, investigative report, or complaint to a law enforcement agency.

Should you wish to place a credit freeze or fraud alert, contact the three major credit reporting bureaus listed below:

Equifax	Experian	TransUnion
www.equifax.com/personal/credit-report-services/ 1-888-298-0045 Equifax Fraud Alert, P.O. Box 105069 Atlanta, GA 30348-5069 Equifax Credit Freeze, P.O. Box 105788 Atlanta, GA 30348-5788	www.experian.com/help/ 1-888-397-3742 Experian Fraud Alert, P.O. Box 9554, Allen, TX 75013 Experian Credit Freeze, P.O. Box 9554, Allen, TX 75013	www.transunion.com/credit-help 1-800-916-8800 TransUnion Fraud Alert, P.O. Box 2000, Chester, PA 19016 TransUnion Credit Freeze, P.O. Box 160, Woodlyn, PA 19094

In addition to the options outlined above, you may place an alert with ChexSystems. Chex Systems, Inc. is a consumer reporting agency governed by the Fair Credit Reporting Act (“FCRA”) and other laws. ChexSystems provides account verification services to its financial institution members to aid them in identifying account applicants who may have a history of account mishandling (for example, people whose accounts were overdrawn and then closed by them or their bank). In short, ChexSystems is like the credit reporting agencies (Equifax, Experian, TransUnion) but specific to checking/savings history instead of credit/loan history. ChexSystems offers two protections:

- **Consumer Report Security Alert.** This puts a flag on your consumer file notifying banking institutions that they must take additional steps to confirm the identity of the person initiating the action (much like placing a fraud alert with the credit reporting agencies). You may request a 90-day alert, which is the default, though you may extend it to 7 years if you complete the ChexSystems ID Theft affidavit form (available online), have the affidavit notarized, and send the notarized affidavit to ChexSystems. To set the Consumer Report Security Alert, call (888) 478-6536 or online by visiting www.chexsystems.com.
- **Consumer Report Security Freeze.** This will prohibit ChexSystems from releasing any information in your consumer file without your express authorization, meaning you have to contact ChexSystems and lift the freeze in order for your information to be released (much like placing a freeze with the credit reporting agencies). You should be aware that taking advantage of this right may delay or prevent timely approval from any user of your consumer report that you wish to do business with. The third party will receive a message indicating that you have blocked your information. To set the Consumer Report Security Freeze, call (800) 887-7652 or online by visiting www.chexsystems.com.

Additional Information

You can obtain information from the Federal Trade Commission (“FTC”), the credit reporting bureaus listed above, and your state Attorney General regarding identity theft, fraud alerts, and credit freezes, and the steps you can take to protect personal information. The FTC may be reached at: 600 Pennsylvania Avenue NW, Washington, D.C. 20580; www.identitytheft.gov; 1-877-ID-THEFT (1-877-438-4338); and TTY: 1-866-653-4261. The FTC also encourages those who discover that their information has been misused to file a complaint with the FTC using the FTC’s contact information above. You also have the right to file and obtain a copy of your police report if you experience identity theft or fraud. Please note that in order to file an identity theft report with law enforcement, you will likely need to provide some proof that you have been a victim. Instances of known or suspected identity theft should also be reported to local law enforcement and your state Attorney General. This notice has not been delayed as a result of a law enforcement investigation.

For Maryland residents, the Maryland Attorney General may be contacted at: 200 St. Paul Place, 16th Floor, Baltimore, MD 21202; 1-410-528-8662 or 1-888-743-0023; and <https://www.marylandattorneygeneral.gov/>.

For New York residents, the New York Attorney General may be contacted at: Office of the Attorney General, The Capitol, Albany, NY 12224-0341; 1-800-771-7755; or <https://ag.ny.gov>.

For New Mexico Residents, you have rights pursuant to the Fair Credit Reporting Act, such as the right to be told if information in your credit file has been used against you, the right to know what is in your credit file, the right to ask for

your credit score, and the right to dispute incomplete or inaccurate information. Further, pursuant to the Fair Credit Reporting Act, the consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information; consumer reporting agencies may not report outdated negative information; access to your file is limited; you must give your consent for credit reports to be provided to employers; you may limit “prescreened” offers of credit and insurance you get based on information in your credit report; and you may seek damages from a violator. You may have additional rights under the Fair Credit Reporting Act not summarized here. Identity theft victims and active duty military personnel have specific additional rights pursuant to the Fair Credit Reporting Act. You can review your rights pursuant to the Fair Credit Reporting Act by visiting www.consumerfinance.gov/f/201504_cfpb_summary_your-rights-under-fcra.pdf, or by writing Consumer Response Center, Room 130-A, Federal Trade Commission, 600 Pennsylvania Ave. N.W., Washington, D.C. 20580.

For North Carolina residents, you may also obtain information about preventing and avoiding identity theft from the North Carolina Attorney General’s Office at: 9001 Mail Service Center, Raleigh, NC 27699-9001; 1-877-566-7226 or 1-919-716-6000; and www.ncdoj.gov.

For Oregon Residents, the Oregon Department of Justice can be contacted at: Oregon Department of Justice, 1162 Court Street NE, Salem, OR 97301-4096, www.doj.state.or.us/, Telephone: 1-877-877-9392.

For Rhode Island residents, the Rhode Island Attorney General may be reached at: 150 South Main Street, Providence, RI 02903; www.riag.ri.gov; and 1-401-274-4400. Under Rhode Island law, individuals have the right to obtain any police report filed in regard to this event. There was one (1) Rhode Island resident impacted by this event.