

contact@PoulsenLaw.org

VIA CERTIFIED FIRST CLASS MAIL VIA CERTIFI

Current President or CEO Next Wave Seafood, Inc. 333 Racine School Rd. Elkton, MD 21921

Current President or CEO Next Wave Seafood, Inc. 2288 Pulaski Highway, Suite E North East MD 21901

Current President or CEO Next Wave Seafood, Inc. % The Company Corporation 251 Little Falls Drive, Wilmington, New Castle DE 19808

Current President or CEO Next Wave Seafood, Inc. % The Company Corporation 251 Little Falls Drive, Wilmington, New Castle DE 19808

Current President or CEO Next Wave Seafood, Inc. 4016 River Oaks Drive Suite 6 Myrtle Beach, SC 29579

Current President or CEO Next Wave Seafood, Inc. c/o 1505 Corporation CSC Lawyers Incorporating Service 2710 Gateway Oaks Drive Sacramento CA 95833

Current President or CEO Sprouts Farmers Market, Inc. 4253 Woodruff Ave Lakewood, CA, 90713-3142

Current President or CEO Sprouts Farmers Market, Inc. C/o Corporation Service Company 251 Little Falls Drive, Wilmington, New Castle, DE, 19808

VIA CERTIFIED FIRST CLASS MAIL

Current Manager or Managing Member Sprouts Farmers Markets Holdings, LLC C/o Corporation Service Company 251 Little Falls Drive, Wilmington, New Castle, DE, 19808

Current Manager or Managing Member Sprouts Farmers Market, Inc. Apollo Global Management, LLC 2000 Avenue of the Stars #510 Los Angeles, CA 90067

VIA ELECTRONIC FILING

State of California Department of Justice Office of Attorney General of California Filing link: oag.ca.gov/prop65

VIA FIRST CLASS MAIL

District Attorneys of California Counties and City Attorneys, as in the Certificate of Service

VIA E-MAIL

District Attorneys of California Counties and City Attorneys, as in the Certificate of Service



RE: Lead and lead compounds and cadmium in Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc. Next Wave Seafood Mussels In A Garlic Butter Sauce, Next Wave Seafood Mussels In A Tomato and Garlic Butter Sauce, Next Wave Seafood Cooked Mussel Meat

October 6, 2023

60-DAY NOTICE OF INTENT TO SUE

for violations of the Safe Drinking Water and Toxic Enforcement Act of 1986

Dear alleged violators and public enforcement agencies,

Poulsen Law P.C. represents The Chemical Toxin Working Group, Inc., a California non-profit corporation, doing business as Healthy Living Foundation Inc., 1801 Chart Trail, Topanga, California 90290 ("HLF," "Noticing Party") HLF, implements measures to reduce the amount of chemical toxins in consumer products posing targeted dangers to fetuses, children, pregnant women, and women of childbearing age; acts in the interest of the people with a mission to bring truth and transparency to consumer product labeling and advertising; improves safety for workers by reducing their exposure to chemicals; publishes consumer health articles, periodicals, books, comparative test results and educates the public. HLF has achieved reformulation and removal of egregiously carcinogenic products from the market.

HLF has enforced a large number of Cal. Health & Safety Code violations in the public interest and developed an extensive expertise in prosecuting manufacturers and distributors of food and consumer products for violations of health and consumer safety laws. These cases have resulted in significant public benefit, including reformulation of products to remove toxic chemicals to make them safer, and putting label and online warnings on products tested as contaminated with lead, cadmium, acrylamide, dioxane, or removing them from the California market.

HLF's Chief Officer David W. Steinman is a publisher, health and environmental journalist, and bestselling author of Diet For A Poisoned Planet (Crown Ed., 1990, Ballantine 2d Ed., 1992, Running Press 3d Ed., 2007). Among his other books are: The Safe Shoppers' Bible (Macmillan Ed., 1995, Wiley 2d Ed., 2000) and The Breast Cancer Prevention Program (Macmillan Ed., 1997). Mr. Steinman represented the public interest at the National Academy of Sciences on the Safe Seafood Committee that produced Seafood Safety (Washington, D.C.: National Academies Press, 1991), advised Congress on related legislation, testified before Congress as an expert witness on food safety, was an early proponent of Proposition 65, and a child victim of exposure to toxic chemicals.

