| Electronically FILED                  | by Superior Court of California, County of Los Angeles on 23778/202377:02<br>Assigned for all purposes to: Inglewood Courthouse, Judic                                                                                                                         | AM David W. Slayton, Executive Officer/Clerk of Court, by C. Reyes,Deputy Clerk<br>ial Officer: Ronald Frank                      |
|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| 1<br>2<br>3<br>4<br>5<br>6<br>7       | Joseph R. Manning, Jr., Esq. (State Bar No. 2233<br>MANNING LAW, APC<br>26100 Towne Centre Drive<br>Foothill Ranch, CA 92610<br>(949) 200-8755 Phone<br>(866) 843-8308 Fax<br>Attorneys for Plaintiff<br>CALSAFE RESEARCH CENTER, INC.<br>SUPERIOR COURT OF TH | 881)<br>IE STATE OF CALIFORNIA                                                                                                    |
| 8                                     | COUNTY OF ORANGE-CEN                                                                                                                                                                                                                                           | NTRAL JUDICIAL DISTRICT                                                                                                           |
| 9<br>10<br>11<br>12<br>13<br>14<br>15 | CALSAFE RESEARCH CENTER, INC., a<br>California non-profit corporation<br>Plaintiff,<br>v.<br>SMALL PLANET FOODS, INC., a<br>Washington profit corporation; and DOES 1 to<br>10,                                                                                | CASE No.: 23TRCV00724<br>COMPLAINT FOR CIVIL PENALTIES<br>AND INJUNCTIVE RELIEF<br>Health & Safety Code §25249.5, <i>et seq</i> . |
| 16<br>17                              | Defendants.                                                                                                                                                                                                                                                    |                                                                                                                                   |
| 17<br>18                              | INTRODU                                                                                                                                                                                                                                                        | ICTION                                                                                                                            |
| 19                                    | 1. This Complaint is brought by plai                                                                                                                                                                                                                           | ntiff Calsafe Research Center, Inc. ("Plaintiff") in                                                                              |
| 20                                    | the public interest of the People of the State of Ca                                                                                                                                                                                                           | lifornia to enforce their right to be informed of the                                                                             |
| 21<br>22                              | presence of chemicals listed by the State of Cal                                                                                                                                                                                                               | ifornia, pursuant to the Safe Drinking Water and                                                                                  |
| 23                                    | Toxic Enforcement Act of 1986, codified at I                                                                                                                                                                                                                   | Health and Safety Code section 25249.6 et seq.                                                                                    |
| 24                                    | ("Proposition 65"), including Lead.                                                                                                                                                                                                                            |                                                                                                                                   |
| 25                                    | 2. Plaintiff seeks to remedy Defer                                                                                                                                                                                                                             | idants' failure to warn citizens of the State of                                                                                  |
| 26                                    |                                                                                                                                                                                                                                                                | 1                                                                                                                                 |
| 27                                    | COMPLAINT FOR CIVIL PENA                                                                                                                                                                                                                                       | LTIES AND INJUNCTIVE RELIEF                                                                                                       |
| 28                                    |                                                                                                                                                                                                                                                                |                                                                                                                                   |

California, in violation of Proposition 65, about the presence of Lead ("Listed Chemical") in the
 Defendant SMALL PLANET FOODS, INC.'s ("Defendant") Muir Glen, Tomato Paste, Net Wt.
 170g offered for sale throughout the State of California ("Products").

- 4 3. Defendant's Products contain the Listed Chemical and consumers of Products in the
  5 State of California are exposed to the Listed Chemical through dermal exposure and ingestion of
  6 the Products.
- 8
   4. Defendants know and intend that their Products expose consumers in the State of
   9
   California to the Listed Chemical.

5. Attached hereto and incorporated by reference are copies of a letter ("60-Day 10 11 Notices"), dated February 16, 2022, which Plaintiff sent to Defendant, Sprouts Farmers Market, 12 LLC and California's Attorney General. Identical letters were sent to every District Attorney in the 13 state, to the City Attorneys of every California city with a population greater than 775,000 and to 14 all Defendants. Attached to the 60-Day Notices were Certificates of Merit attesting to the reasonable 15 and meritorious basis for this action, Certificates of Service attesting to service of the letters on each 16 entity described above, and a description of Proposition 65 prepared by the California Office of 17 Environmental Health Hazard Assessment. Furthermore, factual information sufficient to establish 18 19 the basis of the Certificates of Merit was enclosed with the 60-Day Notices sent to California's 20 Attorney General.

agencies identified in Paragraph 5 have failed to commence and diligently prosecute a cause of action against Defendants under Proposition 65.

