



SUBMIT TO:
Registry of Charitable Trusts
at [\[web address\]](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

FORM PL-4: ANNUAL REPORT FOR CHARITABLE FUNDRAISING PLATFORMS OR PLATFORM CHARITIES FOR 20__

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

Failure to electronically file Form PL-4 by July 15 of each calendar year may result in assessment of late fees and automatic suspension of registration status (Gov. Code, § 12586.1, Cal. Code Regs., tit. 11, § 341)

PART E

(For Registry Use Only)

To Be Completed by Marketing Charitable Fundraising Platforms

Complete if registrant was a marketing charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). If registrant served as another type of charitable fundraising platform (e.g., commercial and/or coventuring charitable fundraising platform), limit the information in Part E to activities in the capacity of a marketing charitable fundraising platform during the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. What activities did platform users perform that caused donations to be sent to recipient charitable organizations? (Select all that apply.)

☐ Purchasing goods or services sold on the platform

☐ Other activities (and specify all other activities in Attachment 1, e.g., using free service offered by platform, making social media posts about the platform, performing exercises)

☐ Check box if answer specified in Attachment 1

2. Specify whether the registrant obtained prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes on the registrant's charitable fundraising platform. Select all that apply.

☐ Registrant obtained prior written consent

☐ Registrant did not have prior written consent

3. Did the registrant provide disclosures listed below in solicitations before non-consenting recipient charitable organizations could be selected or changed? Write "Yes," "No," or "N/A." If "Yes," submit a PDF copy of the disclosure language as viewable by donors and by platform type (e.g., website, mobile device application). If the disclosure language changed in the prior calendar year, provide all versions of the language and specify the timeframes the version was used.

If registrant did not obtain prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes, a statement that non-consenting recipient charitable organizations did not provide consent or permission for the solicitation	
When the registrant, a platform charity, or other third party person made donations based on platform user purchases or other activity, a statement as to who the donations were made to	
If there were circumstances under which a recipient charitable organization may not receive a donation, a statement that a recipient charitable organization may not receive the donation, and an explanation identifying the most pertinent reasons for these circumstances	
The maximum length of time it takes to send a donation based on platform user purchases or other activity, with an explanation as to the length of time	
If fees are deducted from or added to a donation made based on platform user purchases or other activity performed (other than digital payment processing fees), a statement explaining the fees deducted from or added to a donation	

☐ Check box if disclosure language is attached

4. Was any minimum donation amount required to be met before sending donations to recipient charitable organizations? If "Yes," specify the minimum donation amount.

☐ Yes (minimum donation amount: _____)

☐ No



Repeal

5. If “Yes” in response to 4, when purchases or other activity performed by platform users caused donations to be sent to recipient charitable organizations and the minimum amounts were met, were the donations sent on a quarterly basis, or more frequently? Quarterly basis means sending donations within 15 days after the end of a 90-day period.

- ☐ Yes
☐ No
☐ N/A

6. If “No” in response to 4, when purchases or other activity performed by platform users caused donations to be sent to recipient charitable organizations, were the donations sent on a quarterly basis, or more frequently? Quarterly basis means sending donations within 15 days after the end of a 90-day period.

- ☐ Yes
☐ No
☐ N/A

7. For each recipient charitable organization sent donations based on purchases or other activity performed by platform users, complete Attachment 6 by providing the total number of platform users who caused donations to be made, total amount of donations made, fee information, and total amount of donations sent.

☐ Check box if Attachment 6 is attached

8. Were any recipient charitable organizations deemed ineligible to be sent donations, and the donations were not sent to alternate recipient charitable organizations? If “Yes,” explain why in Attachment 1.

- ☐ Yes, and answer specified in Attachment 1
☐ No

9. Were any recipient charitable organizations deemed ineligible to be sent donations, and the donations were instead sent to alternate recipient charitable organizations? If “Yes,” complete and submit Attachment 4.

- ☐ Yes, and Attachment 4 is attached
☐ No

10. Provide the following for donations sent based on purchases or other activity performed by platform users. Use data from Attachments 4 and 6 to provide information.

Total number of platform users who selected recipient charitable organizations, and whose purchases or other activity caused donations to be made	
Total \$ amount of donations made based on platform user purchases or other activity	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total number of recipient charitable organizations that were sent donations	
Total number of alternate recipient charitable organizations that were sent donations (say 0 if no donations sent to alternates)	
Total \$ amount of all donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	



Repeal

11. When donations were sent to recipient charitable organizations, was the information required by California Code of Regulations, title 11, section 321 and listed below provided to the recipient charitable organizations? Write "Yes" or "No."

Information about the solicitations that caused donations to be made	
Each donation amount, with an accounting of how it was calculated, including any fees that were imposed, and by whom, if fees were imposed	
Name and contact information of donors, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	
Name and contact information of persons who made purchases or performed other activity that caused a donation to be made, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	

12. Were platform users who made purchases or performed other activity through the registrant's charitable fundraising platform notified within 15 days of donations being sent to their selected recipient charitable organizations, unless platform users declined to be notified? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1