



**SUPERIOR COURT OF CALIFORNIA  
COUNTY OF SAN FRANCISCO**

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COMPLAINT

THE STATE OF CALIFORNIA et al VS. SAMSUNG SDI, CO, LTD

001C03378893

**Instructions:**

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1 KAMALA D. HARRIS  
2 Attorney General of California  
3 MARK BRECKLER  
4 Chief Assistant Attorney General  
5 KATHLEEN E. FOOTE  
6 Senior Assistant Attorney General  
7 PAUL A. MOORE III (SBN 241157)  
8 NICOLE S. GORDON (SBN 224138)  
9 EMILIO E. VARANINI IV (SBN163952)  
10 Deputy Attorneys General  
11 455 Golden Gate Avenue, Suite 11000  
12 San Francisco, CA 94102-7004  
13 Telephone: (415) 703-5908  
14 Fax: (415) 703-5843  
15 E-mail: [Emilio.Varanini@doj.ca.gov](mailto:Emilio.Varanini@doj.ca.gov)

16 *Attorneys for Plaintiffs*

17 SUPERIOR COURT OF THE STATE OF CALIFORNIA

18 COUNTY OF SAN FRANCISCO

19 **THE STATE OF CALIFORNIA; THE**  
20 **PEOPLE OF THE STATE OF**  
21 **CALIFORNIA, ex rel. KAMALA D.**  
22 **HARRIS, Attorney General of the State of**  
23 **California, as *parens patriae* on behalf of**  
24 **natural persons residing in the state,**

25 **SACRAMENTO COUNTY, CORONA-**  
26 **NORCO UNIFIED SCHOOL DISTRICT,**  
27 **ELK GROVE UNIFIED SCHOOL**  
28 **DISTRICT, METROPOLITAN WATER**  
**DISTRICT OF SOUTHERN**  
**CALIFORNIA, SANTA CLARA COUNTY,**  
**SHASTA COUNTY, CITY OF FRESNO,**  
**ALAMEDA COUNTY, CITY OF LONG**  
**BEACH, CITY OF LOS ANGELES, CITY**  
**OF OAKLAND, CITY OF SAN DIEGO,**  
**CITY AND COUNTY OF SAN**  
**FRANCISCO, CITY OF SAN JOSE,**  
**CONTRA COSTA COUNTY, FRESNO**  
**COUNTY, FRESNO UNIFIED SCHOOL**  
**DISTRICT, GARDEN GROVE UNIFIED**  
**SCHOOL DISTRICT, KERN COUNTY,**  
**LOS ANGELES COUNTY, LOS**  
**ANGELES UNIFIED SCHOOL**  
**DISTRICT, ORANGE COUNTY, SAN**  
**DIEGO UNIFIED SCHOOL DISTRICT,**  
**SAN FRANCISCO UNIFIED SCHOOL**

Case No.

**COMPLAINT FOR DAMAGES,  
INJUNCTION, RESTITUTION AND  
CIVIL PENALTIES BASED ON:**

- (1) VIOLATIONS OF THE  
CARTWRIGHT ACT (Bus. & Prof. Code §§  
16720, et seq.)
- (2) VIOLATIONS OF THE UNFAIR  
COMPETITION ACT (Bus. & Prof. Code  
§§ 17200, et seq.)
- (3) UNJUST ENRICHMENT

**DEMAND FOR JURY TRIAL**

**DISTRICT, SAN JOAQUIN COUNTY,  
SAN JUAN UNIFIED SCHOOL  
DISTRICT, SAN MATEO COUNTY,  
SANTA BARBARA COUNTY, SONOMA  
COUNTY, TULARE COUNTY, VENTURA  
COUNTY AND THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA,**

**Plaintiffs**

**v.**

**SAMSUNG SDI, CO., LTD F/K/A  
SAMSUNG ELECTRONICS AMERICA,  
INC., SAMSUNG SDI AMERICA, INC.,  
SAMSUNG SDI MEXICO, S.A. DE  
C.V., SAMSUNG SDI BRASIL LTDA.,  
SHENZHEN SAMSUNG SDI CO., LTD.,  
TIANJIN SAMSUNG SDI CO., LTD,  
SAMSUNG SDI (MALAYSIA) SDN. BHD.,  
ORION ELECTRIC COMPANY,  
DAEWOO ELECTRONICS CO.,  
DAEWOO-ORION SOCIETE ANONYME  
("DOSA"), HITACHI LTD., HITACHI  
DISPLAYS, LTD, HITACHI  
ELECTRONIC DEVICES (USA) INC.,,  
HITACHI AMERICA, LTD., HITACHI  
ASIA, LTD., SHENZHEN SEG HITACHI  
COLOR DISPLAY DEVICES, LTD.,  
IRICO GROUP CORPORATION, IRICO  
DISPLAY DEVICES CO., LTD., IRICO  
GROUP ELECTRONICS CO., LTD., LG  
ELECTRONICS, INC., LG  
ELECTRONICS USA, INC., LG  
ELECTRONICS TAIWAN TAIPEI CO.,  
LTD., LP DISPLAYS INTERNATIONAL,  
LTD F/K/A LG PHILIPS DISPLAYS,  
PANASONIC CORPORATION (F/K/A  
MATSHUSITA ELECTRONIC  
INDUSTRIAL CO., LTD.) PANASONIC  
CORP. OF NORTH AMERICA,  
MATSUSHITA ELECTRONIC  
CORPORATION (MALAYSIA) SDN BHD,  
MT PICTURE DISPLAY CO., LTD,  
BEIJING MATSUSHITA COLOR CRT  
COMPANY, LTD., SAMTEL COLOR,  
LTD., THAI CRT COMPANY, LTD.,  
TOSHIBA CORPORATION, P, TOSHIBA  
AMERICA, INC. TOSHIBA AMERICA  
CONSUMER PRODUCT, LLC, TOSHIBA  
AMERICA INFORMATION SYSTEMS,  
INC., TOSHIBA AMERICA**

**ELECTRONICS COMPONENTS, INC.,  
TOSHIBA DISPLAY DEVICES  
(THAILAND) COMPANY, LTD., PT  
TOSUMMIT ELECTRONIC DEVICES  
INDONESIA**

**Defendants.**

## TABLE OF CONTENTS

		<u>Page</u>
1	Introduction.....	1
2	Jurisdiction and venue.....	2
3	Definitions.....	3
4	The Parties.....	3
5	I.    Plaintiffs.....	3
6	II.   Defendants.....	5
7	III.  Agents and co-conspirators.....	17
8	IV.  Other agents and co-conspirators.....	18
9	California trade and commerce.....	19
10	Factual allegations.....	20
11	I.    CRT Technology.....	20
12	II.   Structural characteristics of the CRT Market.....	20
13	A.    Market Concentration.....	21
14	B.    Information Sharing.....	21
15	C.    Consolidation.....	21
16	D.    Multiple Interrelated Business Relationships.....	22
17	E.    High Costs of Entry into the Industry.....	22
18	F.    The Maturity of the CRT Market.....	22
19	G.    Homogeneity of CRTs.....	23
20	III.  Genesis of conspiracy.....	24
21	IV.   Defendants' and co-conspirators' Illegal Agreements.....	24
22	A.    Cartel structure.....	25
23	1.    "Glass Meetings".....	25
24	2.    "Top-Level Meetings".....	25
25	3.    "Management Meetings".....	26
26	4.    "Working Level Meetings".....	26
27	5.    "Green Meetings".....	27
28	6.    Structure of Top-Level Glass Meetings and Nature of Agreements Reached.....	27
	7.    Enforcement of Cartel Agreements.....	29
	8.    Supplemental Bilateral Discussions.....	29
	B.    Defendants' and co-conspirators' individual participation in group and bilateral discussions.....	31
	V.    The C market during the conspiracy as a result of defendants' concealed collusive activities.....	35

**TABLE OF CONTENTS**  
**(continued)**

	<b><u>Page</u></b>
VI. Government antitrust investigations and fines .....	38
The pass-through of overcharges to consumers .....	39
Assignment clauses .....	40
I. Assignment of Direct Claims .....	41
II. Assignment of Indirect Claims .....	41
Fraudulent Concealment .....	41
Injury .....	42
Violations alleged .....	43
I. First Cause of Action .....	43
II. Second cause of Action .....	45
III. Third cause of Action .....	47
Prayer for Relief .....	48
Jury Trial Demanded .....	50

1 Plaintiffs, by and through Kamala D. Harris, as Attorney General of the State of California,  
2 allege as follows:

### 3 INTRODUCTION

4 1. Cathode Ray Tubes ("CRTs") play a significant role in the lives of the People of  
5 California. From the 1890s when they were first used as an oscilloscope to view and measure  
6 electrical signals to their introduction in televisions at the 1939 New York World's Fair, CRTs  
7 have steadily grown in use and acceptance. Now CRTs can be found in such products as  
8 televisions and computer monitors used by Californian government entities and natural persons.  
9 After having been the dominant form of display technology, innovations such as flat panel LCD  
10 and plasma television, have gradually replaced CRTs from their former preeminent position.

11 2. Beginning in March of 1995, employees of several Defendants began to meet and  
12 exchange competitively sensitive information about CRTs involving such matters as pricing,  
13 shipping, customer demand, and production. Through 1996 and into 1997, the meetings bloomed  
14 into a formal, collusive scheme involving bilateral and multilateral meetings with employees from  
15 multiple Defendants reaching as high, in some instances, as their chief executives. The purpose  
16 of these meetings was to fix the prices of CRTs at supracompetitive levels, using such methods as  
17 market and customer allocations and output restrictions.

18 3. For the duration of this covert conspiracy, Defendants' actions succeeded in  
19 minimizing the effects of the declining CRT market which had created periods of oversupply and  
20 downward price pressure. Defendants' surreptitious behavior resulted in stable and even rising  
21 prices in a mature and declining market. Defendants' conduct had a significant impact on prices  
22 as they collectively controlled the vast majority of the market for CRTs globally, including  
23 markets in the United States and the State of California. As a result of Defendants' unlawful  
24 conduct Californians, including the Plaintiffs, paid higher prices for CRT-containing products  
25 than they would have in a competitive market.

26 4. On March 18, 2011, Defendant Samsung SDI Company Ltd., agreed to plead  
27 guilty and to pay a \$32 million criminal fine for its role in a global conspiracy to fix prices,  
28 reduce output, and allocate market shares of CDTs. And, on September 13, 2010 the Czech

1 Republic's Office for the Protection of Competition fined several Defendants a total CZK 51.787  
2 million for participating in a cartel whose purpose was to fix the price of CRTs used in color  
3 televisions. On October 7, 2009, the Japan Fair Trade Commission concluded that six CRT  
4 manufacturers participated in the conspiracy and imposed approximately \$43 million in fines on  
5 October while it has been reported that Korea's Fair Trade Commission also imposed a fine of  
6 about \$23.5 million on five CRT manufacturers.

### 7 JURISDICTION AND VENUE

8 5. This Court has subject matter jurisdiction over all causes of action alleged in this  
9 Complaint pursuant to the California Constitution, Article VI, § 10, and is a Court of competent  
10 jurisdiction to grant the relief requested herein. Plaintiffs' claims for violation of Business &  
11 Professions Code §§ 16720 and 17200, *et seq.* and for unjust enrichment, arise under the laws of  
12 the State of California, are not preempted by federal law, do not challenge conduct within any  
13 federal agency's exclusive domain, and are not statutorily assigned to any other trial court.

14 6. This Court also has subject matter jurisdiction over all causes of action alleged in  
15 this Complaint pursuant to California Business & Professions Code § 16760(a)(1) and is a Court  
16 of competent jurisdiction to grant the relief as requested herein. Plaintiffs' claims for violation of  
17 Business & Professions Code § 16760(a)(1) arise under the laws of the State of California, are not  
18 preempted by federal law, do not challenge conduct within any federal agency's exclusive  
19 domain, and are not statutorily assigned to any other trial court.

20 7. Each Defendant did substantial business in the State of California. Either  
21 Defendants manufactured CRTs that ended up in CRT-containing products sold in the State of  
22 California, marketed or sold CRTs to California businesses that incorporated those CRTs into  
23 CRT-containing products that were sold in the State of California, or did substantial business  
24 through subsidiaries, affiliates, and/or agents located in the State of California.

25 8. Venue is proper in this Court pursuant to California Code of Civil Procedure §§  
26 395 and 395.5, and California Business & Professions Code §§ 16750 and 16754. Defendants  
27 conduct substantial business directly and/or indirectly in the State of California and in the City  
28



1 and County of San Francisco. The injuries that have been sustained as a result of Defendants'  
2 illegal conduct occurred in part in the City and County of San Francisco.

### 3 **DEFINITIONS**

4 9. The term "CRT" or "CRTs" means cathode ray tube(s). A CRT is a display  
5 technology used in televisions, computer monitors, and other specialized applications. The CRT  
6 is a vacuum tube that is coated on its inside face with light sensitive phosphors. An electron gun  
7 at the back of the vacuum tube emits electron beams. When the electron beams strike the  
8 phosphors, the phosphors produce red, green or blue light. A system of magnetic fields inside the  
9 CRT, as well as varying voltages, directs the beams to produce the desired colors. This process is  
10 rapidly repeated several times per second to produce the desired images.

11 10. The term "CDT" means color display tubes.

12 11. The term "CPT" means color picture tubes.

13 12. There are two types of CRTs: (a) CDTs are CRTs which are primarily used in  
14 color computer monitors and other specialized applications and (b) CPTs are CRTs which are  
15 primarily used in color televisions. CDTs and CPTs are collectively referred to herein as  
16 "cathode ray tubes" or "CRTs".

17 13. The term "OEM" or "OEMs" means any Original Equipment Manufacturer of  
18 CRT containing products.

19 14. The term "Relevant Period" means from the beginning of March 1995 to June 30,  
20 2007 in which the Defendants and/or their co-conspirators manufactured, marketed, sold, and/or  
21 distributed CRTs that were incorporated into, or affected the price of, CRT-containing products  
22 purchased by Plaintiffs.

### 23 **THE PARTIES**

#### 24 **I. PLAINTIFFS**

25 15. Plaintiffs are a) the Attorney General, in the name of the people of the State of  
26 California, as *parens patriae* on behalf of natural persons residing in the state who are consumers  
27 that purchased CRTs or CRT-containing products, or both; b) the State of California; and c) the  
28 following specified political subdivisions or public agencies in the State of California:

1. Sacramento County
2. Corona-Norco Unified School District
3. Elk Grove Unified School District
4. Metropolitan Water District of Southern California
5. Santa Clara County
6. Shasta County
7. City of Fresno
8. Alameda County
9. City of Long Beach
10. City of Los Angeles
11. City of Oakland
12. City of San Diego
13. City and County of San Francisco
14. City of San Jose
15. Contra Costa County
16. Fresno County
17. Fresno Unified School District
18. Garden Grove Unified School District
19. Kern County
20. Los Angeles County
21. Los Angeles Unified School District
22. Orange County
23. San Diego Unified School District
24. San Francisco Unified School District
25. San Joaquin County
26. San Juan Unified School District
27. San Mateo County

28. Santa Barbara County
29. Sonoma County
30. Tulare County
31. Ventura County
32. The Regents of the University of California.

