**CALIFORNIA DEPARTMENT OF JUSTICE**

**TITLE 11. LAW**
**DIVISION 5. FIREARMS REGULATIONS**
**CHAPTER 2. CENTRALIZED LIST OF FIREARMS DEALERS**

**TEXT OF MODIFIED REGULATIONS**

The original text published in the California Code of Regulations has no underline. The initial proposal (noticed on June 6, 2025) is illustrated by blue underline for proposed insertions and ~~red strikethrough~~ for proposed deletions. Changes made after the 45-day comment period are illustrated by black double-underline for proposed additions and ~~black double-strikethrough~~ for proposed deletions.

**Chapter 2. ~~Centralized List of~~California Firearm~~s~~ Dealers**

**Article 1. ~~Title, Scope, and Definitions~~General**

**~~§ 4016. Title and Scope.~~**

~~This chapter shall be known as the "Department of Justice Regulations for the Centralized List of Firearms Dealers." The provisions of these regulations shall apply to the Centralized List of Firearms Dealers and the firearms dealer inspections conducted by the Department of Justice (DOJ).~~

~~Note: Authority cited: Sections 26715 and 26720, Penal Code. Reference: Sections 16585, 26700, 26715, 26720, 28100, 28150, 28155, 28160, 28165, 28170, 28175, 28180, 28205, 28210, 28215, 28220 and 31700, Penal Code; and Sections 478.124, 478.124a and 478.125, Code of Federal Regulations.~~

**§ ~~4017~~4010. Definition of Key Terms.**

(a) "Business premises," "licensed premises," "licensee's business premises," or "licensee's place of business" means the dealership physical address reported pursuant to section 4011.

~~(a)~~(b) "Centralized List" means the list, maintained by the ~~DOJ~~Department, of all persons licensed to sell firearms pursuant to Penal Code section 26715.

~~(b) "Contact person" means a person authorized to act on behalf of a firearms dealer.~~

~~(c) "DOJ representative" means an employee of the DOJ authorized to conduct firearms dealer compliance inspections.~~

(c) "Department" means the California Department of Justice.

(d) "Department Representative" means a representative of the Department who trains and inspects firearm dealers, ammunition vendors, gun show vendors, firearm and ammunition manufacturers, and exempted Federal Firearms Licensees to ensure compliance with state laws and regulations.

~~(d)~~(e) "Firearm~~s~~ dealer" or "dealer" means an individual listed on the Centralized List ~~of Firearms Dealers~~ pursuant to Penal Code section 26700.

~~(e)~~(f) "Firearm~~s~~ transaction records" mean records related to a dealer's firearm~~s~~ business, including: DOJ Dealer Records of Sale (DROS); DOJ Basic Firearms Safety Certificate records; Bureau of Alcohol, Tobacco, ~~and~~ Firearms and Explosives (ATF) Acquisition and Disposition records; and any ATF Form 4473.

~~(f)~~(g) "Reasonable access" means that items to be inspected by a ~~DOJ~~Department ~~r~~Representative are free from physical obstruction or other impediments that would make access difficult or unsafe.

Note: Authority cited: Sections ~~26715 and~~ 26720, 26800 and 27310, Penal Code. Reference: Sections ~~16585, 26700, 26715,~~ 26720, 26800 and 27310~~28100, 28150, 28155, 28160, 28165, 28170, 28175, 28180, 28205, 28210, 28215, 28220 and 31700~~, Penal Code~~; and Sections 478.124, 478.124a and 478.125, Code of Federal Regulations~~.

**Article 2. ~~Centralized List Applications, Fees, and Placement Terms~~ Centralized List of Firearm Dealers**

**§ ~~4018~~4011. Applicant Information.**

[This section is unchanged and only renumbered.]

**§ ~~4019~~4012. Fees.**

Centralized List placement applications shall be accompanied by the appropriate fees as follows:

(a) A Centralized List initial fee and subsequent annual fee of $20 for each applicant at a single location shall be submitted. The annual fee shall be due on January 31st of each year regardless of the date of initial placement on the Centralized List.

(b) A dealer inspection initial fee and subsequent annual fee of $95 per dealership location shall be submitted. The annual fee shall be due on January 31st of each year regardless of the date of initial placement on the Centralized List. Dealers whose place of business is located in a jurisdiction with a local firearm~~s~~ dealer compliance inspection program are not subject to this $95 inspection fee. Upon request, an annual list of such jurisdictions is available from the DOJ.

(c) Any unpaid and uncontested civil fines imposed pursuant to section 4016 are due with payment of the $20 subsequent annual fee.

