State of California - Department of Justice - Attorney General's Office - Proposition 65 Enforcement Reporting

FORM JUS 1501 (03-01)

Attention: Prop 65 Coordinator, 1515 Clay Street, Suite 2000, Oakland, CA 94612

PRIVATE ENFORCEMENT FILING - Health and Safety Code section 25249.7(e) and (f)

REPORT OF SETTLEMENT

Please	print or type required information	Original Filing D Supple	mental Filing	Corrected Filing		
PARTIES TO THE ACTION	PLAINTIFF(S) Shefa LMV LLC DEFENDANT(S) INVOLVED IN SETTLEMENT Peter Thomas Roth, LLC					
CASE	COURT DOCKET NUMBER CIV 1503341			County Superi	or Court	
δĒ	SHORT CASE NAME Shefa LMV LLC v. Co	ncept II Cosmet	ics, et	al.		
REPORT INFO	WILL SETTLEMENT BE SUBMITTED TO COURT? OYes No MUST BE S	PAYMENT: ATTORNEYS FEES \$22,000 TER ENTRY OF JUDGMENT BY PORT OF ENTRY OF JUDGMENT SUBMITTED TO ATTORNEY GENER TTLEMENT MUS	RAL 5	TLEMENT SIGNED /23 /2016	For Internal Use Only	
	NAMEOFCONTACT Daniel N. Greenbaum	ı.				
щo	ORGANIZATION Law Office of Danie	1 Greenbaum			TELEPHONE NUM	MBER 309-2199
FILER	ADDRESS 7120 Hayvenhurst Ave	e., Suite 320			FAXNUMBER (424)	243-7698
	CITY Van Nuys	STATE ZIP CA 91406	E-MAIL dgr	ADDRESS eenbaum@greer	nbaumlawf	irm.com

FILING INSTRUCTIONS: This form can be completed online and printed. If electronic filing is not available, mail the completed form with a copy of the settlement to the attention of the Prop 65 Coordinator at the address shown above. If you need additional space to complete this form please use an attachment.

1	Daniel N. Greenbaum, Esq. (SBN 268104) LAW OFFICE OF DANIEL N. GREENBAUM	
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4	Telephone: (818) 809-2199 Facsimile: (424) 243-7689 Email: DGreenbaum@GreenbaumLawFirm.com	•
5		1
6	Attorney for Plaintiff Shefa LMV LLC	
7		
8	SUPERIOR COUL	RT OF CALIFORNIA
9	COUNTY	OF MARIN
10	UNLIMITED CI	VIL JURISDICTION
11 12	SHEFA LMV, LLC.,) Case No. CIV 1503341
13	Plaintiff,) PROPOSED CONSENT JUDGMENT
13	vs.))
15	CONCEPT II COSMETICS, LLC, et al.,	Action Filed: Sept. 10, 2015
16	Defendants.))
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WHEREAS Plaintiff has issued Notices and filed Complaints against Settling Defendants regarding the presence of benzophenone in Covered Products, as further described in this Consent Judgment; and

WHEREAS the Parties acknowledge that the Notices to each Settling Defendant were intended to cover all of that Defendants' Covered Products; and

WHEREAS the Parties, therefore, wish to resolve all Proposition 65 claims regarding benzophenone in Settling Defendants' personal care products, they hereby agree as follows:

1. INTRODUCTION

1.1 Parties

This consent judgment ("Consent Judgment") is entered into by and between plaintiff
Shefa LMV, LLC ("Shefa" or "Plaintiff") and the defendants identified in the attached Exhibits AK (individually, "Settling Defendant" and, collectively, "Settling Defendants"), with Shefa and
Settling Defendants collectively referred to as the "Parties" and individually as a "Party."

1.2 Plaintiff

Shefa is a California Limited Liability Company that seeks to promote awareness of exposure to toxic chemicals and to improve human health by reducing or eliminating hazardous substances contained in consumer and commercial products. Shefa alleges Settling Defendants each individually employ ten or more persons and is a person in the course of doing business for purposes of the Safe Drinking Water and Toxic Enforcement Act of 1986, California Health & Safety Code § 25249.6, *et seq.* ("Proposition 65").

