

COVID-19 STATEMENT

Please be advised that the citizen enforcer issuing the enclosed sixty-day notice is taking into consideration the backdrop of the coronavirus that has gripped much of the country and globe alike, while following the process set forth under California Health and Safety Code §25249.6 *et seq.* and its implementing regulations.

We have communicated with the California Attorney General's Office that if it needs a reasonable amount of additional time before deciding whether to exercise its primary jurisdiction under the statute, my client will stand down for a mutually agreeable period. That said, if the parties can reach an out-of-court agreement in furtherance of the public health after the requisite waiting period, and with the required information uploaded to the Department of Justice's website, my client will conclude such negotiations since it will not only provide a substantial benefit to the public, but also avoid the need to involve the judiciary during a time that its resources are stretched.

In sum, my client is neither oblivious nor insensitive to the impact of COVID-19 as it relates to people of all ages especially the most vulnerable. At the same time, our client is continuing on a reduced pace to investigate and, potentially enforce, the right-to-know toxics initiative passed in 1986 by California voters.

Please feel free to contact me directly with any questions regarding the underlying claims in the enclosed document. As always, my client will negotiate financial terms (e.g., civil fines) in good faith after the non-monetary terms such as reformulation have been agreed upon between the parties.



SIXTY-DAY NOTICE OF VIOLATION

SENT IN COMPLIANCE WITH CALIFORNIA HEALTH & SAFETY CODE § 25249.7(d)

DATE: August 31, 2020

To: Yong Zhang, Chairman/CEO – Alibaba Group Holding Ltd
California Attorney General's Office;
District Attorney's Office for 58 Counties; and
City Attorneys for San Francisco, San Diego, San Jose, Sacramento and Los Angeles

FROM: Laurence Vinocur

I. INTRODUCTION

My name is Laurence Vinocur. I am a citizen of the State of California acting in the interest of the general public. I seek to promote awareness of exposures to toxic chemicals in products sold in California and, if possible, to improve human health by reducing hazardous substances contained in such items. This Notice is provided to the public agencies listed above pursuant to California Health & Safety Code § 25249.6 *et seq.* ("Proposition 65"). As noted above, notice is also being provided to the alleged violator, Alibaba Group Holding Ltd (the "Violator"). The violations covered by this Notice consist of the product exposure, routes of exposure and types of harm potentially resulting from exposure to the toxic chemical ("Listed Chemical") identified below, as follows:

Product Exposure: See Section VII. Exhibit A
Listed Chemical: Lead
Routes of Exposure: Ingestion and Dermal
Types of Harm: Birth Defects and Other Reproductive Harm

II. NATURE OF ALLEGED VIOLATION (PRODUCT EXPOSURE)

The specific products that are causing consumer exposures in violation of Proposition 65, and that are covered by this Notice, are listed under "Product(s)" in Exhibit A in Section VII. All products covered by this Notice shall be referred to hereinafter as the "Products." Exposures to the Listed Chemical from the use of the Products have been occurring without the "clear and reasonable warning" required by Proposition 65, dating as far back as August 31, 2017. Without proper warnings regarding the toxic effects of exposures to the Listed Chemical resulting from contact with the Products, California citizens lack the information necessary to make informed decisions on whether and how to eliminate (or reduce) the risk of exposure to the Listed Chemical from the reasonably foreseeable use of the Products.

California citizens, through the act of buying, acquiring or utilizing the Products, are exposed to the Listed Chemical. By way of example, consumers and other individuals, including women of childbearing age, ingest the Listed Chemical when they, among other activities, touch the Products and transfer the Listed Chemical from the Products to their mouths through hand-to-mouth activities that may continue to occur for a significant period after one or more contacts with the Products stops. Additionally, consumers and other individuals, including women of childbearing age, are exposed to the Listed Chemical through direct dermal contact when they, among other activities, handle, touch or otherwise use the Products. Further, there are reasonably foreseeably uses of the Products that result in direct ingestion. The California State Plan for Occupational Safety and Health incorporates the provisions of Proposition 65, as approved by Federal OSHA on June 6, 1997. This approval specifically placed certain conditions with regard to occupational exposures on Proposition 65, including that it does not apply to the conduct of manufacturers occurring outside the State of California so long as they are based in the United States. The approval also provides that a United States employer may use the means of compliance in the general hazard communication requirements to comply with Proposition 65. It also requires that supplemental enforcement is subject to the supervision of the California Occupational Safety and Health Administration. Accordingly, any settlement, civil complaint, or substantive court orders in this matter must be submitted to the Attorney General. Additionally, it is important to note that the sale of the Products through online means of transaction must provide the customer with a clear and reasonable warning for the risk of reproductive toxicity.

