

From: Environmental Research Center, Inc. Fax: 18662346280

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06/30/2021 4:08 PM

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Environmental Research Center, Inc.
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San Diego, CA 92108
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Attorney for Plaintiff Environmental Research Center, Inc.

FILED BY FAX

ALAMEDA COUNTY

June 30, 2021

CLERK OF
THE SUPERIOR COURT
By Nicole Hall, Deputy

CASE NUMBER:

RG21103862**SUPERIOR COURT OF THE STATE OF CALIFORNIA****COUNTY OF ALAMEDA****ENVIRONMENTAL RESEARCH CENTER,
INC., a California non-profit corporation,****Plaintiff,****vs.****WILD FOODS BRAND LLC;
PURPLEROCK WILD FOODS OPCO LLC;
and DOES 1-100****Defendants.****CASE NO.****COMPLAINT FOR INJUNCTIVE
AND DECLARATORY RELIEF AND
CIVIL PENALTIES**

[Miscellaneous Civil Complaint (42)]
Proposition 65, Health & Safety Code
Section 25249.5 et seq.]

Plaintiff Environmental Research Center, Inc. hereby alleges:

I**INTRODUCTION**

1. Plaintiff Environmental Research Center, Inc. (hereinafter "Plaintiff" or "ERC") brings this action as a private attorney general enforcer and in the public interest pursuant to Health & Safety Code section 25249.7, subdivision (d). The Safe Drinking Water and Toxic Enforcement Act of 1986 (Health & Safety Code section 25249.5 *et seq.*) also known as "Proposition 65," mandates that businesses with ten or more employees must provide a "clear and reasonable warning" prior to exposing any individual to a chemical known to the state to cause cancer or reproductive toxicity. Lead and cadmium are chemicals known to the State of California to

1 cause cancer, birth defects, and other reproductive harm. This Complaint seeks injunctive and
2 declaratory relief and civil penalties to remedy the ongoing failure of Defendants Wild Foods
3 Brand LLC and PurpleRock Wild Foods OpCo LLC (collectively “Wild Foods”) and Does 1-
4 100 (hereinafter individually referred to as “Defendant” or collectively as “Defendants”), to
5 warn consumers that they have been exposed to lead and/or cadmium from a number of Wild
6 Foods’ nutritional health products as set forth in paragraph 3 at levels exceeding the applicable
7 Maximum Allowable Dose Level (“MADL”) and requiring a warning pursuant to Health &
8 Safety Code section 25249.6.

9 II

10 PARTIES

11 2. Plaintiff ERC is a California non-profit corporation dedicated to, among other causes,
12 helping safeguard the public from health hazards by reducing the use and misuse of hazardous
13 and toxic chemicals, facilitating a safe environment for consumers and employees, and
14 encouraging corporate responsibility.

15 3. Defendant Wild Foods is a business that develops, manufactures, markets, distributes,
16 and/or sells nutritional health products that have exposed users to lead and/or cadmium in the
17 State of California within the relevant statute of limitations period. These “SUBJECT
18 PRODUCTS” (as identified in the Notices of Violation dated March 25, 2021 and April 20,
19 2021 attached hereto as *Exhibits A* and *B*) are: (1) A Wild Foods Product Wild Super Greens +
20 Prebiotic, Probiotic & Digestive Enzymes (lead), (2) A Wild Foods Product Wild Reds Beet
21 Root Plus Kale & Spinach (lead), (3) A Wild Foods Product Wild Collagen Peptides (lead), (4)
22 A Wild Foods Product Wild Vanilla Powder (lead), (5) A Wild Foods Product Wild Whey
23 Grass-Fed Whey Protein Natural (lead), (6) A Wild Foods Product Wild Turmeric Powder
24 (lead), (7) A Wild Foods Product Wild Cocoa Butter (lead), and (8) A Wild Foods Product
25 Cocotropic Wild Superfood Elixir Mood Plus Concentration (lead, cadmium). Defendants Wild
26 Foods Brand LLC and PurpleRock Wild Foods OpCo LLC are companies subject to
27 Proposition 65 as they employ ten or more persons and have employed ten or more persons at
28 all times relevant to this action.

4. Defendants Does 1-100, are named herein under fictitious names, as their true names and capacities are unknown to ERC. ERC is informed and believes, and thereon alleges, that each of said Does is responsible, in some actionable manner, for the events and happenings hereinafter referred to, either through said Does' conduct, or through the conduct of its agents, servants or employees, or in some other manner, causing the harms alleged by ERC in this Complaint. When said true names and capacities of Does are ascertained, ERC will seek leave to amend this Complaint to set forth the same.

III

JURISDICTION AND VENUE

5. This Court has jurisdiction pursuant to California Constitution Article VI, Section 10, which grants the Superior Court original jurisdiction in all causes except those given by statute to other trial courts. The statute under which this action is brought does not specify any other basis for jurisdiction.

6. This Court has jurisdiction over Wild Foods because Wild Foods has sufficient minimum contacts with California, and otherwise intentionally avails itself of the California market through the marketing, distribution, and/or sale of the SUBJECT PRODUCTS in the State of California so as to render the exercise of jurisdiction over it by the California courts consistent with traditional notions of fair play and substantial justice.

