		CM-010			
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar William F. Wraith, SBN 185927	number, and address):	FOR COURT USE ONLY			
Wraith Law					
25361 Commercentre Drive, Ste 150					
Lake Forest, CA 92630	040 452 1102	ELECTRONICALLY FILED			
TELEPHONE NO.: 949-452-1234 ATTORNEY FOR (Name): Plaintiff Environmen	FAX NO.: 949-452-1102 tal Research Center, Inc.	Superior Court of California,			
SUPERIOR COURT OF CALIFORNIA, COUNTY OF A	· · · · · · · · · · · · · · · · · · ·				
STREET ADDRESS: 1225 Fallon Street	lameda	County of Alameda			
MAILING ADDRESS:		05/08/2023 at 04:33:32 PM			
CITY AND ZIP CODE: Oakland, CA 94612		By: Steven Ashby-Anderson,			
BRANCH NAME: Rene C. Davidson		Deputy Clerk			
CASE NAME:					
Environmental Research Center, Inc	v. Humble Tea Inc. et al.				
CIVIL CASE COVER SHEET	Complex Case Designation	CASE NUMBER:			
✓ Unlimited		23CV032811			
(Amount (Amount	Counter Joinder	, JUDGE:			
demanded demanded is	Filed with first appearance by defendate	nt			
exceeds \$25,000) \$25,000 or less)	(Cal. Rules of Court, rule 3.402)	DEPT:			
	ow must be completed (see instructions on	page 2).			
1. Check <b>one</b> box below for the case type that		evisionally Complex Civil Litization			
Auto Tort		ovisionally Complex Civil Litigation al. Rules of Court, rules 3.400–3.403)			
Auto (22)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)			
Uninsured motorist (46)					
Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort	Other collections (09)	Construction defect (10)			
Asbestos (04)	Insurance coverage (18)	Mass tort (40)			
Product liability (24)	Other contract (37)	Securities litigation (28)			
Medical malpractice (45)	Real Property	Environmental/Toxic tort (30)			
Other PI/PD/WD (23)	Eminent domain/Inverse condemnation (14)	Insurance coverage claims arising from the above listed provisionally complex case			
Non-PI/PD/WD (Other) Tort	Wrongful eviction (33)	types (41)			
		nforcement of Judgment			
Business tort/unfair business practice (07	Unlawful Detainer	Enforcement of judgment (20)			
Civil rights (08)		scellaneous Civil Complaint			
Defamation (13)	Residential (32)				
Fraud (16)		RICO (27)			
Intellectual property (19)	Drugs (38)	Other complaint (not specified above) (42)			
Professional negligence (25)	Judicial Review Mi Asset forfeiture (05)	scellaneous Civil Petition			
Other non-PI/PD/WD tort (35)		Partnership and corporate governance (21)			
Employment	Petition re: arbitration award (11) Writ of mandate (02)	Other petition (not specified above) (43)			
Wrongful termination (36) Other employment (15)					
	Other judicial review (39)	a of Court If the energie complex mark the			
2. This case is is not com factors requiring exceptional judicial mana	gement:	s of Court. If the case is complex, mark the			
a. Large number of separately repre		of witnesses			
b. Extensive motion practice raising		th related actions pending in one or more courts			
issues that will be time-consuming		s, states, or countries, or in a federal court			
c. Substantial amount of documenta		tjudgment judicial supervision			
3. Remedies sought (check all that apply): a	. ✓ monetary b. ✓ nonmonetary; de	claratory or injunctive relief c punitive			
4. Number of causes of action (specify): 2					
5. This case is is not a clas	ss action suit.				
6. If there are any known related cases, file a	and serve a notice of related case. (You ma	ay use form CM-015.)			
<sub>Date:</sub> May 8, 2023	11-	1.1			
William F. Wraith	I Mithe	711 rain			
(TYPE OR PRINT NAME)	(SIG	NATURE OF PARTY OR ATTORNEY FOR PARTY)			
• Plaintiff must file this sever sheet with the	NOTICE	(avaant small claims appear or seess filed			
<ul> <li>Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of Court, rule 3.220.) Failure to file may result</li> </ul>					
in sanctions.					
<ul> <li>File this cover sheet in addition to any cover sheet required by local court rule.</li> <li>If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all</li> </ul>					
	seq. of the California Rules of Court, you n	nust serve a copy of this cover sheet on <b>all</b>			
<ul><li>other parties to the action or proceeding.</li><li>Unless this is a collections case under rule</li></ul>	3740 or a complex case, this cover sheet	will be used for statistical nurnoses only			
	יט. איז טו מ נטווואוכא נמשב, נווש נטעפו שוובנו	Page 1 of 2			

Enviro	onmental Research Center, Inc.	. v. Humb	ole Te	ea Inc. et al.	
	CIVIL CA	SE COVE	R SH	EET ADDENDUM	
	THIS FORM IS REQUIRED I	NALL NEV	V <u>UNL</u>	IMITED CIVIL CASE FILINGS IN 1	ГНЕ
	SUPERIOR COUR	T OF CALI	FORN	IA, COUNTY OF ALAMEDA	
				[ ] Hayward Hall of Justice (	(447)
[X] Oakland, Rer	ne C. Davidson Alameda County Courth	nouse (446	5)	[ ] Pleasanton, Gale-Schenc	one Hall of Justice (448)
Civil Case Cover		Alama		auntu Casa Tuna (abaak anbu	
Sheet Category Auto Tort	Civil Case Cover Sheet Case Type			ounty Case Type (check only o	jne)
	Auto tort (22)	[] Is this	34 <b>an un</b> i	Auto tort (G) insured motorist case? [ ] yes [	1 no
Other PI /PD /	Asbestos (04)	[]	75	Asbestos (D)	1
WD Tort	Product liability (24)		89	Product liability (not asbestos or toxic	c tort/environmental) (G)
	Medical malpractice (45)		97	Medical malpractice (G)	c toriver with mentaly (C)
	Other PI/PD/WD tort (23)		33	Other PI/PD/WD tort (G)	
Non - PI /PD /	Bus tort / unfair bus. practice (07)		79	Bus tort / unfair bus. practice (G)	
WD Tort	, ,			,	
	Civil rights (08)	[]	80 84	Civil rights (G)	
	Defamation (13)	[]	84	Defamation (G)	
	Fraud (16)	[]	24	Fraud (G)	
	Intellectual property (19)	[]	87	Intellectual property (G)	
	Professional negligence (25)	[]	59	Professional negligence - non-medic	al (G)
	Other non-PI/PD/WD tort (35)	[]	03	Other non-PI/PD/WD tort (G)	
Employment	Wrongful termination (36)	[]	38	Wrongful termination (G)	
	Other employment (15)	[]	85	Other employment (G)	
		[]	53	Labor comm award confirmation	
		[]	54	Notice of appeal - L.C.A.	
Contract	Breach contract / Wrnty (06)	[]	04	Breach contract / Wrnty (G)	
	Collections (09)	[]	81	Collections (G)	
	Insurance coverage (18)	[]	86	Ins. coverage - non-complex (G)	
	Other contract (37)	[]	98	Other contract (G)	
Real Property	Eminent domain / Inv Cdm (14)	[]	18	Eminent domain / Inv Cdm (G)	
	Wrongful eviction (33)	[]	17	Wrongful eviction (G)	
	Other real property (26)		36	Other real property (G)	
Jnlawful Detainer	Commercial (31)	[]	94	Unlawful Detainer - commercial	Is the deft. in possessior
	Residential (32)	[]	47	Unlawful Detainer - residential	of the property?
	Drugs (38)	[]	21	Unlawful detainer - drugs	[]Yes []No
Judicial Review	Asset forfeiture (05)	[]	41	Asset forfeiture	
	Petition re: arbitration award (11) Writ of Mandate (02)		62 49	Pet. re: arbitration award Writ of mandate	
	Whit of Manuale (02)			A action (Publ.Res.Code section 21	1000 at sag) [ 1 Yas [ 1 No
	Other judicial review (39)	[]	64	Other judicial review	
Provisionally	Antitrust / Trade regulation (03)	[]	77	Antitrust / Trade regulation	
Complex	Construction defect (10)	[]	82	Construction defect	
	Claims involving mass tort (40)	[]	78	Claims involving mass tort	
	Securities litigation (28)		91	Securities litigation	
	Toxic tort / Environmental (30)		93	Toxic tort / Environmental	
	Ins covrg from cmplx case type (41)		93 95	Ins covrg from complex case type	
Enforcement of	Enforcement of judgment (20)		19	Enforcement of judgment	
Judgment		[]	08	, ,	
				Confession of judgment	
Misc Complaint	RICO (27)	[]	90 °°	RICO (G)	
	Partnership / Corp. governance (21) Other complaint (42)	[] [X]	88 68	Partnership / Corp. governance (G) All other complaints (G)	
Misc. Civil Petition			06		
	Other petition (43)	[]	00	Change of name	

