ENDORSED FILED LEXINGTON LAW GROUP 1 ALAMEDA COUNTY Mark N. Todzo, State Bar No. 168389 2 Lucas Williams, State Bar No. 264518 MAR 2 0 2019 503 Divisadero Street 3 San Francisco, CA 94117 **JUE PESKO** Telephone: (415) 913-7800 4 Facsimile: (415) 759-4112 5 mtodzo@lexlawgroup.com lwilliams@lexlawgroup.com 6 Attorneys for Plaintiff 7 CENTER FOR ENVIRONMENTAL HEALTH 8 9 SUPERIOR COURT OF THE STATE OF CALIFORNIA 10 COUNTY OF ALAMEDA 11 12 Case No. RG19010030 CENTER FOR ENVIRONMENTAL HEALTH, 13 a non-profit corporation, 14 FIRST AMENDED COMPLAINT Plaintiff, 15 FOR INJUNCTIVE RELIEF AND **CIVIL PENALTIES** ٧. 16 CONN-SELMER, INC., et al., 17 Health & Safety Code §25249.6, et seq. Defendants. 18 (Other) 19 20 21 22 23 24 25 26 27 28 DOCUMENT PREPARED ON RECYCLED PAPER FIRST AMENDED COMPLAINT FOR INJUNCTIVE RELIEF AND CIVIL PENALTIES - CASE NO. RG19010030

Plaintiff Center for Environmental Health, in the public interest, based on information and belief and investigation of counsel, except for information based on knowledge, hereby makes the following allegations:

INTRODUCTION

- 1. This Complaint seeks to remedy Defendants' continuing failure to warn individuals in California that they are being exposed to lead and lead compounds (collectively, "Lead"), chemicals known to the State of California to cause cancer and birth defects and other reproductive harm. Defendants manufacture, distribute and/or sell mouthpieces used with brass instruments (the "Products"). Consumers, including children and pregnant women, have been and continue to be exposed to Lead when they place the Products in their mouth while playing the musical instruments with which they are intended to be used.
- 2. Under California's Proposition 65, Health & Safety Code §25249.5, et seq., it is unlawful for businesses to knowingly and intentionally expose individuals in California to chemicals known to the State to cause cancer, birth defects, or other reproductive harm without providing clear and reasonable warnings to such individuals prior to their exposure. Defendants introduce Products containing significant quantities of Lead into the California marketplace, thereby exposing individuals who use their Products, many of whom are children and pregnant women, to Lead.
- 3. Despite the fact that Defendants expose children, pregnant women and other individuals who use the Products to Lead, Defendants provide no warnings whatsoever about the carcinogenic or reproductive hazards associated with Lead exposure. Defendants' conduct thus violates the warning provision of Proposition 65. Health & Safety Code §25249.6.

PARTIES

4. Plaintiff CENTER FOR ENVIRONMENTAL HEALTH ("CEH") is a non-profit corporation dedicated to protecting the public from environmental health hazards and toxic exposures. CEH is based in Oakland, California and incorporated under the laws of the State of California. CEH is a "person" within the meaning of Health & Safety Code §25249.11(a) and brings this enforcement action in the public interest pursuant to Health & Safety Code

§25249.7(d). CEH is a nationally recognized non-profit environmental advocacy group that has prosecuted a large number of Proposition 65 cases in the public interest. These cases have resulted in significant public benefit, including the reformulation of thousands of products to remove toxic chemicals and to make them safer. CEH also provides information to Californians about the health risks associated with exposure to hazardous substances, where manufacturers and other responsible parties fail to do so.