7. The Complaint is based on allegations contained in the Notices of Violation dated March 25, 2021 and April 20, 2021, served on the California Attorney General, other public enforcers, and Wild Foods. The Notices of Violation constitute adequate notice to Wild Foods because they provided adequate information to allow Wild Foods to assess the nature of the alleged violations, consistent with Proposition 65 and its implementing regulations. A certificate of merit and a certificate of service accompanied each copy of the Notices of Violation, and both certificates comply with Proposition 65 and its implementing regulations. The Notices of Violation served on Wild Foods also included a copy of “The Safe Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65): A Summary.” Service of the Notices of Violation and accompanying documents complied with Proposition 65 and its

1 implementing regulations. Attached hereto as ***Exhibits A and B*** are true and correct copies of
2 the Notices of Violation and associated documents. More than 60 days have passed since ERC
3 mailed the Notices of Violation and no public enforcement entity has filed a Complaint in this
4 case.

5 8. This Court is the proper venue for the action because the causes of action have arisen in
6 the County of Alameda where some of the violations of law have occurred, and will continue to
7 occur, due to the ongoing sale of Wild Foods' products. Furthermore, venue is proper in this
8 Court under Code of Civil Procedure section 395.5 and Health & Safety Code section 25249.7.

9 **IV**

10 **STATUTORY BACKGROUND**

11 9. The Safe Drinking Water and Toxic Enforcement Act of 1986 is an initiative statute
12 passed as "Proposition 65" by an overwhelming majority vote of the people in November of
13 1986.

14 10. The warning requirement of Proposition 65 is contained in Health & Safety Code
15 section 25249.6, which provides:

16 No person in the course of doing business shall knowingly and
17 intentionally expose any individual to a chemical known to the state to
18 cause cancer or reproductive toxicity without first giving clear and
19 reasonable warning to such individual, except as provided in Section
20 25249.10.

21 11. The Office of Environmental Health Hazard Assessment ("OEHHA"), a division of Cal
22 EPA, is the lead agency in charge of the implementation of Proposition 65. OEHHA
23 administers the Proposition 65 program and administers regulations that govern Proposition 65
24 in general, including warnings to comply with the statute. The warning regulations are found at
25 Title 27 of the California Code of Regulations, Article 6. The regulations define expose as "to
26 cause to ingest, inhale, contact via body surfaces or otherwise come into contact with a listed
27 chemical. An individual may come into contact with a listed chemical through water, air, food,
28 consumer products and any other environmental exposure as well as occupational exposures."
(Cal. Code Regs., tit. 27, § 25102, subd. (i).)

1 12. In this case, the exposures are caused by consumer products. A consumer product is
2 defined as “any article, or component part thereof, including food, that is produced, distributed,
3 or sold for the personal use, consumption or enjoyment of a consumer.” (Cal. Code Regs., tit.
4 27, § 25600.1, subd. (d).) Food “includes ‘dietary supplements’ as defined in California Code
5 of Regulations, title 17, section 10200.” (*Id.* at subd. (g).) A consumer product exposure is “an
6 exposure that results from a person’s acquisition, purchase, storage, consumption, or any
7 reasonably foreseeable use of a consumer product, including consumption of a food.” (*Id.* at
8 subd. (e).)

9 13. On August 30, 2016, the Office of Administrative Law approved the adoption of
10 OEHHA’s amendments to Article 6, Clear and Reasonable Warnings of the California Code of
11 Regulations. This action repealed virtually all of the regulatory provisions of Title 27 of the
12 California Code of Regulations, Article 6 (sections 25601 *et seq.*) and replaced the repealed
13 sections with new regulations set forth in two new Subarticles to Article 6 that became
14 operative on August 30, 2018 (the “New Warning Regulations”). The New Warning
15 Regulations provide, among other things, methods of transmission and content of warnings
16 deemed to comply with Proposition 65. Wild Foods is subject to the warning requirements set
17 forth in the New Warning Regulations that became operative on August 30, 2018.

18 14. Health & Safety Code section 25249.6 provides that “No person in the course of doing
19 business shall knowingly and intentionally expose any individual to a chemical known to the
20 state to cause cancer or reproductive toxicity without first giving clear and reasonable warning
21 to such individual” The New Warning Regulations apply when clear and reasonable
22 warnings are required under Section 25249.6. Pursuant to the New Warning Regulations,
23 consumer product warnings “must be prominently displayed on a label, labeling, or sign, and
24 must be displayed with such conspicuousness as compared with other words, statements,
25 designs or devices on the label, labeling, or sign, as to render the warning likely to be seen,
26 read, and understood by an ordinary individual under customary conditions of purchase or use.”
27 (*Id.* at § 25601, subd. (c).)

28 15. Proposition 65 establishes a procedure by which the State is to develop a list of

chemicals “known to the State to cause cancer or reproductive toxicity.” (Health & Safety Code, § 25249.8.) There is no duty to provide a clear and reasonable warning until 12-months after the chemical is published on the State list. (Health & Safety Code, § 25249.10, subd. (b).)

