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9  
10 SUPERIOR COURT OF THE STATE OF CALIFORNIA  
11 FOR THE COUNTY OF SAN DIEGO  
12  
13

14 **THE PEOPLE OF THE STATE OF  
CALIFORNIA,**

Plaintiff,

15  
16 v.

17 **GLAXOSMITHKLINE LLC,**

18  
19 Defendant.  
20  
21  
22  
23

Case No.

**COMPLAINT FOR INJUNCTION, CIVIL  
PENALTIES AND OTHER EQUITABLE  
RELIEF**

24 Plaintiff, the People of the State of California (Plaintiff or the People), by its attorney,  
25 Kamala D. Harris, Attorney General of the State of California, by Judith Fiorentini, Deputy  
26 Attorney General, is informed and believes and thereupon alleges as follows:  
27  
28

1 **JURISDICTION AND VENUE**

2 1. The People brings this action, by Kamala D. Harris, Attorney General of the State of  
3 California, pursuant to the provisions of California Business and Professions Code sections 17200  
4 et seq. and 17500 et seq.

5 2. Defendant GLAXOSMITHKLINE LLC (Defendant), at all relevant times, has  
6 transacted business in the City and County of San Diego and elsewhere in the State of California.  
7 The violations of law alleged in this complaint have been and are being carried out within the  
8 City and County of San Diego and elsewhere in the State of California. This Court has  
9 jurisdiction over Defendant and venue for this action properly lies in San Diego, California,  
10 because Defendant transacts business in San Diego, California.

11 **PARTIES**

12 3. Plaintiff is the People of the State of California.

13 4. Defendant GLAXOSMITHKLINE LLC (GSK) is a Delaware corporation with a  
14 principal place of business at 5 Crescent Drive, Philadelphia, Pennsylvania 19112. GSK transacts  
15 business in San Diego and elsewhere in California by developing, manufacturing, promoting,  
16 selling, and distributing prescription drugs.

17 **ALLEGATIONS RELATING TO DEFENDANT’S MARKETING OF**  
18 **ADVAIR, PAXIL, AND WELLBUTRIN**

19  
20 **ADVAIR**

21 **The Basic Medicine of Asthma**

22 5. The National Institute of Health (NIH) published consensus guidelines for the  
23 diagnosis and treatment of asthma, which categorize patients into those with mild, moderate, and  
24 severe asthma.

25 6. Patients with occasional symptoms are categorized as mild “intermittent.”

26 7. The NIH recommended treatment for mild intermittent asthma is a short-acting beta  
27 agonists (SABA), such as albuterol, on an as-needed basis in response to symptoms.

28 8. Patients with regular asthma symptoms are categorized as persistent.

1           9. For persistent asthma, the NIH guidelines recommend using a “controller” in addition  
2 to a SABA.

3           10. For mild persistent asthma, the NIH Guidelines recommend an inhaled corticosteroid  
4 (ICS) used to treat inflammation in the airways as a “first line” treatment as a controller along  
5 with a SABA on an as needed basis as “rescue medicine” to open up airways during acute asthma  
6 attacks. In the asthma context, “first line” use refers to the first controller medication a patient is  
7 prescribed.

8           11. For moderate asthma, the NIH Guidelines recommend adding a second controller  
9 medication, such as a long-acting beta agonist (LABA), used to keep airways open and intended  
10 for chronic use, to the ICS along with as needed use of a SABA for acute episodes.

11           **Advair’s Label**

12           12. The ADVAIR DISKUS® (Advair) is GSK’s trade name for an inhaled combination  
13 drug for treatment of a number of respiratory conditions, including asthma.

14           13. Advair is a combination of two other GSK drugs: Flovent® (fluticasone propionate),  
15 an ICS, and Serevent® (salmeterol xinafoate), a LABA.

16           14. Advair is sold in three strengths: Advair Diskus 100/50, Advair Diskus 250/50, and  
17 Advair Diskus 500/50.

18           15. On August 24, 2000, the FDA approved Advair for sale in the United States.

