

From: ATA Law Group

Fax: (510) 398-0091

To: 5102675739@rcfax.com Fax: (510) 267-5739

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Matthew C. Maclear (State Bar No. 209228)
Amanda Prasuhn (State Bar No. 306718)
AQUA TERRA AERIS LAW GROUP
490 43rd Street, Suite 108
Oakland, CA 94609
Telephone: (415) 568-5200
Email: mcm@atalawgroup.com

Attorney for Plaintiff

The Chemical Toxin Working Group, Inc.
("CTWG")

SUPERIOR COURT OF THE STATE OF CALIFORNIA

COUNTY OF ALAMEDA

UNLIMITED JURISDICTION

THE CHEMICAL TOXIN WORKING GROUP,
INC., a California non-profit corporation,

Plaintiff,

v.

A&B AZUSA, INC., a California corporation,
doing business as SEAFOOD CITY
SUPERMARKET; and DOES 1-25, inclusive,

Defendants.

Case No. _____

**COMPLAINT FOR CIVIL PENALTIES
AND INJUNCTIVE RELIEF**

(Health & Saf. Code, § 25249.5 *et seq.*; Bus. &
Prof. Code, § 17200 *et seq.*)

FILED BY FAX

ALAMEDA COUNTY

August 13, 2018

CLERK OF
THE SUPERIOR COURT
By Dajuana Turner, Deputy

CASE NUMBER:

RG18916469

1 Plaintiff THE CHEMICAL TOXIN WORKING GROUP, INC. (“PLAINTIFF” or “CTWG”)
2 brings this action in the interests of the general public, and on information and belief, hereby alleges:

3 **INTRODUCTION**

4 1. This action seeks to remedy the continuing failure of Defendant A&B AZUSA, INC.,
5 doing business as SEAFOOD CITY SUPERMARKET (“DEFENDANT”) to clearly and reasonably
6 warn consumers in California they are being exposed to lead, a chemical known to the State of
7 California to cause cancer and reproductive toxicity (developmental toxicity, male reproductive toxicity,
8 and female reproductive toxicity) and cadmium, a chemical known to the State of California to cause
9 cancer and reproductive toxicity (developmental toxicity and male reproductive toxicity). According to
10 the Safe Drinking Water and Toxics Enforcement Act of 1986, Health and Safety Code section 25249.5
11 *et seq.* (also known as and referred to hereafter as “Proposition 65”), persons in the course of doing
12 business must provide persons with a “clear and reasonable warning” before exposing individuals to
13 chemicals known to the state to cause cancer or reproductive harm. DEFENDANT manufactures,
14 packages, distributes, markets, and/or sells in California certain products containing lead known as
15 Pete’s Seafood Garlic Butter Clams, Pete’s Seafood BBQ Butter Mussels, and Sanford Sustainable
16 Seafood New Zealand Greenshell Mussels on the Half Shell, and a certain product containing cadmium
17 known as Oceankist Whole Blue Mussels with Natural Broth (“SUBJECT PRODUCTS”).

18 2. Lead and cadmium (the “LISTED CHEMICALS”) are substances known to the state of
19 California to cause cancer and/or reproductive toxicity.

20 3. The consumption of the SUBJECT PRODUCTS causes exposure to the LISTED
21 CHEMICALS at levels requiring a “clear and reasonable warning” under Proposition 65. (Health & Saf.
22 Code, § 25249.6.) DEFENDANT exposes consumers of the SUBJECT PRODUCTS to the LISTED
23 CHEMICALS and has failed to provide the health hazard warnings required by Proposition 65.

24 4. DEFENDANT’S continued manufacturing, packaging, distribution, marketing, and/or
25 sales of the SUBJECT PRODUCTS without the required health hazard warnings causes individuals to
26 be involuntarily, unknowingly, and unwittingly exposed to levels of the LISTED CHEMICALS that
27 violate Proposition 65.

PARTIES

5. PLAINTIFF is a non-profit public benefit corporation organized under California law. CTWG is dedicated to, among other causes, reducing the amount of chemical toxins in consumer products, the promotion of human health, environmental safety, and improvement of worker and consumer safety.

6. CTWG is a person within the meaning of Health and Safety Code section 25249.11 and brings this enforcement action in the public interest pursuant to Health and Safety Code section 25249.7, subdivision (d), which specifies a person may bring an action to enforce Proposition 65 in the public interest, provided certain notice requirements and that no other public prosecutor is diligently prosecuting an action for the same violation(s).

7. A&B AZUSA, INC., doing business as SEAFOOD CITY SUPERMARKET, is now, and was at all times relevant herein, a corporation organized under the laws of California and doing business within the meaning of Health and Safety Code section 25249.11, subdivision (b), at 2883 Surveyor Street, Pomona, California 91768.

8. DEFENDANT owns, administers, directs, controls, and/or operates facilities and/or its agents, distributors, sellers, marketers, or other retail operations place the SUBJECT PRODUCTS into the stream of commerce in California (including but not limited to Alameda County) which contain the LISTED CHEMICALS without first giving "clear and reasonable" warnings.

9. The true names and capacities of Defendants herein named as DOES 1 through 25, inclusive, are unknown to PLAINTIFF, who therefore sues said Defendants by such fictitious names. PLAINTIFF will seek leave of Court to amend this complaint to show their true names when the same have been ascertained. Each of these Defendants were in some way legally responsible for the acts, omission and violations alleged herein. Defendants, DOES 1 through 25 inclusive and the DEFENDANT, are collectively referred to herein as "DEFENDANTS."

10. DEFENDANTS were at all times relevant to the claims in this Complaint and continuing through the present, legally responsible for compliance with the provisions of Proposition 65. Whenever an allegation regarding any act of any DEFENDANT is made herein, such allegation shall be deemed to

1 meant that DEFENDANTS, or their agents, officers, directors, managers, supervisors, or employees, did
2 or so authorized such acts while engaged in the affairs of DEFENDANTS' business operations and/or
3 while acting within the course and scope of their employment or while conducting business for
4 DEFENDANTS for a commercial purpose.