16. Lead was listed as a chemical known to the State of California to cause developmental toxicity in the fetus and male and female reproductive toxicity on February 27, 1987. Lead was listed as a chemical known to the State of California to cause cancer on October 1, 1992. (State of California EPA OEHHA Safe Drinking Water and Toxic Enforcement Act of 1986 Chemicals Known to the State to Cause Cancer and Reproductive Toxicity.) The MADL for lead as a chemical known to cause reproductive toxicity is 0.5 micrograms per day. (Cal. Code Regs., tit. 27, §25805, subd. (b).) The No Significant Risk Level for lead as a carcinogen is 15 micrograms per day. (Cal. Code Regs., tit. 27, §25705, subd. (b).)

17. Cadmium was officially listed as a chemical known to cause developmental toxicity and male reproductive toxicity on May 1, 1997, while cadmium and cadmium compounds were listed as chemicals known to the State of California to cause cancer on October 1, 1987. (State of California EPA OEHHA Safe Drinking Water and Toxic Enforcement Act of 1986 Chemicals Known to the State to Cause Cancer and Reproductive Toxicity.) The MADL for cadmium as a chemical known to cause reproductive toxicity is 4.1 micrograms per day. (Cal. Code Regs., tit. 27, §25805, subd. (b).)

18. Proposition 65 provides that any person “violating or threatening to violate” Proposition 65 may be enjoined in any court of competent jurisdiction. (Health & Safety Code, §25249.7, subd. (a).) To “threaten to violate” means “to create a condition in which there is a substantial probability that a violation will occur.” (Health & Safety Code, § 25249.11, subd. (e).) Furthermore, violators are subject to a civil penalty of up to \$2,500 per day for each violation. (Health & Safety Code, § 25249.7, subd. (b)(1).)

19. Proposition 65 may be enforced by any person in the public interest who provides notice sixty days before filing suit to both the violator and designated law enforcement officials. The failure of law enforcement officials to file a timely Complaint enables a citizen suit to be filed pursuant to Health & Safety Code section 25249.7, subdivisions (c) and (d).

V

STATEMENT OF FACTS

20. Wild Foods has developed, manufactured, marketed, distributed, and/or sold the SUBJECT PRODUCTS containing lead and/or cadmium into the State of California. Consumption of the SUBJECT PRODUCTS according to the directions and/or recommendations provided for said products cause consumers to be exposed to lead at levels exceeding the 0.5 micrograms per day MADL and/or cadmium at levels exceeding 4.1 micrograms per day MADL and requiring a warning. Consumers have been ingesting these products for many years, without any knowledge of their exposure to these very dangerous chemicals.

21. For many years, Wild Foods has knowingly and intentionally exposed numerous persons to lead and/or cadmium without providing any type of Proposition 65 warning. Prior to ERC's Notices of Violation and this Complaint, Wild Foods failed to provide a warning on the labels of the SUBJECT PRODUCTS or provide any other legally acceptable warning. Wild Foods has, at all times relevant hereto, been aware that the SUBJECT PRODUCTS contained lead and/or cadmium and that persons using these products have been exposed to these chemicals. Wild Foods has been aware of the presence of lead and/or cadmium in the SUBJECT PRODUCTS and has failed to disclose the presence of these chemicals to the public, who undoubtedly believe they have been ingesting totally healthy and pure products pursuant to the company's statements.

22. Both prior and subsequent to ERC's Notices of Violation, Wild Foods failed to provide consumers of the SUBJECT PRODUCTS with a clear and reasonable warning that they have been exposed to chemicals known to the State of California to cause cancer, birth defects and other reproductive harm. This failure to warn is ongoing.

FIRST CAUSE OF ACTION

(Violation of Section 25249.6 of the Health and Safety Code, Failure to Provide Clear and Reasonable Warning under Proposition 65)

23. ERC refers to paragraphs 1-22, inclusive, and incorporates them herein by this

1 reference.

2 24. By committing the acts alleged above, Wild Foods has, in the course of doing business,
3 knowingly and intentionally exposed users of the SUBJECT PRODUCTS to lead and/or
4 cadmium, chemicals known to the State of California to cause cancer, birth defects, and other
5 reproductive harm, without first giving clear and reasonable warning to such individuals within
6 the meaning of Health & Safety Code section 25249.6. In doing so, Wild Foods has violated
7 Health & Safety Code section 25249.6 and continues to violate the statute with each successive
8 sale of the SUBJECT PRODUCTS.

9 25. Said violations render Wild Foods liable for civil penalties, up to \$2,500 per day for
10 each violation, and subject Wild Foods to injunction.

11 **SECOND CAUSE OF ACTION**
12 **(Declaratory Relief)**

13 26. ERC refers to paragraphs 1-25, inclusive, and incorporates them herein by this
14 reference.

15 27. There exists an actual controversy relating to the legal rights and duties of the Parties,
16 within the meaning of Code of Civil Procedure section 1060, between ERC and Wild Foods,
17 concerning whether Wild Foods has exposed individuals to chemicals known to the State of
18 California to cause cancer, birth defects, and other reproductive harm without providing clear
19 and reasonable warning.