## SUMMONS (CITACION JUDICIAL)

#### NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

HUMBLE TEA INC., individually and dba TEA DROPS; and **DOES 1-100** 

## YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE): ENVIRONMENTAL RESEARCH CENTER, INC. a non-profit California corporation

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information below

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral services. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. NOTE: The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case. ¡AVISO! Lo han demandado. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a continuación.

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá guitar su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.ca.gov) o poniéndose en contacto con la corte o el colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a reclamar las cuotas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

The name and address of the court is:

(El nombre y dirección de la corte es): Alameda County Superior Court

CASE NUMBER: 23CV032811 (Número del Caso): 23CV032811

www.courtinfo.ca.gov

1225 Fallon Street

Oakland, CA 94612

The name, address, and telephone number of plaintiffs attorney, or plaintiff without an attorney, is: (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es): William Wraith, Wraith Law, 25361 Commercentre Drive, Ste 150, Lake Forest, CA 92630; (949) 452-1234

DATE: 05/08/2023	Clerk, by	, Deputy
(Fecha)	Chad Finke, Executive Officer / Clerk of the Court (Secretario) S. Ashby-Anderson	(Adjunto)
<b>\</b>	of this summons, use Proof of Service of Summons (form POS-010).)         rega de esta citatión use el formulario Proof of Service of Summons, (POS-010)).         NOTICE TO THE PERSON SERVED: You are served         1.       as an individual defendant.         2.       as the person sued under the fictitious name of (specify):         3.       on behalf of (specify):         under:       CCP 416.10 (corporation)         CCP 416.20 (defunct corporation)       CCP 416.70 (conservated)         CCP 416.40 (association or partnership)       CCP 416.90 (authorized)         other (specify):       other (specify):         4.       by personal delivery on (date):	,
Form Adopted for Mandatory	Use SLIMMONS Code of Civil Procedu	e §§ 412.20, 465

SUMMONS

SUM-100

ELECTRONICALLY FILED Superior Court of California County of Alameda

FOR COURT USE ONLY

05/08/2023

Chad Finke, Executive Officer / Clerk of the Court

S. Ashby-Anderson Deputy

		ELECTRONICALLY FILE			
		Superior Court of California			
1	WILLIAM F. WRAITH, SBN 185927 WRAITH LAW	County of Alameda			
2	25361 Commercentre Drive, Ste 150	<b>05/08/2023 at 04:33:32 PM</b> By: Steven Ashby-Anderson,			
3	Lake Forest, CA 92630 Tel: (949) 452-1234	Deputy Clerk			
4	Email: bill@wraithlaw.com				
5	Attorney for Plaintiff Environmental Research Center, Inc.				
6					
7					
8	SUPERIOR COURT OF THE STATE OF CALIFORNIA				
9	COUNTY OF ALAMEDA				
10					
11	ENVIRONMENTAL RESEARCH CENTER,	CASE NO.			
12	INC., a non-profit California corporation,	COMPLAINT FOR INJUNCTIVE			
13	Plaintiff,	AND DECLARATORY RELIEF AND CIVIL PENALTIES			
14	v.				
15	HUMBLE TEA INC., individually and dba TEA DROPS; and DOES 1-100	[Miscellaneous Civil Complaint (42)] Proposition 65, Health & Safety Code			
16		Section 25249.5 et seq.]			
17	Defendants.				
18					
19					
20	Plaintiff Environmental Research Center, Inc. hereby alleges:				
21	Ι				
22	INTRODUC	CTION			
23	1. Plaintiff Environmental Research Center, Inc. (hereinafter "Plaintiff" or "ERC") brings				
24	this action as a private attorney general enforcer and in the public interest pursuant to Health &				
25	Safety Code section 25249.7, subdivision (d). The Safe Drinking Water and Toxic Enforcement				
26	Act of 1986 (Health & Safety Code section 25249.5 et seq.) also known as "Proposition 65,"				
27	mandates that businesses with ten or more employees must provide a "clear and reasonable				
28	warning" prior to exposing any individual to a chemical known to the state to cause cancer or				
	Page 1 of 8 Complaint for Injunctive and Declaratory Relief and Civil Penalties				
		atory active and Civil I channes			
I	I				

reproductive toxicity. Lead is a chemical known to the State of California to cause cancer, birth defects, and other reproductive harm. This Complaint seeks injunctive and declaratory relief and civil penalties to remedy the ongoing failure of Defendants Humble Tea Inc., individually and dba Tea Drops ("Humble Tea") and Does 1-100 (hereinafter individually referred to as "Defendant" or collectively as "Defendants"), to warn consumers that they have been exposed to lead from a number of Humble Tea's nutritional health products as set forth in paragraph 3 at levels exceeding the applicable Maximum Allowable Dose Level ("MADL") and requiring a warning pursuant to Health & Safety Code section 25249.6.

## Π

## PARTIES

2. Plaintiff ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by reducing the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

3. Defendant Humble Tea is a business that develops, manufactures, markets, distributes, and/or sells nutritional health products that have exposed users to lead in the State of California within the relevant statute of limitations period. These "SUBJECT PRODUCTS" (as identified in the Notices of Violation dated February 10, 2023 and February 23, 2023 attached hereto as **Exhibits A** and **B**) are: (1) Tea Drops Rose Earl Grey Earl Grey Tea Rose Petals, (2) Tea Drops Chai Spice Black Assam Tea Cardamom Ginger Cinnamon, (3) Tea Drops Chocolate Gingerbread Black Assam Tea Nutmeg Cocoa Cinnamon, (4) Tea Drops Unsweetened Rose Earl Grey Earl Grey Tea Rose Petals, (5) Tea Drops Unsweetened Citrus Ginger Orange Lemon Ginger, (6) Tea Drops Hibiscus Glow Hibiscus Rose Hips Cinnamon Cherry Caffeine Free, (7) Tea Drops Dessert Tea Collection Strawberry Shortcake Caffeine Free, (8) Tea Drops Chocolate Earl Grey Earl Grey Earl Grey Tea Rocoa, and (9) Tea Drops Thai Tea Authentic Thai Tea. Humble Tea Inc., individually and dba Tea Drops is a company subject to Proposition 65 as it employs ten or more persons and has employed ten or more persons at all times relevant to this action.

