

[illegible]



2. Which of the following is the registrant platform charity responsible for pursuant to any partnership identified in response to 1 (“partnering platform”)? Check all that apply.

- ☐ Determine whether recipient charitable organizations are eligible to be listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Obtain consent from recipient charitable organizations to be listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Work with the partnering platform to facilitate removal requests from non-consenting recipient charitable organizations
- ☐ Provide information about recipient charitable organizations reflected in solicitations on the partnering platform
- ☐ Work with the partnering platform on solicitation disclosures provided to platform users
- ☐ Receive donations made to the registrant, in lieu of donations being made to recipient charitable organizations listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Work with the partnering platform on the tax donation receipts sent to donors who made donations on the partnering platform
- ☐ Hold donations made on the partnering platform
- ☐ Determine whether charitable organizations are eligible to be sent donated funds
- ☐ Communicate with donors regarding alternate charitable organizations, when originally selected recipient charitable organizations on the partnering platform are deemed ineligible to be sent donated funds
- ☐ Send donated funds to charitable organizations
- ☐ Provide information on donated funds sent to recipient charitable organizations required by California Code Regulations, title 11, section 321
- ☐ Provide an ability, if they so choose, for donors to find out whether their donations were sent to charitable organizations
- ☐ Provide an ability, if they so choose, for platform users of the partnering platform who make purchases or perform other activity that cause donated funds to be sent to charitable organizations to find out whether the donated funds were sent

3. Other than the charitable fundraising platform(s) identified in response to 1, does the registrant platform charity facilitate solicitations on any other charitable fundraising platform(s) pursuant to a partnership? If “Yes,” provide below the official name and registration number for each charitable fundraising platform.

- ☐ Yes
- ☐ No

Part C. Signature Block

I certify under penalty of perjury that I have examined this form, and to the best of my knowledge and belief, the content is true, correct, and complete, and I am authorized to sign this form.

Signature of Authorized Agent

Name and Title

Date



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, § 300 et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov.

SUBMIT TO:
Registry of Charitable Trusts
at [\[web address\]](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

INSTRUCTIONS FOR NOTIFICATION FROM PLATFORM CHARITIES (FORM PL-3)



Who must file a Form PL-3?

Every platform charity registered as a trustee in California must file a Form PL-3 with the Attorney General's Registry of Charitable Trusts, when the platform charity enters into a partnership with a charitable fundraising platform to facilitate acts of solicitation on that charitable fundraising platform, unless: notification was already provided through registration of the platform charity as a trustee, or through registration of the charitable fundraising platform. See below definitions for charitable fundraising platform and platform charity for what constitutes acts of solicitation on a charitable fundraising platform, and how acts of solicitations are facilitated by a platform charity.

When must a Form PL-3 be filed?

Form PL-3 must be filed within 30 days of entering into a partnership with a charitable fundraising platform, unless notification was already provided through registration of the platform charity as a trustee or the registration of the charitable fundraising platform. For example, if a partnership with a charitable fundraising platform to facilitate acts of solicitation on that charitable fundraising platform is entered into on January 1, a Form PL-3 must be filed by January 30, unless notification was already provided through registration of the platform charity or charitable fundraising platform before January 30. The partnership triggers the filing of a Form PL-3, and not whether any acts of solicitation are publicly accessible on the partnering charitable fundraising platform.

General Instructions

Answer all questions. For the terms defined below, they have the meaning reflected in the below definitions.

If a platform charity entered into a partnership with more than one charitable fundraising platform within the last 30 days, submit one Form PL-3 for all partnerships.

To file a Form PL-3, submit the completed and signed form at [\[web address\]](#). Electronic signatures are accepted.

Definitions for Form PL-3

“Charitable fundraising platform” means any person or entity that uses the internet to provide a website, service, or other platform to persons in California, and performs, permits, or otherwise enables acts of solicitation to occur. Acts of solicitation include solicitations performed as a consulting, coventuring, peer-to-peer, or soliciting charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (b), (c), (j), and (l), for complete definitions.

“Consulting charitable fundraising platform” means a charitable fundraising platform that is customizable and enables charitable organizations to solicit donations made to them from donors, including when charitable organizations manage peer-to-peer charitable fundraising campaigns enabled by the charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1)(E), and California Code of Regulations, title 11, section 314, subdivision (b), for complete definition.