- 5. Defendant AMERICAN WAY MARKETING, LLC is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant AMERICAN WAY MARKETING, LLC manufactures, distributes and/or sells the Products for sale or use in California.
- 6. Defendant CONN-SELMER, INC. is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant CONN-SELMER, INC. manufactures, distributes and/or sells the Products for sale or use in California.
- 7. Defendant DENIS WICK PRODUCTS LIMITED is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant DENIS WICK PRODUCTS LIMITED manufactures, distributes and/or sells the Products for sale or use in California.
- 8. Defendant EASTMAN MUSIC COMPANY is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant EASTMAN MUSIC COMPANY manufactures, distributes and/or sells the Products for sale or use in California.
- 9. Defendant GUITAR CENTER, INC. ("Guitar Center") is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Guitar Center is a retailer that sells the Products in California. CEH's allegations and claims against Guitar Center in this action are limited to Products that are supplied to it by U.S. Band & Orchestra Supplies, Inc., Curry Precision Mouthpieces, Denis Wick Products Limited, American Way Marketing, LLC, Schilke Music Products, Inc., Theo Wanne Holdings, LLC, Warburton Industries, Inc., and Asymmetric Mouthpiece (collectively, the "Guitar Center Suppliers"). Guitar Center has actual

knowledge of the Lead exposures caused by use of the Products supplied by the Guitar Center Suppliers. For instance, Guitar Center continued to sell Lead-containing Products supplied by the Guitar Center Suppliers more than five business days after receiving CEH's 60-Day Notices of Violation. Moreover, the Guitar Center Suppliers are companies that: (i) have fewer than ten employees; and/or (ii) do not have a designated agent for service of process, or place of business, in California. Thus, Guitar Center is responsible for providing clear and reasonable warnings for the Products supplied by the Guitar Center Suppliers. *See* 27 Cal. Code of Regs. ("C.C.R.") §25600.2(e)5 & (f). As alleged herein, Guitar Center failed to provide such clear and reasonable warnings.

- 10. Defendant JODYJAZZ, INC. is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant JODYJAZZ, INC. manufactures, distributes and/or sells the Products for sale or use in California.
- 11. Defendant SAM ASH MUSIC CORPORATION ("Sam Ash") is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Sam Ash is a retailer that sells the Products for sale or use in California. CEH's allegations and claims against Sam Ash in this action are limited to Products that are supplied to it by JodyJazz, Inc. Sam Ash has actual knowledge of the Lead exposures caused by use of the Products supplied by JodyJazz, Inc. For instance, Sam Ash continued to sell Lead-containing Products supplied by JodyJazz, Inc. more than five business days after receiving CEH's 60-Day Notice of Violation. Moreover, the JodyJazz, Inc. is a company that: (i) has fewer than ten employees; and/or (ii) does not have a designated agent for service of process, or place of business, in California. Thus, Sam Ash is responsible for providing clear and reasonable warnings for the Products supplied by JodyJazz, Inc. 27 C.C.R. §25600.2(e)5 & (f). As alleged herein, Sam Ash failed to provide such clear and reasonable warnings.
- 12. Defendant SCHILKE MUSIC PRODUCTS, INC. is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant SCHILKE MUSIC PRODUCTS, INC. manufactures, distributes and/or sells the Products for sale or use in California.

- 13. Defendant THEO WANNE HOLDINGS, LLC is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant THEO WANNE HOLDINGS, LLC manufactures, distributes and/or sells the Products for sale or use in California.
- 14. Defendant U.S. BAND & ORCHESTRA SUPPLIES, INC. is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant U.S. BAND & ORCHESTRA SUPPLIES, INC. manufactures, distributes and/or sells the Products for sale or use in California.
- 15. Defendant WARBURTON INDUSTRIES, INC. is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Defendant WARBURTON INDUSTRIES, INC. manufactures, distributes and/or sells the Products for sale or use in California.
- 16. Defendant WOODWIND & BRASSWIND, INC. ("Woodwind") is a person in the course of doing business within the meaning of Health & Safety Code §25249.11. Woodwind is a retailer that sells the Products for sale or use in California. CEH's allegations and claims against Woodwind in this action are limited to Products that are supplied to it by Best Brass Corporation. Woodwind has actual knowledge of the Lead exposures caused by use of the Products supplied by Best Brass Corporation. For instance, Woodwind continued to sell Lead-containing Products supplied by Best Brass Corporation more than five business days after receiving CEH's 60-Day Notice of Violation. Moreover, Best Brass Corporation is a company that: (i) has fewer than ten employees; and/or (ii) does not have a designated agent for service of process, or place of business, in California. Thus, Woodwind is responsible for providing clear and reasonable warnings for the Products supplied by Best Brass Corporation. 27 C.C.R. §25600.2(e)5 & (f). As alleged herein, Woodwind failed to provide such clear and reasonable warnings.
- 17. DOES 1 through 100 are each a person in the course of doing business within the meaning of Health & Safety Code §25249.11. DOES 1 through 100 manufacture, distribute, or sell the Products for sale or use in California.