## **II. DEFENDANTS**

### **Daewoo/Orion Entities:**

16. During the Relevant Period Orion Electric Company ("Orion") was a major manufacturer of CRTs. Orion was a Korean corporation which filed for bankruptcy in 2004. In 1995, approximately 85% of Orion's (US) \$1 billion in sales was attributed to CRTs. Orion was involved in CRT sales and manufacturing joint ventures and had subsidiaries all over the world, including South Africa, France, Indonesia, Mexico, and the United States. Orion was wholly-owned by the "Daewoo Group". The Daewoo Group included Daewoo Electronics Company Ltd., a South Korea company with its principal base of business located at 686 Ahyeon-dong, Mapo-gu, Seoul, South Korea (and also a Defendant), Daewoo Telecom Company, Daewoo Corporation and Orion Electronics Components Company. The Daewoo Group was dismantled in or around 1999.

17. Daewoo Electronics Company, Ltd. and Orion were 50/50 joint venture partners in an entity called Daewoo-Orion Société Anonyme ("DOSA") in France which is also a Defendant. As of approximately 1996, DOSA produced 1.2 million CRTs annually. Defendant Daewoo sold DOSA's CRT business in or around 2004.

18. In December 1995, Orion partnered with Toshiba Corporation and two other non-defendant entities to form PT Tosummit Electronic Devices ("TEDI") in Indonesia. TEDI was projected to have an annual production capacity of 2.3 million CRTs by 1999. During the Relevant Period Orion, Daewoo Electronics, Ltd., TEDI and DOSA manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

1           19. Defendants Daewoo Electronics, TEDI, Orion, and DOSA are collectively referred  
2 to herein as "Daewoo".

3           **Hitachi Entities:**

4           20. Defendant Hitachi, Ltd. is a Japanese company with its principal place of business  
5 located at 6-1 Marunouchi Center Building 13F, Chiyoda-ku, Tokyo 100-8280, Japan. Hitachi,  
6 Ltd. is the parent company for the Hitachi brand of CRTs. In 1996, Hitachi, Ltd.'s worldwide  
7 market share for color CRTs was 20 percent. During the Relevant Period Defendant Hitachi, Ltd.  
8 manufactured, marketed, sold, and/or distributed CRTs incorporated into, or affecting the price  
9 of, CRT-containing products purchased by Plaintiffs.

10          21. Defendant Hitachi Displays, Ltd. ("Hitachi Displays") is a Japanese company with  
11 its principal place of business located at AKS Building, 2 Kandanebeicho 3, Chiyoda-ku,  
12 Tokyo, 101-0022, Japan. Hitachi Displays was originally established as Mobara Works of  
13 Hitachi Ltd. in Mobara City, Japan, in 1943. In 2002, all the departments of planning,  
14 development, design, manufacturing, and sales concerned with the display business of Hitachi,  
15 Ltd. were spun off to create a separate company called Hitachi Displays, Ltd. Hitachi, Ltd.  
16 dominated and controlled the finances, policies, and affairs of Hitachi Displays relating to the  
17 antitrust violations alleged in this Complaint. During the Relevant Period Hitachi Displays  
18 manufactured, marketed, sold, and/or distributed CRTs incorporated into, or affecting the price  
19 of, CRT-containing products purchased by Plaintiffs.

20          22. Defendant Hitachi Electronic Devices (USA), Inc. ("HEDUS") is a Delaware  
21 corporation with its principal place of business located at 1000 Hurricane Shoals Road, Ste. D-  
22 100, Lawrenceville, GA 30043. HEDUS is a subsidiary of Hitachi, Ltd. and Hitachi Displays.  
23 Defendants Hitachi, Ltd. and Hitachi Displays dominated and controlled the finances, policies,  
24 and affairs of HEDUS relating to the antitrust violations alleged in this Complaint. During the  
25 Relevant Period HEDUS manufactured, marketed, sold, and/or distributed CRTs incorporated  
26 into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

27          23. Defendant Hitachi America, Ltd. ("Hitachi America") is a New York company  
28 with its principal place of business located at 2000 Sierra Point Parkway, Brisbane, California

1 94005. Hitachi America is a wholly-owned and controlled subsidiary of Hitachi, Ltd. Hitachi,  
2 Ltd. dominated and controlled the finances, policies and affairs of Hitachi America relating to the  
3 antitrust violations alleged in this Complaint. During the Relevant Period Hitachi America  
4 manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting the price of,  
5 CRT-containing products purchased by Plaintiffs.

6 24. Defendant Hitachi Asia, Ltd. ("Hitachi Asia") is a Singapore company with its  
7 principal place of business located at 16 Collyer Quay, #20-00 Hitachi Tower, Singapore,  
8 049318. Hitachi Asia is a wholly-owned and controlled subsidiary of Hitachi, Ltd. Hitachi, Ltd.  
9 dominated and controlled the finances, policies and affairs of Hitachi Asia relating to the antitrust  
10 violations alleged in this Complaint. During the Relevant Period Hitachi Asia manufactured,  
11 marketed, sold, and/or distributed CRTs incorporated into, or affecting the price of, CRT-  
12 containing products purchased by Plaintiffs.

13 25. Defendant Shenzhen SEG Hitachi Color Display Devices, Ltd. ("Hitachi  
14 Shenzhen") was a Chinese company with its principal place of business located at 5001  
15 Huanggang Road, Futian District, Shenzhen 518035, China. Hitachi Displays owned at least a  
16 25% interest in Hitachi Shenzhen until November 8, 2007. Hitachi, Ltd. and Hitachi Displays  
17 dominated and controlled the finances, policies, and affairs of Hitachi Shenzhen relating to the  
18 antitrust violations alleged in this Complaint. During the Relevant Period Hitachi Shenzhen  
19 manufactured, marketed, sold, and/or distributed CRTs incorporated into, or affecting the price  
20 of, CRT-containing products purchased by Plaintiffs.

21 26. Defendants Hitachi, Ltd., Hitachi Displays, Hitachi America, HEDUS, Hitachi  
22 Asia, and Hitachi Shenzhen are collectively referred to herein as "Hitachi."

23 **IRICO Entities:**

24 27. Defendant IRICO Group Corporation ("IGC") is a Chinese corporation with its  
25 principal place of business located at 1 Caihong Rd., Xianyang City, Shaanxi Province 712021.  
26 IGC is the parent company for multiple subsidiaries engaged in the manufacture, marketing, sale,  
27 and/or distribution of CRTs. During the Relevant Period IGC manufactured, marketed, sold,  
28

1 and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing products  
2 purchased by Plaintiffs.

3 28. Defendant IRICO Display Devices Co., Ltd. ("IDDC") is a Chinese company with  
4 its principal place of business located at No. 16, Fenghui South Road, West High New Tec  
5 Development Zone, Xi'an 710075, China. Defendant IDDC is a partially-owned subsidiary of  
6 Defendant IGC. In 2006, IDDC was China's top CRT maker. IGC dominated and controlled the  
7 finances, policies, and affairs of IDDC relating to the antitrust violations alleged in this  
8 Complaint. During the Relevant Period IGC manufactured, marketed, sold and/or distributed  
9 CRTs incorporated into, or affecting the price of, CRT-containing products purchased by  
10 Plaintiffs.

11 29. Defendant IRICO Group Electronics Co., Ltd. ("IGE") is a Chinese company with  
12 its principal place of business located at 1 Caihong Rd., Xianyang City, Shaanxi Province  
13 712021. IGE is owned by Defendant IGC. Defendant IGC dominated and controlled the  
14 finances, policies and affairs of IGE relating to the antitrust violations alleged in this Complaint.  
15 During the Relevant Period IGE manufactured, marketed, sold, and/or distributed CRTs  
16 incorporated into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

17 30. Defendants IGC, IDDC and IGE are collectively referred to herein as "IRICO".

18 **LG Electronics Entities:**

19 31. Defendant LG Electronics, Inc. is a corporation organized under the laws of the  
20 Republic of Korea ("South Korea") with its principal place of business located at LG Twin  
21 Towers, 20 Yeouido-dong, Yeoungdeungpro-gue, Seoul 150-721, South Korea. LG Electronics,  
22 Inc. is a \$48.5 billion global force in consumer electronics, home appliances and mobile  
23 communications, which established its first overseas branch office in New York in 1968. The  
24 company's name was changed from GoldStar Communications to LG Electronics, Inc. in 1995,  
25 the year in which it also acquired Zenith in the United States. In 2001, LG Electronics, Inc.  
26 transferred its CRT business to a 50/50 CRT joint venture with Koninklijke Philips Electronics  
27 N.V. a/k/a/ Royal Philips Electronics N.V. forming Defendant LG Philips Displays (n/k/a/ LP  
28 Displays International, Ltd.). During the Relevant Period LG Electronics, Inc. manufactured,

1 marketed, sold and/or distributed CRTs incorporated into, or affecting the price of CRT-  
2 containing products purchased by Plaintiffs.

3 32. Defendant LG Electronics U.S.A., Inc. ("LGEUSA") is a Delaware corporation  
4 with its principal place of business located at 1000 Sylan Avenue, Englewood Cliffs, NJ 07632.  
5 LGEUSA is a wholly-owned and controlled subsidiary of LG Electronics, Inc. Defendant LG  
6 Electronics Inc. dominated and controlled the finances, policies and affairs of LGUSA relating to  
7 the antitrust violations alleged in this Complaint. During the Relevant Period LGEUSA  
8 manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting the price of,  
9 CRT-containing products purchased by Plaintiffs.

10 33. Defendant LG Electronics Taiwan Taipei Co., Ltd. ("LGETT") is a Taiwanese  
11 entity with its principal place of business located at 7F, No.47, Lane 3, Jihu Road, Nei Hu  
12 District, Taipei City, Taiwan. Defendant LGETT is a wholly-owned and controlled subsidiary of  
13 LG Electronics, Inc. LG Electronics, Inc. dominated and controlled the finances, policies and  
14 affairs of LGETT relating to the antitrust violations alleged in this Complaint. During the  
15 Relevant Period LGETT manufactured, marketed, sold and/or distributed CRTs incorporated into,  
16 or affecting the price of, CRT-containing products purchased by Plaintiffs.

17 34. Defendants LG Electronics, Inc., LGEUSA and LGETT are collectively referred to  
18 herein as "LG Electronics".

19 **LP Displays:**

20 35. Defendant LP Displays International, Ltd f/k/a LG.Philips Displays ("LP  
21 Displays") was created in 2001 as a 50/50 joint venture between LG Electronics, Inc. and Royal  
22 Philips Electronics of the Netherlands. In March 2007, LP Displays became an independent  
23 company organized under the laws of Hong Kong with its principal place of business located at  
24 Corporate Communications, 6th Floor, ING Tower, 308 Des Voeux Road Central, Sheung Wan,  
25 Hong Kong. LP Displays announced in March 2007 that Royal Philips and LG Electronics would  
26 cede control over the company and the shares would be owned by financial institutions and  
27 private equity firms. LP Displays is a leading supplier of CRTs for use in television sets and  
28 computer monitors with annual sales for 2006 of over \$2 billion, and a market share of 27%.

1 During the Relevant Period LP Displays manufactured, marketed, sold and/or distributed CRTs  
2 incorporated into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

3 **Panasonic Entities:**

4 36. Defendant Panasonic Corporation, which was at all times during the Relevant  
5 Period known as Matsushita Electric Industrial Co., Ltd. and only became Panasonic Corporation  
6 on October 1, 2008, is a Japanese entity with its principal place of business located at 1006 Oaza  
7 Kadoma, Kadoma-shi, Osaka 571-8501, Japan. In 2002, Panasonic Corporation entered into a  
8 CRT joint venture with Toshiba forming MT Picture Display Co., Ltd, ("MTPD"). Panasonic  
9 Corporation was the majority owner with 64.5 percent. On April 3, 2007, Panasonic Corporation  
10 purchased the remaining 35.5 percent stake in the joint venture making MTPD a wholly-owned  
11 subsidiary of Panasonic Corporation. In 2005, the Panasonic brand had the highest CRT revenue  
12 in Japan. During the Relevant Period Panasonic Corporation manufactured, marketed, sold  
13 and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing products  
14 purchased by Plaintiffs.

15 37. Defendant Panasonic Corporation of North America ("Panasonic NA") is a  
16 Delaware corporation with its principal place of business located at One Panasonic Way,  
17 Secaucus, New Jersey. Panasonic NA is a wholly-owned and controlled subsidiary of Defendant  
18 Panasonic Corporation. Panasonic Corporation dominated and controlled the finances, policies  
19 and affairs of Panasonic NA relating to the antitrust violations alleged in this Complaint. During  
20 the Relevant Period Panasonic NA manufactured, marketed, sold and/or distributed CRTs  
21 incorporated into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

22 38. Defendant Matsushita Electronic Corporation (Malaysia) Sdn. Bhd. ("Matsushita  
23 Malaysia") was a Malaysian company with its principal place of business located at Lot 1,  
24 Persiaran Tengku Ampuan Section 21, Shah Alam Industrial Site, Shah Alam, Malaysia 40000.  
25 Matsushita Malaysia was a wholly-owned and controlled subsidiary of Defendant Panasonic  
26 Corporation. Panasonic Corporation transferred Matsushita Malaysia to its CRT joint venture  
27 with Toshiba Corporation and MTPD in 2003. It was renamed MT Picture Display (Malaysia)  
28 Sdn. Bdn. and operated as a wholly-owned subsidiary of MT Picture Display until its closure in



1 2006. Panasonic Corporation dominated and controlled the finances, policies and affairs of  
2 Matsushita Malaysia relating to the antitrust violations alleged in this Complaint. During the  
3 Relevant Period Matsushita Malaysia manufactured, marketed, sold and/or distributed CRTs  
4 incorporated into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

5 39. Defendants Panasonic Corporation, Panasonic NA and Matsushita Malaysia are  
6 collectively referred to herein as "Panasonic".

7 40. Defendant MT Picture Display Co., Ltd. ("MTPD") was established as a CRT joint  
8 venture between Panasonic Corporation and Toshiba. MTPD is a Japanese entity with its  
9 principal place of business located at 1-1, Saiwai-cho, takatsuki-shi, Osaka 569-1193, Japan. On  
10 April 3, 2007, Panasonic Corporation purchased the remaining stake in MTPD, making it a  
11 wholly-owned subsidiary and renaming it MP Picture Display Co., Ltd. Panasonic Corporation  
12 and Toshiba dominated and controlled the finances, policies and affairs of MTPD relating to the  
13 antitrust violations alleged in this Complaint. During the Relevant Period MTPD manufactured,  
14 marketed, sold and/or distributed CRTs incorporated into, or affecting the price of, CRT-  
15 containing products purchased by Plaintiffs.

16 41. Defendant Beijing-Matsushita Color CRT Company, Ltd. ("BMCC") is a Chinese  
17 company with its principal place of business located at No. 9, Jiuxianqiao N. Rd., Dashanzi  
18 Chaoyang District, Beijing, China. BMCC is a joint venture company, 50% of which is held by  
19 Defendant MTPD. The other 50% is held by Beijing Orient Electronics (Group) Co., Ltd., China  
20 National Electronics Import & Export Beijing Company (a China state-owned enterprise), and  
21 Beijing Yayunchun Branch of the industrial and Commercial Bank of China, Ltd., (a China state-  
22 owned enterprise). Formed in 1987, BMCC was Matsushita's (n/k/a Panasonic) first CRT  
23 manufacturing facility in China. BMCC is the second largest producer of CRTs in China. During  
24 the Relevant Period BMCC manufactured, marketed, sold and/or distributed CRTs incorporated  
25 into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

26 **Samsung Entities:**

27 42. Defendant Samsung Electronics Co., Ltd. ("Samsung Electronics") is a South  
28 Korean company with its principal place of business located at Samsung Main Building, 250 2-

1 ga, Taepyong-ro, Jung-gu, Seoul 100-742, South Korea. During the Relevant Period Samsung  
2 Electronics manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting  
3 the price of, CRT-containing products purchased by Plaintiffs.