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COMPLAINT FOR CIVIL PENALTIES AND INJUNCTIVE RELIEF

## PARTIES

| 2        |                                                                                                         |
|----------|---------------------------------------------------------------------------------------------------------|
| 3        | 7. Plaintiff is a non-profit corporation organized under California law dedicated to                    |
| 4        | protecting the public from environmental health hazards and toxic exposures. Plaintiff is based in      |
| 5        | Newport Beach, CA. Plaintiff is a person within the meaning of Health and Safety Code section           |
| 6        | 25249.11 and brings this enforcement action in the public interest pursuant to Health and Safety        |
| 7        | Code section 25249.7(d). Health and Safety Code section 25249.7 (d) specifies that actions to           |
| 8        | enforce Proposition 65 may be brought by a person in the public interest, provided certain notice       |
| 9        | requirements and no other public prosecutor is diligently prosecuting an action for the same            |
| 10       | violation(s).                                                                                           |
| 11<br>12 | 8. The Defendant is a "Person" in the course of doing business within the meaning of                    |
| 12       | H&S Code §25249.11(a) – "Person" means an individual, trust, firm, joint stock company,                 |
| 14       | corporation, company partnership, limited liability company, and association.                           |
| 15       |                                                                                                         |
| 16       |                                                                                                         |
| 17       | and/or offers for sale in the State of California, Products that contain the Listed Chemical.           |
| 18       | 10. DOES 1 through 10, which manufacture, distribute, and/or offer for sale in the                      |
| 19       | State of California Products that contain the Listed Chemical, are each person in the course of         |
| 20       | doing business within the meaning of Health and Safety Code section 25249.11. At this time, the         |
| 21       | true names and capacities of DOES 1 through 10, inclusive, are unknown to Plaintiff, who,               |
| 22       | therefore, sues said defendants by their fictitious names pursuant to Code of Civil Procedure section   |
| 23       | 474. Plaintiff is informed and believes, and on that basis alleges, that each of the fictitiously named |
| 24       | defendants is responsible for the acts and occurrences alleged herein. When ascertained, their true     |
| 25       | names and capacities shall be reflected in an amended complaint.                                        |
| 26       | 3                                                                                                       |
| 27       | COMPLAINT FOR CIVIL PENALTIES AND INJUNCTIVE RELIEF                                                     |
| 28       |                                                                                                         |
|          |                                                                                                         |
|          |                                                                                                         |

1 JURISDICTION AND VENUE 2 11. This Court has jurisdiction over this action pursuant to California Constitution 3 Article VI, Section 10, which grants the Superior Court "original jurisdiction in all causes except 4 those given by statute to other trial courts." The statute under which this action is brought does not 5 6 specify any other court with jurisdiction. 7 12. This Court has jurisdiction over Defendants based on Plaintiff's information and 8 good faith belief that each Defendant is a person, firm, corporation, or association that is a citizen 9 of the State of California, has sufficient minimum contacts in the State of California, and/or 10 otherwise purposefully avails itself of the California market. Defendants' purposeful availment 11 renders the exercise of personal jurisdiction by the Court consistent with traditional notions of fair 12 13 play and substantial justice. 14 13. Venue in this action is proper in Court because Defendants manufacture, distribute, 15 offer for sale, sell, and/or serve Products that contain the Listed Chemical. Liability for Plaintiff's 16 cause of action, or some parts thereof, has accordingly arisen during the times relevant to this 17 Complaint and Plaintiff accordingly seeks civil penalties and forfeitures imposed by statutes. 18 19 FIRST CAUSE OF ACTION (Violation of Proposition 65 – Against All Defendants) 20 14. Plaintiff refers to, and incorporates by reference, the allegations of all preceding 21 Paragraphs this Complaint, as though fully set forth herein. 22 15. Pursuant to Health and Safety Code section 25249.7(b), as a consequence of the 23 above-described acts, Defendants are liable for a violation of Proposition 65. 24 25 **PRAYER FOR RELIEF** 26 4 27 COMPLAINT FOR CIVIL PENALTIES AND INJUNCTIVE RELIEF 28