- 18. The true names of DOES 1 through 100 are either unknown to CEH at this time or the applicable time period before which CEH may file a Proposition 65 action has not run. When their identities are ascertained or the applicable time period before which CEH may file a Proposition 65 action has run, the Complaint shall be amended to reflect their true names.
- 19. The defendants identified in paragraphs 5 through 16 and DOES 1 through 100 are collectively referred to herein as "Defendants."

JURISDICTION AND VENUE

- 20. The Court has jurisdiction over this action pursuant to Health & Safety Code \$25249.7, which allows enforcement in any court of competent jurisdiction, and pursuant to California Constitution Article VI, Section 10, because this case is a cause not given by statute to other trial courts.
- 21. This Court has jurisdiction over Defendants because each is a business entity that does sufficient business, has sufficient minimum contacts in California, or otherwise intentionally avails itself of the California market through the sale, marketing, or use of the Products in California or by having such other contacts with California so as to render the exercise of jurisdiction over it by the California courts consistent with traditional notions of fair play and substantial justice.
- 22. Venue is proper in Alameda County Superior Court because one or more of the violations arise in the County of Alameda.

BACKGROUND FACTS

- 23. The People of the State of California have declared by initiative under Proposition 65 their right "[t]o be informed about exposures to chemicals that cause cancer, birth defects, or other reproductive harm." Proposition 65, §1(b).
- 24. To effectuate this goal, Proposition 65 prohibits exposing people to chemicals listed by the State of California as known to cause cancer, birth defects, or other reproductive harm without a "clear and reasonable warning" unless the business responsible for the exposure can prove that it fits within a statutory exemption. Health & Safety Code §25249.6 states, in pertinent part:

No person in the course of doing business shall knowingly and intentionally expose any individual to a chemical known to the state to cause cancer or reproductive toxicity without first giving clear and reasonable warning to such individual

- 25. On February 27, 1987, the State of California officially listed lead as a chemical known to cause reproductive toxicity. Lead is specifically identified as a reproductive toxicant under three subcategories: "developmental reproductive toxicity," which means harm to the developing fetus, "female reproductive toxicity," which means harm to the female reproductive system, and "male reproductive toxicity," which means harm to the male reproductive system. 27 C.C.R. §27001(c). On February 27, 1988, one year after it was listed as a chemical known to cause reproductive toxicity, lead became subject to the clear and reasonable warning requirement regarding reproductive toxicants under Proposition 65. *Id.*; Health & Safety Code §25249.10(b).
- 26. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer. On October 1, 1993, one year after they were listed as chemicals known to cause cancer, lead and lead compounds became subject to the clear and reasonable warning requirement regarding carcinogens under Proposition 65. 27 C.C.R. §27001(b); Health & Safety Code §25249.10(b).
- 27. There is no safe level of exposure to Lead and even minute amounts of Lead have been proven harmful to children and adults. *See*, *e.g.*, Report of the Advisory Committee on Childhood Lead Poisoning Prevention of the U.S. Centers for Disease Control and Prevention, "Low Level Lead Exposure Harms Children: A Renewed Call For Primary Prevention," January 4, 2012. A study performed by the California Office of Environmental Health Hazard Assessment determined that exposures to Lead even at levels previously considered safe have now been shown to cause adverse health effects, including reduced cognitive ability and significant diminution of intellectual potential. Carlisle, J., *et al.*, "A Blood Lead Benchmark for Assessing Risks from Childhood Lead Exposure," *Journal of Environmental Science & Health*, 44, 2009. This conclusion is based on a meta-study of 1,333 children who participated in seven international studies. *See* Lanphear, B., *et al.*, "Low-Level Environmental Lead Exposure and

Children's Intellectual Function: An International Pooled Analysis," *Environmental Health Perspectives*, 113:7, 2005.

