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9  
10 Attorneys for Plaintiff  
11 ANTHONY E. HELD, PH.D., P.E.

ENDORSED  
FILED

2016 JUL 26 P 2:20

CLERK OF COURT  
LORNA DELACRUZ

8 SUPERIOR COURT OF THE STATE OF CALIFORNIA  
9 COUNTY OF SANTA CLARA  
10 UNLIMITED CIVIL JURISDICTION

12 ANTHONY E. HELD, PH.D., P.E.,

13 Plaintiff,

14 v.

15 ASCEND EAGLE CORPORATION, *et al.*,

16 Defendants.  
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Case No. 16CV292405

**~~PROPOSED~~ JUDGMENT PURSUANT  
TO TERMS OF PROPOSITION 65  
SETTLEMENT AND CONSENT  
JUDGMENT**

Date: July 26, 2016  
Time: 9:00 a.m.  
Dept.: 8  
Judge: Hon. Maureen A. Folan

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In the above-entitled action, plaintiff Anthony E. Held, Ph.D., P.E. and defendant Ascend Eagle Corporation, having agreed through their respective counsel that Judgment be entered pursuant to the terms of their settlement agreement in the form of a Consent Judgment, and following this Court's issuance of an Order approving this Proposition 65 settlement and Consent Judgment:

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that, pursuant to California Health & Safety Code § 25249.7(f)(4) and California Code of Civil Procedure § 664.6, Judgment is entered in accordance with the terms of the Consent Judgment attached hereto as **Exhibit A**. By stipulation of the parties, the Court will retain jurisdiction to enforce the settlement under Code of Civil Procedure § 664.6.

**IT IS SO ORDERED.**

Dated: 7-26-14

**Judge Maureen A. Folan**  
\_\_\_\_\_  
JUDGE OF THE SUPERIOR COURT

# **EXHIBIT A**

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Attorneys for Plaintiff  
ANTHONY E. HELD, PH.D., P.E.

SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SANTA CLARA  
UNLIMITED CIVIL JURISDICTION

ANTHONY E. HELD, PH.D., P.E.,  
Plaintiff,  
v.  
ASCEND EAGLE CORPORATION, *et al.*,  
Defendants.

Case No. 16CV292405  
**[PROPOSED] CONSENT JUDGMENT**  
(Health & Safety Code § 25249.6 *et seq.*)

1 **1. INTRODUCTION**

2 **1.1 Parties**

3 This Consent Judgment is entered into by and between Anthony E. Held, Ph.D., P.E. (“Held”)  
4 and Ascend Eagle Corporation (“Ascend”), with Held and Ascend each individually referred to as a  
5 “Party” and collectively as the “Parties.”

6 **1.2 Plaintiff**

7 Held is an individual residing in California who seeks to promote awareness of exposures to  
8 toxic chemicals and to improve human health by reducing or eliminating hazardous substances  
9 contained in consumer products.

10 **1.3 Defendant**

11 Ascend employs ten or more individuals and is a “person in the course of doing business” for  
12 purposes of the Safe Drinking Water and Toxic Enforcement Act of 1986, Health and Safety Code  
13 section 25249.6 *et seq.* (“Proposition 65”).

14 **1.4 General Allegations**

15 Held alleges that Ascend manufactures, imports, sells, or distributes for sale in California,  
16 vinyl/PVC gloves that contain diisononyl phthalate (“DINP”) without first providing the exposure  
17 warning required by Proposition 65. DINP is listed pursuant to Proposition 65 as a chemical known  
18 to the State of California to cause cancer.

19 **1.5 Product Description**

20 The products covered by this Consent Judgment are vinyl/PVC gloves containing DINP that  
21 are manufactured, imported, sold, or distributed for sale in California by Ascend including, but not  
22 limited to, the *SkinTEK Powder Free Multi-Purpose Vinyl Gloves, #4016*, hereinafter the “Products.”

23 **1.6 Notice of Violation**

24 On or about September 24, 2015, Held served Ascend and the requisite public enforcement  
25 agencies with a “60-Day Notice of Violation” (“Notice”) alleging that Ascend violated Proposition  
26 65 by failing to warn its customers and consumers in California of the health hazards associated with  
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1 exposures to DINP from the Products. To the best of the Parties' knowledge, no public enforcer has  
2 commenced and is diligently prosecuting an action to enforce the violations alleged in the Notice.

3 **1.7 Complaint**

4 On March 7, 2016, Held filed the instant action ("Complaint"), naming Ascend as a defendant  
5 for the alleged violations of Health and Safety Code section 25249.6 that are the subject of the  
6 Notice.