The Safe Drinking Water and Toxic Enforcement Act of 1986, codified in the California Health & Safety Code sections 25249.5, et seq. ("Proposition 65"), requires that a 60-day notice of intent to sue be provided to a violator of Cal. Health & Safety Code § 25249.6.

With this notice of violation (Notice) HLF gives a written notice of the alleged violation, bringing this action in the public interest, as defined under the Cal. Health & Safety Code § 25249.7(d), seeking to prosecute the alleged continuing noncompliance, and to warn consumers about their exposure to the violative chemical(s), or reduce and/or eliminate consumer exposures from product(s) (collectively, the "Specified Products" and each a "Specified Products") listed in the table below, which are manufactured, distributed and/or sold by Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc. (referred to as the "Noticed Party[ies]").



This Notice covers the violations of Proposition 65 that are currently known to the Noticing Party from information now available as specifically related to the violating products listed below and manufactured, distributed or/and sold by and through the Noticed Parties. HLF is continuing its investigation that may reveal further violations.

The Specified Product(s) subject to this Notice, the chemical(s) in the Specified Product(s) identified as exceeding allowable levels, and the Noticed Parties responsible for sales of the Specified Products, are as follows:

Specified Products	Violative chemical	Noticed Party
Next Wave Seafood Mussels In A Garlic Butter Sauce	Lead and lead compounds and cadmium	Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.
Next Wave Seafood Mussels In A Tomato and Garlic Butter Sauce	Lead and lead compounds and cadmium	Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.
Next Wave Seafood Cooked Mussel Meat	Lead and lead compounds and cadmium	Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.

Lead and lead compounds ("lead") are listed pursuant to Proposition 65 as a chemical known to the State of California to cause developmental toxicity, reproductive toxicity and cancer.

Cadmium ("cadmium") is listed pursuant to Proposition 65 as a chemical known to the State of California to cause developmental toxicity, reproductive toxicity and cancer.

Noticed Parties have manufactured, produced, marketed, distributed and/or sold the Specified Products which, according to the test results, have exposed and continue to expose consumers within the State of California to lead and cadmium.

The primary route of exposure has been through ingestion.

Cal. Health & Safety Code § 25249.6 requires that a "clear and reasonable" warning be provided prior to exposure to a chemical listed under Proposition 65. The Noticed Parties are in violation of Proposition 65 because the Noticed Parties have failed to provide a warning to consumers that consumers are being exposed to lead and cadmium.

HLF alleges that while in the course of doing business, the Noticed Parties are knowingly and intentionally exposing consumers to lead and cadmium without first providing a "clear and reasonable" warning.

The method of warning should be a warning that appears on the product's label. See Cal. Code Regs. tit. 27, § 25602, subd. (a)(3), and subd. (b) for internet purchases also at the point of sale, as applicable. The Noticed Parties have not provided Proposition 65 warnings as



required by law that persons handling, ingesting and/or otherwise using the Specified Products are being exposed to lead and cadmium.

With respect to the Specified Products listed above, the violation commenced on the latter of the date that the Specified Product was first offered for sale in California, or October 6, 2020; has continued every day since the relevant date the violation commenced; and will continue every day henceforth until lead is removed from the Specified Products, reduced to allowable levels, or the Specified Product(s) are removed from the California market, or until a "clear and reasonable" warning is provided to consumers by the Noticed Parties, as applicable, in accordance with the law.

Pursuant to Title 27, C.C.R. § 25903(b), copies of the following documents are attached hereto for reference by the Notices Parties:

(i) "The Safe Drinking Water and Toxic Enforcement act of 1986 (Proposition 65): A Summary."

Pursuant to Title 11, C.C.R. § 3100, the "Certificate of Merit" is attached hereto.