1.3 Defendants and Listed Chemical

Defendants manufacture, and/or distribute, and/or sell personal care products, including products that are labeled as having a Sun Protection Factor Value (SPF Products) (*See* 21 C.F.R. § 352.3), sunscreen products, and products such as face creams, body lotions, lip balms, cosmetics (including lipsticks and foundation make up), hair products and other sun protection creams, sprays, foams, gels, oils, sticks and lotions. One ingredient used in such products to enhance their ability to

provide protection from the sun is octocrylene, an active ingredient approved for use in sunscreens by the Federal Food & Drug Administration ("FDA") (*See* 76 Fed. Reg. 35620; 21 C.F.R. §§ 352.10, 352.20 (stayed)). Octocrylene can at times contain benzophenone. Benzophenone (CAS # 119-61-9) is a chemical listed under The Safe Drinking Water and Toxic Enforcement Act of 1986, California Health & Safety Code § 25249.5 *et seq.* (commonly known as "**Proposition 65**") as a chemical "known to the state to cause cancer" as Proposition 65 defines that phrase. 27 CCR 25000.

1.4 Products Covered

This Consent Judgment covers and applies to all personal care products, including but not limited to the categories described in Section 1.3, that are manufactured and/or distributed for sale in California and/or sold in California and that contain benzophenone ("Covered Products"). All sizes, types, brands, packaging, formulations, delivery forms (e.g., sprays or lotions applied by hand), intended uses (e.g., "faces," children's products, "sport," "moisturizing," cosmetic purposes) are included. The brands of each Settling Defendant and examples of the product categories subject to this Consent Judgment for each Settling Defendant are set forth in the Exhibits A-K for that Settling Defendant. The Parties agree that the Notices to each Settling Defendant cover all of that Defendants' Covered Products. This Consent Judgment, and all of its terms, applies to all Covered Products, including without limitation new products and brands introduced, developed, or acquired in the future by any Settling Defendant which would today meet the definition of Covered Products if they currently were being manufactured or distributed for sale, or being sold, in California. The term Covered Product, as used hereafter in this Consent Judgment, includes such future products and brands.

1.5 General Allegations

Plaintiff alleges in the Complaints that each Settling Defendant manufactured, and/or distributed for sale in California, and/or sold in California, Covered Products containing benzophenone without "a clear and reasonable warning" as Proposition 65 defines that phrase, and continues to do so. Plaintiff asserts this settlement is necessary to assure compliance with Proposition 65 now and in the future and to settle Plaintiff's alleged claims.

1.6 Notices of Violation

On the date(s) listed for each respective Settling Defendant in Exhibits A-K, Plaintiff served Settling Defendants and the requisite public enforcement agencies with 60-Day Notices of Violation ("Notices"), alleging that Settling Defendants were in violation of Proposition 65 for failing to warn consumers in California that their personal care products exposed users to benzophenone. Examples of such Notices applicable to the Settling Defendants or their Covered Products are attached at Exhibit L. To the best of the Parties' knowledge, no public enforcer has commenced and is diligently prosecuting the allegations set forth in any of the Notices. This Consent Judgment is executed with the understanding that some of the Notices identified in Exhibits A-K were served less than sixty days prior to execution of this Consent Judgment ("Supplemental Notices"). In those instances where such Supplemental Notices have been served, they have been served so as to clarify and better define the scope of Covered Products.

1.7 Complaint

On September 10, 2015 Plaintiff filed a complaint in the Superior Court in and for the County of Marin against Concept II Cosmetics, LLC and DOES 1-150, alleging violations of California Health & Safety Code § 25249.6, based on exposures to benzophenone contained in personal care products sold by Settling Defendants in the State of California. The complaint shall be deemed amended by this Consent Judgment to include the allegations set forth in the Supplemental Notices on the day that the sixty-day notice period has passed if no authorized public prosecutor has, prior to that date, filed a Proposition 65 enforcement action with respect to the allegations in the Supplemental Notices. On November 6, 2015, Shefa filed a complaint in the Superior Court in and for the County of Marin, *Shefa LMV*, *LLC v. Galderma Laboratories*, *LP*, et al., Marin Superior Court Case No. CIV 1504055 alleging violations of California Health & Safety Code § 25249.6, based on the alleged exposures to benzophenone contained in personal care products sold in the State of California. Collectively, these two complaints are referred to as the Complaints.