Note: Authority cited: Sections 26715, 26720 and 26800, Penal Code. Reference: Sections 26715 and 26720, Penal Code.

**§ ~~4021~~4013. Term of Centralized List Placement.**

(a) The term of a Centralized List placement shall end December 31 of every year. For initial placements, the term starts upon actual placement on the Centralized List. For renewal placements, the term starts January 1.

(b) Failure to maintain and submit copies of renewals of current federal, state, and local licenses, permits, or certifications no more than ~~30~~31 days after their respective expirations will result in removal from the Centralized List. Licensees may restore their placement on the list by submitting a renewal application as described in section ~~4018~~4011, subdivision (b)(6).

Note: Authority cited: Sections 26715 and 26720, Penal Code. Reference: Sections 26715 and 26720, Penal Code.

**Article 3. ~~Compliance~~ Inspections, Violations, and Fines**

**§ ~~4022~~4014. Firearm~~s~~ Dealer On-Site Inspections.**

(a) The ~~DOJ~~Department may conduct on-site inspections at a dealer's business premises to determine compliance with all applicable state firearm~~s~~ laws. ~~pursuant to Penal Code section 16575, including the following:~~

~~(1) firearms transfer requirements pursuant to Penal Code sections 16585, 26805, 26815, 26825, 26830, 26840 through 26870, 27500 through 27520, 27530 through 27545, 27555, and 28050 through 28065; and~~

~~(2) firearms dealer records and record retention requirements pursuant to Penal Code sections 16585, 26850, 26900 through 26910, 27525, 27550, 27555, 28060, 28100, 28160 through 28180, 28205 through 28220, and 31700; and~~

~~(3) firearms dealership facility requirements pursuant to Penal Code sections 26810, 26820, 26835, 26875, 26885, and 26890.~~

(b) After an initial inspection, the Department may impose corrective action requirements and conduct follow-up inspections to confirm compliance.

(c) The Department shall conduct inspections during the dealer's hours of operation reported pursuant to section 4011, however an inspection may take place at any time if the Department needs to ensure immediate compliance to protect public health and safety.

~~(b)~~(d) The dealer or ~~contact person~~dealer's agent or employee shall ensure that the ~~DOJ~~Department ~~r~~Representative has reasonable access to all firearm~~s~~ transaction records, the firearm~~s~~ inventory, security features, and all areas within the business premises to which the ~~DOJ~~Department ~~r~~Representative may need access to conduct the inspection. Upon request by the ~~DOJ~~Department ~~r~~Representative, the dealer or ~~contact person~~dealer's agent or employee shall:

(1) make available for inspection all dealer licenses and permits required pursuant to Penal Code section 26700; ~~and~~

(2) locate firearms that are in the waiting period according to the dealer's records or records of the ~~DOJ~~Department; ~~and~~

(3) clarify or explain illegible, unclear, or conflicting entries contained in the firearm~~s~~ transaction records; and

(4) identify the type and location of security measures and devices used at the business premises.

Note: Authority cited: Sections 26720 and 26800, Penal Code. Reference: Sections ~~16585, 26805, 26810, 26815, 26820, 26825, 26830, 26835, 26840, 26845, 26850, 26855, 26860, 26865, 26870, 26875, 26885, 26890, 26900, 26905, 26910, 27500, 27505, 27510, 27515, 27520, 27525, 27530, 27535, 27540, 27545, 27550, 27555, 28050, 28055, 28060, 28065, 28100, 28160, 28165, 28170, 28175, 28180, 28205, 28210, 28215, 28220 and 31700~~26720 and 26800, Penal Code~~; and Title 47, Parts 478.124, 478.124a and 478.125, Code of Federal Regulations~~.

**§ ~~4023~~4015. Notification of Preliminary Inspection ~~Results and Corrective Action~~Findings.**

(a) ~~The DOJ will notify the dealer, in writing, of the results of the inspection as soon as possible upon completion of the inspection.~~ Inspections may be conducted at the dealer's business premises pursuant to section 4014, or at gun shows pursuant to Penal Code section 27310. ~~The preliminary findings of all dealer inspections shall be recorded on the Notification of Inspection Findings (Form BOF 08-022).~~ The Department will notify the dealer in writing of the preliminary findings of the inspection (also referred to as the preliminary findings document) as soon as possible upon completion of the inspection. The preliminary findings document will include the following:

(1) Potential violations,

(2) Potential remedial actions.

(3) Date of the Inspection, and

(4) Name of Department Representative.

A citation may be subsequently issued pursuant to section 4016.