| 1        | WHEREFORE, PLAINTIFF prays for judgment against Defendants, and each of them, and                 |
|----------|---------------------------------------------------------------------------------------------------|
| 2        | DOES 1 through 10, as follows:                                                                    |
| 3        | 1. That the Court, pursuant to Health and Safety Code section 25249.7(a), preliminarily           |
| 4        | and permanently enjoin Defendants from manufacturing, distributing, offering for sale, selling    |
| 5        | and/or serving in the State of California Products that contain the Listed Chemical without first |
| 6        | providing a "clear and reasonable warning" under Proposition 65;                                  |
| 7        | 2. That the Court grant Plaintiff's reasonable attorneys' fees and costs of suit;                 |
| 8        | 3. That the Court, pursuant to Health and Safety Code section 25249.7(b), assess civil            |
| 9        | penalties against Defendants in such amount as the Court deems appropriate; and,                  |
| 10       | 4. For such other and further relief as the Court may deem just and proper.                       |
| 11       |                                                                                                   |
| 12       | Dated this 10 <sup>th</sup> day of March 2023                                                     |
| 13       | MANNING LAW, A.P.C                                                                                |
| 14       | Joya R.M. Sp.                                                                                     |
| 15       | By:                                                                                               |
| 16       | Joseph R. Manning, Jr., Esq.<br>Attorneys for Plaintiff                                           |
| 17       |                                                                                                   |
| 18       |                                                                                                   |
| 19       |                                                                                                   |
| 20       |                                                                                                   |
| 21       |                                                                                                   |
| 22       |                                                                                                   |
| 23       |                                                                                                   |
| 24       |                                                                                                   |
| 25       |                                                                                                   |
| 26<br>27 | 5                                                                                                 |
| 27       | COMPLAINT FOR CIVIL PENALTIES AND INJUNCTIVE RELIEF                                               |
| 28       |                                                                                                   |
|          |                                                                                                   |

# EXHIBIT 1



20062 SW Birch St, Suite 200 Newport Beach, CA 92660 Office: 949.200.8755 Facsimile: 866.843.8308 P65@manninglawoffice.com

## **CONSUMER ATTORNEYS**

February 16, 2022

#### NOTICE OF VIOLATION OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violators and the Appropriate Public Enforcement Agencies:

I represent CalSafe Research Center, Inc. ("CRC"), 4533 MacArthur Blvd., Ste. 230, Newport Beach, CA 92660; Tel. (949) 630-0413. CRC's Executive Director is Eric Fairon. CRC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

CRC has identified violations of California's Safe Drinking Water and Toxic Enforcement Act of 1986 ("Proposition 65"), which is codified at California Health & Safety Code §25249.5 et seq., with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violators identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violators and the appropriate public enforcement agencies. Pursuant to Health and Safety Code Section 25249.7(d), CRC intends to pursue a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

<u>General Information about Proposition 65</u>. A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is enclosed with this letter served to the alleged Violators identified below.

<u>Alleged Violators</u>. The names of the person/company covered by this notice that violated Proposition 65 (hereinafter the "Violators") are:

Small Planet Foods, Inc. Emily Thomas- CEO. 1 General Mills Boulevard Minnepolis MN 55426



20062 SW Birch St, Suite 200 Newport Beach, CA 92660 Office: 949.200.8755 Facsimile: 866.843.8308 P65@manninglawoffice.com

## **CONSUMER ATTORNEYS**

Sprouts Farmer Market 225 East 17<sup>th</sup> Street Costa Mesa CA 92627

<u>Consumer Products and Listed Chemical</u>. The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

#### Muir Glen, Tomato Paste, UPC#724342381715

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

It should be noted that CRC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

**<u>Route of Exposure</u>**. The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to this chemical has been and continues to be through ingestion.

**Approximate Time Period of Violations**. Ongoing violations have occurred every day since at least December 13, 2021, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until this known toxic chemical is either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemical. The method of warning should be a warning that appears on the product label. The Violators violated Proposition 65 because they failed to provide persons ingesting these products with appropriate warnings that they are being exposed to this chemical.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, CRC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violators to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemical, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with



20062 SW Birch St, Suite 200 Newport Beach, CA 92660 Office: 949.200.8755 Facsimile: 866.843.8308 P65@manninglawoffice.com

## **CONSUMER ATTORNEYS**

Proposition 65 to all persons located in California who purchased the above products in the last three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemical, as well as an expensive and time-consuming litigation.

CRC has retained me as legal counsel in connection with this matter. Please direct all communications regarding this Notice of Violation to my attention at the law office address and telephone number indicated on the letterhead or at P65@ManningLawOffice.com.