5 11. In this Complaint, when reference is made to any act of any DEFENDANT, such
6 allegation shall mean that the owners, officers, directors, agents, employees, contractors, or
7 representatives of DEFENDANTS acted or authorized such actions, and/or negligently failed and
8 omitted to act or adequately and properly supervise, control, or direct its employees and agents while
9 engaged in the management, direction, operation, or control of the affairs of the business organizations.
10 Whenever reference is made to any act of any DEFENDANT, such allegation shall be deemed to mean
11 the act of each DEFENDANT acting individually, jointly, and severally as defined by Civil Code
12 section 1430 *et seq.*

13 **JURISDICTION AND VENUE**

14 12. This Court has jurisdiction over this action pursuant to California Constitution, Article
15 VI, Section 10, which grants the Superior Court "original jurisdiction in all causes except those given by
16 statute to other trial courts." The statute under which this action is brought does not specify any other
17 court with jurisdiction.

18 13. This Court has jurisdiction over DEFENDANTS because they are a business entity that
19 does sufficient business, has sufficient minimum contacts in California, or otherwise intentionally avails
20 itself of the California market, through the sale, marketing, and use of the SUBJECT PRODUCTS in
21 California, to render the exercise of jurisdiction over it by the California courts consistent with
22 traditional notions of fair play and substantial justice.

23 14. Venue is proper in the Alameda County Superior Court, pursuant to Code of Civil
24 Procedure sections 395 and 395.5, because this Court is a court of competent jurisdiction, because one
25 or more instances of wrongful conduct occurred, and continue to occur, in Alameda County, and
26 because the cause of action, or part thereof, arises in Alameda County because DEFENDANTS'
27 violations occurred (the SUBJECT PRODUCTS are marketed, offered for sale, sold, used, and/or
28

consumed without clear and reasonable warnings) in this County.

STATUTORY BACKGROUND

15. The People of the State of California declared in Proposition 65 their right “[t]o be informed about exposures to chemicals that cause cancer, birth defects, or other reproductive harm.” (Health & Saf. Code, Div. 20, Ch. 6.6 Note [Section 1, subdivision (b) of Initiative Measure, Proposition 65].) Proposition 65 is classically styled as a “right-to-know” law intended to inform consumers’ choices prior to exposure.

16. To effect this goal, Proposition 65 requires that individuals be provided with a “clear and reasonable warning” before being exposed to substances listed by the State of California as causing cancer or reproductive toxicity. (Health & Saf. Code, § 25249.6.) Health and Safety Code section 25249.6 states, in pertinent part, “[n]o person in the course of doing business shall knowingly and intentionally expose any individual to a chemical known to the state to cause cancer or reproductive toxicity without first giving clear and reasonable warning to such individual . . .”

17. An exposure to a chemical in a consumer product is one “which results from a person’s acquisition, purchase, storage, consumption, or other reasonably foreseeable use of a consumer good, or any exposure that results from receiving a consumer service.” (Cal. Code Regs., tit. 27, § 25602, subd. (b).) California Code of Regulations, title 27, section 25603, subdivision (c) states that “a person in the course of doing business . . . shall provide a warning to any person to whom the product is sold or transferred unless the product is packaged or labeled with a clear and reasonable warning.”

18. Pursuant to California Code of Regulations, title 27, section 25603.1:

The warning may be provided by using one or more of the following methods singly or in combination:

(a) A warning that appears on a product's label or other labeling.

(b) Identification of the product at the retail outlet in a manner which provides a warning. Identification may be through shelf labeling, signs, menus, or a combination thereof.

(c) The warnings provided pursuant to subparagraphs (a) and (b) shall be prominently placed upon a product's label or other labeling or displayed at

the retail outlet with such conspicuousness, as compared with other words, statements, designs, or devices in the label, labeling or display as to render it likely to be read and understood by an ordinary individual under customary conditions of purchase or use.

(d) A system of signs, public advertising identifying the system and toll-free information services, or any other system that provides clear and reasonable warnings.

19. Proposition 65 provides that any “person who violates or threatens to violate” the statute may be enjoined in a court of competent jurisdiction. (Health & Saf. Code, § 25249.7). The phrase “threaten to violate” is defined to mean creating “a condition in which there is a substantial probability that a violation will occur.” (*Id.*, § 25249.11, subd. (e).) Violators are liable for civil penalties of up to \$2,500 per day for each violation of the Act. (*Id.*, § 25249.7, subd. (b).)

FACTUAL BACKGROUND

20. On February 27, 1987, the State of California officially listed lead as a chemical known to cause reproductive toxicity: developmental toxicity, male reproductive toxicity, and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

21. The Maximum Allowable Dose Level (“MADL”) for lead, reproductive toxicity is 0.5 µg/day. The MADL is calculated based on a body weight of 58 kg for an adult or pregnant woman, 70 kg for an adult male, 40 kg for an adolescent, 20 kg for a child, 10 kg for an infant, and 3.5 kg for a neonate. (Cal. Code Regs. tit. 27, § 25803, subd. (b).) The exposure estimate from Pete’s Seafood Garlic Butter Clams, Pete’s Seafood BBQ Butter Mussels, and Sanford Sustainable Seafood New Zealand Greenshell Mussels on the Half Shell exceed the lead MADL set by the California Office of Environmental Health Hazard Assessment. As a result, Pete’s Seafood Garlic Butter Clams, Pete’s Seafood BBQ Butter Mussels, and Sanford Sustainable Seafood New Zealand Greenshell Mussels on the Half Shell need clear and reasonable warnings under Proposition 65.

22. On October 1, 1987, the State of California officially listed cadmium as a chemical known to cause cancer. On May 1, 1997, the State of California officially listed Cadmium as causing reproductive toxicity: developmental toxicity and male reproductive toxicity.

23. The MADL - oral for cadmium, reproductive toxicity is 4.1 µg/day. The MADL is calculated based on a body weight of 58 kg for an adult or pregnant woman, 70 kg for an adult male, 40 kg for an adolescent, 20 kg for a child, 10 kg for an infant, and 3.5 kg for a neonate. (Cal. Code Regs. tit. 27, § 25803, subd. (b).) The exposure estimate from Oceankist Whole Blue Mussels with Natural Broth exceeds the MADL set by the California Office of Environmental Health Hazard Assessment. As a result, Oceankist Whole Blue Mussels with Natural Broth needs a clear a reasonable warning under Proposition 65.