1.8 No Admission

Each Settling Defendant denies all the respective material, factual, and legal allegations contained in the Notices and Complaints. Each Settling Defendant maintains that all of its Covered Products have been and are in compliance with all laws. Nothing in this Consent Judgment shall be construed as an admission against interest by a Settling Defendant of any fact, finding, conclusion, issue of law, or violation of law, nor shall compliance with this Consent Judgment constitute or be construed as an admission against interest by any Settling Defendant of any fact, finding, conclusion, issue of law, or violation of law. This section shall not, however, diminish or otherwise affect any Settling Defendant's obligations, responsibilities, and duties under this Consent Judgment.

1.9 Consent to Jurisdiction

For purposes of this Consent Judgment only, the Parties stipulate that this Court has jurisdiction over Settling Defendants as to the allegations in the Complaints, that venue is proper in the County of Marin, each Settling Defendant agrees that it employs or has employed ten or more persons during time periods relevant to the Complaints and that this Court has jurisdiction over the Parties to enter and enforce the provisions of this Consent Judgment pursuant to Proposition 65 and Code of Civil Procedure § 664.6.

1.10 Effective Date

For purposes of this Consent Judgment, the term "Effective Date" shall mean the date that Plaintiff serves notice on Settling Defendants that this Consent Judgment is approved and entered by the Court.

2. <u>INJUNCTIVE RELIEF: REFORMULATION STANDARD; NOTIFICATION</u>

2.1 Reformulation Standard

(a) Whereas, each Settling Defendant, based on inquiry for purposes of this Consent Judgment, has not identified any ingredient in its respective Covered Products other than octocrylene that is a source of detectable benzophenone in such Covered Products. Further, based upon inquiry for purposes of this Consent Judgment, each Settling Defendant represents that it has investigated and concluded that there are only a few major suppliers of octocrylene for the domestic

market and that time and phasing is needed for the marketplace of octocrylene suppliers to make the adjustments necessary to deliver octocrylene with benzophenone meeting the Octocrylene Reformulation Standards.

- (b) As of June 1, 2018, Settling Defendants shall only manufacture, or cause to be manufactured, either Covered Products containing no more than (i) 50 parts per million ("ppm") benzophenone in the finished Covered Products; or (ii) 500 ppm of benzophenone in the ingredient octorylene used in the finished Covered Products. These first standards are interim standards.
- (c) As of June 1, 2020, Settling Defendants shall only manufacture or cause to be manufactured, either Covered Products containing no more than (i) 35 ppm benzophenone in the finished Covered Product; or (ii) 350 ppm of benzophenone in the ingredient octocrylene used in the finished Covered Products. These second standards are the "Final Reformulation Standards."
- (d) The dates and reformulations of the Covered Products as listed in Section 2.1 (b) and (c) shall be referred to collectively as the "Reformulation Standards," consisting of either the Sections 2.1 (b)(i) and (c)(i) (the "Finished Product Reformulation Standards") or Sections 2.1 (b)(ii) and (c)(ii) (the "Octocrylene Reformulation Standards"). Each Settling Defendant may at any time, at its own election, comply with either, both, or any combination of the applicable Finished Product Reformulation Standard or the Octocrylene Reformulation Standard with respect to any Covered Product.
- (e) The Reformulation Standards shall apply to Covered Products which are manufactured by or on behalf of Settling Defendant on or after the applicable Reformulation Standard dates.

2.2. Notifications

Each Settling Defendant shall provide, no later than fourteen (14) days after the Effective Date, written notice (the "Octocrylene Supplier Letter") to its current respective octocrylene supplier or suppliers, informing said supplier or suppliers of the Octocrylene Reformulation Standard and urging each supplier to use reasonable efforts to provide expeditiously only octocrylene which complies with the Octocrylene Reformulation Standard. Settling Defendants shall not include statements in the Octocrylene Supplier Letter that will encourage a supplier to

delay compliance with the Octocrylene Reformulation Standard. Each Settling Defendant shall include a statement in its Octocrylene Supplier Letter requesting that its supplier use any and all commercially reasonable efforts to achieve an Octocrylene Reformulation Standard of 200 ppm by June 1, 2020.