Sincerely,

Joseph R. Manning, Jr. P65@ManningLawOffice.com

Attachments Certificate of Merit Certificate of Service OEHHA Summary (to Alleged Violators only) Factual Information in Support of Certificate of Merit (to AG only)



20062 SW Birch St, Suite 200 Newport Beach, CA 92660 Office: 949.200.8755 Facsimile: 866.843.8308 P65@manninglawoffice.com

## **CONSUMER ATTORNEYS**

### **CERTIFICATE OF MERIT**

Re: Calsafe Research Center, Inc.'s Notice of Proposition 65 Violations by Small Planet Foods, Inc., and Sprouts Farmer Market.

I, Joseph R. Manning, Jr., declare:

- 1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged that the parties identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.
- 2. I am an attorney for the noticing party.
- 3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.
- 4. Based on the information obtained through those consultations, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute.
- 5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

Dated: February 16, 2022

Joseph R. Manning, Jr. P65@ManningLawOffice.com



## **CONSUMER ATTORNEYS**

#### **CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903**

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 20062 S.W. Birch, Newport Beach, CA 92660. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Newport Beach, California.

On February 16, 2022 between 8:00 a.m. and 5:00 p.m. Pacific Standard Time, I served the following documents: NOTICE OF VIOLATION OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ.*; CERTIFICATE OF MERIT; "THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY" on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties listed below and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Small Planet Foods, Inc. Emily Thomas- CEO. 1 General Mills Boulevard Minnepolis MN 55426

Sprouts Farmers Market Headquarters Jack Sinclair-CEO 5455 East High Street Suite 111 Phoenix, AZ 85054

On February 16, 2022 between 8:00 a.m. and 5:00 p.m. Pacific Standard Time, I verified the following documents NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ.*; CERTIFICATE OF MERIT INCLUDING A SUMMARY OF CONFIDENTIAL FACTUAL INFORMATION; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1) were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General's website, which can be accessed at <a href="https://oag.ca.gov/prop65/add-60-day-notice">https://oag.ca.gov/prop65/add-60-day-notice</a>:



## **CONSUMER ATTORNEYS**

Office of the California Attorney General Prop 65 Enforcement Reporting 1515 Clay Street, Suite 2000 Oakland, CA 94612-0550

On February 16, 2022 between 8:00 a.m. and 5:00 p.m. Pacific Standard Time, I verified the following documents NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT were served on the following parties when a true and correct copy thereof was sent via electronic mail to each of the parties listed below:

| Nancy O'Malley, District Attorney         | Barbara Yook, District Attorney      |
|-------------------------------------------|--------------------------------------|
| Alameda County                            | Calaveras County                     |
| 7677 Oakport Street, Suite 650            | 891 Mountain Ranch Road              |
| Oakland, CA 94621                         | San Andreas, CA 95249                |
| CEPDProp65@acgov.org                      | Prop65Env@co.calaveras.ca.us         |
| Stacey Grassini, Deputy District Attorney | Thomas L. Hardy, District Attorney   |
| Contra Costa County                       | Inyo County                          |
| 900 Ward Street                           | 168 North Edwards Street             |
| Martinez, CA 94553                        | Independence, CA 93526               |
| sgrassini@contracostada.org               | inyoda@inyocounty.us                 |
| Michelle Latimer, Program Coordinator     | Dije Ndreu, Deputy District Attorney |
| Lassen County                             | Monterey County                      |
| 220 S. Lassen Street                      | 1200 Aguajito Road                   |
| Susanville, CA 96130                      | Monterey, CA 93940                   |
| mlatimer@co.lassen.ca.us                  | Prop65DA@co.monterey.ca.us           |
| Allison Haley, District Attorney          | Michael Hestrin, District Attorney   |
| Napa County                               | Riverside County                     |
| 1127 First Street, Suite C                | 3072 Orange Street                   |
| Napa, CA 94559                            | Riverside, CA 92501                  |
| CEPD@countyofnapa.org                     | Prop65@rivcoda.org                   |
| Anne Marie Schubert, District Attorney    | Mark Ankcorn, Deputy City Attorney   |
| Sacramento County                         | San Diego City Attorney              |
| 901 G Street                              | 1200 Third Avenue                    |
| Sacramento, CA 95814                      | San Diego, CA 92101                  |