- Young children are especially susceptible to the toxic effects of Lead. Children show a greater sensitivity to Lead's effects than do adults. Adverse health impacts from Lead exposure generally occur in children at lower blood Lead levels than in adults. Children absorb and retain more Lead in proportion to their weight than do adults. Young children also show a greater prevalence of iron deficiency, a condition that can increase gastrointestinal absorption of Lead. The body accumulates Lead over a lifetime and releases it slowly, so even small doses received in childhood, over time, can cause adverse health impacts, including but not limited to reproductive toxicity, later in life. For example, in times of physiological stress, such as pregnancy, the body can mobilize accumulated stores of Lead in tissue and bone, thereby increasing the level of Lead in the blood and increasing the risk of harm to the fetus.
- 29. Lead exposures for pregnant women are also of particular concern in light of evidence that even short term lead exposures *in utero* may have long-term harmful effects. *See*, *e.g.*, Hu, H., *et al.*, "Fetal Lead Exposure at Each State of Pregnancy as a Predictor of Infant Mental Development," *Environmental Health Perspectives*, 114:11, 2006; Schnaas, L., *et al.*, "Reduced Intellectual Development in Children with Prenatal Lead Exposure," *Environmental Health Perspectives*, 114:5, 2006. Increased lead exposure during pregnancy has also been shown to cause increased risk of premature birth and increased blood pressure in both the mother during pregnancy and the child after birth. *See*, *e.g.*, Vigeh, M., *et al.*, "Blood Lead at Currently Acceptable Levels May Cause Preterm Labour," *Occupational & Environmental Medicine*, 68:231-234, 2010; Zhang, A., *et al.*, "Association Between Prenatal Lead Exposure and Blood Pressure in Children," *Environmental Health Perspectives*, 120:3, 2012; Wells, E., *et al.*, "Low-Level Lead Exposure and Elevations in Blood Pressure During Pregnancy," *Environmental Health Perspectives*, 119:5, 2011.
- 30. Defendants' Products contain sufficient quantities of Lead such that individuals, including children and pregnant women, who use the Products are exposed to a significant amount of Lead. The primary routes of exposure for the violations are direct ingestion when

consumers place the Products in their mouth while playing the musical instruments for which the Products are intended to be used, and ingestion via hand-to-mouth contact after consumers touch or handle the Products. These exposures occur in homes, workplaces, and everywhere else throughout California where the Products are used.

- 31. No clear and reasonable warning is provided with Defendants' Products regarding the carcinogenic or reproductive hazards of Lead.
- 32. Any person acting in the public interest has standing to enforce violations of Proposition 65 provided that such person has supplied the requisite public enforcers with a valid 60-Day Notice of Violation and such public enforcers are not diligently prosecuting the action within such time. Health & Safety Code §25249.7(d).
- 33. More than sixty days prior to naming each Defendant in this lawsuit, CEH provided a 60-Day "Notice of Violation" of Proposition 65 to the California Attorney General, to the District Attorneys of every county in California, to the City Attorneys of every California city with a population greater than 750,000 and to each of the named Defendants. In compliance with Health & Safety Code §25249.7(d) and 27 C.C.R. §25903(b), each Notice included the following information: (1) the name and address of each violator; (2) the statute violated; (3) the time period during which violations occurred; (4) specific descriptions of the violations, including (a) the routes of exposure to Lead from the Products, and (b) the specific type of Products sold and used in violation of Proposition 65; and (5) the name of the specific Proposition 65-listed chemical that is the subject of the violations described in each Notice.
- 34. CEH also sent a Certificate of Merit for each Notice to the California Attorney General, to the District Attorneys of every county in California, to the City Attorneys of every California city with a population greater than 750,000, and to each of the named Defendants. In compliance with Health & Safety Code §25249.7(d) and 11 C.C.R. §3101, each Certificate certified that CEH's counsel: (1) has consulted with one or more persons with relevant and appropriate experience or expertise who reviewed facts, studies, or other data regarding the exposures to Lead alleged in each Notice; and (2) based on the information obtained through such consultations, believes that there is a reasonable and meritorious case for a citizen enforcement