4. Defendants Does 1-100, are named herein under fictitious names, as their true names and capacities are unknown to ERC. ERC is informed and believes, and thereon alleges, that each of said Does is responsible, in some actionable manner, for the events and happenings hereinafter referred to, either through said Does' conduct, or through the conduct of its agents, servants or employees, or in some other manner, causing the harms alleged by ERC in this Complaint. When said true names and capacities of Does are ascertained, ERC will seek leave to amend this Complaint to set forth the same.

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### JURISDICTION AND VENUE

5. This Court has jurisdiction pursuant to California Constitution Article VI, Section 10, which grants the Superior Court original jurisdiction in all causes except those given by statute to other trial courts. The statute under which this action is brought does not specify any other basis for jurisdiction.

6. This Court has jurisdiction over Humble Tea because Humble Tea has sufficient minimum contacts with California, and otherwise intentionally avails itself of the California market through the marketing, distribution, and/or sale of the SUBJECT PRODUCTS in the State of California so as to render the exercise of jurisdiction over it by the California courts consistent with traditional notions of fair play and substantial justice.

19 7. The Complaint is based on allegations contained in the Notices of Violation dated 20 February 10, 2023 and February 23, 2023, served on the California Attorney General, other 21 public enforcers, and Humble Tea. The Notices of Violation constitute adequate notice to 22 Humble Tea because they provided adequate information to allow Humble Tea to assess the 23 nature of the alleged violations, consistent with Proposition 65 and its implementing 24 regulations. A certificate of merit and a certificate of service accompanied each copy of the 25 Notices of Violation, and both certificates comply with Proposition 65 and its implementing 26 regulations. The Notices of Violation served on Humble Tea also included a copy of "The Safe 27 Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65): A Summary." Service of 28 the Notices of Violation and accompanying documents complied with Proposition 65 and its

implementing regulations. Attached hereto as **Exhibits A** and **B** are true and correct copies of the Notices of Violation and associated documents. More than 60 days have passed since ERC mailed the Notices of Violation and no public enforcement entity has filed a Complaint in this 4 case.

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8. This Court is the proper venue for the action because the causes of action have arisen in the County of Alameda where some of the violations of law have occurred, and will continue to occur, due to the ongoing sale of Humble Tea's products. Furthermore, venue is proper in this Court under Code of Civil Procedure section 395.5 and Health & Safety Code section 25249.7.

### IV

## STATUTORY BACKGROUND

9. The Safe Drinking Water and Toxic Enforcement Act of 1986 is an initiative statute passed as "Proposition 65" by an overwhelming majority vote of the people in November of 1986.

10. The warning requirement of Proposition 65 is contained in Health & Safety Code section 25249.6, which provides:

> No person in the course of doing business shall knowingly and intentionally expose any individual to a chemical known to the state to cause cancer or reproductive toxicity without first giving clear and reasonable warning to such individual, except as provided in Section 25249.10.

20 11. The Office of Environmental Health Hazard Assessment ("OEHHA"), a division of Cal EPA, is the lead agency in charge of the implementation of Proposition 65. OEHHA administers the Proposition 65 program and administers regulations that govern Proposition 65 in general, including warnings to comply with the statute. The warning regulations are found at 24 Title 27 of the California Code of Regulations, Article 6. The regulations define expose as "to cause to ingest, inhale, contact via body surfaces or otherwise come into contact with a listed 26 chemical. An individual may come into contact with a listed chemical through water, air, food, consumer products and any other environmental exposure as well as occupational exposures." (Cal. Code Regs., tit. 27, § 25102, subd. (i).)

12. In this case, the exposures are caused by consumer products. A consumer product is defined as "any article, or component part thereof, including food, that is produced, distributed, or sold for the personal use, consumption or enjoyment of a consumer." (Cal. Code Regs., tit. 27, § 25600.1, subd. (d).) Food "includes 'dietary supplements' as defined in California Code of Regulations, title 17, section 10200." (Id. at subd. (g).) A consumer product exposure is "an exposure that results from a person's acquisition, purchase, storage, consumption, or any reasonably foreseeable use of a consumer product, including consumption of a food." (Id. at subd. (e).)

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9 13. On August 30, 2016, the Office of Administrative Law approved the adoption of 10 OEHHA's amendments to Article 6, Clear and Reasonable Warnings of the California Code of Regulations. This action repealed virtually all of the regulatory provisions of Title 27 of the 12 California Code of Regulations, Article 6 (sections 25601 et seq.) and replaced the repealed 13 sections with new regulations set forth in two new Subarticles to Article 6 that became 14 operative on August 30, 2018 (the "New Warning Regulations"). The New Warning 15 Regulations provide, among other things, methods of transmission and content of warnings deemed to comply with Proposition 65. Humble Tea is subject to the warning requirements set 16 17 forth in the New Warning Regulations that became operative on August 30, 2018.

14. Health & Safety Code section 25249.6 provides that "No person in the course of doing business shall knowingly and intentionally expose any individual to a chemical known to the state to cause cancer or reproductive toxicity without first giving clear and reasonable warning to such individual .... " The New Warning Regulations apply when clear and reasonable warnings are required under Section 25249.6. Pursuant to the New Warning Regulations, consumer product warnings "must be prominently displayed on a label, labeling, or sign, and must be displayed with such conspicuousness as compared with other words, statements, designs or devices on the label, labeling, or sign, as to render the warning likely to be seen, read, and understood by an ordinary individual under customary conditions of purchase or use." (*Id.* at § 25601, subd. (c).)

15. Proposition 65 establishes a procedure by which the State is to develop a list of

chemicals "known to the State to cause cancer or reproductive toxicity." (Health & Safety Code, § 25249.8.) There is no duty to provide a clear and reasonable warning until 12-months after the chemical is published on the State list. (Health & Safety Code, § 25249.10, subd. (b).)

16. Lead was listed as a chemical known to the State of California to cause developmental toxicity in the fetus and male and female reproductive toxicity on February 27, 1987. Lead was listed as a chemical known to the State of California to cause cancer on October 1, 1992.

OEHHA Chemicals Considered or Listed Under Proposition 65 -

https://oehha.ca.gov/proposition-65/chemicals/lead-and-lead-compounds.) The MADL for lead as a chemical known to cause reproductive toxicity is 0.5 micrograms per day. (Cal. Code Regs., tit. 27, §25805, subd. (b).) The No Significant Risk Level for lead as a carcinogen is 15 micrograms per day. (Cal. Code Regs., tit. 27, §25705, subd. (b).)

17. Proposition 65 provides that any person "violating or threatening to violate" Proposition 65 may be enjoined in any court of competent jurisdiction. (Health & Safety Code, §25249.7, subd. (a).) To "threaten to violate" means "to create a condition in which there is a substantial probability that a violation will occur." (Health & Safety Code, § 25249.11, subd. (e).) Furthermore, violators are subject to a civil penalty of up to \$2,500 per day for each violation. (Health & Safety Code, § 25249.7, subd. (b)(1).)

18. Proposition 65 may be enforced by any person in the public interest who provides notice sixty days before filing suit to both the violator and designated law enforcement officials. The failure of law enforcement officials to file a timely Complaint enables a citizen suit to be filed pursuant to Health & Safety Code section 25249.7, subdivisions (c) and (d).

