



COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES

ANNUAL REGISTRATION FORM ~~FOR 20~~ _____

California Government Code section 12599
California Code of Regulations, title 11, section 313

Failure to register by January 15th for each calendar year of solicitation may result in assessment of late fees as defined in Government Code section 12586.1.

A CHECK IN THE AMOUNT OF \$500
PAYABLE TO THE REGISTRY OF CHARITIES AND FUNDRAISERS
MUST ACCOMPANY THIS REGISTRATION FORM

PAY \$500 FEE AND COMPLETE FORM CT-1CF AS A FILLABLE FORM
VIA THE ONLINE FILING SERVICE AVAILABLE ON THE ATTORNEY
GENERAL'S CHARITIES WEB PAGE

Failure to register by January 15th for each calendar year of solicitation may result in assessment of late fees (Gov. Code, § 12586.1). If applicable, late fees shall be paid via the online filing service.

Do not submit Form CT-1CF as a PDF file

(For Registry Use Only)

Which type of professional fundraiser would you like to register or renew registration for?
 Commercial Fundraiser Fundraising Counsel Commercial Coventurer

If registrant is renewing registration, select the registration you would like to renew:

Which year are you registering for?

Official Name and Address of Commercial Fundraiser for Charitable Purposes:	Registration Number _____ Legal Form of Commercial Fundraiser for Charitable Purposes:
Name of commercial fundraiser for charitable purposes	<input type="checkbox"/> Corporation <input type="checkbox"/> LLC <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other
Address (Do Not Use P.O. Box)	Federal Employer I.D. Identification Number (FEIN) _____
City or Town, State and Zip Code	Telephone Number () _____
<u>In addition to the OFFICIAL name, enter all other names and addresses under which this commercial fundraiser for charitable purposes is known or operates</u>	State in which organized _____
Email Address	Date organized _____

Mailing Address (if different from Official Address):

Mailing Address _____

City, State, and Zip Code _____

~~In addition to the OFFICIAL name, enter all other names and addresses under which this commercial fundraiser for charitable purposes is known or operates:~~

Legal Form of Commercial Fundraiser for Charitable Purposes: Corporation LLC
 Partnership Sole Proprietorship Other

State in which organized _____ Date organized _____



Enter the name, address, title, and relationship of each person who is an officer, director, partner, member, or sole proprietor responsible for directing and supervising the work of the commercial fundraiser for charitable purposes.

Name	Address	Title/Relationship to Commercial Fundraiser
	Address: _____ Email: _____	Title _____ Relationship Type: <input type="checkbox"/> Board of Directors <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Member <input type="checkbox"/> Sole Proprietor
	Address: _____ Email: _____	Title _____ Relationship Type: <input type="checkbox"/> Board of Directors <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Member <input type="checkbox"/> Sole Proprietor
	Address: _____ Email: _____	Title _____ Relationship Type: <input type="checkbox"/> Board of Directors <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Member <input type="checkbox"/> Sole Proprietor

Identify commercial fundraiser for charitable purposes' fundraising method(s) activities. Check/Select all boxes that apply:

- | | | |
|---|--|---|
| <input type="checkbox"/> Advertising Sales Auction | <input type="checkbox"/> Entertainment Event Advertising Sales | <input type="checkbox"/> Telephone Solicitation Direct-Mail |
| <input type="checkbox"/> Auction Beauty Pageant | <input type="checkbox"/> Honor Boxes Car Donations | <input type="checkbox"/> Theater Entertainment Event |
| <input type="checkbox"/> Beauty Pageant Concert | <input type="checkbox"/> Magazine Sales Dinner | <input type="checkbox"/> Thrift Store Publication |
| <input type="checkbox"/> Car Donations Discount Coupons | <input type="checkbox"/> Publication Door-to-Door Solicitation | <input type="checkbox"/> Trash Bags Sports Event |
| <input type="checkbox"/> Concert Honor Boxes | <input type="checkbox"/> Safety Products Magazine Sales | <input type="checkbox"/> Theater |
| <input type="checkbox"/> Dinner Salvageable Personal Property | <input type="checkbox"/> Salvageable Personal Property Safety Products | <input type="checkbox"/> Vending Machines |
| <input type="checkbox"/> Direct Mail Telemarketing | <input type="checkbox"/> Sports Event Telephone Solicitation | <input type="checkbox"/> Other (Specify): _____ |
| <input type="checkbox"/> Discount Coupons Thrift Store | <input type="checkbox"/> Telemarketing Trash Bags | |
| <input type="checkbox"/> Door-to-Door Solicitation Other (Specify): | | |

Is any director, officer, or employee of the commercial fundraiser for charitable purposes a director, officer, or employee of any charitable organization with which it has contracted to solicit?

- Yes No

If "yes," complete the following:

Name and address of director, officer or employee of commercial fundraiser for charitable purposes	Name and address of charitable organization	Relationship of officer, etc. to charitable organization

For each affiliation identified above, attach a copy of the contract between the commercial fundraiser for charitable purposes and the charity/charitable organization.