4 43. Defendant Samsung Electronics America, Inc. ("SEAI") is a New York  
5 corporation with its principal place of business located at 105 Challenger Road, 6th Floor,  
6 Ridgefield Park, New Jersey 07660. SEAI is a wholly-owned and controlled subsidiary of  
7 Defendant Samsung Electronics. Samsung Electronics dominated and controlled the finances,  
8 policies and affairs of SEAI relating to the antitrust violations alleged in this Complaint. During  
9 the Relevant Period SEAI manufactured, marketed, sold and/or distributed CRTs incorporated  
10 into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

11 44. Defendant Samsung SDI Co., Ltd., f/k/a Samsung Display Device Co., Ltd.,  
12 ("Samsung SDI"), is a South Korean company with its principal place of business located at 15th  
13 -18th Floor, Samsung Life Insurance Building, 150, 2-ga, Taepyong-ro, Jung-gu, Seoul, 100-716,  
14 South Korea. Samsung SDI is a public company. Samsung Electronics is a major shareholder of  
15 Samsung SDI holding almost 20 percent of the stock. Founded in 1970, Samsung SDI claims to  
16 be the world's leading company in the display and energy business, with 28,000 employees and  
17 facilities in 18 countries. In 2002, Samsung SDI held a 34.3% worldwide market share in the  
18 market for CRTs; more than any other producer. Samsung SDI has offices in Chicago, Illinois  
19 and San Diego, California. Defendant Samsung Electronics dominated and controlled the  
20 finances, policies and affairs of Samsung SDI relating to the antitrust violations alleged in this  
21 Complaint. During the Relevant Period Samsung SDI manufactured, marketed, sold and/or  
22 distributed CRTs incorporated into, or affecting the price of, CRT-containing products purchased  
23 by Plaintiffs.

24 45. Defendant Samsung SDI America, Inc. ("Samsung SDI America") is a California  
25 corporation with its principal place of business located at 3333 Michelson Drive, Suite 700,  
26 Irvine, California. Samsung SDI America is a wholly-owned and controlled subsidiary of  
27 Samsung SDI. Defendant Samsung Electronics and Samsung SDI dominated and controlled the  
28 finances, policies and affairs of SDI America relating to the antitrust violations alleged in this

1 Complaint. During the Relevant Period Samsung SDI America manufactured, marketed, sold  
2 and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing products  
3 purchased by Plaintiffs.

4 46. Defendant Samsung SDI Mexico S.A. de C.V. ("Samsung SDI Mexico") is a  
5 Mexican company with its principal place of business located at Blvd. Los Olivos, No. 21014,  
6 Parque Industrial El Florida, Tijuana, B.C. Mexico. Samsung SDI Mexico is a wholly-owned and  
7 controlled subsidiary of Samsung SDI. Samsung Electronics and Samsung SDI dominated and  
8 controlled the finances, policies and affairs of Samsung SDI Mexico relating to the antitrust  
9 violations alleged in this Complaint. During the Relevant Period Samsung SDI Mexico  
10 manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting the price of,  
11 CRT-containing products purchased by Plaintiffs.

12 47. Defendant Samsung SDI Brasil Ltda. ("Samsung SDI Brasil") is a Brazilian  
13 company with its principal place of business located at Av. Eixo Norte Sul, S/N Distrito  
14 Industrial, 69088-4800 Manaus, Amazonas, Brazil. Samsung SDI Brasil is a wholly-owned and  
15 controlled subsidiary of Defendant Samsung SDI. Defendants Samsung Electronics and Samsung  
16 SDI dominated and controlled the finances, policies and affairs of Samsung SDI Brasil relating to  
17 the antitrust violations alleged in this Complaint. During the Relevant Period Samsung SDI  
18 Brasil manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting the  
19 price of, CRT-containing products purchased by Plaintiffs.

20 48. Defendant Shenzhen Samsung SDI Co., Ltd. ("Samsung SDI Shenzhen") is a  
21 Chinese company with its principal place of business located at Huanggang Bei Lu, Futian Gu,  
22 Shenzhen, China. Samsung SDI Shenzhen is a wholly-owned and controlled subsidiary of  
23 Samsung SDI. Defendants Samsung Electronics and Samsung SDI dominated and controlled the  
24 finances, policies and affairs of Samsung SDI Shenzhen relating to the antitrust violations alleged  
25 in this Complaint. During the Relevant Period Samsung SDI Shenzhen manufactured, marketed,  
26 sold and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing  
27 products purchased by Plaintiffs.

1           49. Defendant Tianjin Samsung SDI Co., Ltd. ("Samsung SDI Tianjin") is a Chinese  
2 company with its principal place of business located at Developing Zone of Yi-Xian Park,  
3 Wuqing County, Tianjin, China. Samsung SDI Tianjin is a wholly-owned and controlled  
4 subsidiary of Samsung SDI. Defendants Samsung Electronics and Samsung SDI dominated and  
5 controlled the finances, policies and affairs of Samsung SDI Tianjin relating to the antitrust  
6 violations alleged in this Complaint. During the Relevant Period Samsung SDI Tianjin  
7 manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting the price of,  
8 CRT-containing products purchased by Plaintiffs.

9           50. Defendant Samsung SDI (Malaysia) Sdn. Bhd. ("Samsung SDI Malaysia") is a  
10 Malaysian company with its principal place of business located at Lot 635 & 660, Kawasan  
11 Perindustrian, Tuanku, Jaafar, 71450 Sungai Gadut, Negeri Semblian Darul Khusus, Malaysia.  
12 Samsung SDI Malaysia is a wholly-owned and controlled subsidiary of Samsung SDI.  
13 Defendants Samsung Electronics and Samsung SDI dominated and controlled the finances,  
14 policies and affairs of Samsung SDI Malaysia relating to the antitrust violations alleged in this  
15 Complaint. During the Relevant Period Samsung SDI Malaysia manufactured, marketed, sold  
16 and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing products  
17 purchased by Plaintiffs.

18           51. Defendants Samsung Electronics, SEAI, Samsung SDI, Samsung SDI America,  
19 Samsung SDI Mexico, Samsung SDI Brasil, Samsung SDI Shenzhen, Samsung SDI Tianjin and  
20 Samsung SDI Malaysia are collective referred to herein as "Samsung".

21 **Samtel Entities:**

22           52. Defendant Samtel Color, Ltd. ("Samtel") is an Indian company with its principal  
23 place of business located at 52, Community Centre, New Friends Colony, New Delhi -110065.  
24 Samtel's market share for CRTs sold in India is approximately 40%. Samtel is India's largest  
25 exporter of CRTs. Samtel has gained safety approvals from the United States, Canada, Germany  
26 and Great Britain for its CRTs. During the Relevant Period Samtel manufactured, marketed, sold  
27 and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing products  
28 purchased by Plaintiffs.

1     **Thai CRT:**

2             53.     Defendant Thai CRT Company, Ltd. ("Thai CRT") is a Thai company with its  
3     principal place of business located at 1/F Siam Cement Road, Bangsue Dusit, Bangkok, Thailand.  
4     Thai CRT is a subsidiary of Siam Cement Group. It was established in 1986 as Thailand's first  
5     manufacturer of CRTs for color televisions. During the Relevant Period Thai CRT manufactured,  
6     marketed, sold and/or distributed CRTs incorporated into, or affecting the price of, CRT-  
7     containing products purchased by Plaintiffs.

8     **Toshiba Entities:**

9             54.     Defendant Toshiba Corporation is a Japanese corporation with its principal place  
10    of business at 1-1, Shibaura 1-chome, Minato-ku, Tokyo 105-8001, Japan. In 2001, Toshiba  
11    Corporation held a 5-10% worldwide market share for CRTs used in televisions and computer  
12    monitors. In December of 1995, Toshiba Corporation partnered with Orion Electric Company  
13    (n/k/a Daewoo Electronics Corporation) and two other non-defendant entities to form P.T.  
14    Tosummit Electronic Devices Indonesia ("TEDI") in Indonesia. TEDI was projected to have an  
15    annual production capacity of 2.3 million CRTs by 1999. In 2002, Toshiba Corporation entered  
16    into a joint venture with Defendant Panasonic Corporation called MT Picture Display Co., Ltd.  
17    through which the entities consolidated their CRT businesses. During the Relevant Period  
18    Toshiba Corporation manufactured, marketed, sold and/or distributed CRTs incorporated into, or  
19    affecting the price of, CRT-containing products purchased by Plaintiffs.

20            55.     Defendant Toshiba America, Inc. ("Toshiba America") is a Delaware corporation  
21    with its principal place of business located at 1251 Avenue of the Americas, Suite 4110, New  
22    York, NY 10020. Toshiba America is a wholly-owned controlled subsidiary of, and a holding  
23    company for, Toshiba Corporation. Toshiba Corporation dominated and controlled the finances,  
24    policies and affairs of Toshiba America relating to the antitrust violations alleged in this  
25    Complaint. During the Relevant Period Toshiba America manufactured, marketed, sold and/or  
26    distributed CRTs incorporated into, or affecting the price of, CRT-containing products purchased  
27    by Plaintiffs.

1           56. Defendant Toshiba America Consumer Products, LLC ("TACP") is headquartered  
2 in 82 Totawa Rd., Wayne, New Jersey 07470-3114. TACP is a wholly-owned and controlled  
3 subsidiary of Toshiba Corporation through Toshiba America. Defendant Toshiba Corporation  
4 dominated and controlled the finances, policies and affairs of TACP relating to the antitrust  
5 violations alleged in this Complaint. During the Relevant Period TACP manufactured, marketed,  
6 sold and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing  
7 products purchased by Plaintiffs.

8           57. Defendant Toshiba America Information Systems, Inc. ("TAIP") is a California  
9 corporation with its principal place of business located at 9740 Irvine Blvd., Irvine, California  
10 92718. TAIP is a wholly-owned and controlled subsidiary of Toshiba Corporation through  
11 Toshiba America. Defendant Toshiba Corporation dominated and controlled the finances,  
12 policies and affairs of TAIP relating to the antitrust violations alleged in this Complaint. During  
13 the Relevant Period TAIP manufactured, marketed, sold and/or distributed CRTs incorporated  
14 into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

15           58. Defendant Toshiba America Electronic Components, Inc. ("TAEC") is a  
16 California corporation with its principal place of business located at 9775 Toledo Way, Irvine,  
17 California 92618, and 19000 MacArthur Boulevard, Suite 400, Irvine, California 92612. TAEC  
18 is a wholly-owned and controlled subsidiary of Toshiba America, which is a holding company for  
19 Toshiba Corporation. TAEC is currently the North American sales and marketing representative  
20 for Defendant MTPD. Before MTPD's formation in 2003, TAEC was the North American  
21 engineering, manufacturing, marketing and sales arm of Defendant Toshiba Corporation.  
22 Toshiba Corporation dominated and controlled the finances, policies and affairs of TAEC relating  
23 to the antitrust violations alleged in this Complaint. During the Relevant Period TAEC  
24 manufactured, marketed, sold and/or distributed CRTs incorporated into, or affecting the price of,  
25 CRT-containing products purchased by Plaintiffs.

26           59. Toshiba Display Devices (Thailand) Company, Ltd. ("TDDT") was a Thai  
27 company with its principal place of business located at 142 Moo 5 Bangkadi Industrial Estate,  
28 Tivanon Road, Pathum Thani, Thailand, Thailand 1200. TDDT was a wholly-owned and

1 controlled subsidiary of Toshiba Corporation. Toshiba Corporation transferred TDDT to its CRT  
2 joint venture with Panasonic Corporation, MTPD in 2003. It was then re-named as MT Picture  
3 Display (Thailand) Co., Ltd. and operated as a wholly-owned subsidiary of MTPD until its  
4 closure in 2007. Defendant Toshiba Corporation dominated and controlled the finances, policies  
5 and affairs of TDDT relating to the antitrust violations alleged in this Complaint. During the  
6 Relevant Period TDDT manufactured, marketed, sold and/or distributed CRTs incorporated into,  
7 or affecting the price of, CRT-containing products purchased by Plaintiffs.

8 60. P.T. Tosummit Electronic Devices Indonesia ("TEDI") was a CRT joint venture  
9 formed by Toshiba Corporation, Orion Electric Company and two other non-defendant entities in  
10 December 1995. TEDI's principal place of business was located in Indonesia. TEDI was  
11 projected to have an annual production capacity of 2.3 million CRTs by 1999. In 2003, TEDI was  
12 transferred to MT Picture Display Co., Ltd., and its name was changed to PT.MT Picture Display  
13 Indonesia. Defendant Toshiba Corporation dominated and controlled the finances, policies and  
14 affairs of TEDI relating to the antitrust violations alleged in this Complaint. During the Relevant  
15 Period TEDI manufactured, marketed, sold and/or distributed CRTs incorporated into, or  
16 affecting the price of, CRT-containing products purchased by Plaintiffs.

17 61. Defendants Toshiba Corporation, Toshiba America, Inc., TACP, TAIP, TAEC,  
18 TDDT and TEDI are collectively referred to herein as "Toshiba".

19 62. All of the above named defendants in ¶¶ 16 through 61 of this Complaint are  
20 collectively referred herein to as ("Defendants") and are listed in Appendix A to this Complaint.

21 63. Wherever in this Complaint a family of Defendant-corporate entities is referred to  
22 by a common name, it shall be understood that Plaintiffs are alleging that one or more officers or  
23 employees of one or more of the named related Defendant companies participated in the illegal  
24 acts alleged herein on behalf of all of the related corporate family entities.

### 25 **III. AGENTS AND CO-CONSPIRATORS**

#### 26 **Chunghwa Entities**

27 64. Co-conspirator Chunghwa Picture Tubes, Ltd., ("Chunghwa") is a Taiwanese  
28 company with its principal place of business located at 1127 Heping Road, Bade City, Taoyuan,

1 Taiwan. Chunghwa is a leading manufacturer of CRTs. During the Relevant Period covered by  
2 this Complaint, Chunghwa manufactured, marketed, sold and/or distributed CRTs incorporated  
3 into, or affecting the price of, CRT-containing products purchased by Plaintiffs.

4 65. Co-conspirator Chunghwa Picture Tubes (Malaysia) Sdn. Bhd., ("Chunghwa  
5 Malaysia") is a Malaysian company with its principal place of business located at Lot 1, Subang  
6 Hi-Tech Industrial Park, Batu Tiga, 4000 Shah Alam, Selangor Darul Ehsan, Malaysia.  
7 Chunghwa Malaysia is a wholly-owned and controlled subsidiary of Chunghwa. Chunghwa  
8 Malaysia is a leading worldwide supplier of CRTs. Chunghwa dominated and controlled the  
9 finances, policies and affairs of Chunghwa Malaysia relating to the antitrust violations alleged in  
10 this Complaint. During the Relevant Period Chunghwa Malaysia manufactured, marketed, sold  
11 and/or distributed CRTs incorporated into, or affecting the price of, CRT-containing products  
12 purchased by Plaintiffs.