HLF intends to file a lawsuit after 60 days based on the facts set forth in this Notice. Meanwhile we encourage a prompt resolution of this matter within the said period of 60 days where the Noticed Parties agree in a written agreement to (1) eliminate or reduce lead and cadmium to an allowable level in the Specified Products or, or remove the Specified Product(s) from the California market or, as an alternative, (2) provide a Proposition 65-compliant warning on the label of the Specified Products and online, where applicable, and/or at the point of sale; and (3) pay applicable civil penalties and costs of bringing this action.

Demand to Preserve Evidence

HLF intends to file a lawsuit, in which Noticed Party[ies] will be named as defendants. When a lawsuit is anticipated, California requires a prospective party to take all reasonable steps to preserve documents, tangible things, and electronically stored information (ESI) that are potentially relevant to the anticipated lawsuit and that are in the prospective party's possession, custody, or control. The duty applies equally to hard copy documents, other tangible things and to ESI.

1. Electronically Stored Information

As ESI can be easily deleted, corrupted, or modified in the normal operations of a business, preservation measures must be implemented immediately. These measures include, but are not limited to, those explained herein.

ESI that may be subject to a duty to preserve includes information electronically, digitally, magnetically, or optically stored. Magnetic, optical and other storage media, including archival and backup media, are also potential locations of ESI.

ESI that is potentially relevant to the contemplated action, and that the Noticed Party[ies] should preserve, includes ESI generated during the relevant time period of alleged violations and relating to the allegations in this Notice.

To satisfy its preservation duties, the Noticed Party[ies] must take all reasonable measures to preserve all hard copy documents, tangible things, and ESI that are potentially relevant to the aforementioned anticipated lawsuit, including the ESI described above. These measures include:



- Halting the Noticed Party[ies]'s routine document preservation or retention policies and its backup recycling policies.
- Instituting a litigation hold and monitoring compliance with the hold on an ongoing basis until this legal matter is fully resolved. A litigation hold ensures that all sources of potentially relevant information are identified and preserved.
- Documents and tangible things must be preserved in their original, unmodified condition. ESI must be preserved in the form in which it is normally maintained (its native format) with all metadata, both system metadata and application metadata, intact.
- Preserving all hardware, portable and personal storage devices, and any other electronic storage devices that contain potentially relevant information. Such devices need not be in current use. They may include devices no longer in use and legacy hardware if there is no other way to view potentially relevant legacy data. The Noticed Party[ies]'s shall not replace, destroy, or modify such hardware and other electronic storage devices in any manner that could delete, damage, or alter the ESI they store.
- Preserving all support information needed to access potentially relevant ESI. Support information includes, but is not limited to, operating systems, installation disks, legacy and other software, operating and user manuals, user IDs, passwords, security and license keys, and encryption/decryption information.
- 2. <u>Preservation Duties Extend to Departing Employees</u>

The Noticed Party[ies]'s preservation duties extend to potentially relevant information in the possession of officers, directors, and employees who depart from employment by the Noticed Party[ies]. Caution should be taken to preserve the ESI of departing officers, directors, and employees whose data is subject to litigation hold requirements.

3. <u>Preservation Duties Extend to Information Controlled, but Not Actually Possessed by</u> the Noticed Party[ies]

Since a potential party "controls" documents or information when it has the legal right to obtain them on demand, The Noticed Party[ies]'s preservation duties extend to ESI possessed by its current and former attorneys, accountants, third party information technology vendors, business service providers, and other agents and contractors, and may extend to its subsidiaries, affiliates, and divisions.

Prompt action of the Noticed Parties on this Notice will prevent further consumer exposures to a highly dangerous chemical without warning, therefore rectifying these alleged ongoing violations of the California law, and will afford the Noticed Parties the opportunity to avoid increasing costs associated with noncompliance and costly litigation.

Please contact this office as soon as possible, and direct all communications regarding this Notice to this office.