III. CONTACT INFORMATION

Please direct all questions concerning this Notice to me through my counsel's office at the following address:

Laurence Vinocur
c/o Clifford A. Chanler
Chanler, LLC
72 Huckleberry Hill Road
New Canaan, CT 06840-3801
Telephone: (203) 594-9246

IV. PROPOSITION 65 INFORMATION

For general information concerning the provisions of Proposition 65, please feel free to contact the Office of Environmental Health Hazard Assessment's ("OEHHA") Proposition 65 Implementation Office at (916) 445-6900. For the Violator's reference, I have attached a copy of "The Safe Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65): A Summary" which has been prepared by OEHHA.

V. RESOLUTION OF NOTICED CLAIMS

Based on the allegations set forth in this Notice, I intend to file a citizen enforcement lawsuit against the alleged Violator unless such Violator enters into a binding written agreement to: (1) recall Products already sold or undertake best efforts to ensure that the requisite health hazard warnings are provided to those who have received such Products; (2) provide "clear and reasonable warnings" for Products sold

in the future or, preferably, reformulate such Products to (or ensure manufacturers or importers do so) eliminate the lead exposures; and (3) pay an appropriate civil penalty based on the factors enumerated in California Health & Safety Code § 25249.7(b). If the alleged Violator is particularly interested in resolving this dispute without resorting to time-consuming and costly litigation, please feel free to contact my counsel identified in Section III. It should be noted that neither my counsel nor I can: (1) finalize any settlement until after the 60-day notice period has expired; nor (2) speak for the Attorney General or any district or city attorney who received this Notice. Therefore, while reaching an agreement with me will resolve my claims, such agreement may not satisfy the public prosecutors.

VI. ADDITIONAL NOTICE INFORMATION

Identified below are Products recently purchased and witnessed as being available for purchase or use in California that are covered by this Notice. Based on publicly available information, the retailer, importer and/or manufacturer of the Products are also provided below. I believe and allege that the sale of the offending Products have also occurred without the requisite Proposition 65 “clear and reasonable warning” including, but not limited to, transactions made over-the-counter, business-to-business, through the internet and/or via a catalog by the Violator.

<i>Products*</i>	<i>Importer and Retailer¹</i>	<i>Manufacturer (If Any Identified)</i>
ILure Lead Sinkers 3.5g Fishing Bullet Leads Fishing Tackle	Alibaba Group Holding Ltd (www.alibaba.com)	Weihai Ilure Fishing Tackle Co., Ltd.
Lei Chi 4 Size Fishing Split Shot Molds Mould Set Weight Lead Sinkers - 0.8g shot	Alibaba Group Holding Ltd (www.alibaba.com)	Qingdao Leichi Industrial And Trade Co., Ltd.

as Illustrated in **Exhibit B**

See **Exhibit C** and below.²

VII. EXHIBIT A

<i>Product Category/Type</i>	<i>Such As*</i>	<i>Toxicant(s)</i>
Lead-Based Fishing Weights	ILure Lead Sinkers 3.5g Fishing Bullet Leads Fishing Tackle Lei Chi 4 Size Fishing Split Shot Molds Mould Set Weight Lead Sinkers - 0.8g shot as Illustrated in Exhibit B	Lead

¹ If the manufacturer does not have a known agent for process of service in the United States, then retailer is alleged to be an importer as well.

² In accordance with 27 CCR § 25600.2(g), please answer the questions on **Exhibit C**, and return to Mr. Laurence Vinocur at the offices of Chanler, LLC, located at 72 Huckleberry Hill Road, New Canaan, CT 06840.

Exhibit B



*The specifically identified examples of the Products that are subject to this Notice are for the recipient's benefit to assist in its investigation of, among other things, the magnitude of potential exposures to the Listed Chemical from other items within the product category/type listed in Exhibit A. It is important to note that these examples are not meant to be an exhaustive or comprehensive identification of each specific offending Product of the type listed under "Product Category/Type" in Exhibit A. Further, it is this citizen's position that the alleged Violator is obligated to continue to conduct in good faith an investigation into other specific Products within the type or category described above that may have been manufactured, distributed, sold, shipped, stored (or otherwise within the notice recipient's custody or control) during the relevant period so as to ensure that the requisite toxic warnings were and are provided to California citizens prior to purchase.