24. PLAINTIFF purchased the SUBJECT PRODUCTS from DEFENDANTS on November 18, 2017, and therefore “suffered injury in fact and has lost money or property as a result of the unfair competition” under Business and Professions Code section 17204.

25. To test DEFENDANTS’ SUBJECT PRODUCTS for lead and cadmium, PLAINTIFF hired a well-respected and accredited testing laboratory that used the testing protocol used and approved by the California Attorney General. The results of testing undertaken by PLAINTIFF of DEFENDANTS’ SUBJECT PRODUCTS show the SUBJECT PRODUCTS tested were in violation of the 0.5 µg/day “safe harbor” daily dose limit for lead and the 4.1 µg/day “safe harbor” daily dose limit for cadmium set forth in Proposition 65’s regulations. As a result, the SUBJECT PROJECTS need clear a reasonable warning under Proposition 65.

26. The consumer exposures that are the subject of this notice result from the recommended use of the product, ingestion. Consumers and other individuals, including women of childbearing age and developmental females and males, are orally exposed to the LISTED CHEMICALS by eating the product.

27. At all times relevant to this action, DEFENDANTS are a person¹ in the course of doing business² in California.

28. At all times relevant to this action, DEFENDANTS, therefore, have knowingly and

¹ “PERSON” includes an “individual, trust, firm, joint stock company, corporation, company, partnership, limited liability company, and association.” (Health and Safety Code, § 25249.11, subd. (a).)

² “PERSON IN THE COURSE OF DOING BUSINESS” includes a PERSON employing more than ten employees. (See *id.* § 25249.11, subd. (b).)

intentionally exposed the users/consumers of the SUBJECT PRODUCTS to the LISTED CHEMICALS without first giving a clear and reasonable warning to such individuals.

29. The SUBJECT PRODUCTS have allegedly been sold by DEFENDANTS to consumers in the State of California since at least June 7, 2014. The SUBJECT PRODUCTS continue to be distributed and sold in California without the requisite warning information.

30. As a proximate result of acts by DEFENDANTS, as persons in the course of doing business within the meaning of Health and Safety Code section 25249.11, individuals throughout the State of California, including in the County of Alameda, have been exposed to the LISTED CHEMICALS without a clear and reasonable warning on the SUBJECT PRODUCTS. The individuals subject to the violative exposures include normal and foreseeable users/consumers of the SUBJECT PRODUCTS, as well as all other persons exposed to the SUBJECT PRODUCTS.

31. On June 7, 2018, CTWG served DEFENDANTS and the appropriate public enforcement agencies with a document entitled "Notice of Violation of California Health & Safety Code, Section 25249.5 *et seq.* (Proposition 65)" that provided DEFENDANTS and the public enforcement agencies with notice that DEFENDANTS were in violation of Proposition 65 for failing to warn purchasers and individuals using the SUBJECT PRODUCTS that the use of the SUBJECT PRODUCTS exposes them to lead and/or cadmium, chemicals known in the State of the California to cause cancer and reproductive toxicity ("Prop. 65 Notice"). A true and correct copy of the 60-Day Notice ("NOTICE") is attached hereto as **Exhibit A**, is hereby incorporated by reference, and is available on the Attorney General's website located at <https://oag.ca.gov/prop65>.

32. The NOTICE was issued pursuant to, and in compliance with, the requirements of Health and Safety Code section 25249.7, subdivision (d) and the statute's implementing regulations regarding the notice of the violations to be given to certain public enforcement agencies and to the violator. The NOTICE included, *inter alia*, the following information: the name, address, and telephone number of the noticing individual; the name of the alleged violator; the statute violated; the approximate time period during which violations occurred; and descriptions of the violations including the chemicals involved, the routes of toxic exposure, and the specific product or type of product causing the violations.

33. DEFENDANTS were also provided copies of the document entitled “The Safe Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65): A Summary,” which is also known as Appendix A to California Code of Regulations, title 27, section 25903, via Certified Mail.

34. The California Attorney General was provided a copy of the NOTICE and a Certificate of Merit by the attorney for the noticing party, stating that there is a reasonable and meritorious case for this action, and attaching factual information sufficient to establish a basis for the certificate, including the identity of the persons consulted with and relied on by the certifier, and the facts, studies, or other data reviewed by those persons, pursuant to Health and Safety Code section 25249.7, subdivision (h)(2) via online submission.

35. After expiration of the sixty (60) day notice period, the appropriate public enforcement agencies have failed to commence and diligently prosecute a cause of action under Health and Safety Code section 25249.5, *et seq.* against DEFENDANTS based on the allegations herein.

36. Based on information and belief, DEFENDANTS have sold the SUBJECT PRODUCTS without giving clear and reasonable warnings that lead and/or cadmium can cause cancer and/or reproductive toxicity. DEFENDANTS have sold dozens, if not hundreds or thousands, of units of the SUBJECT PRODUCTS to consumers in the State of California during each and every month from June 7, 2014 through the present, amounting to numerous and significant violative consumer exposures to the SUBJECT PRODUCTS sold in that period.

Basis for Injunctive Relief for Violations of Health and Safety Code section 25249.5 *et seq.* and Business and Professions Code section 17200 *et seq.* concerning the SUBJECT PRODUCTS described in the June 7, 2018, Prop. 65 Notice of Violation Against DEFENDANTS

37. PLAINTIFF re-alleges and incorporates by reference Paragraphs 1 through 36, inclusive, as if specifically set forth herein.

38. By committing the acts alleged in this Complaint, since at least June 7, 2017, DEFENDANTS at all times relevant to this action, and continuing through the present, have violated, or threatened to violate, Health and Safety Code section 25249.6 by, in the course of doing business, knowingly and intentionally exposing individuals in California to chemicals known to the State of

California to cause cancer and reproductive toxicity without first giving “clear and reasonable” warnings to such persons who use or consume the SUBJECT PRODUCTS containing the LISTED CHEMICALS, pursuant to Health and Safety Code sections 25249.6 and 25249.11, subdivision (f).

39. By committing the acts alleged in this Complaint, DEFENDANTS have caused or threatened to cause irreparable harm for which there is no plain, speedy, or adequate remedy at law. In the absence of equitable relief, DEFENDANTS will continue to create a substantial risk of irreparable injury by continuing to cause consumers to be involuntarily and unwittingly exposed to lead and cadmium through the foreseeable and/or intended use and/or consumption of the SUBJECT PRODUCTS.

