

February 29, 2012

858 450 9606 *office*

[REDACTED]

Dear [REDACTED]

As you are a valued client of Sean Patrick and LPL Financial LLC, guarding your privacy is a top priority for our firm. Regrettably, on February 6, we were notified that Mr. Patrick's desktop computer was stolen from his office. As a result, your personal information, including name, Social Security number, and financial information, may have been exposed.

We maintain a strong commitment to protecting your information and aim to communicate openly should it ever be compromised. While we have no evidence that the information has been misused, we wanted to make you aware of the incident and the steps we are taking to help safeguard your personal information.

**COMPLIMENTARY SERVICE OFFER:** LPL Financial would like to offer you a free one-year subscription to ITAC Sentinel<sup>®</sup> Plus, a credit monitoring and identity theft protection service. ITAC Sentinel Plus not only provides essential monitoring and protection of credit data, but also monitors the Internet for personal data such as your Social Security number, bank accounts, and credit card accounts on websites known to be frequented by identity thieves. This program is provided by Intersections Inc. (NASDAQ: INTX), a leading provider of consumer and corporate identity risk management services.

ITAC Sentinel<sup>®</sup> Plus features include:

- 3-Bureau Credit Report and Scores
- 3-Bureau Daily Monitoring with NOTIFY EXPRESS<sup>®</sup> Alerts
- 3-Bureau Quarterly Credit Update
- ITAC Victim Assistance<sup>®</sup>
- Card Theft Protection
- Internet Surveillance
- Credit Education Specialists
- Up to \$20,000 Identity Theft Insurance with \$0 deductible\*

If you wish to take advantage of this comprehensive monitoring service, you must enroll by April 30, 2012.

**ENROLLMENT PROCEDURE:** To activate this coverage, please call the toll-free number or visit the website listed below and enter the redemption code. The redemption code is required for enrollment.

Toll-free: (866) 313-6374  
Website: [www.itacsentinel.com/alert](http://www.itacsentinel.com/alert)  
Redemption code: [REDACTED]

When you enroll, you will need to provide the following information:

- Mailing address
- Phone number
- Social Security number
- Email address
- Redemption code



In addition to the ITAC Sentinel Plus service, we advise you to remain vigilant by reviewing your account statements and monitoring your credit reports regularly. You may obtain a copy of your credit report, free of charge, whether or not you suspect any unauthorized activity on your account, by contacting one or more of the following national consumer reporting agencies:

**Equifax Credit Information Services, Inc.**

P.O. Box 105788  
Atlanta, GA 30348  
(888) 766-0008  
[www.equifax.com](http://www.equifax.com)

**Experian**

P.O. Box 9554  
Allen, TX 75013  
(888) 397-3742  
[www.experian.com](http://www.experian.com)

**TransUnion Fraud Victim Assistance  
Department**

P.O. Box 6790  
Fullerton, CA 92834  
(800) 680-7289  
[www.transunion.com](http://www.transunion.com)

You may also obtain information from the California Office of the Attorney General and the Federal Trade Commission about steps you can take to avoid identity theft.

**Attorney General's Office  
California Department of Justice**

P.O. Box 944255  
Sacramento, CA 94244-2550  
(800) 952- 5225  
<http://oag.ca.gov>

**Federal Trade Commission**

Consumer Response Center  
600 Pennsylvania Avenue, NW  
Washington, DC 20580  
(877) FTC-HELP or (877) 382-4357  
<http://www.ftc.gov>

We apologize for any inconvenience or concern this situation may cause. We at LPL Financial believe it is important for you to be fully informed of any potential risk resulting from this incident. Again, we want to reassure you that we have no evidence that your personal information has been misused. Maintaining the privacy of your information is a key priority for LPL Financial, and we will continue to take the needed steps to protect your information.

If you have any further questions regarding this incident, please call either your LPL Financial advisor directly or the LPL Financial client line at (800) 877-7210 x6835, between 6:00 a.m. and 4:00 p.m. PT.

Sincerely,

Ryan Smyth  
Vice President  
Governance, Risk and Compliance  
LPL Financial

\*Insurance underwritten by Travelers Casualty and Surety Company of America and its property casualty affiliates, Hartford, CT 06183. Coverage for all claims or losses depends on actual policy provisions. Availability of coverage can depend on underwriting qualifications and state regulations.