13 66. Co-conspirators Chunghwa and Chunghwa Malaysia are collectively referred to  
14 herein as "Chunghwa".

15 **IV. OTHER AGENTS AND CO-CONSPIRATORS**

16 67. Various other persons, firms and corporations, not named as Defendants herein,  
17 have participated as co-conspirators with Defendants and have performed acts and made  
18 statements in furtherance of the conspiracy and/or in furtherance of the anticompetitive, unfair or  
19 deceptive conduct alleged in this Complaint. Plaintiffs reserve the right to name some or all of  
20 these persons, firms and corporations as Defendants at a later date.

21 68. Wherever in this Complaint reference is made to any act, deed, or transaction of  
22 any persons, firms, and corporations, the allegations mean that the persons, firms, and  
23 corporations engaged in the act, deed, or transaction by or through its officers, directors, agents,  
24 employees, or representatives while they were actively engaged in the management, direction,  
25 control or transaction of the Defendants' business or affairs.

26 69. Defendants are also liable for acts done in furtherance of the alleged conspiracy by  
27 companies they acquired.



70. Each of the Defendants named herein acted as the agent, affiliate, or in a joint fashion, of or with the other Defendants with respect to the acts, violations, and common course of conduct alleged in this Complaint. Each Defendant which is a subsidiary of a foreign parent acts as the sole United States agent for CRTs made by its parent company, unless indicated otherwise.

# CALIFORNIA TRADE AND COMMERCE

71. Throughout the Relevant Period each Defendant, or one or more of its subsidiaries, affiliates or predecessors either marketed or sold CRTs in the State of California, or marketed or sold CRTs that ended up in CRT-containing products sold in the State of California, in a continuous and uninterrupted flow of interstate and international commerce, including through and into this jurisdiction.

72. CRTs are generally priced in U.S. dollars except for those produced in China. The CRT price-fixing conspiracy fixed prices in U.S. dollars (and/or fixed an exchange rate for Chinese Yuan to the U.S. dollar) for CRTs. Based on information and belief, a specific type of CRT manufactured for use in the Northern Hemisphere could be used anywhere in that hemisphere from the United States to the European Union to Asia. Based on information and belief, although CRTs are manufactured in different regions of the world, prices for CRTs in one region of the world are affected by, and affected other regions of the world, such that price differentials between regions were not large (if they existed at all) during the relevant time period. And, based on information and belief, while CRTs destined to be incorporated into products exported into the United States, including the State of California, as ordered by such well-known California companies as Apple, Samsung SDI America, and Hewlett-Packard, were initially manufactured in Mexico and Brazil during the Relevant Period, later CRTs destined to be incorporated into products that were sent into the U.S. market were manufactured in South-East Asia and China.

73. During the Relevant Period Defendants collectively controlled the vast majority of the market for CRTs globally, including in the United States and the State of California.

74. Defendants' unlawful activities, as described herein, involved two interlinked

1 global markets, one for CDTs, and the other for CPTs, and thus had a direct, substantial and  
2 reasonably foreseeable effect upon interstate and international commerce involving CRT-  
3 containing products, including the United States and the State of California.

## 4 **FACTUAL ALLEGATIONS**

### 5 **I. CRT TECHNOLOGY**

6 75. CRT technology was first developed more than a century ago. The first  
7 commercially practical CRT television was made in 1931. It was not until the RCA Corporation  
8 introduced the product at the 1939 New York World's Fair, however, that it became widely  
9 available to consumers. Since then, CRTs have become the heart of many display products,  
10 including televisions and computer monitors.

11 76. As noted above, the CRT is a vacuum tube that is coated on its inside face with  
12 light sensitive phosphors. An electron gun at the back of the vacuum tube emits electron beams.  
13 When the electron beams strike the phosphors, the phosphors produce red, green, or blue light. A  
14 system of magnetic fields inside the CRT, as well as varying voltages, directs the beams to  
15 produce the desired colors. This process is rapidly repeated several times per second to produce  
16 the desired images.

17 77. The quality of a CRT display is dictated by the quality of the CRT itself. No  
18 external control or feature can make up for a poor quality tube. There are a few standard  
19 variations on CRTs such as screen size and tube size.

20 78. Recently, CRTs were the dominant technology used in displays, including  
21 television and computer monitors. During the Relevant Period, this translated into the sale of  
22 millions of CRTs, generating billions of dollars in annual profits.

### 23 **II. STRUCTURAL CHARACTERISTICS OF THE CRT MARKET**

24 79. The structural characteristics of the CRT market are conducive to the type of  
25 collusive activity alleged in this Complaint. These characteristics include market concentration,  
26 ease of information sharing, the consolidation of manufacturers, multiple interrelated business  
27 relationships, significant barriers to entry, maturity of the CRT Product market and homogeneity  
28 of products.

1           **A.     Market Concentration**

2           80.     During the Relevant Period, the CRT industry was dominated by relatively few  
3 companies. In 2004, Defendants Samsung SDI, LG.Philips Displays (n/k/a LP Displays), MT  
4 Picture Display and Co-conspirator Chunghwa together held a collective 78% share of the global  
5 CRT market. The high concentration of market share facilitates coordination since there are fewer  
6 cartel members among which to coordinate pricing or allocate markets, and it is easier to monitor  
7 the pricing and production of other cartel members.

8           **B.     Information Sharing**

9           81.     Because of common membership in trade associations for the CRT market and  
10 related markets (*e.g.*, Thin Film Transistor Liquid Crystal Display “TFT-LCD”), interrelated  
11 business arrangements such as joint ventures, allegiances between companies in certain countries  
12 and relationships between the executives of certain companies, there were many opportunities for  
13 Defendants to discuss and exchange competitive information. The ease of communication was  
14 facilitated by the use of meetings, telephone calls, e-mails, and instant messages. Defendants took  
15 advantage of these opportunities to exchange proprietary and competitively sensitive information  
16 and to discuss and agree upon their pricing for CRTs.

17          82.     Defendants Hitachi and Samsung and Co-conspirator Chunghwa are all members  
18 of the Society for Information Display. Defendants Samsung and LG Electronics, Inc. are two of  
19 the co-founders of the Korea Display Industry Association. Similarly, Daewoo, LG Electronics,  
20 LP Displays and Samsung are members of the Electronic Display Industrial Research  
21 Association. Upon information and belief, Defendants used these trade associations as vehicles  
22 for discussing and agreeing upon their pricing for CRTs. At the meetings of these trade  
23 associations, Defendants exchanged proprietary and competitively sensitive information which  
24 they used to implement and monitor the conspiracy.

25          **C.     Consolidation**

26          83.     The CRT industry also had significant consolidation during the Relevant Period,  
27 including but not limited to: (a) the creation of LG.Philips Displays (n/k/a LP Displays) in 2001  
28 as a joint venture between Royal Philips and LG Electronics, Inc.; and (b) the 2002 merger of

1 Toshiba and Matsushita/Panasonic's CRT business into MTPD.

2 **D. Multiple Interrelated Business Relationships**

3 84. The CRT industry was close-knit. Multiple business relationships between  
4 supposed competitors blurred the lines of competition and provided ample opportunity to collude.  
5 These business relationships also created a unity of interest among competitors so that the  
6 conspiracy was easier to implement and enforce than if such interrelationships did not exist.

7 85. Examples of the high degree of cooperation among Defendants in both the CRT  
8 market and other closely related markets include:

- 9 a. The formation of the CRT joint venture LG.Philips Displays in 2001 by LG  
10 Electronics, Inc. and Royal Philips.
- 11 b. The formation of the CRT joint venture MTPD in 2003 by Defendants  
12 Toshiba and Panasonic.
- 13 c. In December 1995, Defendants Daewoo and Toshiba partnered with two other  
14 non-Defendant entities to form TEDI which manufactured CRTs in Indonesia.
- 15 d. In 1995, Co-conspirator Chunghwa entered into a technology transfer  
16 agreement with Defendant Toshiba for large CPTs.
- 17 e. Defendant Samtel participates in a joint venture, Samcor Glass Limited, with  
18 Defendant Samsung Electronics Co., Ltd. and non-Defendant Corning Inc.,  
19 USA for the production and supply of picture tube glass.
- 20 f. Defendant Samtel supplied CRTs to Defendants LG Electronics, Inc.,  
21 Samsung, and Panasonic.

22 **E. High Costs of Entry Into The Industry**

23 86. There are substantial barriers to entry in the CRT industry. It would require  
24 substantial time, resources, and industry knowledge to consider entering into the CRT industry as  
25 a result of the high barriers to entry. It was extremely unlikely that a new producer would enter  
26 the market in light of the declining demand for CRTs.

27 **F. The Maturity of The CRT Market**

28 87. Newer industries are typically characterized by rapid growth, innovation and high

1 profits. The CRT market is a mature one, and like many mature industries, is characterized by  
2 slim profit margins creating a motivation to collude.

3 88. Demand for CRTs was declining throughout the Relevant Period. Static or  
4 declining demand is another factor which makes the formation of a collusive arrangement more  
5 likely because it provides a greater incentive to firms to avoid price competition.

6 89. In addition, conventional CRT televisions and computer monitors were being  
7 rapidly replaced by TFT-LCD and plasma displays. This was one of the factors which led  
8 Defendants to engage in this alleged price fixing scheme in order to slow declining CRT prices.  
9 Between 2000 and 2006, revenues from the sale of CRT televisions in the United States declined  
10 by 50.7 percent and are predicted to decline by an additional 84.5 percent between 2006 and  
11 2010.

12 90. Although demand was declining as a result of the popularity of flat-panel  
13 LCD/plasma televisions and LCD monitors, CRT televisions and monitors were still the  
14 dominant display technology during the Relevant Period.. Due to the high costs of LCD panels  
15 and plasma displays during the Relevant Period, a substantial market for CRTs existed as a  
16 cheaper alternative to these new technologies.

17 91. In 1999, CRT monitors accounted for 94.5 percent of the retail market for  
18 computer monitors in North America. By 2002, that figure had dropped to 73 percent; still a  
19 substantial share of the market.

20 92. CRT televisions accounted for 73 percent of the North American television market  
21 in 2004, and by the end of 2006, still held a 46 percent market share. CRT televisions continue to  
22 dominate the global television market, accounting for 75 percent of worldwide TV units in 2006.

### 23 **G. Homogeneity of CRTs**

24 93. CRTs are commodity-like products which are manufactured in standardized sizes  
25 with standardized variations (e.g., tube size and differential yoke) that are common to all CRTs  
26 manufactured by those CRT manufacturers participating in this conspiracy. CRTs of a given size  
27 and variation can be used anywhere in the Northern Hemisphere for a CRT-containing product;  
28 price differentials between regions where CRTs were manufactured were not large; prices were in

1 U.S. dollars or for CRTs manufactured in China in Chinese Renminbi; and prices of CRTs were  
2 fixed by the conspiracy in U.S. dollars (or at a fixed exchange rate in China Renminbi).

3 94. It is easier to form and sustain a cartel when the product in question is  
4 homogenous and commodity-like because it is easier to agree on prices to charge and to monitor  
5 those prices once an agreement is formed.

### 6 **III. GENESIS OF CONSPIRACY**

7 95. The genesis of the CRT conspiracy was in the late 1980s as the CRT business  
8 became more international and the Defendants began serving customers that were also being  
9 served by other international CRT companies. During this period, the employees of Defendants  
10 would encounter employees from their competitors when visiting their customers. A culture of  
11 cooperation developed over the years and these Defendant employees would exchange market  
12 information on production, capacity and customers.

13 96. In the early 1990s, representatives from Samsung, Daewoo, Chunghwa, and Orion  
14 visited each other's factories in Southeast Asia. During this period, these producers began to  
15 include discussions about price in their meetings. The pricing discussions were usually limited,  
16 however, to exchanges of the range of prices that each competitor had quoted to specific  
17 customers.

### 18 **IV. DEFENDANTS' AND CO-CONSPIRATORS' ILLEGAL AGREEMENTS**

19 97. Plaintiffs are informed and believe, and thereon allege, that in order to control and  
20 maintain profitability during declining demand for CRTs, Defendants and their co-conspirators  
21 engaged in a contract, combination, trust or conspiracy, the effect of which has been to raise, fix,  
22 maintain and/or stabilize the prices at which they sold CRTs to artificially inflated levels from at  
23 least March 1, 1995 through at least June 30, 2007.

24 98. The CRT conspiracy was effectuated through a combination of group and bilateral  
25 meetings. In the formative years of the conspiracy (1995-1996), bilateral discussions were the  
26 primary method of communication and took place on an informal, ad hoc basis. During this  
27 period, representatives from Defendants LG, Samsung, and Daewoo visited the other Defendant  
28 manufacturers including Thai CRT, Hitachi, Toshiba and Panasonic, and Co-conspirator

1 Chunghwa to discuss increasing prices for CRTs in general and to specific customers. These  
2 meetings took place in Taiwan, South Korea, Thailand, Japan, Malaysia, Indonesia and  
3 Singapore.

4 99. Defendants Samsung, LG Electronics, and Daewoo, and Co-conspirator  
5 Chunghwa also attended several ad hoc group meetings during this period. The participants at  
6 these group meetings also discussed increasing prices for CRTs.

7 100. As more manufacturers formally entered the conspiracy, group meetings became  
8 more prevalent. Beginning in 1997, the Defendants began to meet in a more organized, systematic  
9 fashion and a formal system of multilateral and bilateral meetings was put in place. Defendants'  
10 representatives attended hundreds of these meetings during the Relevant Period. The overall  
11 CRT conspiracy raised and stabilized worldwide prices (including in the United States and  
12 California) that Defendants and their Co-conspirators charged for CRTs.

13 **A. Cartel Structure**

14 101. Defendants' covert cartel evolved from ad hoc informal meetings to a structured  
15 yet still concealed cartel consisting of "Glass Meetings" or "GSM", the term used by Defendants  
16 to refer to a multi-tiered price-fixing structure consisting of "high-level" group meetings,  
17 "management" group meetings, working-level group meetings, and "Green Meetings" (so named  
18 because they involved golf outings) and bi-lateral meetings that were between one Defendant and  
19 another.

20 **1. "Glass Meetings"**

21 102. The group meetings among the participants in the CRT price-fixing conspiracy  
22 were referred to by the participants as "Glass Meetings" or "GSM." Glass Meetings were  
23 attended by employees at three general levels of the Defendants' corporations.

24 **2. "Top-Level Meetings"**

25 103. The first level of these meetings were attended by high level company executives  
26 including CEOs, Presidents, and Vice Presidents, and were known as "Top-Level Meetings."  
27 Top-Level Meetings occurred less frequently, typically quarterly, and were focused on reaching  
28 agreements and resolving disputes. Because attendees at Top Meetings had decision-making

1 authority as well as more reliable information, these meetings most often were the ones that  
2 resulted in agreements. Attendees at Top-Level Meetings were also able to resolve disputes  
3 because they were decision makers who could make agreements.