Sincerely,

he

Aida Poulsen | Managing attorney | NY | CA contact@poulsenlaw.org



ATTACHMENTS

- 1. Certificate of Merit;
- 2. Confidential Factual Information supporting Certificate of Merit (to Attorney General only);
- 3. Certificate of Service;
- 4. Appendix "A" "The Safe Drinking Water and Toxic Enforcement act of 1986 (Proposition 65): A Summary" (to the Noticed Parties only).



282 11th Avenue, Suite 2612 New York, New York 10001



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To:	California Attorney General
Notice of Violation:	October 6, 2023
Noticing Party:	Chemical Toxin Working Group Inc. dba Healthy Living Foundation Inc.
Noticed Parties:	Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.

October 6, 2023

CERTIFICATE OF MERIT

Health and Safety Code Section 25249.7(d)

To the Notice of Violation

I, Aida Poulsen, attorney at law, hereby declare:

This Certificate of Merit accompanies the attached sixty-day notice(s) in which it is alleged the parties identified in the notices have violated Health and Safety Code section 25249.6 by failing to provide clear and reasonable warnings.

I am the attorney for the Noticing Party.

I have reviewed the facts of this case and have consulted with one or more persons with relevant and appropriate experience and expertise who have reviewed facts, studies, and/or other data regarding the alleged exposures to the listed chemical that is the subject of the attached Notice of Violation dated October 6, 2023 (the "Notice").

I have reviewed the laboratory testing results for the chemical subject to the Notice and rely on these results. The testing was conducted by a reputable accredited testing laboratory and by experienced scientists with doctoral and other degrees in relevant sciences. The facts, studies and other data derived through this investigation overwhelmingly demonstrate that the alleged violators have exposed persons to the listed chemical that is the subject of the Notice and is known to the State of California to cause reproductive and/or developmental harm, and/or cancer.

NOTICE OF VIOLATION/CERTIFICATE OF SERVICE

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Based on the information obtained through those consultations, and on all other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiffs' case can be established and the information did not prove that the alleged violator will be able to establish any of the affirmative defenses set forth in the statute.

The copy of this Certificate of Merit served on the Attorney General attaches to it factual information sufficient to establish the basis for this certificate, including the information identified in Health and Safety Code section 25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

Dated: October 6, 2023

By:

Aida Poulsen | Managing attorney | NY | CA <u>contact@poulsenlaw.org</u>

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282 11th Avenue, Suite 2612 New York, New York 10001



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CERTIFICATE OF SERVICE

I, Jesus Abundis, am over the age of eighteen years and am not a party to the action, process or case related to or arising out of the Notice of Violation being served under this Certificate of Service. My address is 1031 W 7th Street, Apt 2, Oxnard, CA 93030.

On October 6, 2023, between 11:00 a.m. and 5:00 p.m. Pacific Time, I served the following documents:

- 1. Notice of Violations of California Health & Safety Code Section 25249.5 et seq. by Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.
- 2. Certificate of Merit;
- 3. Appendix "A" "The Safe Drinking Water and Toxic Enforcement act of 1986 (Proposition 65): A Summary;"

on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to the parties below, and causing it to be deposited at a United States Postal Service Office in Los Angeles County, California, for delivery by Certified Mail:

Comment Davaidant on CEO	Comment Davaidant on CEO
Current President or CEO	Current President or CEO
Next Wave Seafood, Inc.	Sprouts Farmers Market, Inc.
333 Racine School Rd.	4253 Woodruff Ave
Elkton, MD 21921	Lakewood, CA, 90713-3142
Current President or CEO	Current President or CEO
Next Wave Seafood, Inc.	Sprouts Farmers Market, Inc.
2288 Pulaski Highway, Suite E	C/o Corporation Service Company
North East MD 21901	251 Little Falls Drive,
	Wilmington, New Castle, DE, 19808
Current President or CEO	Current Manager or Managing Member
Next Wave Seafood, Inc.	Sprouts Farmers Market, Inc.
% The Company Corporation	Apollo Global Management, LLC
251 Little Falls Drive,	2000 Avenue of the Stars #510
Wilmington, New Castle DE 19808	Los Angeles, CA 90067
Current President or CEO	Current President or CEO
Next Wave Seafood, Inc.	Sprouts Farmers Market, Inc.
4016 River Oaks Drive	4253 Woodruff Ave
Suite 6	Lakewood, CA, 90713-3142
Myrtle Beach, SC 29579	