7 **1.8 No Admission**

8 Ascend denies the material, factual, and legal allegations contained in the Notice and  
9 Complaint, and it maintains that all of the products that it has sold and distributed for sale in  
10 California, including the Products, have been and are in compliance with all laws. Nothing in this  
11 Consent Judgment shall be construed as an admission of any fact, finding, conclusion of law, issue of  
12 law, or violation of law, nor shall compliance with this Consent Judgment constitute or be construed  
13 as an admission of any fact, finding, conclusion of law, issue of law, or violation of law. This  
14 Section shall not, however, diminish or otherwise affect Ascend's obligations, responsibilities, and  
15 duties under this Consent Judgment.

16 **1.9 Jurisdiction**

17 For purposes of this Consent Judgment only, the Parties stipulate that this Court has  
18 jurisdiction over Ascend as to the allegations contained in the Complaint, that venue is proper in the  
19 County of Santa Clara, and that the Court has jurisdiction to enter and enforce the provisions of this  
20 Consent Judgment pursuant to Proposition 65 and Code of Civil Procedure section 664.6.

21 **1.10 Effective Date**

22 For purposes of this Consent Judgment, the term "Effective Date" means the date on which  
23 the Motion to Approve the Consent Judgment contemplated by Section 5 is granted by the Court.

24 **2. INJUNCTIVE RELIEF: REFORMULATION**

25 **2.1 Reformulated Products**

26 Commencing on the Effective Date and continuing thereafter, Ascend shall only purchase for  
27 sale, manufacture for sale, sell, or distribute for sale in California, "Reformulated Products." For  
28 purposes of this Consent Judgment, Reformulated Products are products that contain either no DINP

1 or DINP in concentrations that do not exceed 0.1 percent (1,000 parts per million) when analyzed  
2 pursuant to U.S. Environmental Protection Agency testing methodologies 3580A and 8270C or  
3 equivalent methodologies utilized by federal or state agencies for the purpose of determining DINP  
4 content in a solid substance.

5 **3. MONETARY SETTLEMENT TERMS**

6 **3.1 Civil Penalty Payments**

7 Pursuant to Health and Safety Code section 25249.7(b), in settlement of all the claims referred  
8 to in the Notice, Complaint, and this Consent Judgment, Ascend shall pay \$3,000 in civil penalties.  
9 The civil penalty payment shall be allocated according to Health and Safety Code section  
10 25249.12(c)(1) and (d) with seventy-five percent (75%) of the funds paid to the California Office of  
11 Environmental Health Hazard Assessment (“OEHHA”) and twenty-five percent (25%) of the funds  
12 remitted to Held.

13 **3.2 Reimbursement of Attorney’s Fees and Costs**

14 The parties acknowledge that Held and his counsel offered to resolve this dispute without  
15 reaching terms on the amount of fees and costs to be reimbursed to them, thereby leaving the issue to  
16 be resolved after the material terms of this Consent Judgment had been settled. Shortly after the  
17 other settlement terms had been finalized, Ascend expressed a desire to resolve Held’s fees and costs.  
18 The Parties then attempted to (and did) reach an accord on the compensation due to Held and his  
19 counsel under general contract principles and the private attorney general doctrine codified at  
20 California Code of Civil Procedure section 1021.5 for all work performed through the mutual  
21 execution of this Consent Judgment. On or before the Effective Date, Ascend shall pay \$22,000 for  
22 the fees and costs incurred by Held investigating, bringing this matter to Ascend’s attention, and  
23 litigating and negotiating a settlement in the public interest.

24 **3.3 Payments Held in Trust**

25 All payments due under this Consent Judgment shall be tendered within fifteen days of the  
26 date that this Consent Judgment is fully executed by the Parties, and held in trust by Ascend’s  
27 counsel until such time as the Court approves the Parties’ settlement. Within two business days of  
28 the Court’s approval of this Consent Judgment, Ascend’s counsel shall deliver the civil penalty and

1 attorneys' fees and costs payments required by Sections 3.1 and 3.2 as follows:

2 3.3.1 A check in the amount of \$2,250 paid to OEHHA;

3 3.3.2 A check in the amount of \$750 paid to "Anthony Held Client Trust Account";

4 3.3.3 A check in the amount of \$22,000 paid to The Chanler Group.

5 **3.4 Payment Address**

6 All payments required by this Consent Judgment shall be delivered to:

7 The Chanler Group  
8 Attn: Proposition 65 Controller  
9 2560 Ninth Street  
Parker Plaza, Suite 214  
Berkeley, CA 94710

10 **4. CLAIMS COVERED AND RELEASED**

11 **4.1 Held's Public Release of Proposition 65 Claims**

12 Held, acting on his own behalf and in the public interest, releases Ascend and its parents,  
13 subsidiaries, affiliated entities under common ownership, directors, officers, employees, and  
14 attorneys ("Releasees") and each entity to whom it directly or indirectly distributes or sells the  
15 Products including, but not limited to, its downstream distributors, wholesalers, customers, retailers,  
16 franchisers, resellers, cooperative members, licensors and licensees ("Downstream Releasees") for  
17 any violations arising under Proposition 65 for unwarned exposures to DINP from Products sold by  
18 Ascend prior to the Effective Date, as set forth in the Notice.