20 **VI**

21 **PRAYER**

22 WHEREFORE ERC prays for relief as follows:

23 1. On the First Cause of Action, for civil penalties for each and every violation according
24 to proof;

25 2. On the First Cause of Action, and pursuant to Health & Safety Code section 25249.7,
26 subdivision (a), for such temporary restraining orders, preliminary and permanent injunctive
27 orders, or other orders as are necessary to prevent Wild Foods from exposing persons to lead
28 and/or cadmium without providing clear and reasonable warning;

1 3. On the Second Cause of Action, for a declaratory judgment pursuant to Code of Civil
2 Procedure section 1060 declaring that Wild Foods has exposed individuals to lead and/or
3 cadmium without providing clear and reasonable warning; and

4 4. On all Causes of Action, for reasonable attorneys' fees pursuant to Code of Civil
5 Procedure section 1021.5 or the substantial benefit theory;

6 5. For costs of suit herein; and

7 6. For such other relief as the Court may deem just and proper.

8 ///

9 DATED: June 30, 2021

ENVIRONMENTAL RESEARCH CENTER, INC.



Charles W. Poss
In-House Counsel

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EXHIBIT A



Environmental Research Center

3111 Camino Del Rio North, Suite 400
San Diego, CA 92108
619-500-3090

March 25, 2021

NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violators and the Appropriate Public Enforcement Agencies:

I am the Executive Director of Environmental Research Center, Inc. (“ERC”). ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

ERC has identified violations of California’s Safe Drinking Water and Toxic Enforcement Act of 1986 (“Proposition 65”), which is codified at California Health & Safety Code §25249.5 *et seq.*, with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violators identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violators and the appropriate public enforcement agencies. Pursuant to Section 25249.7(d) of the statute, ERC intends to file a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

General Information about Proposition 65. A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is attached with the copy of this letter served to the alleged Violators identified below.

Alleged Violators. The names of the companies covered by this notice that violated Proposition 65 (hereinafter the “Violators”) are:

- **Wild Foods Brand LLC**
- **PurpleRock Wild Foods OpCo LLC**
- **PurpleRock BioSchwartz IP Holdings, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**
- **PurpleRock Capital Partners LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**

- **PurpleRock BioSchwartz Holdco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**
- **PurpleRock BioSchwartz Opco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**

Consumer Products and Listed Chemical. The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

1. **A Wild Foods Product Wild Super Greens + Prebiotic, Probiotic & Digestive Enzymes - Lead**
2. **A Wild Foods Product Wild Reds Beet Root Plus Kale & Spinach - Lead**
3. **A Wild Foods Product Wild Collagen Peptides - Lead**
4. **A Wild Foods Product Wild Vanilla Powder - Lead**
5. **A Wild Foods Product Wild Whey Grass-Fed Whey Protein Natural - Lead**

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

It should be noted that ERC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

Route of Exposure. The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to this chemical has been and continues to be through ingestion.

Approximate Time Period of Violations. Ongoing violations have occurred every day since at least March 25, 2018, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until this known toxic chemical is either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemical. The method of warning should be a warning that appears on the product label. The Violators violated Proposition 65 because they failed to provide persons ingesting these products with appropriate warnings that they are being exposed to this chemical.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, ERC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violators to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemical, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemical, as well as an expensive and time-consuming litigation.

March 25, 2021

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Please direct all questions concerning this notice to ERC at the above listed address and telephone number.

Sincerely,



Chris Heptinstall
Executive Director
Environmental Research Center

Attachments

Certificate of Merit

Certificate of Service

OEHHA Summary (to Wild Foods Brand LLC; PurpleRock Wild Foods OpCo LLC; PurpleRock BioSchwartz IP Holdings, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock Capital Partners LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock BioSchwartz Holdco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; and PurpleRock BioSchwartz Opco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco and their Registered Agents for Service of Process only)

Additional Supporting Information for Certificate of Merit (to AG only)

CERTIFICATE OF MERIT

Re: Environmental Research Center, Inc.'s Notice of Proposition 65 Violations by Wild Foods Brand LLC; PurpleRock Wild Foods OpCo LLC; PurpleRock BioSchwartz IP Holdings, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock Capital Partners LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock BioSchwartz Holdco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; and PurpleRock BioSchwartz Opco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco

I, Chris Heptinstall, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged the parties identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.

2. I am the Executive Director for the noticing party.

3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.

4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute.

5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.



Dated: March 25, 2021

Chris Heptinstall

March 25, 2021

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CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On March 25, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: **NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; “THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY”** on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties listed below and depositing it in a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Current President or CEO
Wild Foods Brand LLC
6318 Burnet Rd #B
Austin, TX 78757

Current President or CEO
Wild Foods Brand LLC
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Current President or CEO
Wild Foods Brand LLC
11825 High Tech Ave, Ste 200
Orlando, FL 32817

Current President or CEO
Wild Foods Brand LLC
900 N Hills Blvd
Reno, NV 89506

Current President or CEO
Wild Foods Brand LLC
1601 Rutherford Lane Ste A200
Austin, TX 78754

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz
Opco, LLC, individually and dba Wild Foods Co
and NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock Wild Foods Opco, LLC)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz
Holdco, LLC, individually and dba Wild Foods Co
and NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz IP
Holdings, LLC, individually and dba Wild Foods Co
and NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock Capital Partners
LLC, individually and dba Wild Foods Co and
NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz
Opco, LLC, individually and dba Wild Foods Co and
NetWell Nutrition Holdco)
155 Office Plaza Dr, Ste A
Tallahassee, FL 32301