Does the commercial fundraiser for charitable purposes have every written contract that it is required to have pursuant to Government Code section 12599, subdivision (i)?

- Yes No

Has the commercial fundraiser for charitable purposes ever had any license, registration or permit denied, cancelled, suspended or revoked, or had any official disciplinary or legal action taken against it? Is any such action currently pending against the commercial fundraiser for charitable purposes or any of its representatives in relation to any fundraising activity?

- Yes No



If "yes," complete the following:

Name and address of government agency bringing action	Nature of action. Indicate against whom action was taken and disposition	Date <u>action was filed or resolved</u>

For each government action identified above, submit in one PDF file a copy of the document initiating each action, the resolution, and any other document you believe is necessary to understand each action or current status.

Are you choosing to accompany your application for registration or renewal of registration as a commercial fundraiser for charitable purposes with a surety bond or cash deposit?

Surety Bond Cash Deposit

~~Check box if attachments are included.~~

~~Does the commercial fundraiser for charitable purposes have a written contract with the charitable organization, in accordance with the provisions of Government Code sections 12599 and 12599.3?~~

~~Yes No~~

~~I certify under penalty of perjury that I am authorized to sign this registration form and that the information provided herein, including attachments, is true and complete to the best of my knowledge and belief. Having typed my name below and by submitting this form, I certify, under penalty of perjury under the laws of the State of California, that I have examined this form, including accompanying information (if any), and to the best of my knowledge and belief, the content thereof is true, correct, and complete; that I understand an electronic signature has the same legal effect as a handwritten signature; and that I am authorized to sign this form for the applicant/registrant.~~

Name and Signature of Authorized Agent Printed Name Title Date



Office of the Attorney General
Registry of Charities and Fundraisers
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charities and Fundraisers (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code, § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, § 300 et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code, §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charities and Fundraisers, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov.

MAIL TO:
Office of the Attorney General
Registry of Charities and
Fundraisers
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR REGISTRATION COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES (Form CT-1CF)



WHO MUST FILE

Every commercial fundraiser for charitable purposes in California must register with the Attorney General's Registry of Charities and Fundraisers prior to soliciting any funds in California for charitable purposes.

WHEN TO FILE

Commercial fundraisers for charitable purposes must register annually in California for each calendar year of solicitation. The deadline for filing the registration form is January 15 of the applicable year.

FEE MUST ACCOMPANY REGISTRATION

A registration fee of \$500 must accompany each registration form. ~~Checks should be made payable to the ATTORNEY GENERAL'S REGISTRY OF CHARITIES AND FUNDRAISERS. Registration forms not accompanied by full payment will not be processed and will be returned.~~

WHO MUST SUBMIT A CASH DEPOSIT OR SURETY BOND

Every commercial fundraiser for charitable purposes, as defined in Government Code section 12599, subdivision (a), in California must file a cash deposit or a surety bond issued by an admitted surety in favor of the State of California, in a form acceptable to the Attorney General.

WHEN TO FILE

Each application for registration or renewal of registration (Form CT-1CF) by a commercial fundraiser for charitable purposes must be accompanied by a cash deposit or surety bond as described above.

CASH DEPOSIT OR SURETY BOND REQUIREMENTS AND HOW TO FILE

The cash deposit or surety bond must be in the amount of twenty-five thousand dollars (\$25,000) and shall be for the benefit of any person damaged as a result of malfeasance or misfeasance in the conduct of the activities specified in Government Code section 12599, subdivision (a). The bond may be in the form of a rider to a larger blanket liability bond.

If a surety bond is chosen, a completed and notarized Form CT-4CF, together with the power of attorney referenced in Form CT-4CF, shall be filed as a PDF file via the online filing service available on the Attorney General's Charities web page, when Form CT-1CF is filed.

If a cash deposit is chosen, a completed and notarized Form CT-8CF shall be filed as a PDF file via the online filing service available on the Attorney General's Charities web page, when Form CT-1CF is filed; and the original passbook or other original document evidencing ownership or control of the deposit account shall be mailed to the Registry of Charities and Fundraisers, P.O. Box 903447, Sacramento, CA 94203-4470. In addition, Form CT-9CF shall be completed by the financial institution and filed as a PDF file by the commercial fundraiser for charitable purposes when it files Form CT-1CF via the online filing service available on the Attorney General's Charities web page; in the alternative, the financial institution may mail the completed Form CT-9CF directly to the Registry of Charities and Fundraisers, P.O. Box 903447, Sacramento, CA 94203-4470.

BOND MUST ACCOMPANY REGISTRATION

~~Each application for registration or renewal of registration must be accompanied by a cash deposit or a bond issued by an admitted surety in favor of the State of California and in a form acceptable to the Attorney General. The cash deposit or bond shall be in the amount of twenty-five thousand dollars (\$25,000).~~

FEES MAY BE IMPOSED FOR DELINQUENT REGISTRATION

~~Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of the month for registrations not received by January 15. This code section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."~~

FOR ADDITIONAL INFORMATION

~~Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charities and Fundraisers at PF@doj.ca.gov.~~