~~(b) If the dealer fails to comply with any of the firearm laws in Penal Code section 16575, including any applicable DOJ regulations, the notification will cite the violations and may specify corrective action requirements. The notification will include an acknowledgment prepared by the DOJ, that the dealer is aware of the violation(s) and has performed all specific and general corrective actions necessary to correct past violations and to ensure future violations do not occur.~~

~~(1) The dealer shall comply with the corrective action requirements if any, including the return of the acknowledgment, signed by the dealer or contact person, and postmarked no later than 30 days after the date of the notification, unless the DOJ determines the~~

~~violation requires more immediate attention. If immediate attention is required, the dealer must comply within the time frame specified in the DOJ's notification.~~

~~(2) The DOJ may conduct a follow-up inspection to confirm the dealer has complied with the corrective action. If a follow-up inspection is conducted and the dealer is found to have complied with the corrective action the DOJ will notify the dealer of such no later than 30 days after the follow-up inspection.~~

(b) Upon receipt of ~~a completed Form BOF 08-022~~the preliminary findings document, the dealer or dealer's agent or employee shall sign the ~~form~~preliminary findings document to acknowledge receipt. The Department Representative shall ~~issue~~deliver the ~~completed Form BOF 08-022~~preliminary findings document using one of the following methods:

(1) If the dealer is present, personal delivery to the dealer at the completion of the inspection;

(2) If the dealer is not present, personal delivery to the dealer's agent or employee at the completion of the inspection, with a copy mailed to the dealer's mailing address; or

(3) If the dealer or the dealer's agent or employee will not sign ~~Form BOF 08-022~~the preliminary findings document, the Department Representative shall make note of that on the ~~form~~document and leave a copy of the ~~form~~document with the dealer or the dealer's agent or employee, with a copy mailed to the dealer's mailing address.

Note: Authority cited: Sections ~~26715 and~~ 26720, 26800 and 27310, Penal Code. Reference: Sections ~~26715 and~~ 26720, 26800 and 27310, Penal Code.

**§ 4016. Citations and Civil Fines.**

(a) If violations were found during an inspection, the Department shall include the following in a subsequently issued citation:

(1) The statute or regulation violated;

(2) The violation level as described in section 4017;

(3) A summary and description of the violation, stating the manner in which the dealer failed to comply with the law, and, if applicable, the particular place or area where the violation occurred;

(4) If applicable, action to be taken to correct a violation and compliance date to complete the corrective action. ~~The compliance date~~ A dealer shall ~~be no more than~~ have 30 calendar days following the date of the citation to complete the corrective actions, with the following exceptions: ~~, unless~~

(i) T~~t~~he Department determines that the violation cannot reasonably be corrected in that time period. When determining the compliance date, the Department shall consider the seriousness of the violation, including risk of public harm, and the reasonable time necessary for corrections; ~~and~~or

(ii) The compliance date is stayed when an appeal is pending pursuant to section 4020. Appeals shall be conducted in accordance with the Administrative Procedure Act (Government Code section 11500 et seq.); and

(5) the civil fine(s) imposed pursuant to subdivision (c).

(6) The dealer shall notify the Department of the completed corrective action in a manner prescribed by the Department on the citation. The manner prescribed shall be on a case by case basis and the factors include but are not limited to, the nature and complexity of the violation, dealer's history of compliance, and the number of violations.

(b) The citation and the Firearm Dealer Appeals Form (Form BOF 1112; Orig. ~~01/2026~~02/2026) shall be sent via certified mail to the dealer's mailing address.

(c) The civil fine is based upon violation level as follows:

(1) $100 for each Level 1 violation.

(2) $500 for each Level 2 violation.

(3) $1,000 for each Level 3 violation.

(4) $1,000 for each Level 4 violation.

(d) The payment due date for each civil fine shall be specified in the citation, which shall be 30 calendar days from the date of ~~assessment indicated on~~ the citation. In the instances where the Department determines that the violation cannot be reasonably corrected in 30 calendar days pursuant to subdivision (a)(4)(i), the payment due date for the civil fine shall be the compliance date.

(e) Level 1 and Level 2 violations that are corrected by the compliance date are not subject to a civil fine.

(f) For Level 3 and Level 4 violations that subject the dealer's license to forfeiture pursuant to Penal Code section 26800, subdivision (a), the civil fine is increased to $3,000 if:

(1) the dealer fails to take corrective action by the compliance date; or

(2) the Department determines the dealer knowingly or with gross negligence violated the law.

Note: Authority cited: Sections 26800 and 27310, Penal Code. Note: References: Sections 26800 and 27310, Penal Code.

**§ 4017. Violation Level.**

(a) Violations shall be classified as Level 1, 2, 3, or 4 according to Firearm Dealer Violation List (Form BOF 1050; Orig. ~~01/2026~~02/2026), hereby incorporated by reference.