## V

## **STATEMENT OF FACTS**

19. Humble Tea has developed, manufactured, marketed, distributed, and/or sold the
SUBJECT PRODUCTS containing lead into the State of California. Consumption of the
SUBJECT PRODUCTS according to the directions and/or recommendations provided for said
products cause consumers to be exposed to lead at levels exceeding the 0.5 micrograms per day
MADL and requiring a warning. Consumers have been ingesting these products for many

Page 6 of 8

1 || years, without any knowledge of their exposure to this very dangerous chemical.

20. For many years, Humble Tea has knowingly and intentionally exposed numerous persons to lead without providing any type of Proposition 65 warning. Prior to ERC's Notices of Violation and this Complaint, Humble Tea failed to provide a warning on the labels of the SUBJECT PRODUCTS or provide any other legally acceptable warning. Humble Tea has, at all times relevant hereto, been aware that the SUBJECT PRODUCTS contained lead and that persons using these products have been exposed to this chemical. Humble Tea has been aware of the presence of lead in the SUBJECT PRODUCTS and has failed to disclose the presence of this chemical to the public, who undoubtedly believe they have been ingesting totally healthy and pure products pursuant to the company's statements.

21. Both prior and subsequent to ERC's Notices of Violation, Humble Tea failed to provide consumers of the SUBJECT PRODUCTS with a clear and reasonable warning that they have been exposed to a chemical known to the State of California to cause cancer, birth defects and other reproductive harm. This failure to warn is ongoing.

## FIRST CAUSE OF ACTION

## (Violation of Section 25249.6 of the Health and Safety Code, Failure to Provide Clear and Reasonable Warning under Proposition 65)

22. ERC refers to paragraphs 1-21, inclusive, and incorporates them herein by this reference.

23. By committing the acts alleged above, Humble Tea has, in the course of doing business, knowingly and intentionally exposed users of the SUBJECT PRODUCTS to lead, a chemical known to the State of California to cause cancer, birth defects, and other reproductive harm, without first giving clear and reasonable warning to such individuals within the meaning of Health & Safety Code section 25249.6. In doing so, Humble Tea has violated Health & Safety Code section 25249.6 and continues to violate the statute with each successive sale of the SUBJECT PRODUCTS.

24. Said violations render Humble Tea liable for civil penalties, up to \$2,500 per day for each violation, and subject Humble Tea to injunction.

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#### Page 7 of 8

### Complaint for Injunctive and Declaratory Relief and Civil Penalties

## SECOND CAUSE OF ACTION (Declaratory Relief)

25. ERC refers to paragraphs 1-24, inclusive, and incorporates them herein by this reference.

26. There exists an actual controversy relating to the legal rights and duties of the Parties, within the meaning of Code of Civil Procedure section 1060, between ERC and Humble Tea, concerning whether Humble Tea has exposed individuals to a chemical known to the State of California to cause cancer, birth defects, and other reproductive harm without providing clear and reasonable warning.

## VI

### **PRAYER**

WHEREFORE ERC prays for relief as follows:

1. On the First Cause of Action, for civil penalties for each and every violation according to proof;

2. On the First Cause of Action, and pursuant to Health & Safety Code section 25249.7, subdivision (a), for such temporary restraining orders, preliminary and permanent injunctive orders, or other orders as are necessary to prevent Humble Tea from exposing persons to lead without providing clear and reasonable warning;

3. On the Second Cause of Action, for a declaratory judgment pursuant to Code of Civil Procedure section 1060 declaring that Humble Tea has exposed individuals to lead without providing clear and reasonable warning; and

4. On all Causes of Action, for reasonable attorneys' fees pursuant to Code of Civil Procedure section 1021.5 or the substantial benefit theory;

5. For costs of suit herein; and

6. For such other relief as the Court may deem just and proper.

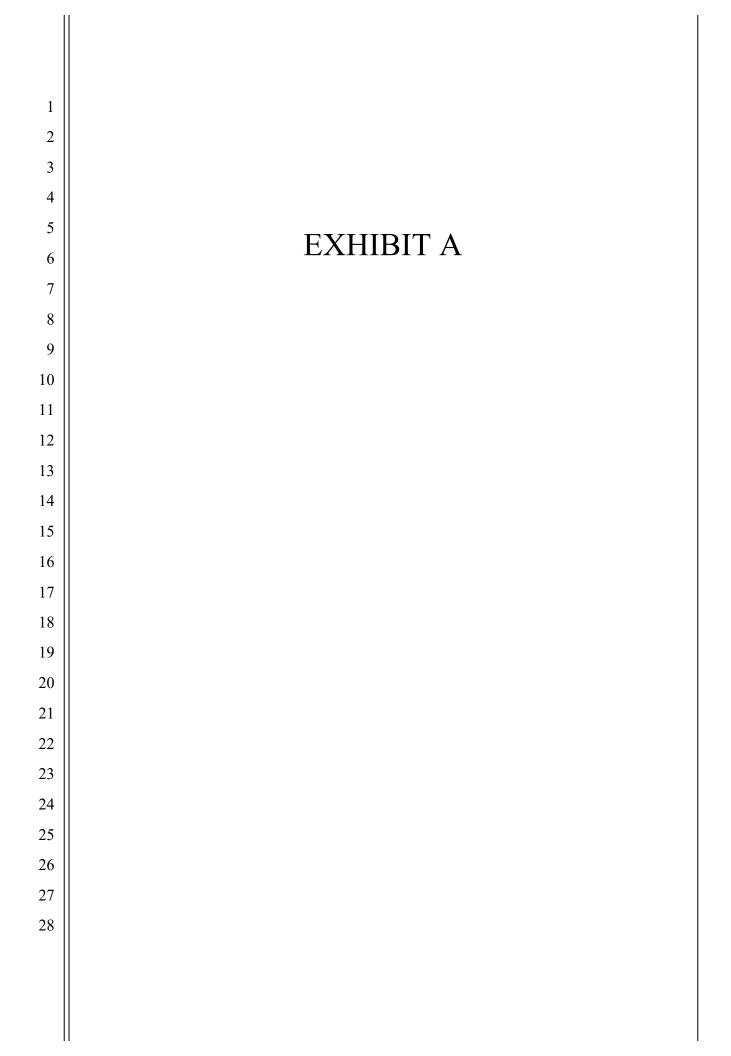
DATED: May 8, 2023

WRAITH LAW

William F. Wraith Attorney for Plaintiff Environmental Research Center, Inc.

Page 8 of 8

Complaint for Injunctive and Declaratory Relief and Civil Penalties



## WRAITH LAW

25361 Commercentre Drive Suite 150 Lake Forest, CA 92630 Tel (949) 452-1234 Fax (949) 452-1102

February 10, 2023

### NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violator and the Appropriate Public Enforcement Agencies:

I represent Environmental Research Center, Inc. ("ERC"), 3111 Camino Del Rio North, Suite 400, San Diego, CA 92108; Tel. (619) 500-3090. ERC's Executive Director is Chris Heptinstall. ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

ERC has identified violations of California's Safe Drinking Water and Toxic Enforcement Act of 1986 ("Proposition 65"), which is codified at California Health & Safety Code §25249.5 *et seq.*, with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violator identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violator and the appropriate public enforcement agencies. Pursuant to Section 25249.7(d) of the statute, ERC intends to file a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

<u>General Information about Proposition 65</u>. A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is attached with the copy of this letter served to the alleged Violator identified below.