### 4 **3. "Management Meetings"**

5 104. The second level of meetings were attended by the Defendants' high level sales  
6 managers and were known as "Management Meetings." These meetings occurred more  
7 frequently, typically monthly, and handled implementation and enforcement of the agreements  
8 made at Top Meetings.

### 9 **4. "Working Level Meetings"**

10 105. Finally, the third level of meetings were known as "Working Level Meetings" and  
11 were attended by lower level sales and marketing employees. These meetings generally occurred  
12 on a weekly or monthly basis and were mostly limited to the exchange of information and the  
13 discussion of pricing since the lower level employees did not have the authority to enter into  
14 agreements. These lower level employees would then transmit the competitive information up the  
15 corporate reporting chain to those individuals with pricing authority. The Working Level  
16 Meetings also tended to be more regional and often took place near Defendants' factories. In  
17 other words, the Taiwanese manufacturers' employees met in Taiwan, the Korean manufacturers'  
18 employees met in Korea, the Chinese in China, and so on. The Chinese Glass Meetings began in  
19 1998 and generally occurred on a monthly basis following a top or management level meeting.  
20 The China meetings had the principal purpose of reporting what had been decided at the most  
21 recent Glass Meeting to the Chinese manufacturers. Participants at the Chinese meetings included  
22 the manufacturers located in China, such as IRICO and BMCC, as well as the China-based  
23 branches of the other Defendants, including but not limited to Hitachi Shenzhen, Samsung SDI  
24 Shenzhen, and Samsung SDI Tianjin, and Co-conspirator Chunghwa.

25 106. Glass Meetings also occurred occasionally in various European countries.  
26 Attendees at these meetings included those Defendants which had subsidiaries and/or  
27 manufacturing facilities located in Europe, including LG, LP Displays, Samsung, Daewoo  
28 (usually DOSA attended these meetings on behalf of Daewoo) and IRICO, and Co-conspirator



1 Chunghwa.

2 **5. "Green Meetings"**

3 107. Representatives of the Defendants also attended what were known amongst  
4 members of the conspiracy as "Green Meetings." These were meetings held on golf courses. The  
5 Green Meetings were generally attended by top and management level employees of the  
6 Defendants.

7 108. During the Relevant Period Green Meetings took place in Taiwan, South Korea,  
8 Europe, China, Singapore, Japan, Indonesia, Thailand and Malaysia.

9 **6. Structure of Top-Level Glass Meetings and Nature of Agreements**  
10 **Reached**

11 109. Participants would often exchange competitively sensitive information prior to a  
12 Top-Level Glass Meeting. This included information on inventories, production, sales, and  
13 exports. For some such meetings, where information could not be gathered in advance of the  
14 meeting, it was brought to the meeting and shared.

15 110. The Top-Level Meetings allowed participants to make agreements and resolve  
16 disputes.

17 111. At all levels, the meetings followed a fairly typical agenda. First, the participants  
18 exchanged competitive information such as proposed future CRT pricing, sales volume, inventory  
19 levels, production capacity, exports, customer orders, price trends and forecasts of sales volumes  
20 for coming months. The participants also updated the information they had provided in the  
21 previous meeting. Each meeting had a "Chairman" who would often write the information on a  
22 white board. The meeting participants then used this information to discuss and agree upon what  
23 price each would charge for CRTs to be sold in the following month or quarter. They discussed  
24 and agreed upon target prices, price increases, so-called "bottom" prices, and price ranges for  
25 CRTs. They also discussed and agreed upon prices of CRTs that were sold to specific customers,  
26 and agreed upon target prices to be used in negotiations with large customers. Having analyzed  
27 the supply and demand, the participants would also discuss and agree upon production cutbacks  
28 for CDTs.

1           112. During periods of oversupply, the focus of the meeting participants turned to  
2 making controlled and coordinated price reductions. This was referred to as setting a "bottom  
3 price."

4           113. Defendants' conspiracy included agreements on the "transfer" prices at which  
5 certain Defendants would sell CRTs to their own corporate subsidiaries and affiliates that  
6 manufactured end products, such as televisions and computer monitors. Defendants realized the  
7 importance of keeping the internal pricing to these subsidiaries and affiliates at a high enough  
8 level to support CRT pricing in the market because (a) other Defendants could also, and did, sell  
9 to these corporate affiliates and subsidiaries and (b) the fixing of this transfer pricing would  
10 indirectly support prices as to CRTs sold to other, independent, original equipment manufacturers  
11 of CRT-containing products. In this way, Defendants ensured that all direct purchaser OEMs paid  
12 supracompetitive prices for CRTs.

13           114. Each of the Defendants knew, and, on information and belief, tracked the end  
14 price of CRT-containing products. The profit margins of CRT-containing products were relevant  
15 because the higher the margin the more that Defendants could make price increases as to CRTs  
16 stick. .

17           115. The agreements reached at these Top-Level Meetings included, inter alia:

- 18           a. agreements on CRT prices, including establishing target prices, "bottom"  
19           prices, price ranges and price guidelines;
- 20           b. placing agreed-upon price differentials on various attributes of CRTs, such as  
21           quality or certain technical specifications;
- 22           c. agreements on pricing for intra-company CRT sales to vertically integrated  
23           customers;
- 24           d. agreements as to what to tell customers about the reason for a price increase;
- 25           e. agreements to coordinate with competitors that did not attend the group  
26           meetings and agreements with them to abide by the agreed-upon pricing;
- 27           f. agreements to coordinate pricing with CRT manufacturers in other geographic  
28           markets such as Brazil, Europe and India;

- g. agreements to exchange pertinent information regarding shipments, capacity, production, prices and customers demands;
- h. agreements to coordinate uniform public statements regarding available capacity and supply;
- i. agreements to allocate both overall market shares and share of a particular customer's purchases as to CDTs;
- j. agreements to allocate customers as to CDTs;
- k. agreements regarding capacity as to CDTs, including agreements to restrict output and to audit compliance with such agreements; and
- l. agreements to keep their meetings secret.

#### **7. Enforcement of Cartel Agreements**

116. Efforts were made to monitor each Defendant's adherence to these agreements in a number of ways, including seeking confirmation of pricing both from customers and from employees of the Defendants themselves. When cheating did occur, it was addressed in at least four ways: 1) monitoring; 2) attendees at the meetings challenging other attendees if they did not live up to an agreement; 3) threats to undermine a competitor at one of its principal customers; and 4) a recognition in a mutual interest in living up to the target price and living up to the agreements that had been made.

117. As market conditions worsened in 2005-2007, and the rate of replacement of CRTs by TFT-LCDs increased, the group Glass Meetings became less frequent while bilateral meetings continued.

118. Certain Defendants and Co-conspirators were also assigned to complete "audits", in which those companies agreed to visit other defendants and co-conspirators to check on compliance with agreed-upon output restrictions.

#### **8. Supplemental Bilateral Discussions**

119. Throughout the Relevant Period, the Glass Meetings were supplemented by bilateral discussions between various Defendants. The bilateral discussions were more informal than the group meetings and occurred on an often frequent, but ad hoc basis, between the group

1 meetings. These discussions, usually between sales and marketing employees, took the form of  
2 in-person meetings, telephone contacts and emails.

3 120. During the Relevant Period, in-person bilateral meetings took place in Malaysia,  
4 Indonesia, Taiwan, China, the United Kingdom, Singapore, South Korea, Japan, Thailand, Brazil  
5 and Mexico.

6 121. The purpose of the bilateral discussions was to exchange information about past  
7 and future pricing, confirm production levels, share sales order information, confirm pricing  
8 rumors, and coordinate pricing with CRT manufacturers whose factories were located in other  
9 geographic locations, including Brazil, Mexico and Europe, including CRT manufacturers who  
10 did not attend the group Glass Meetings.

11 122. In particular, in order to ensure the efficacy of their global conspiracy, based on  
12 information and belief, the Defendants also used bilateral meetings to coordinate pricing with  
13 their CRT manufacturers in Brazil and Mexico, such as Samsung SDI Brazil and Samsung SDI  
14 Mexico. These Brazilian and Mexican manufacturers were particularly important because they  
15 served the North American market for CRTs. As further alleged herein, North America was the  
16 largest market for CRT televisions and computer monitors during the Relevant Period. Because  
17 these Brazilian and Mexican manufacturers were all wholly-owned and controlled subsidiaries of  
18 Samsung SDI, they adhered to the unlawful price-fixing agreements. In this way, the Defendants  
19 ensured that prices of all CRTs imported into the United States were fixed, raised, maintained  
20 and/or stabilized at supracompetitive levels.

21 123. And, bilateral discussions were used to coordinate prices with CRT manufacturers  
22 that did not ordinarily attend the group meetings, such as Defendants Hitachi, Toshiba, Panasonic,  
23 Thai CRT and Samtel. It was often the case that in the few days following a Top or Management  
24 Meeting, the attendees at these group meetings would meet bilaterally with the other Defendant  
25 manufacturers for the purpose of communicating whatever CRT pricing and/or output agreements  
26 had been reached during the meeting. For example, Samsung had a relationship with Hitachi and  
27 was responsible for communicating CRT pricing agreements to Hitachi. LG had a relationship  
28 with Toshiba and was responsible for communicating CRT pricing agreements to Toshiba. And

1 Thai CRT had a relationship with Samtel and was responsible for communicating CRT pricing  
2 agreements to Samtel. Hitachi, Toshiba and Samtel implemented the agreed-upon pricing as  
3 conveyed by Samsung, LG and Thai CRT. Sometimes, Hitachi and Toshiba also attended the  
4 group Glass Meetings. In this way, Hitachi, Toshiba and Samtel participated in the conspiracy to  
5 fix prices of CRTs.

6 **B. Defendants' And Co-Conspirators' Individual Participation In Group**  
7 **And Bilateral Discussions**

8 124. Between at least 1995 and 2007, Defendant Samsung, through SEC, Samsung  
9 SDI, Samsung SDI Malaysia, Samsung SDI Shenzhen and Samsung SDI Tianjin, participated in  
10 at least 200 Glass Meetings at all levels. A substantial number of these meetings were attended by  
11 the highest ranking executives from Samsung. Samsung also engaged in bilateral discussions with  
12 each of the other Defendants on a regular basis. Through these discussions, Samsung agreed on  
13 prices and supply levels for CRTs.

14 125. Defendants SEAI, Samsung SDI America, Samsung SDI Brazil and Samsung SDI  
15 Mexico were represented at those meetings and were a party to the agreements entered at them.  
16 To the extent SEC and SEAI sold and/or distributed CRTs, they played a significant role in the  
17 conspiracy because Defendants wished to ensure that the prices for CRTs paid by direct  
18 purchasers would not undercut the CRT pricing agreements reached at the Glass Meetings. Thus,  
19 SEAI, Samsung SDI America, Samsung SDI Brazil and Samsung SDI Mexico were active,  
20 knowing participants in the alleged conspiracy.

21 126. Between at least 1995 and 2001, Defendant LG Electronics, through LG  
22 Electronics, Inc. and LGETT, participated in at least 100 Glass Meetings at all levels. After 2001,  
23 LG Electronics participated in the CRT conspiracy through its joint venture with Philips, LG  
24 Philips Displays (n/k/a LP Displays). A substantial number of these meetings were attended by  
25 the highest ranking executives from LG Electronics. LG Electronics also engaged in bilateral  
26 discussions with each of the other Defendants on a regular basis. Through these discussions, LG  
27 Electronics agreed on prices and supply levels for CRTs. LG Electronics never effectively  
28 withdrew from this conspiracy.

1           127. Defendant LGEUSA was represented at those meetings and was a party to the  
2 agreements entered at them. To the extent LGEUSA sold and/or distributed CRTs, they played a  
3 significant role in the conspiracy because Defendants wished to ensure the prices for CRTs paid  
4 by direct purchasers would not undercut the pricing agreements reached at the Glass Meetings.  
5 Thus, LGEUSA was an active, knowing participant in the alleged conspiracy.

6           128. Between at least 2001 and 2006, Defendant LP Displays (f/k/a LG.Philips  
7 Displays) participated in at least 100 Glass Meetings at all levels. A substantial number of these  
8 meetings were attended by the highest ranking executives from LP Displays. Certain of these high  
9 level executives from LP Displays had previously attended meetings on behalf of Defendant LG.  
10 LP Displays also engaged in bilateral discussions with other Defendants. Through these  
11 discussions, LP Displays agreed on prices and supply levels for CRTs.

12           129. Between at least 1995 and 2006, Co-conspirator Chunghwa, through Chunghwa  
13 Picture Tubes, Chunghwa Malaysia, and representatives from their factories in Fuzhou (China)  
14 and Scotland, participated in at least 100 Glass Meetings at all levels. A substantial number of  
15 these meetings were attended by the highest ranking executives from Chunghwa, including the  
16 former Chairman and CEO of Chunghwa, C.Y. Lin. Chunghwa also engaged in bilateral  
17 discussions with each of the other Defendants on a regular basis. Through these discussions,  
18 Chunghwa agreed on prices and supply levels for CRTs.

19           130. Between at least 1995 and 2004, Daewoo, through Daewoo Electronics, Orion and  
20 DOSA, participated in at least 100 Glass Meetings at all levels. A substantial number of these  
21 meetings were attended by the highest ranking executives from Daewoo. Daewoo also engaged in  
22 bilateral discussions with other Defendants on a regular basis. Through these discussions,  
23 Daewoo agreed on prices and supply levels for CRTs. Bilateral discussions with Daewoo  
24 continued until Orion, its wholly-owned CRT subsidiary, filed for bankruptcy in 2004. Daewoo  
25 never effectively withdrew from this conspiracy.

26           131. Between at least 1995 and 2003, Defendant Toshiba, through Toshiba Corporation,  
27 TDDT and TEDI, participated in several Glass Meetings. After 2003, Toshiba participated in the  
28 CRT conspiracy through its joint venture with Panasonic, MTPD. These meetings were attended

1 by high level sales managers from Toshiba and MTPD. Toshiba also engaged in multiple bilateral  
2 discussions with other Defendants, particularly with LG Electronics. Through these discussions,  
3 Toshiba agreed on prices and supply levels for CRTs. Toshiba never effectively withdrew from  
4 this conspiracy.

5 132. Defendants Toshiba America, Inc., TACP, TAIP and TAEC were represented at  
6 those meetings and were a party to the agreements entered at them. To the extent Toshiba  
7 America, Inc., TACP, TAIP and TAEC sold and/or distributed CRTs to direct purchasers, they  
8 played a significant role in the conspiracy because Defendants wished to ensure that the prices for  
9 CRTs paid by direct purchasers would not undercut the pricing agreements reached at the Glass  
10 Meetings. Thus, Toshiba America, TACP, TAIP and TAEC were active, knowing participants in  
11 the alleged conspiracy.

12 133. Between at least 1996 and 2001, Defendant Hitachi, through Hitachi, Ltd., Hitachi  
13 Displays, Hitachi Shenzhen and Hitachi Asia, participated in several Glass Meetings. These  
14 meetings were attended by high level sales managers from Hitachi. Hitachi also engaged in  
15 multiple bilateral discussions with other Defendants, particularly with Samsung. Through these  
16 discussions, Hitachi agreed on prices and supply levels for CRTs. Hitachi never effectively  
17 withdrew from this conspiracy.