Current President or CEO
PurpleRock BioSchwartz Opco, LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
11825 High Tech Ave, Ste 200
Orlando, FL 32817

Current President or CEO
PurpleRock Wild Foods Opco, LLC
1701 Directors Blvd Ste 300
Austin, TX 78744

March 25, 2021

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Current President or CEO
PurpleRock BioSchwartz Opco, LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Current President or CEO
PurpleRock BioSchwartz Holdco, LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Current President or CEO
PurpleRock Capital Partners LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock Wild Foods
Opco, LLC)
1701 Directors Blvd, Ste 300
Austin, TX 78744

Current President or CEO
PurpleRock BioSchwartz IP
Holdings, LLC, individually and dba Wild Foods Co
And NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

On March 25, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents
**NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.;
CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF
MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1)** were served on the
following party when a true and correct copy thereof was uploaded on the California Attorney General's website,
which can be accessed at <https://oag.ca.gov/prop65/add-60-day-notice> :

Office of the California Attorney General
Prop 65 Enforcement Reporting
1515 Clay Street, Suite 2000
Post Office Box 70550
Oakland, CA 94612-0550

On March 25, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, verified the following documents
**NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.;
CERTIFICATE OF MERIT** were served on the following parties when a true and correct copy thereof was sent
via electronic mail to each of the parties listed below:

Nancy O'Malley, District Attorney
Alameda County
7677 Oakport Street, Suite 650
Oakland, CA 94621
CEPDProp65@acgov.org

Thomas L. Hardy, District Attorney
Inyo County
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Independence, CA 93526
inyoda@inyocounty.us

Barbara Yook, District Attorney
Calaveras County
891 Mountain Ranch Road
San Andreas, CA 95249
Prop65Env@co.calaveras.ca.us

Michelle Latimer, Program Coordinator
Lassen County
220 S. Lassen Street
Susanville, CA 96130
mlatimer@co.lassen.ca.us

Stacey Grassini, Deputy District Attorney
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900 Ward Street
Martinez, CA 94553
sgrassini@contracostada.org

Walter W. Wall, District Attorney
Mariposa County
P.O. Box 730
Mariposa, CA 95338
mcda@mariposacounty.org

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

March 25, 2021

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Kimberly Lewis, District Attorney
Merced County
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Prop65@countyofmerced.com

Jeannine M. Pacioni, District Attorney
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Monterey, CA 93940
Prop65DA@co.monterey.ca.us

Allison Haley, District Attorney
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CEPD@countyofnapa.org

Clifford H. Newell, District Attorney
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201 Commercial St
Nevada City, CA 95959
DA.Prop65@co.nevada.ca.us

Morgan Briggs Gire, District Attorney
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10810 Justice Center Drive
Roseville, CA 95678
Prop65@placer.ca.gov

David Hollister, District Attorney
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Quincy, CA 95971
davidhollister@countyofplumas.com

Paul E. Zellerbach, District Attorney
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Riverside, CA 92501
Prop65@rivcoda.org

Anne Marie Schubert, District Attorney
Sacramento County
901 G Street
Sacramento, CA 95814
Prop65@sacda.org

Summer Stephan, District Attorney
San Diego County
330 West Broadway
San Diego, CA 92101
SanDiegoDAProp65@sdca.org

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San Diego, CA 92101
CityAttyProp65@sandiego.gov

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San Francisco District Attorney's Office
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alethea.sargent@sfgov.org

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San Francisco, CA 94102
Valerie.Lopez@sfcityattorney.org

Tori Verber Salazar, District Attorney
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Stockton, CA 95202
DAConsumer.Environmental@sjcda.org

Eric J. Dobroth, Deputy District Attorney
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County Government Center Annex, 4th Floor
San Luis Obispo, CA 93408
edobroth@co.slo.ca.us

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1112 Santa Barbara Street
Santa Barbara, CA 93101
DAProp65@co.santa-barbara.ca.us

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Santa Clara County
70 W Hedding St
San Jose, CA 95110
EPU@da.sccgov.org

Jeffrey S. Rosell, District Attorney
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701 Ocean Street
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Prop65DA@santacruzcounty.us

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

March 25, 2021

Page 8

Stephan R. Passalacqua, District Attorney
Sonoma County
600 Administration Dr
Sonoma, CA 95403
jbarnes@sonoma-county.org

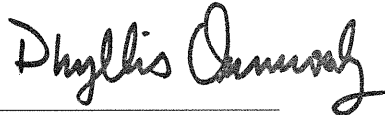
Phillip J. Cline, District Attorney
Tulare County
221 S Mooney Blvd
Visalia, CA 95370
Prop65@co.tulare.ca.us

Gregory D. Totten, District Attorney
Ventura County
800 S Victoria Ave
Ventura, CA 93009
daspecialops@ventura.org

Jeff W. Reisig, District Attorney
Yolo County
301 Second Street
Woodland, CA 95695
cfepd@yolocounty.org

On March 25, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents:
NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.;
CERTIFICATE OF MERIT on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it with the U.S. Postal Service with the postage fully prepaid for delivery by First Class Mail.