## **CONSUMER ATTORNEYS**

| Prop65@sacda.org                             | CityAttyProp65@sandiego.gov                      |  |
|----------------------------------------------|--------------------------------------------------|--|
| Gregory Alker, Assistant District Attorney   | Valerie Lopez, Deputy City Attorney              |  |
| San Francisco County                         | San Francisco City Attorney                      |  |
| 732 Brannan Street                           | 1390 Market Street, 7th Floor                    |  |
| San Francisco, CA 94103                      | San Francisco, CA 94102                          |  |
| gregory.alker@sfgov.org                      | Valerie.Lopez@sfcityatty.org                     |  |
| Tori Verber Salazar, District Attorney       | Eric J. Dobroth, Deputy District Attorney        |  |
| San Joaquin County                           | San Luis Obispo County                           |  |
| 222 E. Weber Avenue, Room 202                | County Government Center Annex, 4th Floor        |  |
| Stockton, CA 95202                           | San Luis Obispo, CA 93408                        |  |
| DAConsumer.Environmental@sjcda.org           | edobroth@co.slo.ca.us                            |  |
| Christopher Dalbey, Deputy District Attorney | Bud Porter, Supervising Deputy District Attorney |  |
| Santa Barbara County                         | Santa Clara County                               |  |
| 1112 Santa Barbara Street                    | 70 W Hedding St                                  |  |
| Santa Barbara, CA 93101                      | San Jose, CA 95110                               |  |
| DAProp65@co.santa-barbara.ca.us              | EPU@da.sccgov.org                                |  |
| Stephan R. Passalacqua, District Attorney    | Phillip J. Cline, District Attorney              |  |
| Sonoma County                                | Tulare County                                    |  |
| 600 Administration Dr                        | 221 S Mooney Blvd                                |  |
| Sonoma, CA 95403                             | Visalia, CA 95370                                |  |
| jbarnes@sonoma-county.org                    | Prop65@co.tulare.ca.us                           |  |
| Gregory D. Totten, District Attorney         | Jeff W. Reisig, District Attorney                |  |
| Ventura County                               | Yolo County                                      |  |
| 800 S Victoria Ave                           | 301 Second Street                                |  |
| Ventura, CA 93009                            | Woodland, CA 95695                               |  |
| daspecialops@ventura.org                     | cfepd@yolocounty.org                             |  |

On February 16, 2022 between 8:00 a.m. and 5:00 p.m. Pacific Standard Time, I served the following documents: NOTICE OF VIOLATION, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT on each parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by First Class Mail.



## **CONSUMER ATTORNEYS**

Executed on February 16, 2022, in Newport Beach, California.

Marilyn Sanchez

#### Service List

| District Attorney, Alpine County    | District Attorney, Madera County    | District Attorney, San Diego County          |
|-------------------------------------|-------------------------------------|----------------------------------------------|
| P.O. Box 248                        | 209 West Yosemite Avenue            | 330 West Broadway, Suite 1300                |
| Markleeville, CA 96120              | Madera, CA 93637                    | San Diego, CA 92101                          |
| District Attorney, Amador County    | District Attorney, Marin County     | District Attorney, San Mateo County          |
| 708 Court Street, Suite 202         | 3501 Civic Center Drive, Room 130   | 400 County Ctr., 3rd Floor                   |
| Jackson, CA 95642                   | San Rafael, CA 94903                | Redwood City, CA 94063                       |
| District Attorney, Butte County     | District Attorney, Mariposa County  | District Attorney, Shasta County             |
| 25 County Center Drive, Suite 245   | Post Office Box 730                 | 1355 West Street                             |
| Oroville, CA 95965                  | Mariposa, CA 95338                  | Redding, CA 96001                            |
| District Attorney, Colusa County    | District Attorney, Mendocino County | District Attorney, Sierra County             |
| 346 Fifth Street Suite 101          | Post Office Box 1000                | 100 Courthouse Square, 2 <sup>ee</sup> Floor |
| Colusa, CA 95932                    | Ukiah, CA 95482                     | Downieville, CA 95936                        |
| District Attorney, Del Norte County | District Attorney, Merced County    | District Attorney, Siskiyou County           |
| 450 H Street, Room 171              | 550 W. Main Street                  | Post Office Box 986                          |
| Crescent City, CA 95531             | Merced, CA 95340                    | Yreka, CA 96097                              |
| District Attorney, El Dorado County | District Attorney, Modoc County     | District Attorney, Solano County             |
| 778 Pacific St                      | 204 S Court Street, Room 202        | 675 Texas Street, Ste 4500                   |
| Placerville, CA 95667               | Alturas, CA 96101-4020              | Fairfield, CA 94533                          |
| District Attorney, Fresno County    | District Attorney, Mono County      | District Attorney, Stanislaus County         |
| 2220 Tulare Street, Suite 1000      | Post Office Box 617                 | 832 12th Street, Ste 300                     |
| Fresno, CA 93721                    | Bridgeport, CA 93517                | Modesto, CA 95354                            |
| District Attorney, Glenn County     | District Attorney, Nevada County    | District Attorney, Sutter County             |
| Post Office Box 430                 | 201 Commercial Street               | 463 2nd Street                               |
| Willows, CA 95988                   | Nevada City, CA 95959               | Yuba City, CA 95991                          |
| District Attorney, Humboldt County  | District Attorney, Orange County    | District Attorney, Tehama County             |
| 825 5th Street 4th Floor            | 300 N. Flower Street                | Post Office Box 519                          |
| Eureka, CA 95501                    | Santa Ana, CA 92703                 | Red Bluff, CA 96080                          |
| District Attorney, Imperial County  | District Attorney, Placer County    | District Attorney, Trinity County            |
| 940 West Main Street, Ste 102       | 10810 Justice Center Drive, Ste 240 | Post Office Box 310                          |