<u>Alleged Violator</u>. The name of the company covered by this notice that violated Proposition 65 (hereinafter the "Violator") is:

### Humble Tea Inc., individually and dba Tea Drops

<u>Consumer Products and Listed Chemical</u>. The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

- 1. Tea Drops Rose Earl Grey Earl Grey Tea Rose Petals Lead
- 2. Tea Drops Chai Spice Black Assam Tea Cardamom Ginger Cinnamon Lead
- 3. Tea Drops Chocolate Gingerbread Black Assam Tea Nutmeg Cocoa Cinnamon Lead
- 4. Tea Drops Unsweetened Rose Earl Grey Earl Grey Tea Rose Petals Lead

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

It should be noted that ERC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

**<u>Route of Exposure</u>**. The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to this chemical has been and continues to be through ingestion.

Approximate Time Period of Violations. Ongoing violations have occurred every day since at least February 10, 2020, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until this known toxic chemical is either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemical. The method of warning should be a warning that appears on the product label. The Violator violated Proposition 65 because it failed to provide persons ingesting these products with appropriate warnings that they are being exposed to this chemical.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, ERC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violator to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemical, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemical, as well as an expensive and time- consuming litigation.

ERC has retained me as legal counsel in connection with this matter. Please direct all communications regarding this Notice of Violations to my attention at the law office address and telephone number indicated on the letterhead.

Sincerely,

William Fulaith

William F. Wraith

Attachments

Certificate of Merit Certificate of Service OEHHA Summary (to Humble Tea Inc., individually and dba Tea Drops and its Registered Agents for Service of Process only) Additional Supporting Information for Certificate of Merit (to AG only)

### **CERTIFICATE OF MERIT**

### Re: Environmental Research Center, Inc.'s Notice of Proposition 65 Violations by Humble Tea Inc., individually and dba Tea Drops

I, William F. Wraith, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged the party identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.

2. I am an attorney for the noticing party.

3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.

4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violator will be able to establish any of the affirmative defenses set forth in the statute.

5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

Dated: February 10, 2023

William Fhlaith

William F. Wraith

### **CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903**

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On February 10, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ*.; CERTIFICATE OF MERIT; "THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY" on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to the party listed below and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Current President or CEO Humble Tea Inc., individually and dba Tea Drops 1106 Second St, #440 Encinitas, CA 92024

The Corporation Trust Company (Registered Agent for Humble Tea Inc., individually and dba Tea Drops) 1209 N. Orange St Wilmington, DE 19801 Sashee Chandran (Registered Agent for Humble Tea Inc., individually and dba Tea Drops) 1106 Second St, #440 Encinitas, CA 92024

Current President or CEO Humble Tea Inc., individually and dba Tea Drops 28904 Avenue Paine Valencia, CA 91355

Current President or CEO Humble Tea Inc., individually and dba Tea Drops 600 Wilshire Blvd, #500 Los Angeles, CA 90017

On February 10, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ*.; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1) were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General's website, which can be accessed at https://oag.ca.gov/prop65/add-60-day-notice :

Office of the California Attorney General Prop 65 Enforcement Reporting 1515 Clay Street, Suite 2000 Oakland, CA 94612-0550

On February 10, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5** *ET SEQ.*; CERTIFICATE OF **MERIT** were served on the following parties when a true and correct copy thereof was sent via electronic mail to each of the parties listed below:

Nancy O'Malley, District Attorney Alameda County 7677 Oakport Street, Suite 650 Oakland, CA 94621 CEPDProp65@acgov.org Barbara Yook, District Attorney Calaveras County 891 Mountain Ranch Road San Andreas, CA 95249 Prop65Env@co.calaveras.ca.us

Stacey Grassini, Deputy District Attorney Contra Costa County 900 Ward Street Martinez, CA 94553 sgrassini@contracostada.org

Lisa A. Smittcamp, District Attorney Fresno County 2100 Tulare Street Fresno, CA 93721 consumerprotection@fresnocountyca.gov

Thomas L. Hardy, District Attorney Inyo County 168 North Edwards Street Independence, CA 93526 inyoda@inyocounty.us

Michelle Latimer, Program Coordinator Lassen County 220 S. Lassen Street Susanville, CA 96130 mlatimer@co.lassen.ca.us

Walter W. Wall, District Attorney Mariposa County P.O. Box 730 Mariposa, CA 95338 mcda@mariposacounty.org

Kimberly Lewis, District Attorney Merced County 550 West Main St Merced, CA 95340 Prop65@countyofmerced.com

Jeannine M. Pacioni, District Attorney Monterey County 1200 Aguajito Road Monterey, CA 93940 Prop65DA@co.monterey.ca.us

Allison Haley, District Attorney Napa County 1127 First Street, Ste C Napa, CA 94559 CEPD@countyofnapa.org

Clifford H. Newell, District Attorney Nevada County 201 Commercial St Nevada City, CA 95959 DA.Prop65@co.nevada.ca.us

Todd Spitzer, District Attorney Orange County 300 N Flower St Santa Ana, CA 92703 Prop65notice@da.ocgov.com Morgan Briggs Gire, District Attorney Placer County 10810 Justice Center Drive Roseville, CA 95678 Prop65@placer.ca.gov

David Hollister, District Attorney Plumas County 520 Main St Quincy, CA 95971 davidhollister@countyofplumas.com

Paul E. Zellerbach, District Attorney Riverside County 3072 Orange Street Riverside, CA 92501 Prop65@rivcoda.org

Anne Marie Schubert, District Attorney Sacramento County 901 G Street Sacramento, CA 95814 Prop65@sacda.org

Summer Stephan, District Attorney San Diego County 330 West Broadway San Diego, CA 92101 SanDiegoDAProp65@sdcda.org

Mark Ankcorn, Deputy City Attorney San Diego City Attorney 1200 Third Avenue San Diego, CA 92101 CityAttyProp65@sandiego.gov

Alexandra Grayner, Assistant District Attorney San Francisco District Attorney's Office 350 Rhode Island Street San Francisco, CA 94103 Alexandra.grayner@sfgov.org

Valerie Lopez, Deputy City Attorney San Francisco City Attorney 1390 Market Street, 7<sup>th</sup> Floor San Francisco, CA 94102 Valerie.Lopez@sfcityatty.org Starla.Sousa@sfcityatty.org

Tori Verber Salazar, District Attorney San Joaquin County 222 E. Weber Avenue, Room 202 Stockton, CA 95202 DAConsumer.Environmental@sjcda.org

Eric J. Dobroth, Deputy District Attorney San Luis Obispo County County Government Center Annex, 4th Floor San Luis Obispo, CA 93408 edobroth@co.slo.ca.us

Christopher Dalbey, Deputy District Attorney Santa Barbara County 1112 Santa Barbara Street Santa Barbara, CA 93101 DAProp65@co.santa-barbara.ca.us

Bud Porter, Supervising Deputy District Attorney Santa Clara County 70 W Hedding St San Jose, CA 95110 EPU@da.sccgov.org

Nora V. Frimann, City Attorney San Jose City Attorney 200 E. Santa Clara Street, 16th Floor San Jose, CA 96113 Proposition65notices@sanjoseca.gov Jeffrey S. Rosell, District Attorney Santa Cruz County 701 Ocean Street Santa Cruz, CA 95060 Prop65DA@santacruzcounty.us

Jill Ravitch, District Attorney Sonoma County 600 Administration Dr Sonoma, CA 95403 Jeannie.Barnes@sonoma-county.org

Phillip J. Cline, District Attorney **Tulare** County 221 S Mooney Blvd Visalia, CA 95370 Prop65@co.tulare.ca.us

Gregory D. Totten, District Attorney Ventura County 800 S Victoria Ave Ventura, CA 93009 daspecialops@ventura.org

Jeff W. Reisig, District Attorney Yolo County 301 Second Street Woodland, CA 95695 cfepd@yolocounty.org

On February 10, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by First Class Mail.