Executed on March 25, 2021, in Fort Oglethorpe, Georgia.



Phyllis Dunwoody

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

March 25, 2021

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Service List

District Attorney, Alpine
County
P.O. Box 248
Markleeville, CA 96120

District Attorney, Amador
County
708 Court Street, Suite 202
Jackson, CA 95642

District Attorney, Butte
County
25 County Center Drive, Suite
245
Oroville, CA 95965

District Attorney, Colusa
County
346 Fifth Street Suite 101
Colusa, CA 95932

District Attorney, Del Norte
County
450 H Street, Room 171
Crescent City, CA 95531

District Attorney, El Dorado
County
778 Pacific St.
Placerville, CA 95667

District Attorney, Fresno
County
2220 Tulare Street, Suite 1000
Fresno, CA 93721

District Attorney, Glenn
County
Post Office Box 430
Willows, CA 95988

District Attorney, Humboldt
County
825 5th Street 4th Floor
Eureka, CA 95501

District Attorney, Imperial
County
940 West Main Street, Ste 102
El Centro, CA 92243

District Attorney, Kern County
1215 Truxtun Avenue
Bakersfield, CA 93301

District Attorney, Kings
County
1400 West Lacey Boulevard
Hanford, CA 93230

District Attorney, Lake County
255 N. Forbes Street
Lakeport, CA 95453

District Attorney, Los Angeles
County
Hall of Justice
211 West Temple St., Ste 1200
Los Angeles, CA 90012

District Attorney, Madera
County
209 West Yosemite Avenue
Madera, CA 93637

District Attorney, Marin
County
3501 Civic Center Drive,
Room 130
San Rafael, CA 94903

District Attorney, Mendocino
County
Post Office Box 1000
Ukiah, CA 95482

District Attorney, Modoc
County
204 S Court Street, Room 202
Alturas, CA 96101-4020

District Attorney, Mono
County
Post Office Box 617
Bridgeport, CA 93517

District Attorney, Orange
County
300 N Flower St
Santa Ana, CA 92703

District Attorney, San Benito
County
419 Fourth Street, 2nd Floor
Hollister, CA 95023

District Attorney, San
Bernardino County
303 West Third Street
San Bernadino, CA 92415

District Attorney, San Mateo
County
400 County Ctr., 3rd Floor
Redwood City, CA 94063

District Attorney, Shasta
County
1355 West Street
Redding, CA 96001

District Attorney, Sierra
County
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Floor
Downieville, CA 95936

District Attorney, Siskiyou
County
Post Office Box 986
Yreka, CA 96097

District Attorney, Solano
County
675 Texas Street, Ste 4500
Fairfield, CA 94533

District Attorney, Stanislaus
County
832 12th Street, Ste 300
Modesto, CA 95354

District Attorney, Sutter
County
463 2nd Street
Yuba City, CA 95991

District Attorney, Tehama
County
Post Office Box 519
Red Bluff, CA 96080

District Attorney, Trinity
County
Post Office Box 310
Weaverville, CA 96093

District Attorney, Tuolumne
County
423 N. Washington Street
Sonora, CA 95370

District Attorney, Yuba
County
215 Fifth Street, Suite 152
Marysville, CA 95901

Los Angeles City Attorney's
Office
City Hall East
200 N. Main Street, Suite 800
Los Angeles, CA 90012

San Jose City Attorney's
Office
200 East Santa Clara Street,
16th Floor
San Jose, CA 95113

APPENDIX A

OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as “Proposition 65”). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: <http://oehha.ca.gov/prop65/law/P65law72003.html>. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.¹ These implementing regulations are available online at: <http://oehha.ca.gov/prop65/law/P65Regs.html>.

WHAT DOES PROPOSITION 65 REQUIRE?

The “Proposition 65 List.” Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

¹ All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: <http://www.oehha.ca.gov/prop65/law/index.html>.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: http://www.oehha.ca.gov/prop65/prop65_list/Newlist.html.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

Clear and reasonable warnings. A business is required to warn a person before “knowingly and intentionally” exposing that person to a listed chemical unless an exemption applies. The warning given must be “clear and reasonable.” This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

Prohibition from discharges into drinking water. A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (<http://www.oehha.ca.gov/prop65/law/index.html>) to determine all applicable exemptions, the most common of which are the following:

Grace Period. Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

Governmental agencies and public water utilities. All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

Businesses with nine or fewer employees. Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

Exposures that pose no significant risk of cancer. For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses “no significant risk.” This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific “No Significant Risk Levels” (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures that will produce no observable reproductive effect at 1,000 times the level in question. For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the “no observable effect level” divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures to Naturally Occurring Chemicals in Food. Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant² it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a “significant amount” of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a “significant amount” of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A “significant amount” means any detectable amount, except an amount that would meet the “no significant risk” level for chemicals that cause cancer or that is 1,000 times below the “no observable effect” level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

² See Section 25501(a)(4).

HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at:
<http://oehha.ca.gov/prop65/law/p65law72003.html>.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at
P65Public.Comments@oehha.ca.gov.

Revised: May 2017

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.