18 134. Defendants Hitachi America and HEDUS were represented at those meetings and  
19 were a party to the agreements entered at them. To the extent Hitachi America and HEDUS sold  
20 and/or distributed CRTs to direct purchasers, they played a significant role in the conspiracy  
21 because Defendants wished to ensure that the prices for CRTs paid by direct purchasers would  
22 not undercut the pricing agreements reached at the Glass Meetings. Thus, Hitachi America and  
23 HEDUS were active, knowing participants in the alleged conspiracy.

24 135. Between at least 1996 and 2003, Defendant Panasonic (known throughout the  
25 Relevant Period as Matsushita Electric Industrial Co., Ltd.), through Panasonic Corporation and  
26 Matsushita Malaysia, participated in several Glass Meetings. After 2003, Panasonic participated  
27 in the CRT conspiracy through its joint venture with Toshiba, MTPD. These meetings were  
28 attended by high level sales managers from Panasonic and MTPD. Panasonic also engaged in

1 multiple bilateral discussions with other Defendants. Through these discussions, Panasonic  
2 agreed on prices and supply levels for CRTs. Panasonic never effectively withdrew from this  
3 conspiracy.

4 136. Panasonic NA was represented at those meetings and was a party to the  
5 agreements entered at them. To the extent Panasonic NA sold and/or distributed CRTs to direct  
6 purchasers, it played a significant role in the conspiracy because Defendants wished to ensure that  
7 the prices for CRTs paid by direct purchasers would not undercut the pricing agreements reached  
8 at the Glass Meetings. Thus, Panasonic NA was an active, knowing participant in the alleged  
9 conspiracy.

10 137. Between at least 2003 and 2006, Defendant MTPD participated in multiple Glass  
11 Meetings and in fact led many of these meetings during the latter years of the conspiracy. These  
12 meetings were attended by high level sales managers from MTPD. MTPD also engaged in  
13 bilateral discussions with other Defendants. Through these discussions, MTPD agreed on prices  
14 and supply levels for CRTs.

15 138. Between at least 1998 and 2007, Defendant BMCC participated in multiple Glass  
16 Meetings. These meetings were attended by high level sales managers from BMCC. BMCC also  
17 engaged in multiple bilateral discussions with other Defendants, particularly the other Chinese  
18 CRT manufacturers. Through these discussions, BMCC agreed on prices and supply levels for  
19 CRTs. None of BMCC's conspiratorial conduct in connection with CRT was mandated by the  
20 Chinese government. BMCC was acting to further its own independent private interests in  
21 participating in the alleged conspiracy.

22 139. Between at least 1998 and 2007, Defendant IRICO, through IGC, IGE and IDDC,  
23 participated in multiple Glass Meetings. These meetings were attended by the highest ranking  
24 executives from IRICO. IRICO also engaged in multiple bilateral discussions with other  
25 Defendants, particularly with other Chinese manufacturers. Through these discussions, IRICO  
26 agreed on prices and supply levels for CRTs. None of IRICO's conspiratorial conduct in  
27 connection with CRT was mandated by the Chinese government. IRICO was acting to further its  
28 own independent private interests in participating in the alleged conspiracy.



1 140. Between at least 1997 and 2006, Defendant Thai CRT participated in multiple  
2 Glass Meetings. These meetings were attended by the highest ranking executives from Thai CRT.  
3 Thai CRT also engaged in multiple bilateral discussions with other Defendants, particularly with  
4 Samtel. Through these discussions, Thai CRT agreed on prices and supply levels for CRTs. Thai  
5 CRT never effectively withdrew from this conspiracy.

6 141. Between at least 1998 and 2006, Defendant Samtel participated in multiple  
7 bilateral discussions with other Defendants, particularly with Thai CRT. These meetings were  
8 attended by high level executives from Samtel. Through these discussions, Samtel agreed on  
9 prices and supply levels for CRTs. Samtel never effectively withdrew from this conspiracy.

10 142. When Plaintiffs refer to a corporate family or companies by a single name in their  
11 allegations of participation in the conspiracy, Plaintiffs are alleging that one or more employees  
12 or agents of entities within the corporate family engaged in conspiratorial meetings on behalf of  
13 every company in that family. In fact, the individual participants in the conspiratorial meetings  
14 and discussions did not always know the corporate affiliation of their counterparts, nor did they  
15 distinguish between the entities within a corporate family. The individual participants entered into  
16 agreements on behalf of, and reported these meetings and discussions to, their respective  
17 corporate families. As a result, the entire corporate family were represented in meetings and  
18 discussions by their agents and were parties to the agreements reached in them.

19 **V. THE CRT MARKET DURING THE CONSPIRACY AS A RESULT OF DEFENDANTS'**  
20 **CONCEALED COLLUSIVE ACTIVITIES**

21 143. Until recently, CRTs were the dominant technology used in displays, including  
22 television and computer monitors. During the Relevant Period, this translated into the sale of  
23 millions of CRTs, generating billions of dollars in annual profits.

24 144. The following data was reported by Stanford Resources, Inc., a market research  
25 firm focused on the global electronic display industry:

Year	Units Sold (millions)	Revenue (billion US dollars)	Average Selling Price Per Unit
1998	90.5	\$18.9	\$208
1999	106.3	\$19.2	\$181
2000	119.0	\$28.0	\$235

1           145. During the Relevant Period, North America was the largest market for CRT TVs  
2 and computer monitors. According to a report published by Fuji Chimera Research, the 1995  
3 worldwide market for CRT monitors was 57.8 million units, 28 million of which (48.5 percent)  
4 were consumed in North America. By 2002, North America still consumed around 35 percent of  
5 the world's CRT monitor supply. See, *The Future of Liquid Crystal and Related Display*  
6 *Materials*, Fuji Chimera Research, 1997, p.12.

7           146. Defendants' collusion is evidenced by unusual price movements in the CRT  
8 market during the Relevant Period. In the 1990s, industry analysts repeatedly predicted declines  
9 in consumer prices for CRTs that did not fully materialize. For example, in 1992, an analyst for  
10 Market Intelligent Research Corporation predicted that, "[e]conomies of scale, in conjunction  
11 with technological improvements and advances in manufacturing techniques, will produce a drop  
12 in the price of the average electronic display to about \$50 in 1997." Information Display 9/92  
13 p.19. Despite such predictions, and the existence of economic conditions warranting a drop in  
14 prices, CRT prices nonetheless remained stable.

15           147. In 1996, another industry source noted that "the price of the 14" tube is at a  
16 sustainable USD50 and has been for some years...."

17           148. In early 1999, despite declining production costs and the rapid entry of flat panel  
18 display products, the price of large sized color CRTs actually rose. The price increase was  
19 allegedly based on increasing global demand. In fact, this price increase was a result of the  
20 collusive conduct as herein alleged.

21           149. After experiencing oversupply of 17" CRTs in the second half of 1999, the average  
22 selling price of CRTs rose again in early 2000. A March 13, 2000 article in *Infotech Weekly*  
23 quoted an industry analyst as saying that this price increase was "unlike most other PC-related  
24 products."

25           150. A BNET Business Network news article from August 1998 reported that "key  
26 components (cathode ray tubes) in computer monitors have risen in price. 'Although several  
27 manufacturers raised their CRT prices in the beginning of August, additional CRT price increases  
28 are expected for the beginning of October....While computer monitor price increases may be a

1 necessary course of action, we [CyberVision, a computer monitor manufacturer] do not foresee a  
2 drop in demand if we have to raise our prices relative to CRT price increases.”

3 151. A 2004 article from Techtree.com reports that various computer monitor  
4 manufacturers, including LG Electronics, Philips and Samsung, were raising the price of their  
5 monitors in response to increases in CRT prices caused by an alleged shortage of glass shells used  
6 to manufacture the tubes. Philips is quoted as saying that, “It is expected that by the end of  
7 September this year [2004] there will be 20% hike in the price of our CRT monitors.”

8 152. Defendants also conspired to limit production of CRTs by shutting down  
9 production lines for days at a time, and closing or consolidating their manufacturing facilities.

10 153. For example, the Defendants’ CRT factory utilization percentage fell from 90  
11 percent in the third quarter of 2000 to 62 percent in the first quarter of 2001. This is the most  
12 dramatic example of a drop in factory utilization. There were sudden drops throughout the  
13 Relevant Period but to a lesser degree. Plaintiffs are informed and believe that these sudden,  
14 coordinated drops in factory utilization by the Defendants were the result of Defendants’  
15 agreements to decrease output in order to stabilize the prices of CRTs.

16 154. During the Relevant Period, while demand in the United States for CRTs  
17 continued to decline, Defendants’ conspiracy was effective in moderating the normal downward  
18 pressures on prices for CRTs caused by the entry and popularity of the new generation LCD  
19 panels and plasma display products. As Finsen Yu, President of Skyworth Macao Commercial  
20 Offshore Co., Ltd., a television maker, was quoted in January of 2007, “[t]he CRT technology is  
21 very mature; prices and technology have become stable.”

22 155. During the Relevant Period, there were not only periods of unnatural and sustained  
23 price stability, but there were also increases in prices of CRTs. These price increases were despite  
24 the declining demand due to the approaching obsolescence of CRTs caused by the emergence of a  
25 new, potentially superior and clearly more popular, substitutable technology.

26 156. These price increases and price stability in the market for CRTs during the  
27 Relevant Period are inconsistent with a competitive market for a product facing rapidly  
28 decreasing demand caused by a new, substitutable technology.

1     **VI.     GOVERNMENT ANTITRUST INVESTIGATIONS AND FINES**

2             157.    On or around October 7, 2009, the Japan Fair Trade Commission concluded that  
3    six companies (MT Picture Display, Samsung SDI, LG Philips, P.T. LP Displays, Chunghwa, and  
4    Thai CRT) participated in the conspiracy and imposed approximately \$43 million in fines.

5             158.    On or around January 27, 2011, the Korean Fair Trade Commission ("KFTC")  
6    imposed a total surcharge of 26,271 million Won (approximately (US) \$23.5 million) on  
7    Defendants Samsung SDI, LG Philips Display Korea Co., Ltd. and CPTF Optronics Co., Ltd, and  
8    Co-conspirators Chunghwa, Chunghwa Malaysia for violating the Korean Monopoly Regulation  
9    and Fair Trade Act. The KFTC found that these five Defendants agreed to fix prices and reduce  
10   output of CDTs between November 1996 and March 2006.

11            159.    On or around May 12, 2011, in a case entitled *United States of America v.*  
12   *Samsung SDI Company, Ltd.*, Case No. CR 11-0162 (WHA) Samsung SDI, pled guilty to a one-  
13   count charge of participating in a conspiracy to suppress and eliminate competition by fixing  
14   prices, reducing output and allocating market shares of CDTs sold in the United States and  
15   elsewhere from at least as early as January 1997, until at least as late as March 2006, in violation  
16   of the Sherman Antitrust Act, 15 U.S.C. § 1.

17            160.    The Court found that in furtherance of the conspiracy, Samsung SDI, through its  
18   officers and employees, engaged in discussions and attended meetings with representatives of  
19   other major CDT producers. During these discussions and meetings agreements were reached to  
20   fix prices, reduce output, and allocate market shares of CDTs to be sold in the United States and  
21   elsewhere. The Northern District of California assessed Samsung SDI a criminal fine of \$32  
22   million. As set forth in the Amended Plea Agreement, Samsung SDI's acts in furtherance of this  
23   conspiracy were carried out within the State of California.

24            161.    On September 13, 2010, the Czech Republic's Office for the Protection of  
25   Competition ("The Office") imposed a fine of CZK 51.787 million (approximately US\$2.8  
26   million) on Defendants Samsung SDI Co., Ltd., Koninklijke Philips Electronics N.V., Panasonic  
27   Corporation, MT Picture Display Co., Ltd., Toshiba Corporation and LG Electronics, Inc., and  
28   co-conspirator Chunghwa Picture Tubes, Ltd. The Office concluded the Defendants and co-

1 conspirators met in Asian and European countries in order to conclude and fulfill a cartel  
2 agreement in the market for CPTs. The cartel for CPTs was complex and included rules for  
3 cooperation and even checks on participant behavior.

#### 4 **THE PASS-THROUGH OF OVERCHARGES TO CONSUMERS**

5 162. Defendants' and their co-conspirators' conspiracy to fix, raise, maintain, and  
6 stabilize the price of CRTs at artificial levels resulted in harm to Plaintiffs because it resulted in  
7 Plaintiffs paying higher prices for CRTs than they would have paid in the absence of Defendants'  
8 and their Co-conspirators' conspiracy. The prices agreed to for CRTs were in \$ U.S. dollars or in  
9 Chinese Renminbi that involved an agreed-to exchange rate into U.S. dollars so as not to  
10 undermine prices of CRTs in U.S. dollars. Based on information and belief, the overcharges at  
11 issue were passed on to Plaintiffs. As the USDOJ acknowledged in announcing the indictment of  
12 Chunghwa's former Chairman and CEO, "[t]he conspiracy harmed countless Americans who  
13 purchased computers and televisions using cathode ray tubes sold at fixed prices."

14 163. The Defendants and Co-conspirators identified above that attended the Glass  
15 Meetings, monitored the prices of televisions and computer monitors sold in the United States and  
16 elsewhere on a regular basis. The purpose and effect of investigating such retail market data was  
17 at least three fold. First, it permitted Defendants and Co-conspirators, such as Chunghwa, which  
18 did not manufacture CRT televisions or computer monitors the way that Samsung, LG  
19 Electronics, Daewoo, Panasonic, Toshiba, and Hitachi did, to police the price fixing agreements  
20 to make sure that intra-Defendant CRT sales were kept at supracompetitive levels.

21 164. Secondly, it permitted all Defendants and their Co-conspirators to police their  
22 price fixing agreement as relating to independent OEMs who would reduce prices for finished  
23 goods if there was a corresponding reduction in CRT prices from other Defendants and Co-  
24 conspirators.

25 165. Finally, as discussed above, Defendants and their Co-conspirators used the prices  
26 of finished products to analyze whether they could increase prices or should agree to a "bottom"  
27 price instead to halt any declines.

28 166. The market for CRTs and the market for CRT-containing products are inextricably

1 linked. One exists to serve the other as CRTs have no value apart from the products into which  
2 they are placed.

3 167. Finally, many of the Defendants and/or Co-conspirators themselves have been and  
4 are currently manufacturers of CRT televisions and computer monitors. Such manufacturers  
5 include, for example, Samsung, LG, Hitachi, Toshiba, and Panasonic. Having agreed to fix prices  
6 for CRTs, based on information and belief, these Defendants and their Co-conspirators intended  
7 to pass on the full costs of this component in their finished products to the Plaintiffs, and in fact  
8 did so.

9 168. As a direct and proximate result of Defendants' and their Co-conspirators' illegal  
10 conduct, including output and market allocation restrictions as to CRTs, Plaintiffs have been  
11 forced to pay supra-competitive prices for CRT-containing products. These inflated prices have  
12 been passed on to them by direct purchaser manufacturers, distributors and retailers.

#### 13 ASSIGNMENT CLAUSES

14 169. By operation of sections 4552-4554 of the California Government Code,  
15 contractors who sell products or services to political subdivisions or public agencies assign to the  
16 purchasing political subdivision or public agency all claims those contractors have against others  
17 for violation of state antitrust laws.