“Coventuring charitable fundraising platform” means a charitable fundraising platform that performs solicitations by listing or referencing by name one or more recipient charitable organizations to be sent donated funds based on purchases made or other activity performed by platform users, including by permitting platform users to select one or more recipient charitable organizations to be sent donated funds. Donated funds for recipient charitable organizations would come from the charitable fundraising platform, or, when platform users can select recipient charitable organizations, from the charitable fundraising platform or other third party persons who are not platform users. See Government Code section 12599.9, subdivision (a)(1)(C) & (D), and California Code of Regulations, title 11, section 314, subdivision (c), for complete definition.

“Donated funds” means a donation or grant of a recommended donation, such as when a charitable fundraising platform or platform charity sends a donation or grant of a recommended donation to a charitable organization. See California Code of Regulations, title 11, section 314, subdivision (e), for complete definition.



“Donation” means a donation or recommended donation made by a donor.

“Donor” means, for charitable fundraising platforms that are not coventuring charitable fundraising platforms, a platform user who makes a donation based on solicitations performed, permitted, or enabled by the charitable fundraising platform. For coventuring charitable fundraising platforms, “donor” means a person or entity who is not a platform user and makes a donation based on solicitations performed, permitted, or enabled by the charitable fundraising platform, and purchases made or other activity performed by platform users.

“Partner” means a platform charity that was retained by a charitable fundraising platform to facilitate solicitations on its charitable fundraising platform pursuant to a contract. “Partner” also means a charitable fundraising platform (platform A) that acts similarly to a platform charity and was retained by another charitable fundraising platform (platform B) to facilitate solicitations on the other charitable fundraising platform (platform B) pursuant to a contract. A charitable fundraising platform acts similarly to a platform charity when it meets the definition of both a platform charity and charitable fundraising platform, but is deemed only a charitable fundraising platform pursuant to Government Code section 12599.9, subdivision (b)(1).

“Partnering platform” means a charitable fundraising platform that retained a platform charity or another charitable fundraising platform (that acts similarly to a platform charity) to facilitate solicitations on its charitable fundraising platform pursuant to a contract.

“Partnership” means a contractual relationship between a charitable fundraising platform and a platform charity, or between two charitable fundraising platforms, where one of the direct or indirect purposes of the contract is to facilitate acts of solicitation on a charitable fundraising platform. See California Code of Regulations, title 11, section 314, subdivision (i), for complete definition.

“Peer-to-peer charitable fundraising platform” means a charitable fundraising platform that permits or enables platform users to solicit donations made by other platform users for one or more recipient charitable organizations. See Government Code section 12599.9, subdivision (a)(1)(B), and California Code of Regulations, title 11, section 314, subdivision (j), for complete definition.

“Platform charity” means a charitable corporation or trustee that facilitates acts of solicitation on a charitable fundraising platform, including by soliciting donations for itself through the platform with the implied or express representation that the platform charity may grant donations to recipient charitable organizations, or granting funds to recipient charitable organizations based on purchases made or other activity performed by platform users. See Government Code section 12599.9, subdivision (a)(5) for complete definition. A person or entity that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

“Platform user” means a person who uses a charitable fundraising platform. A platform user includes a donor who makes a donation to a charitable organization through use of a charitable fundraising platform, a person who engages in peer-to-peer charitable fundraising through use of a charitable fundraising platform, and a person who makes purchases or performs other activity through use of a charitable fundraising platform that causes donated funds to be sent to a charitable organization. See California Code of Regulations, title 11, section 314, subdivision (k), for complete definition.

“Recipient charitable organization” means a charitable corporation or trustee that is listed or referenced by name on a charitable fundraising platform for solicitation purposes. See Government Code section 12599.9, subdivision (a)(7), for complete definition.

“Soliciting charitable fundraising platform” means a charitable fundraising platform that solicits donations from donors who use the platform by listing or referencing by name one or more recipient charitable organizations to be sent donated funds. See Government Code section 12599.9, subdivision (a)(1)(A), and California Code of Regulations, title 11, section 314, subdivision (l), for complete definition.

For Additional Information

Additional information is available on the Attorney General’s website at oag.ca.gov/charities. You may also contact the Attorney General’s Registry of Charitable Trusts at pf@doj.ca.gov. The street address of the Registry of Charitable Trusts is 1300 I Street, Sacramento, CA 95814, and mailing address is Office of the Attorney General, Registry of Charitable Trusts, P.O. Box 903447, Sacramento, CA 94203-4470. Do not mail Form PL-3. It must be filed electronically.