Executed on February 10, 2023, in Fort Oglethorpe, Georgia.

Phyllis Junwoody

# Notice of Violations of California Health & Safety Code §25249.5 *et seq.* February 10, 2023 <u>Service List</u>

District Attorney, Alpine County P.O. Box 248 Markleeville, CA 96120

District Attorney, Amador County 708 Court Street, Suite 202 Jackson, CA 95642

District Attorney, Butte County 25 County Center Drive, Suite 245 Oroville, CA 95965

District Attorney, Colusa County 310 6<sup>th</sup> St Colusa, CA 95932

District Attorney, Del Norte County 450 H Street, Room 171 Crescent City, CA 95531

District Attorney, El Dorado County 778 Pacific St Placerville, CA 95667

District Attorney, Glenn County Post Office Box 430 Willows, CA 95988

District Attorney, Humboldt County 825 5th Street 4<sup>th</sup> Floor Eureka, CA 95501

District Attorney, Imperial County 940 West Main Street, Ste 102 El Centro, CA 92243

District Attorney, Kern County 1215 Truxtun Avenue Bakersfield, CA 93301

District Attorney, Kings County 1400 West Lacey Boulevard Hanford, CA 93230

District Attorney, Lake County 255 N. Forbes Street Lakeport, CA 95453

District Attorney, Los Angeles County Hall of Justice 211 West Tempe St., Ste 1200 Los Angeles, CA 90012 District Attorney, Madera County 209 West Yosemite Avenue Madera, CA 93637

District Attorney, Marin County 3501 Civic Center Drive, Room 130 San Rafael, CA 94903

District Attorney, Mendocino County Post Office Box 1000 Ukiah, CA 95482

District Attorney, Modoc County 204 S Court Street, Room 202 Alturas, CA 96101-4020

District Attorney, Mono County Post Office Box 617 Bridgeport, CA 93517

District Attorney, San Benito County 419 Fourth Street, 2nd Floor Hollister, CA 95023

District Attorney,San Bernardino County 303 West Third Street San Bernadino, CA 92415

District Attorney, San Mateo County 400 County Ctr., 3rd Floor Redwood City, CA 94063

District Attorney, Shasta County 1355 West Street Redding, CA 96001

District Attorney, Sierra County Post Office Box 457 100 Courthouse Square, 2<sup>nd</sup> Floor Downieville, CA 95936

District Attorney, Siskiyou County Post Office Box 986 Yreka, CA 96097

District Attorney, Solano County 675 Texas Street, Ste 4500 Fairfield, CA 94533 District Attorney, Stanislaus County 832 12th Street, Ste 300 Modesto, CA 95354

District Attorney, Sutter County 463 2<sup>nd</sup> Street Yuba City, CA 95991

District Attorney, Tehama County Post Office Box 519 Red Bluff, CA 96080

District Attorney, Trinity County Post Office Box 310 Weaverville, CA 96093

District Attorney, Tuolumne County 423 N. Washington Street Sonora, CA 95370

District Attorney, Yuba County 215 Fifth Street, Suite 152 Marysville, CA 95901

Los Angeles City Attorney's Office City Hall East 200 N. Main Street, Suite 800 Los Angeles, CA 90012

## APPENDIX A

## OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

## THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as "Proposition 65"). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: http://oehha.ca.gov/prop65/law/P65law72003.html. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.<sup>1</sup> These implementing regulations are available online at: http://oehha.ca.gov/prop65/law/P65Regs.html.

## WHAT DOES PROPOSITION 65 REQUIRE?

**The "Proposition 65 List."** Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

<sup>&</sup>lt;sup>1</sup> All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: http://www.oehha.ca.gov/prop65/law/index.html.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: <u>http://www.oehha.ca.gov/prop65/prop65\_list/Newlist.html</u>.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

*Clear and reasonable warnings.* A business is required to warn a person before "knowingly and intentionally" exposing that person to a listed chemical unless an exemption applies. The warning given must be "clear and reasonable." This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

**Prohibition from discharges into drinking water.** A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

## DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (http://www.oehha.ca.gov/prop65/law/index.html) to determine all applicable exemptions, the most common of which are the following:

*Grace Period.* Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

*Governmental agencies and public water utilities.* All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

**Businesses with nine or fewer employees.** Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

**Exposures that pose no significant risk of cancer.** For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses "no significant risk." This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific "No Significant Risk Levels" (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at:

http://www.oehha.ca.gov/prop65/getNSRLs.html for a list of NSRLs, and Section 25701 *et seq*. of the regulations for information concerning how these levels are calculated.

**Exposures that will produce no observable reproductive effect at 1,000 times the level in question.** For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the "no observable effect level" divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: http://www.oehha.ca.gov/prop65/getNSRLs.html for a list of MADLs, and Section 25801 *et seq*. of the regulations for information concerning how these levels are calculated.

*Exposures to Naturally Occurring Chemicals in Food.* Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant<sup>2</sup> it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a "significant amount" of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a "significant amount" of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A "significant amount" means any detectable amount, except an amount that would meet the "no significant risk" level for chemicals that cause cancer or that is 1,000 times below the "no observable effect" level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

<sup>&</sup>lt;sup>2</sup> See Section 25501(a)(4).

## HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

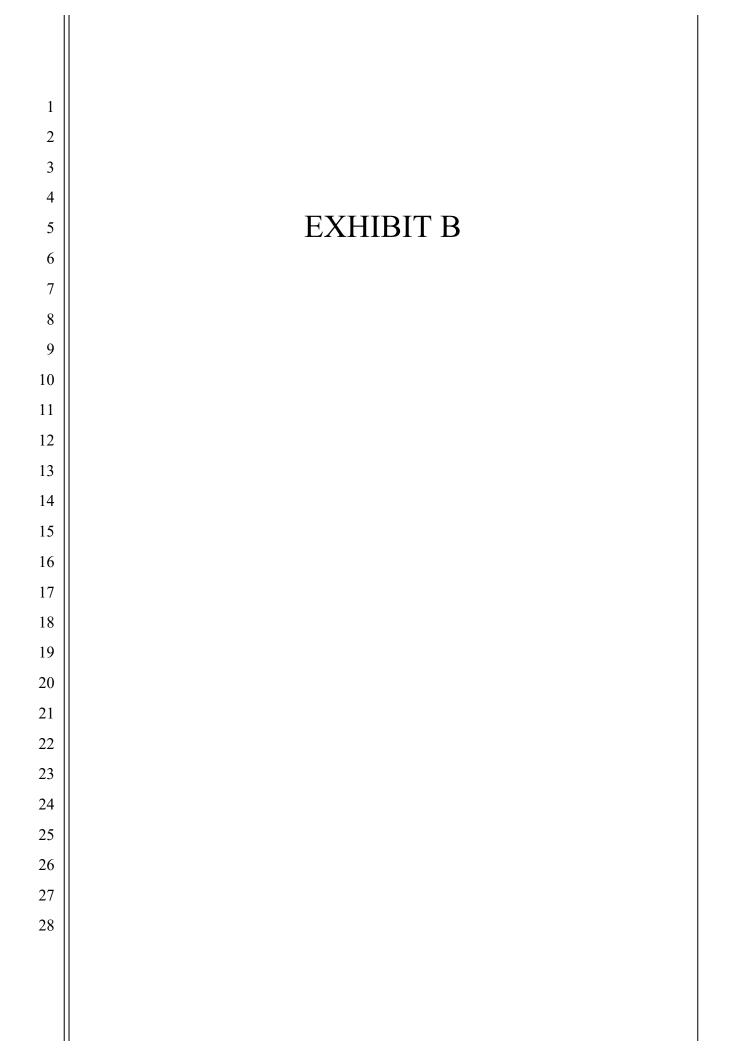
A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at: http://oehha.ca.gov/prop65/law/p65law72003.html.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at P65Public.Comments@oehha.ca.gov.