19 **4.2 Held's Individual Release of Claims**

20 Held, in his individual capacity only and *not* in his representative capacity, also provides a  
21 release to Ascend, Releasees, and Downstream Releasees which shall be effective as a full and final  
22 accord and satisfaction, as a bar to all actions, causes of action, obligations, costs, expenses,  
23 attorneys' fees, damages, losses, claims, liabilities and demands of Held of any nature, character or  
24 kind, whether known or unknown, suspected or unsuspected, arising out of alleged or actual  
25 exposures to DINP in the Products sold or distributed for sale by Ascend before the Effective Date.

26 **4.3 Ascend's Release of Held**

27 Ascend, on its own behalf, and on behalf of its past and current agents, representatives,  
28 attorneys, successors, and assignees, hereby waives any and all claims against Held and his



1 attorneys and other representatives, for any and all actions taken or statements made by Held and  
2 his attorneys and other representatives, whether in the course of investigating claims, otherwise  
3 seeking to enforce Proposition 65 against it in this matter, or with respect to the Products.

4 **5. COURT APPROVAL**

5 This Consent Judgment is not effective until it is approved and entered by the Court and shall  
6 be null and void if, for any reason, it is not approved and entered by the Court within one year after it  
7 has been fully executed by the Parties.

8 **6. SEVERABILITY**

9 If, subsequent to the Court's approval and entry of this Consent Judgment as a judgment, any  
10 provision is held by a court to be unenforceable, the validity of the remaining provisions shall not be  
11 adversely affected.

12 **7. GOVERNING LAW**

13 The terms of this Consent Judgment shall be governed by the laws of the state of California  
14 and apply within the state of California. In the event that Proposition 65 is repealed, or is otherwise  
15 rendered inapplicable by reason of law generally, or as to the Products, then Ascend may provide  
16 written notice to Held of any asserted change in the law, and shall have no further injunctive  
17 obligations pursuant to this Consent Judgment with respect to, and to the extent that, the Products are  
18 so affected.

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1 **8. NOTICE**

2 Unless specified herein, all correspondence and notice required by this Consent Judgment  
3 shall be in writing and sent by: (i) personal delivery; (ii) first-class, registered, or certified mail,  
4 return receipt requested; or (iii) a recognized overnight courier to the following addresses:

5 **For Ascend:**

6 Deon EE Wai Lim, Chief Executive Officer  
7 Ascend Eagle Corporation  
8 824 San Pablo Avenue  
9 Pinole, CA 94564

Garth N. Ward, Esq.  
LEWIS BRISBOIS  
701 B Street, Suite 1900  
San Diego, CA 92101

9 **For Held:**

10 The Chanler Group  
11 Attention: Prop 65 Coordinator  
12 2560 Ninth Street  
13 Parker Plaza Suite 214  
14 Berkeley CA, 94710

13 Any Party may, from time to time, specify in writing to the other, a change of address to which all  
14 notices and other communications shall be sent.

15 **9. COUNTERPARTS; FACSIMILE SIGNATURES**

16 This Consent Judgment may be executed in counterparts and by facsimile or portable  
17 document format (PDF) signature, each of which shall be deemed an original, and all of which, when  
18 taken together, shall constitute one and the same document.

19 **10. POST EXECUTION ACTIVITIES**

20 Held agrees to comply with the reporting form requirements referenced in Health and Safety  
21 Code section 25249.7(f). The Parties further acknowledge that, pursuant to Health and Safety Code  
22 section 25249.7(f), a noticed motion is required to obtain judicial approval of the settlement. In  
23 furtherance of obtaining such approval, Held and Ascend agree to mutually employ their best efforts,  
24 and those of their counsel, to support the entry of this agreement as judgment, and to obtain judicial  
25 approval of their settlement in a timely manner. For purposes of this Section, "best efforts" shall  
26 include, at a minimum, cooperating on the drafting and filing of the necessary moving papers,  
27 supporting the motion, and appearing at the hearing before the Court.  
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**11. MODIFICATION**

This Consent Judgment may be modified only by: (i) a written agreement of the Parties and entry of a modified consent judgment thereon by the Court; or (ii) a successful motion or application of any Party, and the entry of a modified consent judgment thereon by the Court.

**12. AUTHORIZATION**


The undersigned are authorized to execute this Consent Judgment on behalf of their respective Parties and acknowledge that they have read, understood, and agree to all of the terms and conditions contained herein.

**AGREED TO:**

**AGREED TO:**

Date: 6/1/2016

Date: 5/31/2016

By:   
ANTHONY E. HELD, P.L.D., P.E.

By:   
Deon-EE Wai Lim, Chief Executive Officer  
ASCEND EAGLE CORPORATION