18 170. Contractors to Plaintiffs (the State of California and the political entities or public  
19 agencies listed under IV(a) of this Complaint), such as OEMs, distributors, and other vendors,  
20 purchased CRTs directly from the Defendants for resale to others. These OEMs, distributors and  
21 other vendors ("CRT Resellers") sold the CRTs, and also incorporated the CRTs into CRT  
22 products sold by CRT Resellers.

23 171. CRT Resellers paid higher-than-competitive prices for CRTs as result of the  
24 Defendants' and their Co-conspirators' unlawful conduct.

25 172. Plaintiffs the State of California and the political entities or public agencies listed  
26 under IV(a) of this Complaint bought CRTs from CRT Resellers pursuant to bid documents,  
27 contracts and/or purchasing agreements. By operation of law, these bid documents, contracts  
28 and/or purchasing agreements contained clauses that assigned to the respective plaintiff

(hereinafter "Assignees") all of the CRT Resellers' antitrust claims under state and federal laws relating to the CRTs that the CRT Resellers had purchased and then resold to the political subdivisions and public agencies.

#### **I. ASSIGNMENT OF DIRECT CLAIMS**

173. The assignment clauses assigned to the Assignees the "direct purchaser" antitrust claims of CRT Resellers that had purchased CRTs directly from the Defendants and their Co-conspirators. The direct purchaser antitrust claims assigned to the Assignees retain their original character as direct purchaser claims. With the assignment of these direct purchaser claims from CRT Resellers, the Assignees received all right, title, and interest that the CRT Resellers had in those claims against the Defendants and their Co-conspirators.

#### **II. ASSIGNMENT OF INDIRECT CLAIMS**

174. California state law allows for recovery of antitrust damages by "indirect purchasers." Because the assignment clauses assigned antitrust claims under state law, the assignment clauses assigned not only "direct purchaser" claims, but also the "indirect purchaser" claims of CRT Resellers that had purchased CRTs from other CRT Resellers.

175. The effect of this assignment clause was to transfer the bidding CRT Reseller's causes of action against the Defendants and their Co-conspirators under the California Cartwright Act (direct and indirect purchaser claims) to the respective plaintiff.

#### **FRAUDULENT CONCEALMENT**

176. Throughout the Relevant Period, Defendants and their Co-conspirators affirmatively and fraudulently concealed their unlawful conduct from Plaintiffs.

177. Plaintiffs did not discover, and could not discover through the exercise of reasonable diligence, that Defendants and their Co-conspirators were violating the law as alleged herein until long after the commencement of their cartel. Nor could Plaintiffs have discovered the violations earlier than that time because Defendants conducted their conspiracy in secret, concealed the nature of their unlawful conduct and acts in furtherance thereof, and fraudulently concealed their activities through various other means and methods designed to avoid detection.

1 In addition, the conspiracy was by its nature self-concealing.

2 178. Defendants and their Co-conspirators engaged in a successful, illegal price-fixing  
3 conspiracy with respect to CRTs, which they affirmatively concealed, in at least the following  
4 respects:

- 5 a. By agreeing among themselves not to discuss publicly, or otherwise reveal, the nature  
6 and substance of the acts and communications in furtherance of their illegal scheme, and  
7 by agreeing to expel those who failed;  
8 b. By agreeing among themselves to limit the number of representatives from each  
9 Defendant and Co-conspirator attending the meetings so as to avoid detection;  
10 c. By agreeing among themselves on what to tell their customers about price changes,  
11 and agreeing upon which attendee would communicate the price change to which  
12 customer;  
13 d. By agreeing among themselves to quote higher prices to certain customers than the  
14 fixed price in effect to give the appearance that the price was not fixed; and  
15 e. By agreeing among themselves upon the content of public statements regarding  
16 capacity and supply.

17 179. Plaintiffs had no knowledge of the combination and conspiracy described herein,  
18 or any facts that might have led to the discovery of the conspiracy in the exercise of reasonable  
19 diligence, at least before November 8, 2007 as that was the date on which the European  
20 Commission announced its investigation into the CRT industry.

21 180. Defendants' and their Co-conspirators effective, affirmative and fraudulent  
22 concealment was a substantial factor in causing Plaintiffs' harm.

23 181. As a result of the fraudulent concealment of the conspiracy, Plaintiffs assert the  
24 tolling of the applicable statute of limitations affecting Plaintiffs' claims.

## 25 INJURY

26 182. But for Defendants' and their Co-conspirators' anticompetitive acts, Plaintiffs  
27 would have been able to purchase CRTs at lower prices, and/or would have been able to purchase  
28 more capable, larger, and/or higher performance CRTs than were actually offered for sale to



1 them.

2 183. Defendants' and their Co-conspirators' unlawful conduct alleged in this Complaint  
3 had a direct, substantial, and reasonably foreseeable effect on United States and California  
4 commerce. As a direct and proximate result of the unlawful conduct alleged in this Complaint,  
5 Plaintiffs were unable to purchase CRTs at prices that were determined by free and open  
6 competition. Consequently, Plaintiffs have been injured in their business and property in that,  
7 *inter alia*, they have paid more and continue to pay more for such products than they would have  
8 paid in a free and open, competitive market, and were not offered more capable, larger, and/or  
9 higher performance products that would have been offered in a free and open competitive market.

10 184. As a direct and proximate result of the unlawful conduct alleged in this Complaint,  
11 some Plaintiffs were unable to purchase CRTs at prices that were determined by free and open  
12 competition. Defendants' and their Co-conspirators' conduct has resulted in deadweight loss to  
13 the economy of the State of California, including *inter alia*, reduced output, higher prices, and  
14 reduction in consumer welfare.

15 185. As a direct and proximate result of the unlawful conduct alleged above,  
16 Defendants and their co-conspirators benefitted unjustly from the supra-competitive and  
17 artificially inflated prices and profits on their sale of CRTs resulting from their unlawful and  
18 inequitable conduct, and have thus far retained the illegally obtained profits.

## 19 20 21 **VIOLATIONS ALLEGED**

### 22 **I. FIRST CAUSE OF ACTION**

#### 23 **(COUNT ONE – FOR VIOLATION OF THE CARTWRIGHT ACT,** 24 **BUSINESS & PROFESSIONS CODE SECTION 16720)** 25 **(AGAINST ALL DEFENDANTS)**

26 186. Plaintiffs incorporate by reference and allege as if fully set forth herein paragraphs  
27 1 to 185 above with the same meaning, force and effect.

28 187. Beginning in March of 1995, and continuing thereafter at least up to and including

1 June 30, 2007, Defendants and their Co-conspirators entered into and engaged in a continuing  
2 unlawful trust for the purpose of unreasonably restraining trade in violation of section 16720,  
3 California Business and Professional Code.

4 188. The aforesaid violations of section 16720, California Business and Professions  
5 Code, consisted, without limitation, of a continuing unlawful trust and concert of action among  
6 the Defendants and their Co-conspirators, the substantial terms of which were to fix, raise,  
7 maintain and stabilize the prices of, and to allocate markets for, CRTs.

8 189. For the purpose of forming and effectuating the unlawful trust, the Defendants and  
9 their Co-conspirators conspired to:

- 10 a. fix, raise, maintain, and stabilize the price of CRTs;
- 11 b. allocate markets for CRTs amongst themselves;
- 12 c. submit rigged bids for the award and performance of certain CRT  
13 contracts; and
- 14 d. allocate amongst themselves the production of CRTs.

15 190. The combination and conspiracy alleged herein has had, *inter alia*, the following  
16 effects:

- 17 a. price competition in the sale of CRTs has been restrained,  
18 suppressed and/or eliminated in the State of California;
- 19 b. prices for CRTs sold by Defendants and their Co-conspirators have  
20 been fixed, raised, maintained, and stabilized at artificially high,  
21 non-competitive levels in the State of California; and
- 22 c. those who purchased Defendants' and their Co-conspirators' CRTs  
23 have been deprived of the benefit of free and open competition.

24 191. As a direct and proximate result of Defendants' and their Co-conspirators'  
25 unlawful conduct, Plaintiffs were injured in their business and property in that they paid more for  
26 CRTs and CRT containing products than they would have paid in the absence of Defendants' and  
27 their Co-conspirators' unlawful conduct. As a result of Defendants' and their Co-conspirators'  
28 violation of section 16720 of the California Business and Professions Code, Plaintiffs bring this

1 claim pursuant to section 16750(c) and seek treble damages and the costs of suit, including  
2 reasonable attorneys' fees, pursuant to section 16750(a) of the California Business and  
3 Professions Code. Plaintiffs also seek injunctive relief pursuant to California Business and  
4 Professions Code section 16754.5.

5 **(Count Two – For Violation of the Cartwright Act, Business & Professions Code Section**  
6 **16720, by Assignment Pursuant to Government Code Sections 4552-4554)**  
7 **(Against All Defendants)**

8 192. Plaintiffs incorporate by reference and allege as if fully set forth herein paragraphs  
9 1 to 191 above with the same meaning, force and effect.

10 **(Count Three – For Violations of the Cartwright Act, Business & Professions**  
11 **Code Section 16760, Parens Patriae on Behalf of Natural Persons)**  
12 **(Against All Defendants)**

13 193. Plaintiffs incorporate by reference and allege as if fully set forth herein paragraphs  
14 1 to 198, above, with the same meaning, force and effect.

15 194. As a direct and proximate result of defendants' unlawful conduct described above,  
16 natural persons residing in the State of California were injured in their business and property in  
17 that they paid more for CRTs than they would have paid in the absence of defendants' unlawful  
18 conduct. Defendants' and their Co-conspirators' unlawful conduct has also resulted in  
19 deadweight loss to the economy of the State of California. As a result of Defendants' and their  
20 Co-conspirators' violation of section 16720 of the Business and Professions Code, the Attorney  
21 General brings this claim in the name of the people of the State of California, as *parens patriae*  
22 on behalf of natural persons residing in the state, and seeks treble damages and the costs of suit,  
23 including reasonable attorneys' fees, pursuant to section 16760(a) of the Business and Professions  
24 Code.

25 **II. SECOND CAUSE OF ACTION**

26 **(For Violation of the Unfair Competition Law**  
27 **Business & Professions Code Section 17200)**  
28 **(Against All Defendants)**

195. Plaintiffs incorporate by reference and allege as if fully set forth herein paragraphs  
1 to 194 above with the same meaning force and effect.

196. Beginning at a time presently unknown to Plaintiffs, but at least on or around

1 March 1, 1995, and continuing thereafter at least up to and including June 30, 2007, Defendants  
2 and their Co-conspirators committed acts of unfair competition, as defined by Sections 17200, *et*  
3 *seq.* of the California Business and Professions Code.

4 197. The acts, omissions, misrepresentations, practices, and non-disclosures of  
5 Defendants and their Co-conspirators, as alleged herein, constituted a common continuous and  
6 continuing course of conduct of unfair competition by means of unfair, unlawful and/or  
7 fraudulent business acts or practices within the meaning of California Business and Professions  
8 Code, Section 17200, *et seq.*, including, but not limited to, the following:

- 9 a. The violations of section 16720, *et seq.*, of the California Business and  
10 Professions Code, set forth above, thus constituting unlawful acts within the  
11 meaning of section 17200 of the California Business and Professions Code;
- 12 b. Defendants' acts, omissions, misrepresentations, practices and  
13 nondisclosures, as described above, whether or not in violation of Section  
14 16720, *et seq.* of the California Business and Professions Code, and whether  
15 or not concerted or independent acts, are otherwise unfair, unconscionable,  
16 unlawful or fraudulent;
- 17 c. Defendants' act and practices are unfair to consumers of CRTs in the State of  
18 California, within the meaning of section 17200, California Business and  
19 Professions Code; and
- 20 d. Defendants' acts and practices are fraudulent or deceptive within the  
21 meaning of section 17200 of the California Business and Professions Code.

22  
23 198. The unlawful and unfair business practices of Defendants and their Co-  
24 conspirators, and each of them, as described above, caused Plaintiffs to pay supra-competitive  
25 and artificially-inflated prices for CRTs. They suffered injury in fact and lost money or property  
26 as a result of such unfair competition.

27 199. As alleged in this Complaint, Defendants and their co-conspirators have been  
28 unjustly enriched as a result of their wrongful conduct and by Defendants' and their Co-

1 conspirators' unfair competition. Consumers of CRTs in California are accordingly entitled to  
2 equitable relief including restitution which may have been obtained by Defendants as a result of  
3 such business practices, pursuant to the California Business and Professions Code, sections 17203  
4 and 17204. Plaintiffs are also entitled to civil penalties to the maximum extent permitted by law  
5 pursuant to California Business and Professions Code, Section 17206, et seq.

### 6 **III. THIRD CAUSE OF ACTION**

#### 7 **(For Unjust Enrichment)** 8 **(Against All Defendants)**

9 200. Plaintiffs incorporate by reference and allege as if fully set forth herein paragraphs  
10 1 to 199 above with the same meaning force and effect.

11 201. Plaintiffs conferred upon Defendants and their Co-conspirators an economic  
12 benefit, in the nature of anti-competitive profits resulting from unlawful overcharges and  
13 monopoly profits.

14 202. Defendants' and their Co-conspirators' financial benefits resulting from their  
15 unlawful and inequitable conduct are economically traceable to overpayments for CRTs by  
16 Plaintiffs.

17 203. The economic benefit of overcharges and unlawful monopoly profits derived by  
18 Defendants and their Co-conspirators through charging supra-competitive and artificially inflated  
19 prices for CRTs is a direct and proximate result of Defendants' and their Co-conspirators'  
20 unlawful practices.

21 204. It would be inequitable and unjust for Defendants and their Co-conspirators to be  
22 permitted to retain any of the unlawful proceeds resulting from their fraudulent, illegal, and  
23 inequitable conduct.

24 205. As alleged in this Complaint, Defendants and their Co-conspirators have been  
25 unjustly enriched as a result of their wrongful conduct and by Defendants' and their Co-  
26 conspirators' unfair competition. Plaintiffs are accordingly entitled to equitable relief including  
27 restitution and/or disgorgement of all revenues, earnings, profits, compensation and benefits  
28 which may have been obtained by Defendants and their Co-conspirators as a result of such

1 business practices.

2 **PRAYER FOR RELIEF**

3 WHEREFORE, Plaintiffs pray for judgment against Defendants as follows:

4 1. That judgment be entered in favor of Plaintiffs and against Defendants;

5 2. That the Court adjudge and decree that Defendants' contract, conspiracy, or  
6 combination constitutes an illegal restraint of trade in violation of the Cartwright Act, section  
7 16720, et seq., of the Business & Professions Code;

8 3. That the Court adjudge and decree that Defendants' contract, conspiracy, or  
9 combination violates the Unfair Competition Law, section 17200, et seq. of the Business &  
10 Professions Code;

11 4. That Plaintiffs be awarded their damages, trebled, in an amount according to  
12 proof;

13 5. That Plaintiffs be awarded the deadweight loss (i.e., the general damage to the  
14 economy of the State of California) resulting from Defendants' illegal activities;

15 6. That Plaintiffs be awarded restitution, including disgorgement of profits obtained  
16 by Defendants as a result of their acts of unjust enrichment, or any acts in violation of state  
17 antitrust or consumer protection statutes and laws, including section 17200 of the Business &  
18 Professions Code;

19 7. That Plaintiffs and natural persons be awarded pre- and post-judgment interest,  
20 and that the interest be awarded at the highest legal rate from and after the date of service of the  
21 initial complaint in this action;

22 8. That Plaintiffs be awarded civil penalties, pursuant to California Business &  
23 Professions Code section 17206 in the dollar amount of two thousand five hundred dollars and  
24 zero cents, (\$2,500.00) for each violation of Defendants' anticompetitive conduct as set forth in  
25 this Complaint;

26 9. That Defendants, their affiliates, successors, transferees, assignees, and the  
27 officers, directors, partners, agents, and employees thereof, and all other persons acting or  
28 claiming to act on their behalf be permanently enjoined and restrained from in any manner

1 prescribed by pursuant to California Business & Professions Code § 16754.5 including being  
2 subject to measures necessary to restore competition;

3 10. That Plaintiffs recover their costs and reasonable attorney's fees; and

4 11. That the Court grant other legal and equitable relief as it may deem just and  
5 proper, including such other relief as the Court may deem just and proper to redress, and prevent  
6 recurrence of, the alleged violation in order to dissipate the anticompetitive effects of Defendants'  
7 violations, and to restore competition.