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Current President or CEO	Current President or CEO
Next Wave Seafood, Inc.	Sprouts Farmers Market, Inc.
333 Racine School Rd.	4253 Woodruff Ave
Elkton, MD 21921	Lakewood, CA, 90713-3142
Current President or CEO	Current President or CEO
Next Wave Seafood, Inc.	Sprouts Farmers Market, Inc.
c/o 1505 Corporation CSC	C/o Corporation Service Company
Lawyers Incorporating Service	251 Little Falls Drive,
2710 Gateway Oaks Drive	Wilmington, New Castle, DE, 19808
Sacramento CA 95833	-

On October 6, 2023, between 11:00 a.m. and 11:30 p.m. Pacific Time, I served the following documents:

- 1. Notice of Violations of California Health & Safety Code Section 25249.5 et seq. by Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.
- 2. Certificate of Merit;
- 3. Confidential Factual Information and Supporting Documentation Required by Title 11, C.C.R. § 3102

on the following parties by filing electronically a true and correct copy thereof as permitted through the website of the California Office of the Attorney General via link at oag.ca.gov/prop65:

State of California Department of Justice; Office of the Attorney General of California.

On October 6, 2023, between 11:00 a.m. and 5:00 p.m. Pacific Time, I served the following documents:

- 1. Notice of Violations of California Health & Safety Code Section 25249.5 et seq. by Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.
- 2. Certificate of Merit

on the following parties below by placing a true and correct copy thereof in a sealed envelope, addressed to each of the District Attorney and City Attorney offices listed below, and causing each envelope to be deposited at a United States Postal Service mail box for delivery by First Class Mail:

NOTICE OF VIOLATION/CERTIFICATE OF SERVICE



+1 650 296 1014

District Attorney	District Attorney	District Attorney
Alpine County	Lake County	Sierra County
PO Box 248	255 North Forbes Street	PO Box 457
Markleeville, CA 96120	Lakeport, CA 95453	Downieville, CA 95936
District Attorney	District Attorney	District Attorney's Office
Amador County	Los Angeles County	Siskiyou County Courthouse
708 Court Street, Suite 202	Hall of Justice 211 West	311 Fourth Street, Room 204
Jackson, CA 95642	Temple St. Ste 1200	Yreka, CA 96097
	Los Angeles, CA 90012	
District Attorney	District Attorney	District Attorney
Butte County	Madera County	Solano County
25 County Center Drive,	209 West Yosemite Avenue	675 Texas Street, Ste 4500
Suite 245	Madera, CA 93637	Fairfield, CA 94533
Oroville, CA 95965		
District Attorney	District Attorney	District Attorney
Colusa County	Marin County	Stanislaus County
310 6 th Street	3501 Civic Center Drive,	832 12th Street, Ste 300
Colusa, CA 95932	Room 130	Modesto, CA 95354
,	San Rafael, CA 94903	
District Attorney	District Attorney	District Attorney
Del Norte County	Mendocino County	Sutter County
450 H Street, Suite 171	PO Box 1000	446 Second Street
Crescent City, CA 95531	Ukiah, CA 95482	Yuba City, CA 95991
District Attorney	District Attorney	District Attorney
EL Dorado County	Modoc County	Tehama County
778 Pacific Street	204 S Court Street, Room	PO Box 519
Placerville, CA 95667	202	Red Bluff, CA 96080
	Alturas, CA 96101-4020	
District Attorney	District Attorney	District Attorney
Fresno County	Orange County	Trinity County
2220 Tulare Street	300 N Flower St.	Post Office Box 310
Suite 1000	Santa Ana, CA 92703	Weaverville, CA 96093
Fresno, CA 93721		
District Attorney	District Attorney	District Attorney
Glenn County	San Benito County	Tuolumne County
Post Office Box 430	419 4 th Street	423 North Washington St.
Willows, CA 95988	Hollister, CA 95023	Sonora, CA 95370
District Attorney	District Attorney	District Attorney
Humboldt County	San Bernardino County	Yuba County
825 5th Street 4 th Floor	316 No. Mountain View	215 Fifth Street, Suite 152
025 511 511001 + 11001		2151 min buot, built 152