40. By the above-described acts, DEFENDANTS have violated, or threatened to violate, Health and Safety Code section 25249.6 and are therefore subject to preliminary and permanent injunctions ordering DEFENDANTS to stop violating Proposition 65, or threatening to violate Proposition 65, to provide clear and reasonable warnings to all present and future customers, and possibly be required to provide warnings to DEFENDANTS’ past customers who purchased or used the SUBJECT PRODUCTS without receiving a clear and reasonable warning.

41. Health and Safety Code section 25249.7, subdivision (a) specifically authorizes an action for injunctive relief under Proposition 65.

42. Continuing commission by DEFENDANTS of the knowing and intentional acts alleged above will irreparably harm the citizens of the State of California, for which harm they have no plain, speedy, or adequate remedy at law.

43. In the absence of preliminary and then permanent injunctive relief, DEFENDANTS will continue to create a substantial risk of irreparable injury by continuing to cause users and/or consumers to be involuntarily, unknowingly and unwittingly exposed to the LISTED CHEMICALS through the use/consumption of the SUBJECT PRODUCTS.

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1 **FIRST CAUSE OF ACTION**

2 **Civil Penalties for Violations of Health and Safety Code section 25249.5 *et seq.* Regarding the**
3 **SUBJECT PRODUCTS, described in the June 7, 2018 Prop. 65 Notice of Violation; and against**
4 **DEFENDANTS**

5 44. PLAINTIFF re-alleges and incorporates by reference Paragraphs 1 through 43, inclusive,
6 as if specifically set forth herein.

7 45. By committing the acts alleged in this Complaint, since at least June 7, 2017,
8 DEFENDANTS at all times relevant to this action, and continuing through the present, have violated, or
9 threatened to violate, Health and Safety Code section 25249.6 by, in the course of doing business, by
10 knowingly and intentionally exposing individuals/consumers in California to chemicals known to the
11 State of California to cause cancer and reproductive toxicity without first giving clear and reasonable
12 warnings to such persons who foreseeably use and/or consume the SUBJECT PRODUCTS containing
13 the LISTED CHEMICALS, pursuant to Health and Safety Code sections 25249.6 and 25249.11,
14 subdivision (f).

15 46. For each knowing and intentional unwarned exposure discovered within limitations
16 period, DEFENDANTS are liable, pursuant to Health and Safety Code section 25249.7, subdivision (b),
17 for a civil penalty of up to \$2,500 per day per violation for each exposure to the LISTED CHEMICALS
18 from the SUBJECT PRODUCTS, according to proof.

19 **SECOND CAUSE OF ACTION**

20 **Civil Penalties for Violations of Business and Professions Code §§ 17200-17208**
21 **(Unlawful, Unfair, Fraudulent, and/or Unfair Competition; against DEFENDANTS)**

22 47. PLAINTIFF re-alleges and incorporates by reference Paragraphs 1 through 46, inclusive,
23 as if specifically set forth herein.

24 48. Within four (4) years prior to the date of filing of this complaint, excluding any amount
25 of time during which common-law principles of delayed accrual apply and since at least June 7, 2014,
26 DEFENDANTS have engaged in separate acts of unlawful and/or unfair competition prohibited by
27 California Business and Professions Code sections 17200 through 17208, each of which constitutes an

1 unlawful and/or unfair business practice.

2 49. The commission of these unlawful and/or unfair business practices constitutes unfair
3 competition within the meaning of section 17200 of the California Business and Professions Code. The
4 unlawful and/or unfair business practices committed by DEFENDANTS include, but are not limited to,
5 the following:

- 6 a. DEFENDANTS committed violations of Health and Safety Code Health & Safety
7 Code section 25249.6 by intentionally violating Proposition 65 as alleged in
8 paragraphs 1 through 46, above;

9 50. The above unlawful conduct, acts, and omissions of DEFENDANTS in violation of
10 section 17200 of the Business and Professions Code demonstrate the necessity and legal basis for
11 granting injunctive relief and civil penalties pursuant to sections 17203 and 17206 of the Business and
12 Professions Code.

13 **PRAYER FOR RELIEF**

14 Wherefore, PLAINTIFF prays for the following relief:

15 A. A preliminary and permanent injunction, pursuant to Health and Safety Code section
16 25249.7, subdivision (b), enjoining DEFENDANTS, their agents, employees, assigns, and all persons
17 acting in concert or participating with DEFENDANTS, from manufacturing, distributing, marketing,
18 processing, selling, or offering to sell the SUBJECT PRODUCTS to consumers in California, thereby
19 violating, or threatening to violate, Health and Safety Code section 25249.6, without first providing a
20 “clear and reasonable warning” regarding the LISTED CHEMICALS within the meaning of
21 Proposition 65;

22 B. An injunctive order, pursuant to Health and Safety Code section 25249.7, subdivision
23 (b) and California Code of Regulations, title 27, sections 25603 and 25603.1, compelling
24 DEFENDANTS to provide “clear and reasonable” warnings on the labels of the SUBJECT
25 PRODUCTS. The warnings should indicate that the SUBJECT PRODUCTS will expose the user or
26 consumer to chemicals known to the State of California to cause cancer and reproductive toxicity;

27 C. An assessment of civil penalties pursuant to Health and Safety Code section 25249.7,
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subdivision (b), against DEFENDANTS in the amount of up to \$2,500 per day for each violation of Proposition 65, according to proof;

D. An assessment of civil penalties pursuant to Business and Professions Code section 17206, subdivision (a), against DEFENDANTS in the amount of up to \$2,500 per day for each violation of section 17200, according to proof;

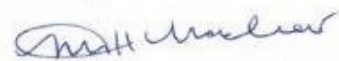
E. An award to PLAINTIFF of its reasonable attorney's fees and cost of suit pursuant to California Code of Civil Procedure sections 1021.5 and 1032 *et seq.*, according to proof; and

F. Such other and further relief the Court deems just and proper.

Dated: August 13, 2018

Respectfully submitted,

AQUA TERRA AERIS LAW GROUP



Matthew C. Maclear
Amanda Prasuhn
Attorney for Plaintiff Center for Advanced
Public Awareness

EXHIBIT A



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

Matthew M. Maclear
mcm@atalawgroup.com
415.568.5200

June 7, 2018

**NOTICE OF VIOLATION OF
CALIFORNIA HEALTH & SAFETY CODE, SECTION 25249.5 ET SEQ.
(PROPOSITION 65)**

Dear Alleged Violator and the Appropriate Public Enforcement Agencies:

ATA Law Group represents The Chemical Toxin Working Group, Inc. (“CTWG”) at 1801 Chart Trail, Topanga, CA 90290. CTWG is a California non-profit corporation dedicated to, among other causes, organization dedicated to reducing the amount of chemical toxins in consumer products, the promotion of human health, environmental safety, and improvement of worker and consumer safety.