19           16. At the time of FDA approval in August 2000, the Advair label’s Indications section  
20 stated that it was “indicated for the long term, twice-daily, and maintenance treatment of asthma.”  
21 However, the Dosage and Administration section of the label provided that Advair was for  
22 “patients who are not currently on an inhaled corticosteroid, whose disease severity warrants  
23 treatment with 2 maintenance therapies. . . .”

24           17. In 2001, GSK submitted a supplemental New Drug Application (sNDA) for Advair  
25 that sought a broader first-line dosing instruction by providing additional clinical data and by  
26 removing “whose disease severity warrants treatment with 2 maintenance therapies” from the  
27 Dosage and Administration section of the label.

28           18. The FDA did not approve the sNDA and in 2002, GSK withdrew the application.

1           19. In early 2003, GSK halted a clinical trial relating to salmeterol (one of Advair's  
2 component drugs).

3           20. In August 2003, the FDA required the addition of a black box warning to Advair's  
4 label that stated "data from a large placebo-controlled US study that compared the safety of  
5 salmeterol (SEREVENT® Inhalation Aerosol) or placebo added to usual asthma therapy showed  
6 a small but significant increase in asthma-related deaths in patients. . . ."

7           21. In March 2006, the Indications section of the Advair label was modified to state that  
8 Advair was not indicated for patients with asthma controlled on ICS and SABAs alone. The  
9 Dosage and Administration section of the Advair label was also changed to state that "physicians  
10 should only prescribe ADVAIR DISKUS® for patients not adequately controlled on the other  
11 asthma-controller medications . . . or whose disease severity clearly warrants initiation of  
12 treatment with 2 maintenance therapies."

13           22. In June 2010, the black box warning on the Advair label was revised to state that the  
14 currently available data were inadequate to determine if drugs like Advair provide a level of  
15 control that mitigates the increased risk of death from LABA, and that LABA increases the risk of  
16 asthma-related hospitalization in pediatric and adolescent patients.

17           23. The revised black box warning also directs physicians to "step down" patients and  
18 discontinue Advair if possible after asthma control is achieved and maintained.

19           24. This black box revision also added "[d]o not use ADVAIR DISKUS® for patients  
20 whose asthma is adequately controlled on low or medium dose inhaled corticosteroids."

### 21           **GSK'S Marketing of Advair**

22           25. From the time of Advair's launch in 2000 until the 2010 label changes, GSK used  
23 false and misleading representations to promote Advair as a first line treatment for all asthma  
24 patients, including mild asthma patients who were not on ICS medication and only used SABAs  
25 intermittently.

26           26. GSK also provided financial incentives to GSK sales representatives to promote  
27 Advair for mild asthma patients, which encouraged sales representatives to make false and  
28 misleading representations to health care professionals.

1 27. GSK also promoted Advair as a first line treatment for mild asthma patients by  
2 distributing clinical trials that had been determined by the FDA to be insufficient evidence for the  
3 first line treatment for mild asthma patients to health care professionals, without disclosing health  
4 care professionals that the FDA rejected that evidence as insufficient.

#### 5 PAXIL

6 28. Paxil® is GSK's trade name for the drug paroxetine hydrochloride, which is one of a  
7 class of drugs known as selective serotonin reuptake inhibitors (SSRIs).

8 29. In 1992, the FDA approved Paxil to treat depression in adults, and it was  
9 subsequently approved for other uses in adults.

10 30. The FDA never approved Paxil for patients under the age of 18.

11 31. Nonetheless, between 1999 and 2003, GSK deceptively promoted Paxil as safe and  
12 effective for children and adolescents, despite lack of FDA approval and three GSK clinical trials  
13 that both failed to demonstrate Paxil's effectiveness in children and adolescents and raised  
14 concerns that Paxil may be associated with an increased risk of suicide in such patient population.

#### 15 WELLBUTRIN

16 32. Wellbutrin® is GSK's trade name for the drug bupropion hydrochloride, which is one  
17 of a class of drugs known as norepinephrine-dopamine reuptake inhibitors (NDRIs).