Revised: May 2017

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.



## WRAITH LAW

25361 Commercentre Drive Suite 150 Lake Forest, CA 92630 Tel (949) 452-1234 Fax (949) 452-1102

February 23, 2023

### NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violator and the Appropriate Public Enforcement Agencies:

I represent Environmental Research Center, Inc. ("ERC"), 3111 Camino Del Rio North, Suite 400, San Diego, CA 92108; Tel. (619) 500-3090. ERC's Executive Director is Chris Heptinstall. ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

ERC has identified violations of California's Safe Drinking Water and Toxic Enforcement Act of 1986 ("Proposition 65"), which is codified at California Health & Safety Code §25249.5 *et seq.*, with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violator identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violator and the appropriate public enforcement agencies. Pursuant to Section 25249.7(d) of the statute, ERC intends to file a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

<u>General Information about Proposition 65</u>. A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is attached with the copy of this letter served to the alleged Violator identified below.

<u>Alleged Violator</u>. The name of the company covered by this notice that violated Proposition 65 (hereinafter the "Violator") is:

### Humble Tea Inc., individually and dba Tea Drops

<u>Consumer Products and Listed Chemical</u>. The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

- 1. Tea Drops Unsweetened Citrus Ginger Orange Lemon Ginger Lead
- 2. Tea Drops Hibiscus Glow Hibiscus Rose Hips Cinnamon Cherry Caffeine Free Lead
- 3. Tea Drops Dessert Tea Collection Strawberry Shortcake Caffeine Free Lead
- 4. Tea Drops Chocolate Earl Grey Earl Grey Tea Cocoa Lead
- 5. Tea Drops Thai Tea Authentic Thai Tea Lead

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

It should be noted that ERC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

**<u>Route of Exposure</u>**. The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to this chemical has been and continues to be through ingestion.

Approximate Time Period of Violations. Ongoing violations have occurred every day since at least February 23, 2020, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until this known toxic chemical is either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemical. The method of warning should be a warning that appears on the product label. The Violator violated Proposition 65 because it failed to provide persons ingesting these products with appropriate warnings that they are being exposed to this chemical.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, ERC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violator to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemical, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemical, as well as an expensive and time- consuming litigation.

ERC has retained me as legal counsel in connection with this matter. Please direct all communications regarding this Notice of Violations to my attention at the law office address and telephone number indicated on the letterhead.

Sincerely,

William Fulaith

William F. Wraith

Attachments

Certificate of Merit Certificate of Service OEHHA Summary (to Humble Tea Inc., individually and dba Tea Drops and its Registered Agents for Service of Process only) Additional Supporting Information for Certificate of Merit (to AG only)

### **CERTIFICATE OF MERIT**

### Re: Environmental Research Center, Inc.'s Notice of Proposition 65 Violations by Humble Tea Inc., individually and dba Tea Drops

I, William F. Wraith, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged the party identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.

2. I am an attorney for the noticing party.

3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.

4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violator will be able to establish any of the affirmative defenses set forth in the statute.

5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

Dated: February 23, 2023

William Fulaith

William F. Wraith

### **CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903**

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On February 23, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ*.; CERTIFICATE OF MERIT; "THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY" on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to the party listed below and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Current President or CEO Humble Tea Inc., individually and dba Tea Drops 1106 Second St, #440 Encinitas, CA 92024

The Corporation Trust Company (Registered Agent for Humble Tea Inc., individually and dba Tea Drops) 1209 N. Orange St Wilmington, DE 19801 Sashee Chandran (Registered Agent for Humble Tea Inc., individually and dba Tea Drops) 1106 Second St, #440 Encinitas, CA 92024

Current President or CEO Humble Tea Inc., individually and dba Tea Drops 28904 Avenue Paine Valencia, CA 91355

Current President or CEO Humble Tea Inc., individually and dba Tea Drops 600 Wilshire Blvd, #500 Los Angeles, CA 90017

On February 23, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ*.; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1) were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General's website, which can be accessed at https://oag.ca.gov/prop65/add-60-day-notice :

Office of the California Attorney General Prop 65 Enforcement Reporting 1515 Clay Street, Suite 2000 Oakland, CA 94612-0550

On February 23, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5** *ET SEQ.*; CERTIFICATE OF **MERIT** were served on the following parties when a true and correct copy thereof was sent via electronic mail to each of the parties listed below:

Nancy O'Malley, District Attorney Alameda County 7677 Oakport Street, Suite 650 Oakland, CA 94621 CEPDProp65@acgov.org Barbara Yook, District Attorney Calaveras County 891 Mountain Ranch Road San Andreas, CA 95249 Prop65Env@co.calaveras.ca.us

Stacey Grassini, Deputy District Attorney Contra Costa County 900 Ward Street Martinez, CA 94553 sgrassini@contracostada.org

Lisa A. Smittcamp, District Attorney Fresno County 2100 Tulare Street Fresno, CA 93721 consumerprotection@fresnocountyca.gov

Thomas L. Hardy, District Attorney Inyo County 168 North Edwards Street Independence, CA 93526 inyoda@inyocounty.us

Michelle Latimer, Program Coordinator Lassen County 230 S. Lassen Street Susanville, CA 96130 mlatimer@co.lassen.ca.us

Walter W. Wall, District Attorney Mariposa County P.O. Box 730 Mariposa, CA 95338 mcda@mariposacounty.org

Kimberly Lewis, District Attorney Merced County 550 West Main St Merced, CA 95340 Prop65@countyofmerced.com

Jeannine M. Pacioni, District Attorney Monterey County 1200 Aguajito Road Monterey, CA 93940 Prop65DA@co.monterey.ca.us

Allison Haley, District Attorney Napa County 1127 First Street, Ste C Napa, CA 94559 CEPD@countyofnapa.org

Clifford H. Newell, District Attorney Nevada County 201 Commercial St Nevada City, CA 95959 DA.Prop65@co.nevada.ca.us

Todd Spitzer, District Attorney Orange County 300 N Flower St Santa Ana, CA 92703 Prop65notice@da.ocgov.com Morgan Briggs Gire, District Attorney Placer County 10810 Justice Center Drive Roseville, CA 95678 Prop65@placer.ca.gov

David Hollister, District Attorney Plumas County 520 Main St Quincy, CA 95971 davidhollister@countyofplumas.com

Paul E. Zellerbach, District Attorney Riverside County 3072 Orange Street Riverside, CA 92501 Prop65@rivcoda.org

Anne Marie Schubert, District Attorney Sacramento County 901 G Street Sacramento, CA 95814 Prop65@sacda.org

Summer Stephan, District Attorney San Diego County 330 West Broadway San Diego, CA 92101 SanDiegoDAProp65@sdcda.org

Mark Ankcorn, Deputy City Attorney San Diego City Attorney 1200 Third Avenue San Diego, CA 92101 CityAttyProp65@sandiego.gov