Through this Notice of Violation (“Notice”), CTWG seeks to reduce and/or eliminate exposures to lead and cadmium ingested by consumers from seafood produced, distributed, and/or sold by **A&B Azusa, Inc., doing business as Seafood City Supermarkets** (“Seafood City”) (the alleged “Violator”).

This Notice constitutes written notification that Seafood City has violated the warning requirements of Proposition 65, which is codified at California Health & Safety Code, section 25249.5 *et seq.*, with respect to the Subject Products identified below. These violations have occurred and continue to occur because the alleged Violator failed to provide required clear and reasonable warnings with these products. Section 25249.6 of the statute provides that “[n]o person in the course of doing business shall knowingly and intentionally expose any individual to a chemical known to the State to cause cancer or reproductive toxicity without first providing a clear and reasonable warning to such individual.” Without proper warnings regarding the toxic effects of exposures to this listed chemical that results from contact with these products, California citizens lack the information necessary to make an informed decision on whether and/or how to eliminate (or reduce) their risk of exposure to the listed chemical from the reasonably foreseeable use of these products.

Proposition 65 requires that notice and intent to sue be provided to a violator 60 days before a suit is filed in connection therewith. With this Notice, CTWG gives written notice of the alleged violation to the Noticed Parties and the appropriate governmental authorities. This Notice covers all violations of Proposition 65 that are currently known to the noticing party from information now available as specifically related to the violating products sold through Noticed Parties, and other retailers and/or distributors. CTWG is continuing its investigation that may



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

reveal further violations. Pursuant to Health and Safety Code, section 25249.7, subdivision (d), CTWG intends to file a private enforcement action in the public interest sixty (60) days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

Certificate of Merit and General Information about Proposition 65. Pursuant to California Code of Regulations, title 11, section 3100, a certificate of merit is attached hereto. Pursuant to California Code of Regulations, title 27, section 25903, subdivision (b), a copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is enclosed with this letter served to the alleged Violator identified below.

Proposition 65 requires that a “clear and reasonable” warning be provided prior to exposure to certain listed chemicals. The Noticed Parties are in violation of Proposition 65 because the Noticed Parties have failed to provide a warning to consumers that they are being exposed to lead and cadmium. While in the course of doing business, the Violator “knowingly and intentionally” exposed consumers to lead and cadmium without first providing “clear and reasonable” warnings. (See Health & Saf. Code, § 25249.6.) The method of warning should be a warning that appears on the products’ labels. (See Cal. Code Regs. tit. 27, § 25603.1, subd. (a).) The Violator has not provided any Proposition 65 warnings on the Subject Products’ labels or any other appropriate warnings that persons handling, ingesting, and/or otherwise using the specified products are being exposed to lead and cadmium.

Alleged Violator. The name of the companies covered by this notice that violated Proposition 65 (hereinafter the “Violator”) are:

- **A&B Azusa, Inc.**
2883 Surveyor Street
Pomona, CA 91768

Consumer Products and Listed Chemicals. The following are the products subject to this Notice of Violation (the “Subject Products”) and the chemicals in the specified products identified as exceeding allowable levels under Proposition 65:

- **Oceankist Whole Blue Mussels with Natural Broth – Cadmium**
- **Pete's Seafood Garlic Butter Clams – Lead**
- **Pete's Seafood BBQ Butter Mussels – Lead**
- **Sanford Sustainable Seafood New Zealand Greenshell Mussels on the Half Shell – Lead**

On February 27, 1987, the State of California officially listed lead as a chemical known to cause reproductive toxicity: developmental toxicity, male reproductive toxicity, and female



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

On October 1, 1987, the State of California officially listed cadmium as a chemical known to cause cancer. On May 1, 1997, the State of California officially listed Cadmium as causing reproductive toxicity: developmental toxicity and male reproductive toxicity.

In summary, lead and cadmium were listed under Proposition 65 as known to the State to cause cancer and reproductive toxicity:

Chemical	CAS No.	Toxicological Endpoint	Listing Mechanism
Lead ¹	7439-92-1	Cancer; Reproductive Toxicity	Authoritative Body-US EPA (AB-US EPA); Formally Required to be Labeled (FR)
Cadmium ²	7440-43-9	Cancer; Reproductive Toxicity	State's Qualified Experts (SQE)

Violations. The alleged Violator knowingly and intentionally exposed and continues to knowingly and intentionally expose consumers within the State of California to lead and cadmium at levels that, upon reasonable use of these products, exceed the No Significant Risk Level and/or the Maximum Allowable Dose Level without providing clear and reasonable warning of this exposure. In particular, these products do not warn that it contains chemicals known to the State of California to cause reproductive toxicity or developmental toxicity.

The Maximum Allowable Dose Level ("MADL") for lead, reproductive toxicity is 0.5 µg/day. The MADL is calculated based on a body weight of 58 kg for an adult or pregnant woman, 70 kg for an adult male, 40 kg for an adolescent, 20 kg for a child, 10 kg for an infant, and 3.5 kg for a neonate. (Cal. Code Regs. tit. 27, § 25803, subd. (b).) The exposure estimates from Pete's Seafood Garlic Butter Clams, Pete's Seafood BBQ Butter Mussels, and Sanford Sustainable Seafood New Zealand Greenshell Mussels on the Half Shell exceed the MADL set by the California Office of Environmental Health Hazard Assessment. As a result, Pete's Seafood Garlic Butter Clams, Pete's Seafood BBQ Butter Mussels, and Sanford Sustainable Seafood New Zealand Greenshell Mussels on the Half Shell need clear and reasonable warnings under Proposition 65.