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EXHIBIT B



Environmental Research Center

3111 Camino Del Rio North, Suite 400
San Diego, CA 92108
619-500-3090

April 20, 2021

NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violators and the Appropriate Public Enforcement Agencies:

I am the Executive Director of Environmental Research Center, Inc. (“ERC”). ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

ERC has identified violations of California’s Safe Drinking Water and Toxic Enforcement Act of 1986 (“Proposition 65”), which is codified at California Health & Safety Code §25249.5 *et seq.*, with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violators identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violators and the appropriate public enforcement agencies. Pursuant to Section 25249.7(d) of the statute, ERC intends to file a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

General Information about Proposition 65. A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is attached with the copy of this letter served to the alleged Violators identified below.

Alleged Violators. The names of the companies covered by this notice that violated Proposition 65 (hereinafter the “Violators”) are:

- **Wild Foods Brand LLC**
- **PurpleRock Wild Foods OpCo LLC**
- **PurpleRock BioSchwartz IP Holdings, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**
- **PurpleRock Capital Partners LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**

- **PurpleRock BioSchwartz Holdco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**
- **PurpleRock BioSchwartz Opco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco**

Consumer Products and Listed Chemicals. The products that are the subject of this notice and the chemicals in those products identified as exceeding allowable levels are:

1. **A Wild Foods Product Wild Turmeric Powder - Lead**
2. **A Wild Foods Product Wild Cocoa Butter - Lead**
3. **A Wild Foods Product Cocotropic Wild Superfood Elixir Mood Plus Concentration – Lead, Cadmium**

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

Cadmium was officially listed as a chemical known to cause developmental toxicity and male reproductive toxicity on May 1, 1997, while cadmium and cadmium compounds were listed as chemicals known to the State of California to cause cancer on October 1, 1987.

It should be noted that ERC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

Route of Exposure. The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to these chemicals has been and continues to be through ingestion.

Approximate Time Period of Violations. Ongoing violations have occurred every day since at least April 20, 2018, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until these known toxic chemicals are either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemicals. The method of warning should be a warning that appears on the product label. The Violators violated Proposition 65 because they failed to provide persons ingesting these products with appropriate warnings that they are being exposed to these chemicals.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, ERC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violators to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemicals, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last

April 20, 2021

Page 3

three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemicals, as well as an expensive and time-consuming litigation.

Please direct all questions concerning this notice to ERC at the above listed address and telephone number.

Sincerely,



Chris Heptinstall
Executive Director
Environmental Research Center

Attachments

Certificate of Merit

Certificate of Service

OEHHA Summary (to Wild Foods Brand LLC; PurpleRock Wild Foods OpCo LLC; PurpleRock BioSchwartz IP Holdings, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock Capital Partners LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock BioSchwartz Holdco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; and PurpleRock BioSchwartz Opco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco and their Registered Agents for Service of Process only)

Additional Supporting Information for Certificate of Merit (to AG only)

CERTIFICATE OF MERIT

Re: Environmental Research Center, Inc.'s Notice of Proposition 65 Violations by Wild Foods Brand LLC; PurpleRock Wild Foods OpCo LLC; PurpleRock BioSchwartz IP Holdings, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock Capital Partners LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; PurpleRock BioSchwartz Holdco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco; and PurpleRock BioSchwartz Opco, LLC, individually and dba Wild Foods Co and NetWell Nutrition Holdco

I, Chris Heptinstall, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged the parties identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.

2. I am the Executive Director for the noticing party.

3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemicals that are the subject of the notice.

4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute.

5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.



Dated: April 20, 2021

Chris Heptinstall

CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On April 20, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: **NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; “THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY”** on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties listed below and depositing it in a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Current President or CEO
Wild Foods Brand LLC
6318 Burnet Rd #B
Austin, TX 78757

Current President or CEO
Wild Foods Brand LLC
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Current President or CEO
Wild Foods Brand LLC
11825 High Tech Ave, Ste 200
Orlando, FL 32817

Current President or CEO
Wild Foods Brand LLC
900 N Hills Blvd
Reno, NV 89506

Current President or CEO
Wild Foods Brand LLC
1601 Rutherford Lane Ste A200
Austin, TX 78754

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz
Opco, LLC, individually and dba Wild Foods Co
and NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock Wild Foods Opco, LLC)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz
Holdco, LLC, individually and dba Wild Foods Co
and NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz IP
Holdings, LLC, individually and dba Wild Foods Co
and NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock Capital Partners
LLC, individually and dba Wild Foods Co and
NetWell Nutrition Holdco)
9 E Loockerman St Ste 311
Dover, DE 19901

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock BioSchwartz
Opco, LLC, individually and dba Wild Foods Co and
NetWell Nutrition Holdco)
155 Office Plaza Dr, Ste A
Tallahassee, FL 32301

Current President or CEO
PurpleRock BioSchwartz Opco, LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
11825 High Tech Ave, Ste 200
Orlando, FL 32817

Current President or CEO
PurpleRock Wild Foods Opco, LLC
1701 Directors Blvd Ste 300
Austin, TX 78744

April 20, 2021

Page 6

Current President or CEO
PurpleRock BioSchwartz Opco, LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Current President or CEO
PurpleRock BioSchwartz Holdco, LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Current President or CEO
PurpleRock Capital Partners LLC,
individually and dba Wild Foods Co and
NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