12	37. Nevertheless, Defendants continue to expose consumers, including children and			
13	pregnant women, to Lead without prior clear and reasonable warnings regarding the carcinogenic			
14	or reproductive hazards of Lead.			
15	38. CEH has engaged in good-faith efforts to resolve the claims alleged herein prior to			
16	filing this Complaint.			
17	39. Any person "violating or threatening to violate" Proposition 65 may be enjoined in			
18	any court of competent jurisdiction. Health & Safety Code §25249.7. "Threaten to violate" is			
19	defined to mean "to create a condition in which there is a substantial probability that a violation			
20	will occur." Health & Safety Code §25249.11(e). Proposition 65 provides for civil penalties not			
21	to exceed \$2,500 per day for each violation of Proposition 65.			
22	FIRST CAUSE OF ACTION (Violations of Health & Safety Code §25249.6)			
2324	40. CEH realleges and incorporates by reference as if specifically set forth herein			
25	Paragraphs 1 through 39, inclusive.			
26	41. By placing the Products into the stream of commerce, each Defendant is a person			
27	in the course of doing business within the meaning of Health & Safety Code §25249.11.			
28				
PARED	-9-			
LK	FIRST AMENDED COMPLAINT FOR INJUNCTIVE RELIEF AND CIVIL PENALTIES – CASE NO. RG19010030			

- 42. Lead is a chemical listed by the State of California as known to cause cancer, birth defects, and other reproductive harm.
- 43. Defendants know that average use of their Products will expose users of these Products to Lead. Defendants intend that their Products be used in a manner that results in exposures to Lead from these Products.
- 44. Defendants have failed, and continue to fail, to provide clear and reasonable warnings regarding the carcinogenicity and reproductive toxicity of Lead to consumers of their Products.
- 45. By committing the acts alleged above, Defendants have at all times relevant to this Complaint violated Proposition 65 by knowingly and intentionally exposing individuals to Lead without first giving clear and reasonable warnings to such individuals regarding the carcinogenicity and reproductive toxicity of Lead.

PRAYER FOR RELIEF

CEH prays for judgment against Defendants as follows:

- 1. That the Court, pursuant to Health & Safety Code §25249.7(a), preliminarily and permanently enjoin Defendants from offering the Products for sale in California without either reformulating the Products such that no Proposition 65 warnings are required or providing prior clear and reasonable warnings, as CEH shall specify in further application to the Court;
- 2. That the Court, pursuant to Health & Safety Code §25249.7(b), assess civil penalties against each of the Defendants in the amount of \$2,500 per day for each violation of Proposition 65 according to proof;
- 3. That the Court, pursuant to Health & Safety Code §25249.7(a), order Defendants to take action to stop ongoing unwarned exposures to Lead resulting from use of Products sold by Defendants, as CEH shall specify in further application to the Court;
- 4. That the Court, pursuant to Code of Civil Procedure §1021.5 or any other applicable theory, grant CEH its reasonable attorneys' fees and costs of suit; and
 - 5. That the Court grant such other and further relief as may be just and proper.

1	Dated:	March 20, 2019	Respectfully submitted,
2			LEXINGTON LAW GROUP
3			71000
4			/ (u) / (u)
5			Mark N. Todzo Attorneys for Plaintiff
6 7			CENTER FOR ENVIRONMENTAL HEALTH
8			·
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			

-11FIRST AMENDED COMPLAINT FOR INJUNCTIVE RELIEF AND CIVIL PENALTIES – CASE NO. RG19010030

DOCUMENT PREPARED ON RECYCLED PAPER