## **CONSUMER ATTORNEYS**

| El Centro, CA 92243                                                                                                | Roseville, CA 95678                                                                                            | Weaverville, CA 96093                                                                            |
|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| District Attorney, Kern County<br>1215 Truxtun Avenue<br>Bakersfield, CA 93301                                     | District Attorney, Plumas County<br>520 Main Street, Room 404<br>Quincy, CA 95971                              | District Attorney, Tuolumne County<br>423 N. Washington Street<br>Sonora, CA 95370               |
| District Attorney, Kings County<br>1400 West Lacey Boulevard<br>Hanford, CA 93230                                  | District Attorney, San Benito County<br>419 Fourth Street, 2nd Floor<br>Hollister, CA 95023                    | District Attorney, Yuba County<br>215 Fifth Street, Suite 152<br>Marysville, CA 95901            |
| District Attorney, Lake County<br>255 N. Forbes Street<br>Lakeport, CA 95453                                       | Los Angeles City Attorney's Office<br>City Hall East<br>200 N. Main Street, Suite 800<br>Los Angeles, CA 90012 | San Jose City Attorney's Office<br>200 East Santa Clara Street, 16th Floor<br>San Jose, CA 95113 |
| District Attorney, Los Angeles County<br>Hall of Justice<br>211 West Temple St., Ste 1200<br>Los Angeles, CA 90012 |                                                                                                                |                                                                                                  |

#### APPENDIX A

### OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

### THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as "Proposition 65"). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: http://oehha.ca.gov/prop65/law/P65law72003.html. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.<sup>1</sup> These implementing regulations are available online at: http://oehha.ca.gov/prop65/law/P65Regs.html.

#### WHAT DOES PROPOSITION 65 REQUIRE?

**The "Proposition 65 List."** Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

<sup>&</sup>lt;sup>1</sup> All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: http://www.oehha.ca.gov/prop65/law/index.html.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: <u>http://www.oehha.ca.gov/prop65/prop65\_list/Newlist.html</u>.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

*Clear and reasonable warnings.* A business is required to warn a person before "knowingly and intentionally" exposing that person to a listed chemical unless an exemption applies. The warning given must be "clear and reasonable." This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

**Prohibition from discharges into drinking water.** A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

## DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (http://www.oehha.ca.gov/prop65/law/index.html) to determine all applicable exemptions, the most common of which are the following:

*Grace Period.* Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

*Governmental agencies and public water utilities.* All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

**Businesses with nine or fewer employees.** Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

**Exposures that pose no significant risk of cancer.** For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses "no significant risk." This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific "No Significant Risk Levels" (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at:

http://www.oehha.ca.gov/prop65/getNSRLs.html for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

**Exposures that will produce no observable reproductive effect at 1,000 times the level in question.** For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the "no observable effect level" divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: http://www.oehha.ca.gov/prop65/getNSRLs.html for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

*Exposures to Naturally Occurring Chemicals in Food.* Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant<sup>2</sup> it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a "significant amount" of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a "significant amount" of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A "significant amount" means any detectable amount, except an amount that would meet the "no significant risk" level for chemicals that cause cancer or that is 1,000 times below the "no observable effect" level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

<sup>&</sup>lt;sup>2</sup> See Section 25501(a)(4).

### HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at: http://oehha.ca.gov/prop65/law/p65law72003.html.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at P65Public.Comments@oehha.ca.gov.

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NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.