(b) Violations not included in Form BOF 1050 will be classified by the Department as Level 1, 2, 3, or 4. When classifying a violation, the Department shall consider all relevant facts, including:

(1) The nature and gravity of the violation; and

(2) Actual or potential harm to the public, including the probability and severity of risk that the violation presents to public safety~~;~~.

~~(3) Good faith efforts exercised by the dealer to prevent the violation from occurring;~~

~~(4) Evidence that the violation was a part of a pattern or practice; and~~

~~(5) The dealer's history of compliance with statutes and regulations.~~

Note: Authority cited: Section 26800, Penal Code. Reference: Section 26800, Penal Code.

**§ 4018. Requests to Extend Compliance Date.**

(a) A dealer may request to extend the compliance date to complete a corrective action. Such requests must be received by the Department before the compliance date and shall include a statement of facts and supporting evidence demonstrating good cause exists for an extension. The Department may extend the compliance date for good cause, which shall be demonstrated by one of the following:

(1) Evidence that the dealer exercised reasonable diligence, but was unable to correct the violation by the compliance date because of conditions beyond their control.

(2) Evidence that the dealer is unable to correct the violation by the compliance date because of personal circumstances beyond their control, such as hospitalizations or emergency family matters.

(b) No later than five business days after receiving a request for extension, the Department shall notify the dealer whether the request has been approved. If a request for an extension of time is approved, the Department will determine a new compliance date. When determining the compliance date, the Department shall consider the seriousness of the violation, including risk of public harm, and the reasonable time necessary for corrections taking into account the dealer's circumstances.

Note: Authority cited: Sections 26720, 26800 and 27310, Penal Code. Reference: Sections 26720, 26800 and 27310, Penal Code.

**§ 4019. Payment and Collection.**

(a) A dealer must pay a civil fine assessed against them via check or money order. Payments must be paid to the order of the Department of Justice and sent to the following address:

California Department of Justice
Bureau of Firearms - RCU
PO BOX 160367
Sacramento, CA 95820

Note: Authority cited: Section 26800 and 27310, Penal Code. Reference: Sections 26800 and 27310, Penal Code.

**§ 4020. Appeals.**

(a) A dealer may appeal the imposition of any ~~civil fine~~ citation. Appeals must be postmarked or received no later than 30 calendar days after the date of ~~assessment indicated on~~ the citation. Civil fines are stayed if a timely appeal is received.

(1) Dealers who are granted an extension pursuant to section 4018 to correct a Level 1 or Level 2 violation have an additional 30 calendar days from the issuance of the new correction due date to file a timely appeal.

(2) The deadline to appeal a fine from a Level 3 or Level 4 violation is 30 calendar days after the date of ~~assessment indicated on~~ the citation even if the Department granted an extension to correct the violation.

(b) All appeals must be submitted to the Department on BOF Form 1112 (Orig. ~~09/2024~~02/2026), which is hereby incorporated by reference.

(c) Appeals shall be conducted in accordance with the Administrative Procedure Act (Government Code section 11500 et seq.).

Note: Authority cited: Section 26800, Penal Code. Reference: Section 26800, Penal Code.

**Article 4. Removal from Centralized List**

**§ 4024. Removal from Centralized List.**

(a) ~~If a dealer does not comply with corrective action requirements, or upon determination by the DOJ that a dealer has otherwise knowingly or with gross negligence violated firearms laws pursuant to Penal Code section 16575, including any applicable DOJ regulations, the DOJ may remove the dealer from the Centralized List.~~The Department may remove a dealer from the Centralized List if they knowingly or with gross negligence violate a provision listed in Penal Code section 16575.

(b) The Department may remove a dealer from the Centralized list for violations that subject the dealer's license to forfeiture pursuant to Penal Code section 26800, subdivision (a).

~~(b)~~(c) ~~All h~~Hearings related to the removal of a dealer from the Centralized List pursuant to this section shall be conducted in accordance with Government Code section 11500 et seq.

Note: Authority cited: Sections 26715 and ~~26720~~26800, Penal Code. Reference: Sections 26715 and ~~26720~~26800, Penal Code~~; Sections 11500, 11501, 11502, 11503, 11504, 11504.5, 11506, 11507, 11507.3, 11507.5, 11507.6, 11507.7, 11508, 11509, 11511, 11511.5, 11511.7, 11512, 11513, 11514, 11515, 11516, 11517, 11518, 11518.5, 11519, 11519.1, 11520, 11521, 11522, 11523, 11524, 11526, 11527, 11528 and 11529, Government Code~~.