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Dated: November 8, 2011

KAMALA D. HARRIS  
Attorney General of California  
MARK BRECKLER  
Chief Assistant Attorney General  
KATHLEEN E. FOOTE  
Senior Assistant Attorney General  
PAUL A. MOORE  
NICOLE S. GORDON  
Deputy Attorneys General

455 Golden Gate Avenue, Suite 11000  
San Francisco, CA 94102-7004  
Telephone: (415) 703-5908  
Fax: (415) 703-5843  
E-mail: [Emilio.Varanini@doj.ca.gov](mailto:Emilio.Varanini@doj.ca.gov)

*Attorneys for Plaintiffs*



## APPENDIX A

Corporate Entity	Venture	Corporation
Chunghwa		Chunghwa Picture Tubes, Ltd.
		Tatung Company (Parent)
		Chunghwa Picture Tubes (Malaysia) Sdn. Bhd. (Chunghwa Malaysia)
Daewoo/Orion		Orion Electric Company
		Daewoo Electronics Co., Ltd.
		Daewoo Telecom Company
		Daewoo Corporation
		Orion Electronics Component Company
	Joint Venture	Daewoo-Orion Société Anonyme ("DOSA") – joint venture between Daewoo Electronics Co., Ltd & Orion
	Joint Venture	TEDI – joint venture between Orion and Toshiba Corporation and 2 non-defendant entities
Hitachi		Hitachi Ltd.
		Hitachi Displays, Ltd.
		Hitachi Electronic Devices (USA) Inc., ("HEDUS")
		Hitachi America, Ltd.
		Hitachi Asia, Ltd.
		Shenzhen SEG Hitachi Color Display Devices, Ltd.
IRICO		IRICO Group Corporation ("IGC")
		IRICO Display Devices Co., Ltd. ("IDDC")
		IRICO Group Electronics Co., Ltd. ("IGE")
LG Electronics		LG Electronics, Inc. (formerly GoldStar Communications)
		LG Electronics USA, Inc. ("LGEUSA")
		LG Electronics Taiwan Taipei Co., Ltd. ("LGETT")
LP Displays		LP Displays International, Ltd f/k/a LG Philips Displays ("LP Displays")
Panasonic		Panasonic Corporation (f/k/a Matsushita Electronic Industrial Co., Ltd.)
	Joint Venture	MTPD – joint venture between Panasonic Corporation & Toshiba <sup>1</sup>
		Panasonic Consumer Electronic Co., ("PACEC") – subsidiary of Panasonic N.A.
		Panasonic Corporation of North America
		Matsushita Electronic Corporation (Malaysia) Sdn Bhd <sup>2</sup>
	Joint Venture	MT Picture Display Co., Ltd. – joint venture between Panasonic Corporation & Toshiba <sup>3</sup>
	Joint Venture	Beijing Matsushita Color CRT Company ("BMCC") – joint venture between Beijing Orient Electronics (Group) Co., Ltd., China National Electronics Import & Export Beijing Company and Company Yayunchun Branch (Industrial & Commercial Bank of China, Ltd.)

<sup>1</sup> Became wholly owned subsidiary of Panasonic in 2005.

<sup>2</sup> Transferred to MTPD in 2003.

<sup>3</sup> Bought out by Panasonic.

1	Samsung		Samsung Electronics Co., Ltd.
			Samsung Electronics America, Inc. ("SEAI")
			Samsung SDI Co., Ltd f/k/a Samsung Display Device Co., Ltd ("Samsung SDI")
2			
3			Samsung SDI America, Inc.
4			Samsung SDI Mexico S.A. de C.V. ("Samsung SDI Mexico")
5			Samsung SDI Brasil Ltda ("Samsung SDI Brasil")
6			Shenzhen Samsung SDI Co., Ltd. ("Samsung SDI Shenzhen")
7			Tianjin Samsung SDI Co., Ltd
8			Samsung SDI (Malaysia) Sdn. Bhd. ("Samsung SDI Malaysia")
9	Samtel		Samtel Color, Ltd.
10			
11	Thai CRT		Thai CRT Company, Ltd. ("Thai CRT")
12	Toshiba Entities		Toshiba Corporation
13		Joint Venture	P.T. Tosummit Electronic Devices Indonesia ("TEDI") – joint venture between Toshiba Corporation & Orion (n/k/a Daewoo Electronics Corporation) and 2 other non-defendant entities
14		Joint Venture	Toshiba-Matsushita Display Technology Co., Ltd – joint venture between Toshiba Corporation & Panasonic Corporation
15			Toshiba America, Inc. ("Toshiba America")
16			Toshiba America Consumer Product, LLC ("TCAP")
17			Toshiba America Information Systems, Inc. ("TAIP")
18			Toshiba America Electronics Components, Inc., ("TAEC")
19			Toshiba Display Devices (Thailand) Company, Ltd., ("TDDT") <sup>4</sup>
20		Joint Venture	P.T. Tosummit Electronic Devices Indonesia ("TEDI") – joint venture between Toshiba Corporation, Orion Electronic Corporation and 2 other non-defendant entities.

<sup>4</sup> Transferred to joint venture with Panasonic Corporation (MTPD).

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar No., and address):  
 Nicole Gordon, State Bar No. 224138  
 California Attorney General's Office  
 455 Golden Gate Avenue, Suite 11000  
 San Francisco, CA 94102-7004  
 TELEPHONE NO.: (415) 703-5702 FAX NO.: (415) 703-5843  
 ATTORNEY FOR (Name): The People of the State of California

SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco  
 STREET ADDRESS: 400 McAllister Street  
 MAILING ADDRESS:  
 CITY AND ZIP CODE: San Francisco, CA 94102  
 BRANCH NAME: Civic Center

FOR COURT USE ONLY  
**FILED**  
 San Francisco County Superior Court  
 NOV 08 2011  
 CLERK OF THE COURT  
 BY: *[Signature]*  
 Deputy Clerk

## CASE NAME:

State of California, et al. v. Samsung Electronics Co., Ltd., et al.

## CIVIL CASE COVER SHEET

☒ **Unlimited** (Amount demanded exceeds \$25,000) ☐ **Limited** (Amount demanded is \$25,000 or less)

## Complex Case Designation

☐ **Counter** ☐ **Joinder**

Filed with first appearance by defendant (Cal. Rules of Court, rule 3.402)

CASE NUMBER:

JUDGE: **CGC - 11-515784**  
 DEPT:

Items 1-6 below must be completed (see instructions on page 2).

## 1. Check one box below for the case type that best describes this case:

## Auto Tort

☐ Auto (22)  
☐ Uninsured motorist (46)

## Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort

☐ Asbestos (04)  
☐ Product liability (24)  
☐ Medical malpractice (45)  
☐ Other PI/PD/WD (23)

## Non-PI/PD/WD (Other) Tort

☐ Business tort/unfair business practice (07)  
☐ Civil rights (08)  
☐ Defamation (13)  
☐ Fraud (16)  
☐ Intellectual property (19)  
☐ Professional negligence (25)  
☐ Other non-PI/PD/WD tort (35)

## Employment

☐ Wrongful termination (36)  
☐ Other employment (15)

## Contract

☐ Breach of contract/warranty (06)  
☐ Rule 3.740 collections (09)  
☐ Other collections (09)  
☐ Insurance coverage (18)  
☐ Other contract (37)

## Real Property

☐ Eminent domain/Inverse condemnation (14)  
☐ Wrongful eviction (33)  
☐ Other real property (26)

## Unlawful Detainer

☐ Commercial (31)  
☐ Residential (32)  
☐ Drugs (38)

## Judicial Review

☐ Asset forfeiture (05)  
☐ Petition re: arbitration award (11)  
☐ Writ of mandate (02)  
☐ Other judicial review (39)

## Provisionally Complex Civil Litigation (Cal. Rules of Court, rules 3.400-3.403)

☒ Antitrust/Trade regulation (03)  
☐ Construction defect (10)  
☐ Mass tort (40)  
☐ Securities litigation (28)  
☐ Environmental/Toxic tort (30)  
☐ Insurance coverage claims arising from the above listed provisionally complex case types (41)

## Enforcement of Judgment

☐ Enforcement of judgment (20)

## Miscellaneous Civil Complaint

☐ RICO (27)  
☐ Other complaint (not specified above) (42)

## Miscellaneous Civil Petition

☐ Partnership and corporate governance (21)  
☐ Other petition (not specified above) (43)

2. This case ☒ is ☐ is not complex under rule 3.400 of the California Rules of Court. If the case is complex, mark the factors requiring exceptional judicial management:

- a. ☒ Large number of separately represented parties d. ☒ Large number of witnesses  
 b. ☒ Extensive motion practice raising difficult or novel issues that will be time-consuming to resolve e. ☒ Coordination with related actions pending in one or more courts in other counties, states, or countries, or in a federal court  
 c. ☒ Substantial amount of documentary evidence f. ☒ Substantial postjudgment judicial supervision

3. Remedies sought (check all that apply): a. ☒ monetary b. ☒ nonmonetary; declaratory or injunctive relief c. ☐ punitive

## 4. Number of causes of action (specify): 3

5. This case ☐ is ☒ is not a class action suit.

## 6. If there are any known related cases, file and serve a notice of related case. (You may use form CM-015.)

Date: 11/8/11  
 Nicole Gordon

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)

## NOTICE

- Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of Court, rule 3.220.) Failure to file may result in sanctions.
- File this cover sheet in addition to any cover sheet required by local court rule.
- If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all other parties to the action or proceeding.
- Unless this is a collections case under rule 3.740 or a complex case, this cover sheet will be used for statistical purposes only.

Page 1 of 2

## INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET

**To Plaintiffs and Others Filing First Papers.** If you are filing a first paper (for example, a complaint) in a civil case, you must complete and file, along with your first paper, the *Civil Case Cover Sheet* contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check **one** box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in item 1, check the more specific one. If the case has multiple causes of action, check the box that best indicates the **primary** cause of action. To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party, its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

**To Parties in Rule 3.740 Collections Cases.** A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, arising from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property, (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3.740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

**To Parties in Complex Cases.** In complex cases only, parties must also use the *Civil Case Cover Sheet* to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3.400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiff's designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that the case is complex.

## CASE TYPES AND EXAMPLES

## Auto Tort

Auto (22)—Personal Injury/Property Damage/Wrongful Death  
Uninsured Motorist (46) (*if the case involves an uninsured motorist claim subject to arbitration, check this item instead of Auto*)

## Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort

Asbestos (04)  
Asbestos Property Damage  
Asbestos Personal Injury/Wrongful Death  
Product Liability (*not asbestos or toxic/environmental*) (24)  
Medical Malpractice (45)  
Medical Malpractice—Physicians & Surgeons  
Other Professional Health Care Malpractice  
Other PI/PD/WD (23)  
Premises Liability (e.g., slip and fall)  
Intentional Bodily Injury/PD/WD (e.g., assault, vandalism)  
Intentional Infliction of Emotional Distress  
Negligent Infliction of Emotional Distress  
Other PI/PD/WD

## Non-PI/PD/WD (Other) Tort

Business Tort/Unfair Business Practice (07)  
Civil Rights (e.g., discrimination, false arrest) (*not civil harassment*) (08)  
Defamation (e.g., slander, libel) (13)  
Fraud (16)  
Intellectual Property (19)  
Professional Negligence (25)  
Legal Malpractice  
Other Professional Malpractice (*not medical or legal*)  
Other Non-PI/PD/WD Tort (35)

## Employment

Wrongful Termination (36)  
Other Employment (15)

## Contract

Breach of Contract/Warranty (06)  
Breach of Rental/Lease  
Contract (*not unlawful detainer or wrongful eviction*)  
Contract/Warranty Breach—Seller  
Plaintiff (*not fraud or negligence*)  
Negligent Breach of Contract/Warranty  
Other Breach of Contract/Warranty  
Collections (e.g., money owed, open book accounts) (09)  
Collection Case—Seller Plaintiff  
Other Promissory Note/Collections Case  
Insurance Coverage (*not provisionally complex*) (18)  
Auto Subrogation  
Other Coverage  
Other Contract (37)  
Contractual Fraud  
Other Contract Dispute

## Real Property

Eminent Domain/Inverse Condemnation (14)  
Wrongful Eviction (33)  
Other Real Property (e.g., quiet title) (26)  
Writ of Possession of Real Property  
Mortgage Foreclosure  
Quiet Title  
Other Real Property (*not eminent domain, landlord/tenant, or foreclosure*)

## Unlawful Detainer

Commercial (31)  
Residential (32)  
Drugs (38) (*if the case involves illegal drugs, check this item; otherwise, report as Commercial or Residential*)

## Judicial Review

Asset Forfeiture (05)  
Petition Re: Arbitration Award (11)  
Writ of Mandate (02)  
Writ—Administrative Mandamus  
Writ—Mandamus on Limited Court Case Matter  
Writ—Other Limited Court Case Review  
Other Judicial Review (39)  
Review of Health Officer Order  
Notice of Appeal—Labor  
Commissioner Appeals

## Provisionally Complex Civil Litigation (Cal. Rules of Court Rules 3.400–3.403)

Antitrust/Trade Regulation (03)  
Construction Defect (10)  
Claims Involving Mass Tort (40)  
Securities Litigation (28)  
Environmental/Toxic Tort (30)  
Insurance Coverage Claims (*arising from provisionally complex case type listed above*) (41)

## Enforcement of Judgment

Enforcement of Judgment (20)  
Abstract of Judgment (Out of County)  
Confession of Judgment (*non-domestic relations*)  
Sister State Judgment  
Administrative Agency Award (*not unpaid taxes*)  
Petition/Certification of Entry of Judgment on Unpaid Taxes  
Other Enforcement of Judgment Case

## Miscellaneous Civil Complaint

RICO (27)  
Other Complaint (*not specified above*) (42)  
Declaratory Relief Only  
Injunctive Relief Only (*non-harassment*)  
Mechanics Lien  
Other Commercial Complaint Case (*non-tort/non-complex*)  
Other Civil Complaint (*non-tort/non-complex*)

## Miscellaneous Civil Petition

Partnership and Corporate Governance (21)  
Other Petition (*not specified above*) (43)  
Civil Harassment  
Workplace Violence  
Elder/Dependent Adult Abuse  
Election Contest  
Petition for Name Change  
Petition for Relief From Late Claim  
Other Civil Petition