NOTICE OF VIOLATION/CERTIFICATE OF SERVICE



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ontact@PoulsenLaw.org

Eureka, CA 95501	Avenue San Bernardino, CA 92415	Marysville, CA 95901
District Attorney Imperial County 940 West Main Street, Suite 102 El Centro, CA 92243	District Attorney San Mateo County 400 County Ctr., 3rd Floor Redwood City, CA 94063	Los Angeles City Attorney's Office City Hall East 200 N. Main Street, Suite 800 Los Angeles, CA 90012
District Attorney Kern County 1215 Truxtun Avenue Bakersfield, CA 93301	District Attorney Shasta County 1355 West Street Redding, CA 96001	San Jose City Attorney's Office 200 East Santa Clara Street, 16 th Floor San Jose, CA 95113
District Attorney Kings County 1400 West Lacey Blvd. Hanford, CA 93230	District Attorney Mono County Post Office Box 617 Bridgeport, CA 93517	

On October 6, 2023, between 11:00 a.m. and 11:30 p.m. Pacific Time, I served the following documents:

- 1. Notice of Violations of California Health & Safety Code Section 25249.5 et seq. by Next Wave Seafood, Inc., Sprouts Farmers Markets Holdings, LLC, and Sprouts Farmers Market, Inc.
- 2. Certificate of Merit

on each of the parties below, all of which have requested electronic service only via the following email addresses:

Alameda County District Attorney	Calaveras County District Attorney
Prop65@acgov.org	Prop65Env@co.calaveras.ca.us
Contra Costa County District Attorney	Inyo County District Attorney
sgrassini@contracostada.org	inyoda@inyocounty.us
Lassen County District Attorney	Mariposa County District Attorney
mlatimer@co.lassen.ca.us	mcda@mariposacounty.org
Merced County District Attorney	Monterey County District Attorney
Prop65@countyofmerced.com	Prop65DA@co.monterey.ca.us
Napa County District Attorney	Nevada County District Attorney
CEPD@countyofnapa.org	DA.Prop65@co.nevada.ca.us

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282 11^m Avenue, Suite 2612

New York, New York 10001



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Placer County District Attorney Prop65@placer.ca.gov	Plumas County District Attorney
Riverside County District Attorney Prop65@rivcoda.org	davidhollister@countyofplumas.com Sacramento County District Attorney Prop65@sacda.org
San Diego City Attorney	San Diego County District Attorney
CityAttyProp65@sandiego.gov	SanDiegoDAProp65@sdcda.org
San Francisco County District Attorney	San Francisco City Attorney
alexandra.grayner@sfgov.org	Prop65@sfcityatty.org
San Joaquin County District Attorney DA DAConsumer.Environmental@sjcda.org	San Luis Obispo County District Attorney edobroth@co.slo.ca.us
Santa Barbara County District Attorney	Santa Clara County District Attorney
DAProp65@co.santa-barbara.ca.us	EPU@da.sccgov.org
Santa Cruz County District Attorney	Sonoma County District Attorney
Prop65DA@santacruzcounty.us	jbarnes@sonoma-county.org
Tulare County District Attorney	Ventura County District Attorney
Prop65@co.tulare.ca.us	daspecialops@ventura.org
Yolo County District Attorney cfepd@yolocounty.org	

I, Jesus Abundis, declare under penalty of perjury that the foregoing is true and correct.

Signature

Jesus abundos

October 6, 2023

1031 W 7th Street, Apt 2 Oxnard, CA 93030

NOTICE OF VIOLATION/CERTIFICATE OF SERVICE