Registered Agent Solutions, Inc.
(Registered Agent for PurpleRock Wild Foods
Opco, LLC)
1701 Directors Blvd, Ste 300
Austin, TX 78744

Current President or CEO
PurpleRock BioSchwartz IP
Holdings, LLC, individually and dba Wild Foods Co
And NetWell Nutrition Holdco
1350 Ave of the Americas 2nd Fl
New York, NY 10019

On April 20, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1)** were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General's website, which can be accessed at <https://oag.ca.gov/prop65/add-60-day-notice> :

Office of the California Attorney General
Prop 65 Enforcement Reporting
1515 Clay Street, Suite 2000
Post Office Box 70550
Oakland, CA 94612-0550

On April 20, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT** were served on the following parties when a true and correct copy thereof was sent via electronic mail to each of the parties listed below:

Nancy O'Malley, District Attorney
Alameda County
7677 Oakport Street, Suite 650
Oakland, CA 94621
CEPDProp65@acgov.org

Thomas L. Hardy, District Attorney
Inyo County
168 North Edwards Street
Independence, CA 93526
inyoda@inyocounty.us

Barbara Yook, District Attorney
Calaveras County
891 Mountain Ranch Road
San Andreas, CA 95249
Prop65Env@co.calaveras.ca.us

Michelle Latimer, Program Coordinator
Lassen County
220 S. Lassen Street
Susanville, CA 96130
mlatimer@co.lassen.ca.us

Stacey Grassini, Deputy District Attorney
Contra Costa County
900 Ward Street
Martinez, CA 94553
sgrassini@contracostada.org

Walter W. Wall, District Attorney
Mariposa County
P.O. Box 730
Mariposa, CA 95338
mcda@mariposacounty.org

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

April 20, 2021

Page 7

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Merced County
550 West Main St
Merced, CA 95340
Prop65@countyofmerced.com

Jeannine M. Pacioni, District Attorney
Monterey County
1200 Aguajito Road
Monterey, CA 93940
Prop65DA@co.monterey.ca.us

Allison Haley, District Attorney
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1127 First Street, Ste C
Napa, CA 94559
CEPD@countyofnapa.org

Clifford H. Newell, District Attorney
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201 Commercial St
Nevada City, CA 95959
DA.Prop65@co.nevada.ca.us

Morgan Briggs Gire, District Attorney
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10810 Justice Center Drive
Roseville, CA 95678
Prop65@placer.ca.gov

David Hollister, District Attorney
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Quincy, CA 95971
davidhollister@countyofplumas.com

Paul E. Zellerbach, District Attorney
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3072 Orange Street
Riverside, CA 92501
Prop65@rivcoda.org

Anne Marie Schubert, District Attorney
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901 G Street
Sacramento, CA 95814
Prop65@sacda.org

Summer Stephan, District Attorney
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330 West Broadway
San Diego, CA 92101
SanDiegoDAProp65@sdca.org

Mark Ankorn, Deputy City Attorney
San Diego City Attorney
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Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

April 20, 2021

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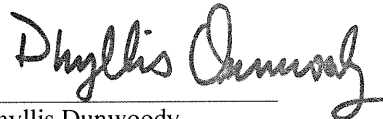
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On April 20, 2021, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents:
NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.;
CERTIFICATE OF MERIT on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it with the U.S. Postal Service with the postage fully prepaid for delivery by First Class Mail.

Executed on April 20, 2021, in Fort Oglethorpe, Georgia.



Phyllis Dunwoody

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

April 20, 2021

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APPENDIX A

OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as “Proposition 65”). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: <http://oehha.ca.gov/prop65/law/P65law72003.html>. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.¹ These implementing regulations are available online at: <http://oehha.ca.gov/prop65/law/P65Regs.html>.

WHAT DOES PROPOSITION 65 REQUIRE?

The “Proposition 65 List.” Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

¹ All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: <http://www.oehha.ca.gov/prop65/law/index.html>.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: http://www.oehha.ca.gov/prop65/prop65_list/Newlist.html.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

Clear and reasonable warnings. A business is required to warn a person before “knowingly and intentionally” exposing that person to a listed chemical unless an exemption applies. The warning given must be “clear and reasonable.” This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

Prohibition from discharges into drinking water. A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (<http://www.oehha.ca.gov/prop65/law/index.html>) to determine all applicable exemptions, the most common of which are the following:

Grace Period. Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

Governmental agencies and public water utilities. All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

Businesses with nine or fewer employees. Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

Exposures that pose no significant risk of cancer. For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses “no significant risk.” This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific “No Significant Risk Levels” (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures that will produce no observable reproductive effect at 1,000 times the level in question. For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the “no observable effect level” divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures to Naturally Occurring Chemicals in Food. Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant² it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a “significant amount” of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a “significant amount” of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A “significant amount” means any detectable amount, except an amount that would meet the “no significant risk” level for chemicals that cause cancer or that is 1,000 times below the “no observable effect” level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

² See Section 25501(a)(4).

HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at:
<http://oehha.ca.gov/prop65/law/p65law72003.html>.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at
P65Public.Comments@oehha.ca.gov.

Revised: May 2017

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.