18 33. In 1985, the FDA approved Wellbutrin to treat major depressive disorder in adults.

19 34. Between 1999 and 2003, Wellbutrin was not approved for any use other than treating  
20 major depressive disorder in adults.

21 35. Despite this limited indication, between 1999 and 2003, GSK promoted Wellbutrin  
22 for various indications for which GSK had never submitted substantial evidence of safety and  
23 efficacy to the FDA, including weight loss and the treatment of obesity; treatment of sexual  
24 dysfunction; treatment of Attention Deficit Hyperactivity Disorder; treatment of addictions;  
25 treatment of anxiety; treatment of bipolar disorder; and treatment of patients under the age of 18.

26 36. GSK engaged in the off-label promotion of Wellbutrin by encouraging sales  
27 representatives to detail health care professionals directly on the off-label uses; through speaker  
28 programs that promoted off-label; through continuing medical education programs; by paying

1 health care professionals to attend lavish meetings in places like Jamaica and Bermuda where  
2 GSK provided off-label information about Wellbutrin; and by paying health care professionals to  
3 be “consultants” on “advisory boards” where they were presented with information about off-  
4 label uses.

5 **FIRST CAUSE OF ACTION**  
6 **Violations of Business and Professions Code**  
7 **Section 17500 (Untrue or Misleading Representations)**

8 37. The People realleges and incorporates by reference each and every allegation  
9 contained in the preceding paragraphs 1 through 36 as though fully set forth here.

10 38. Defendant, in the course of engaging in the development, manufacture, promotion,  
11 sales, and interstate distribution of prescription drugs, in violation of Business and Professions  
12 Code section 17500, with the intent to induce members of the public to purchase Defendant’s  
13 products, has made representations about Advair, Paxil, and Wellbutrin when Defendant knew the  
14 representations were not true.

15 **SECOND CAUSE OF ACTION**  
16 **Violations of Business and Professions Code**  
17 **Section 17200 (Acts of Unfair Competition)**

18 39. The People realleges and incorporates by reference each and every allegation  
19 contained in the preceding paragraphs 1 through 38 as though fully set forth here.

20 40. Defendant, in the course of engaging in the development, manufacture, promotion,  
21 sales, and interstate distribution of prescription drugs, has engaged in unfair competition as  
22 defined in Business and Professions Code section 17200, by:

23 a. Violating Business and Professions Code section 17500 as alleged in paragraph  
24 38 of the above First Cause of Action and which is incorporated by reference as though fully set  
25 forth here.

26 b. Representing that Advair, Paxil, and Wellbutrin have sponsorship, approval,  
27 characteristics, ingredients, uses, benefits, quantities, or qualities that they do not have.  
28

**PRAYER FOR RELIEF**

**WHEREFORE**, Plaintiff prays that:

1. An injunction be issued pursuant to Business and Professions Code sections 17203 and 17535 restraining and enjoining Defendant and its agents, employees, and all other persons or entities, corporate or otherwise, in active concert or participation with any of them, from violating Business and Professions Code sections 17200 or 17500.

2. Pursuant to Business and Professions Code sections 17206 and 17536, Defendant be assessed a civil penalty of two thousand five hundred (\$2,500) for each violation of Business and Professions Code sections 17200 and 17500, as proved at trial.

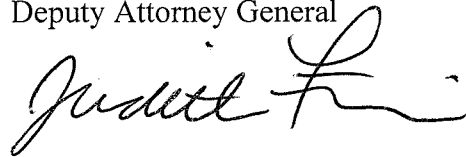
3. The Court order Defendant to pay Plaintiff's attorneys fees and costs.

4. Plaintiff is given such other and further relief as the nature of this case may require and that this Court deems equitable and proper to fully and successfully dissipate the effects of the alleged violations of Business and Professions Code sections 17200 and 17500.

Dated: June 4, 2014

Respectfully Submitted,

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DANIEL A. OLIVAS  
Supervising Deputy Attorney General  
JUDITH FIORENTINI  
Deputy Attorney General



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