** No health hazard warning (for the risk of reproductive toxicity) appears on the website's display page, product labeling, check-out page or other link reasonably calculated to convey the Proposition 65 message in a clear manner.

Exhibit C

As it relates to the Products referenced to on **Exhibit A**, and pictured on **Exhibit B**, please provide the full legal entity name and any known contact information for:

- 1) Any and all MANUFACTURER.
- 2) Any and all PRODUCER.
- 3) Any and all PACKAGER.

PROOF OF SERVICE

I, the undersigned, declare under penalty of perjury:

I am over the age of 18 years and not a party to the within action. I am a resident or employed in the county where the mailing occurred. My business address is 72 Huckleberry Hill Road, New Canaan, CT 06840.

On August 31, 2020, I caused to be served the following documents:

**SIXTY-DAY NOTICE OF VIOLATION SENT IN COMPLIANCE WITH
HEALTH & SAFETY CODE § 25249.7(d);**

CERTIFICATE OF MERIT; AND

**THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986
(PROPOSITION 65): A SUMMARY**

XXXX **By First Class Certified Mail** through the United States Postal Service by placing true and correct copies of the above documents in a sealed envelope, addressed to each alleged violator listed below and providing such envelope to a United States Postal Service Representative.

Yong Zhang, Chairman/CEO
c/o General Counsel
Alibaba Group Holding Ltd
400 South El Camino Real, Suite 400
San Mateo, CA 94402

On August 31, 2020, I caused to be served the following documents:

**SIXTY-DAY NOTICE OF VIOLATION SENT IN COMPLIANCE WITH
HEALTH & SAFETY CODE § 25249.7(d); AND**

CERTIFICATE OF MERIT

XXXX **By Electronic Mail** by sending true and correct copies of the above documents to the electronic notification (Email) address(es) on the attached "Email Service List".

On August 31, 2020, I caused to be served the following documents:


**SIXTY-DAY NOTICE OF VIOLATION SENT IN COMPLIANCE WITH
HEALTH & SAFETY CODE § 25249.7(d);**

CERTIFICATE OF MERIT; AND

CERTIFICATE OF MERIT ATTACHMENTS

XXXX **By Electronic Upload** by causing true and correct copies of the above documents to be uploaded to the California Attorney General's website at the web address on the attached "Electronic Upload Service List".

Executed on August 31, 2020, in Norwalk, Connecticut.


Lorent Guimaraes

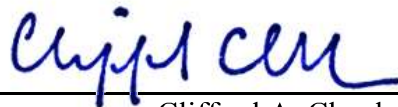
CERTIFICATE OF MERIT

Health and Safety Code Section 25249.7(d)

I, Clifford A. Chanler, hereby declare:

1. This Certificate of Merit accompanies the attached sixty-day notice in which it is alleged that the party identified in the notice has violated Health and Safety Code § 25249.6 by failing to provide clear and reasonable warnings;
2. I am the attorney for the noticing party;
3. I have consulted with one or more persons with relevant and appropriate experience or expertise who has reviewed facts, studies, or other data regarding the *alleged* exposure to the Listed Chemical that is the subject of this action and/or the Listed Chemical in substantially similar products and exposes individuals through the same potential routes;
4. Based on the information obtained through those consultations, and on all other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that “reasonable and meritorious case for the private action” means that the information provides a credible basis that all elements of the plaintiff’s case can be established and the information did not prove that the alleged Violator will be able to establish any of the affirmative defenses set forth in the statute;
5. The copy of this Certificate of Merit served on the Attorney General attaches to it factual information sufficient to establish the basis for this certificate under Health and Safety Code § 25249.7(h)(2) including (i) the identity of the persons consulted with and relied on by the certifier, and (ii) certain facts, studies, or other data reviewed by those persons.

Dated: August 31, 2020



Clifford A. Chanler

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mlatimar@co.lassen.ca.us

ELECTRONIC UPLOAD SERVICE LIST

Office of the California Attorney General
Proposition 65 Enforcement Reporting
ATTN: Prop 65 Coordinator
P.O. Box 70550
Oakland, CA 94612-0550
<https://oag.ca.gov/prop65/add-60-day-notice>