The MADL - oral for cadmium, reproductive toxicity is 4.1 µg/day. The exposure estimates from Oceankist Whole Blue Mussels with Natural Broth exceed the MADL set by the California Office of Environmental Health Hazard Assessment. As a result, Oceankist Whole

¹ <https://oehha.ca.gov/proposition-65/chemicals/lead-and-lead-compounds>.

² <https://oehha.ca.gov/proposition-65/chemicals/cadmium>.



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

Blue Mussels with Natural Broth needs clear and reasonable warnings under Proposition 65.

Route of Exposure. The consumer exposures that are the subject of this notice result from the recommended use of these products, ingestion. Consumers and other individuals, including women of childbearing age, are orally exposed to the listed chemicals by eating these products.

Approximate Time Period of Violations. Ongoing violations have occurred every day since at least June 7, 2017, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until these known toxic chemicals are either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemicals. The method of warning should be a warning that appears on the products' labels. The Violator violated Proposition 65 because it failed to provide persons handling and/or using these products with appropriate warnings that they are being exposed to the listed chemicals.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, CTWG is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violator to: (1) recall products already sold or undertake best efforts to ensure that the requisite health hazard warnings are provided to those who have received such products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 for products sold in the future or reformulate such products to eliminate further lead and cadmium exposures. Such a resolution will prevent further unwarned consumer exposures to the identified chemicals, as well as an expensive and time consuming litigation.

CTWG has retained ATA Law Group as legal counsel in connection with this matter.
Please direct all communications regarding this Notice of Violation to my attention at the law office address and telephone number indicated herein.

Dated: June 7, 2018

Very truly yours,

Matthew C. Maclear
AQUA TERRA AERIS LAW GROUP
Attorney for The Chemical Toxin Working
Group, Inc.



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

Attachments:

Certificate of Merit
Certificate of Service
OEHHA Summary (to Seafood City)
Additional Supporting Information for Certificate of Merit (to AG only)

CERTIFICATE OF MERIT

Re: The Chemical Toxin Working Group, Inc.'s Notice of Proposition 65 Violations by Seafood City Supermarket

I, Matthew Maclear, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged that the party identified in the notice violated California Health & Safety Code, section 25249.6 by failing to provide clear and reasonable warnings.
2. I am an attorney for the noticing party.
3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.
4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violator will be able to establish any of the affirmative defenses set forth in the statute.
5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code, section 25249.7, subdivision (h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

Dated: June 7, 2018

A handwritten signature in blue ink, appearing to read "Matthew Maclear".

Matthew C. Maclear
AQUA TERRA AERIS LAW GROUP
Attorney for The Chemical Toxin Working Group,
Inc.



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

CERTIFICATE OF SERVICE

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States, over the age of 18 years of age, and am not a party to the within entitled action. My business address is 490 43rd Street, Suite 108, Oakland, California 94609. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Oakland, California.

On June 7, 2018, I served the following documents: **NOTICE OF VIOLATION OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 ET SEQ.; CERTIFICATE OF MERIT; “THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY”** on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to the party listed below and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

William Go or Current President or CEO
A&B Azusa, Inc., d.b.a. Seafood City Supermarket
2883 Surveyor Street
Pomona, CA 91768

Victor Lee or Current Agent for Service of Process
A&B Azusa, Inc., d.b.a. Seafood City Supermarket
8450 Garvey Ave., Suite 200
Rosemead, CA 91770

On June 7, 2018, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 ET SEQ.; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.7(d)(1)** were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General’s website, which can be accessed at <https://oag.ca.gov/prop65/add-60-day-notice>:

Office of the California Attorney General
Prop 65 Enforcement Reporting
1515 Clay Street, Suite 2000
Oakland, CA 94612-0550

On June 7, 2018, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 ET SEQ.; CERTIFICATE OF MERIT** were served on the following parties when a true and correct copy thereof was **sent via electronic mail** to the party listed below:

Stacey Grassini, Deputy District Attorney
District Attorney, Contra Costa County
900 Ward Street
Martinez, CA 94553
sgrassini@contracostada.org

Allison Haley, District Attorney
District Attorney, Napa County
1127 First Street, Suite C
Napa, CA 94559
CEPD@countyofnapa.org

Birgit Fladager, District Attorney
District Attorney, Stanislaus County
832 12th Street, Suite 300

Paul E. Zellerbach
District Attorney, Riverside County
3072 Orange Street



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

Modesto, CA 95354
Prop65@standa.org

Riverside, CA 92501
Prop65@rivcoda.org

Dije Ndreu, Deputy District Attorney
District Attorney, Monterey County
1200 Aguajito Road
Monterey, CA 93940
Prop65DA@co.monterey.ca.us

Philip J. Cline
District Attorney, Tulare County
221 S Mooney Blvd
Visalia, CA 95370
Prop65@co.tulare.ca.us

Yen Dang, Supervising Deputy District Attorney
District Attorney, Santa Clara County
70 W Hedding St
San Jose, CA 95110
EPU@da.sccgov.org

Gregory D. Totten
District Attorney, Ventura County
800 S Victoria Ave
Ventura, CA 93009
daspecialops@ventura.org

Stephan R. Passalacqua, District Attorney
District Attorney, Sonoma County
600 Administration Dr
Sonoma, CA 95403
jbarnes@sonoma-county.org

Michelle Latimer, Program Coordinator
District Attorney, Lassen County
220 S. Lassen Street
Susanville, CA 96130
mlatimer@co.lassen.ca.us

Anne Marie Schubert, District Attorney
District Attorney, Sacramento County
901 G Street
Sacramento, CA 95814
Prop65@sacda.org

Gregory Alker, Assistant District Attorney
District Attorney, San Francisco County
732 Brannan Street
San Francisco, CA 94103
Gregory.alker@sfgov.org

Tori Verber Salazar, District Attorney
District Attorney, San Joaquin County
222 E. Weber Avenue, Rm 202
Stockton, CA 95202
DAConsumer.Environmental@sjcda.org

Eric J. Dobroth, Deputy District Attorney
District Attorney, San Luis Obispo County
County Government Center Annex, 4th Floor
San Luis Obispo, CA 93408
edobroth@co.slo.ca.us

Jeff W. Reisig, District Attorney
District Attorney, Yolo County
301 Second Street
Woodland, CA 95695
cfepd@yolocounty.org

Jeffrey S. Rosell, District Attorney
District Attorney, Santa Cruz County
701 Ocean Street
Santa Cruz, CA 95060
Prop65DA@santacruzcounty.us

On June 7, 2018, I served the following documents: **NOTICE OF VIOLATION, CALIFORNIA HEALTH & SAFETY CODE § 25249.5 ET SEQ.; CERTIFICATE OF MERIT** on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by U.S. First Class Mail.