Alexandra Grayner, Assistant District Attorney San Francisco District Attorney's Office 350 Rhode Island Street San Francisco, CA 94103 Alexandra.grayner@sfgov.org

Valerie Lopez, Deputy City Attorney San Francisco City Attorney 1390 Market Street, 7<sup>th</sup> Floor San Francisco, CA 94102 Valerie.Lopez@sfcityatty.org Starla.Sousa@sfcityatty.org

Tori Verber Salazar, District Attorney San Joaquin County 232 E. Weber Avenue, Room 202 Stockton, CA 95202 DAConsumer.Environmental@sjcda.org

Eric J. Dobroth, Deputy District Attorney San Luis Obispo County County Government Center Annex, 4<sup>th</sup> Floor San Luis Obispo, CA 93408 edobroth@co.slo.ca.us

Christopher Dalbey, Deputy District Attorney Santa Barbara County 1112 Santa Barbara Street Santa Barbara, CA 93101 DAProp65@co.santa-barbara.ca.us

Bud Porter, Supervising Deputy District Attorney Santa Clara County 70 W Hedding St San Jose, CA 95110 EPU@da.sccgov.org

Nora V. Frimann, City Attorney San Jose City Attorney 200 E. Santa Clara Street, 16<sup>th</sup> Floor San Jose, CA 96113 Proposition65notices@sanjoseca.gov Jeffrey S. Rosell, District Attorney Santa Cruz County 701 Ocean Street Santa Cruz, CA 95060 Prop65DA@santacruzcounty.us

Jill Ravitch, District Attorney Sonoma County 600 Administration Dr Sonoma, CA 95403 Jeannie.Barnes@sonoma-county.org

Phillip J. Cline, District Attorney Tulare County 231 S Mooney Blvd Visalia, CA 95370 Prop65@co.tulare.ca.us

Gregory D. Totten, District Attorney Ventura County 800 S Victoria Ave Ventura, CA 93009 daspecialops@ventura.org

Jeff W. Reisig, District Attorney Yolo County 301 Second Street Woodland, CA 95695 cfepd@yolocounty.org

On February 23, 2023, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5** *ET SEQ.*; **CERTIFICATE OF MERIT** on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it at a U.S. Postal Service Office with the postage fully prepaid for delivery by First Class Mail.

Executed on February 23, 2023, in Fort Oglethorpe, Georgia.

tis Junio

Phyllis Dunwoody

# Notice of Violations of California Health & Safety Code §25249.5 *et seq*. February 23, 2023 <u>Service List</u>

District Attorney, Alpine County P.O. Box 248 Markleeville, CA 96120

District Attorney, Amador County 708 Court Street, Suite 202 Jackson, CA 95642

District Attorney, Butte County 25 County Center Drive, Suite 245 Oroville, CA 95965

District Attorney, Colusa County 310 6<sup>th</sup> St Colusa, CA 95932

District Attorney, Del Norte County 450 H Street, Room 171 Crescent City, CA 95531

District Attorney, El Dorado County 778 Pacific St Placerville, CA 95667

District Attorney, Glenn County Post Office Box 430 Willows, CA 95988

District Attorney, Humboldt County 825 5th Street 4<sup>th</sup> Floor Eureka, CA 95501

District Attorney, Imperial County 940 West Main Street, Ste 102 El Centro, CA 92343

District Attorney, Kern County 1215 Truxtun Avenue Bakersfield, CA 93301

District Attorney, Kings County 1400 West Lacey Boulevard Hanford, CA 93230

District Attorney, Lake County 255 N. Forbes Street Lakeport, CA 95453

District Attorney, Los Angeles County Hall of Justice 211 West Tempe St., Ste 1200 Los Angeles, CA 90012 District Attorney, Madera County 209 West Yosemite Avenue Madera, CA 93637

District Attorney, Marin County 3501 Civic Center Drive, Room 130 San Rafael, CA 94903

District Attorney, Mendocino County Post Office Box 1000 Ukiah, CA 95482

District Attorney, Modoc County 204 S Court Street, Room 202 Alturas, CA 96101-4020

District Attorney, Mono County Post Office Box 617 Bridgeport, CA 93517

District Attorney, San Benito County 419 Fourth Street, 2nd Floor Hollister, CA 95023

District Attorney,San Bernardino County 303 West Third Street San Bernadino, CA 92415

District Attorney, San Mateo County 400 County Ctr., 3rd Floor Redwood City, CA 94063

District Attorney, Shasta County 1355 West Street Redding, CA 96001

District Attorney, Sierra County Post Office Box 457 100 Courthouse Square, 2<sup>nd</sup> Floor Downieville, CA 95936

District Attorney, Siskiyou County Post Office Box 986 Yreka, CA 96097

District Attorney, Solano County 675 Texas Street, Ste 4500 Fairfield, CA 94533 District Attorney, Stanislaus County 832 12th Street, Ste 300 Modesto, CA 95354

District Attorney, Sutter County 463 2<sup>nd</sup> Street Yuba City, CA 95991

District Attorney, Tehama County Post Office Box 519 Red Bluff, CA 96080

District Attorney, Trinity County Post Office Box 310 Weaverville, CA 96093

District Attorney, Tuolumne County 423 N. Washington Street Sonora, CA 95370

District Attorney, Yuba County 215 Fifth Street, Suite 152 Marysville, CA 95901

Los Angeles City Attorney's Office City Hall East 200 N. Main Street, Suite 800 Los Angeles, CA 90012

## APPENDIX A

## OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

## THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as "Proposition 65"). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: http://oehha.ca.gov/prop65/law/P65law72003.html. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.<sup>1</sup> These implementing regulations are available online at: http://oehha.ca.gov/prop65/law/P65Regs.html.

## WHAT DOES PROPOSITION 65 REQUIRE?

**The "Proposition 65 List."** Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

<sup>&</sup>lt;sup>1</sup> All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: http://www.oehha.ca.gov/prop65/law/index.html.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: <u>http://www.oehha.ca.gov/prop65/prop65\_list/Newlist.html</u>.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

*Clear and reasonable warnings.* A business is required to warn a person before "knowingly and intentionally" exposing that person to a listed chemical unless an exemption applies. The warning given must be "clear and reasonable." This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

**Prohibition from discharges into drinking water.** A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

## DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (http://www.oehha.ca.gov/prop65/law/index.html) to determine all applicable exemptions, the most common of which are the following:

*Grace Period.* Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

*Governmental agencies and public water utilities.* All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

**Businesses with nine or fewer employees.** Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

**Exposures that pose no significant risk of cancer.** For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses "no significant risk." This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific "No Significant Risk Levels" (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at:

http://www.oehha.ca.gov/prop65/getNSRLs.html for a list of NSRLs, and Section 25701 *et seq*. of the regulations for information concerning how these levels are calculated.

**Exposures that will produce no observable reproductive effect at 1,000 times the level in question.** For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the "no observable effect level" divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: http://www.oehha.ca.gov/prop65/getNSRLs.html for a list of MADLs, and Section 25801 *et seq*. of the regulations for information concerning how these levels are calculated.

*Exposures to Naturally Occurring Chemicals in Food.* Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant<sup>2</sup> it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a "significant amount" of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a "significant amount" of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A "significant amount" means any detectable amount, except an amount that would meet the "no significant risk" level for chemicals that cause cancer or that is 1,000 times below the "no observable effect" level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

<sup>&</sup>lt;sup>2</sup> See Section 25501(a)(4).

## HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at: http://oehha.ca.gov/prop65/law/p65law72003.html.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at P65Public.Comments@oehha.ca.gov.

Revised: May 2017

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.