Executed on June 7, 2018, in Oakland, California.

Esmeralda Bustos



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

Service List

District Attorney, Alameda County 1225 Fallon Street, Suite 900 Oakland, CA 94612	District Attorney, Los Angeles County 210 West Temple Street, Suite 18000 Los Angeles, CA 90012	District Attorney, Lake County 255 N. Forbes Street Lakeport, CA 95453
District Attorney, Alpine County P.O. Box 248 Markleeville, CA 96120	District Attorney, Madera County 209 West Yosemite Avenue Madera, CA 93637	District Attorney, Shasta County 1355 West Street Redding, CA 96001
District Attorney, Amador County 708 Court Street Jackson, CA 95642	District Attorney, Marin County 3501 Civic Center Drive, Room 130 San Rafael, CA 94903	District Attorney, Sierra County PO Box 457 Downieville, CA 95936
District Attorney, Butte County 25 County Center Drive, Suite 245 Oroville, CA 95965	District Attorney, Mariposa County Post Office Box 730 Mariposa, CA 95338	District Attorney, Siskiyou County Post Office Box 986 Yreka, CA 96097
District Attorney, Calaveras County 891 Mountain Ranch Road San Andreas, CA 95249	District Attorney, Mendocino County Post Office Box 1000 Ukiah, CA 95482	District Attorney, Solano County 675 Texas Street, Ste 4500 Fairfield, CA 94533
District Attorney, Colusa County 346 Fifth Street Suite 101 Colusa, CA 95932	District Attorney, Merced County 550 W. Main Street Merced, CA 95340	Sacramento City Attorney's Office 915 I Street, 4 th Floor Sacramento, CA 95814
District Attorney, Del Norte County 450 H Street, Room 171 Crescent City, CA 95531	District Attorney, Modoc County 204 S Court Street, Room 202 Alturas, CA 96101-4020	District Attorney, Sutter County 446 Second Street Yuba City, CA 95991
District Attorney, El Dorado County 515 Main Street Placerville, CA 95667	District Attorney, Mono County Post Office Box 617 Bridgeport, CA 93517	District Attorney, Tehama County Post Office Box 519 Red Bluff, CA 96080
District Attorney, Fresno County 2220 Tulare Street, Suite 1000 Fresno, CA 93721	District Attorney, Nevada County 201 Commercial Street Nevada City, CA 95959	District Attorney, Trinity County Post Office Box 310 Weaverville, CA 96093
District Attorney, Glenn County Post Office Box 430 Willows, CA 95988	District Attorney, Orange County 401 West Civic Center Drive Santa Ana, CA 92701	District Attorney, Tuolumne County 423 N. Washington Street Sonora, CA 95370



Notice of Violation of California Health & Safety Code § 25249.5 *et seq.*
June 7, 2018

District Attorney, Humboldt County 825 5th Street 4th Floor Eureka, CA 95501	District Attorney, Placer County 10810 Justice Center Drive, Ste 240 Roseville, CA 95678	District Attorney, Yuba County 215 Fifth Street, Suite 152 Marysville, CA 95901
District Attorney, Imperial County 940 West Main Street, Ste 102 El Centro, CA 92243	District Attorney, Plumas County 520 Main Street, Room 404 Quincy, CA 95971	Los Angeles City Attorney's Office City Hall East 200 N. Main Street, Suite 800 Los Angeles, CA 90012
District Attorney, Inyo County 162 E. Line St. Bishop, CA 93514	District Attorney, San Benito County 419 Fourth Street, 2nd Floor Hollister, CA 95023	San Diego City Attorney's Office 1200 3rd Avenue, Ste 1620 San Diego, CA 92101
District Attorney, Kern County 1215 Truxtun Avenue Bakersfield, CA 93301	District Attorney, San Bernardino County 303 West 3 rd Street, 6 th Floor San Bernardino, CA 92415-0502	San Francisco, City Attorney City Hall, 234 1 Dr. Carlton B Goodlett PL San Francisco, CA 94102
District Attorney, Kings County 1400 West Lacey Boulevard Hanford, CA 93230	District Attorney, San Diego County 330 West Broadway, Suite 1300 San Diego, CA 92101	San Jose City Attorney's Office 200 East Santa Clara Street, 16th Floor San Jose, CA 95113
District Attorney, Santa Barbara County 1112 Santa Barbara Street Santa Barbara, CA 93101	District Attorney, San Mateo County 400 County Ctr., 3rd Floor Redwood City, CA 94063	

Appendix A

OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT
CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY
THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986
(PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as “Proposition 65”). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. Please refer to the statute and OEHHA's implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: <http://oehha.ca.gov/prop65/law/P65law72003.html>. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.¹ These implementing regulations are available online at: <http://oehha.ca.gov/prop65/law/P65Regs.html>.

WHAT DOES PROPOSITION 65 REQUIRE?

The “Proposition 65 List.” Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: http://www.oehha.ca.gov/prop65/prop65_list/Newlist.html.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

Clear and reasonable warnings. A business is required to warn a person before “knowingly and intentionally” exposing that person to a listed chemical unless an exemption applies. The warning given must be “clear and

reasonable.” This means that the warning must: (1) clearly say that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

Prohibition from discharges into drinking water. A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (<http://www.oehha.ca.gov/prop65/law/index.html>) to determine all applicable exemptions, the most common of which are the following:

Grace Periods. Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

Governmental agencies and public water utilities. All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

Businesses with nine or fewer employees. Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

Exposures that pose no significant risk of cancer. For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses “no significant risk.” This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific “No Significant Risk Levels” (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures that will produce no observable reproductive effect at 1,000 times the level in question. For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the “no observable effect level” divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures to Naturally Occurring Chemicals in Food. Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant² it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a “significant amount” of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a “significant amount” of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A “significant amount” means any detectable amount, except an amount that would meet the “no significant risk” level for chemicals that cause cancer or that is 1,000 times below the “no observable effect” level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off- premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;

- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A private party may not file an action against the alleged violator for these exposures, or recover in a settlement any payment in lieu of penalties any reimbursement for costs and attorney's fees, if the notice was served on or after October 5, 2013, and the alleged violator has done *all* of the following within 14 days of being served notice:

- Corrected the alleged violation;
- Agreed to pay a civil penalty of \$5B500 (subject to change as noted below) to the private party within 30 days; and
- Notified the private party serving the notice in writing that the violation has been corrected.

The written notification to the private-party must include a notice of special compliance procedure and proof of compliance form completed by the alleged violator as directed in the notice. On April 1, 2019, and every five years thereafter, the dollar amount of the civil penalty will be adjusted by the Judicial Council based on the change in the annual California Consumer Price Index. The Judicial Council will publish the dollar amount of the adjusted civil penalty at each five-year interval, together with the date of the next scheduled adjustment. An alleged violator may satisfy these conditions only one time for a violation arising from the same exposure in the same facility or on the same premises. The satisfaction of these conditions does not prevent the Attorney General, a district attorney, a city attorney of a city of greater than 750,000 population, or any full-time city prosecutor with the consent of the district attorney, from filing an enforcement action against an alleged violator. The amount of any civil penalty for a violation shall be reduced to reflect any payment made by the alleged violator for the same alleged violation to a private-party.

A copy of the notice of special compliance procedure and proof of compliance form is included with this notice and can be downloaded from OEHHA's website at: <http://oehha.ca.gov/prop65/law/p65law72003.html>. The notice is reproduced here, below:

Date: May 18, 2017

Name of Noticing Party or attorney for Noticing Party: Center for Advanced Public Awareness, Inc.
("CAPA"),
Address: 180 Promenade Circle, Suite 300, Sacramento, CA 95834
Phone number: 415.568.5200

**SPECIAL COMPLIANCE PROCEDURE
PROOF OF COMPLIANCE**

You are receiving this form because the Noticing Party listed above has alleged that you are violating California Health and Safety Code section 25249.6 (Prop. 65).

The Noticing Party may not bring any legal proceedings against you for the alleged violation checked below if:

1. **You have actually taken the corrective steps that you have certified in this form**
2. **The Noticing Party has received this form at the address shown above, accurately completed by you, postmarked within 14 days of your receiving this notice**
3. **The Noticing Party receives the required \$500 penalty payment from you at the address shown above postmarked within 30 days of your receiving this notice.**
4. **This is the first time you have submitted a Proof of Compliance for a violation arising from the same exposure in the same facility on the same premises.**

**PART 1: TO BE COMPLETED BY THE NOTICING PARTY OR ATTORNEY FOR THE
NOTICING PARTY**

The alleged violation is for an exposure to: (check one)

☐ Alcoholic beverages that are consumed on the alleged violator's premises to the extent on-site consumption is permitted by law.

☐ A chemical known to the state to cause cancer or reproductive toxicity in a food or beverage prepared and sold on the alleged violator's premises for immediate consumption on or off premises to the extent: (1) the chemical was not intentionally added; and (2) the chemical was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination.

☐ Environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises.

☐ Chemicals known to the State to cause cancer or reproductive toxicity in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking noncommercial vehicles.

IMPORTANT NOTES:

1. You have no potential liability under California Health and Safety Code section 25249.6 if your business has nine (9) or fewer employees.
2. Using this form will NOT prevent the Attorney General, a district attorney, a city attorney, or a prosecutor in whose jurisdiction the violation is alleged to have occurred from filing an action over the same alleged violations, and that in any such action, the amount of civil penalty shall be reduced to reflect any payment made at this time.

Date: May 18, 2017

Name of Noticing Party or attorney for Noticing Party: Center for Advanced Public Awareness, Inc.
("CAPA"),
Address: 180 Promenade Circle, Suite 300, Sacramento, CA 95834
Phone number: 415.568.5200

**PART 2: TO BE COMPLETED BY THE ALLEGED VIOLATOR OR AUTHORIZED
REPRESENTATIVE**

Certification of Compliance

Accurate completion of this form will demonstrate that you are now in compliance with California Health and Safety Code section 25249.6 for the alleged violation listed above. You must complete and submit the form below to the Noticing Party at the address shown above, postmarked within 14 days of you receiving this notice.

I hereby agree to pay, within 30 days of completion of this notice, a civil penalty of \$500 to the Noticing Party only and certify that I have complied with Health and Safety Code section 25249.6 by (check only one of the following):

- ☐ Posting a warning or warnings about the alleged exposure that complies with the law, and attaching a copy of that warning and a photograph accurately showing its placement on my premises;
- ☐ Posting the warning or warnings demanded in writing by the Noticing Party, and attaching a copy of that warning and a photograph accurately its placement on my premises; OR
- ☐ Eliminating the alleged exposure, and attaching a statement accurately describing how the alleged exposure has been eliminated.

Certification

My statements on this form, and on any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I have carefully read the instructions to complete this form. I understand that if I make a false statement on this form, I may be subject to additional penalties under the Safe Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65).

Signature of alleged violator or authorized representative Date

Name and title of signatory

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS. . .

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at P65Public.Comments@oehha.ca.gov.

Revised: May 2014

¹ All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at:
<http://www.oehha.ca.gov/prop65/law/index.html>.

² See Section 25501(a)(4).

Note: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.

HISTORY

1. New Appendix A filed 4-22-97; operative 4-22-97 pursuant to Government Code section 11343.4(d) (Register 97, No. 17).
 2. Amendment filed 1-7-2003; operative 2-6-2003 (Register 2003, No. 2).
 3. Change without regulatory effect renumbering title 22, section 12903 and Appendix A to title 27, section 25903 and Appendix A, including amendment of appendix, filed 6-18-2008 pursuant to section 100, title 1, California Code of Regulations (Register 2008, No. 25).
 4. Amendment filed 11-19-2012; operative 12-19-2012 (Register 2012, No. 47).
 5. Amendment of appendix and Note filed 11-19-2014; operative 1-1-2015 (Register 2014, No. 47).
- This database is current through 9/18/15 Register 2015, No. 38
27 CCR Appendix A, 27 CA ADC Appendix A