2.3 <u>Compliance with Reformulation Standard</u>

- (a) A Settling Defendant electing to meet the Finished Product Reformulation Standard may, at its option, either (i) test the Covered Product pursuant to a scientifically appropriate application of U.S. Environmental Protection Agency testing methodologies 3580A, 8270C, or any other scientifically appropriate methodology for determining the benzophenone content in a substance of the form of the specific Covered Product being tested, or (ii) may use the appropriate mathematical calculation based on octocrylene percentage in the Covered Product and the benzophenone concentration in the lot of octocrylene used in the finished Covered Product, based either on testing of the octocrylene lot or on a certificate of analysis documenting benzophenone content from the octocrylene supplier (the "Certificate of Analysis") at the option of the Settling Defendant.
- (b) Settling Defendants electing to meet the Octocrylene Reformulation Standard shall obtain a Certificate of Analysis or analytical testing report for each lot of octocrylene used in the manufacture of Covered Products. If, after a Settling Defendant has advised its octocrylene suppliers to include a Certificate of Analysis with each lot of delivered octocrylene, an octocrylene supplier fails to include a Certificate of Analysis, a Settling Defendant may correct the lapse upon discovery.
- (c) A Settling Defendant may, absent grounds to question the accuracy, demonstrate compliance with either Reformulation Standard by relying in good faith on an octocrylene supplier's Certificate of Analysis or comparable verified quantitative benzophenone content information. Such good faith reliance establishes compliance with the Octocrylene Reformulation Standard. Octocrylene suppliers shall rely on any scientifically appropriate testing methodology for determining the benzophenone content of octocrylene.
- (d) A Settling Defendant shall retain compliance documentation for three years after delivery of a lot of octocrylene and compliance documentation shall be made available within 30

days of a written request by Plaintiff, who may make no more than two such requests annually per Settling Defendant.

3. MONETARY PAYMENTS

3.1 Civil Penalty

Pursuant to Health and Safety Code section 25249.7(b), each Settling Defendant shall pay initial civil penalties and, if applicable, final civil penalties in the amounts identified on its respective Exhibit A-K. Each Settling Defendant shall issue two (2) separate checks for the total amount listed in its respective Exhibit A-K as penalties pursuant to Health & Safety Code § 25249.12: (a) one check made payable to the State of California's Office of Environmental Hazard Assessment ("OEHHA") in the amount listed in Exhibits A-K, representing 75% of the total penalty; and (b) one check to Shefa LMV, LLC in the amount listed in Exhibits A-K, representing 25% of the total penalty. The payment to OEHHA shall be delivered to: Office of Environmental Health Hazard Assessment, Attn.: Mike Gyurics, Fiscal Operations Branch Chief, Office of Environmental Health Hazard Assessment, P.O. Box 4010 Sacramento, CA 95812-4010. The payment to Shefa shall be delivered to: Shefa LMV, LLC c/o Law Office of Daniel N. Greenbaum, 7120 Hayvenhurst Avenue, Suite 320, Van Nuys, CA 91406.

- **3.1.1 Initial Civil Penalty.** Within ten (10) business days of the Effective Date each Settling Defendant shall issue checks in the amounts identified in its respective Exhibit A-K as the Initial Civil Penalty.
- 3.1.2 Final Civil Penalty. On or before June 30, 2018 each Settling Defendant shall pay a final civil penalty (the "Final Civil Penalty") in the amounts identified on the Settling Defendant's respective Exhibit A-K. However, the Final Civil Penalty shall be waived in its entirety if the Settling Defendant certifies that all Covered Products subject to this Consent Judgment manufactured by or on behalf of that Settling Defendant on or after June 1, 2018 meets a Final Reformulation Standard. A responsible official with personal knowledge, after due inquiry, of a Settling Defendant that has exercised this election shall provide Plaintiff with a written certification confirming compliance with the above conditions on or before June 15, 2018.

3.2 Reimbursement of Fees and Costs

The Parties acknowledge that Shefa and its counsel offered to resolve this dispute without reaching terms on the amount of fees and costs to be reimbursed to them, thereby leaving the issue to be resolved after the material terms of the agreement had been settled. Shortly after the other settlement terms had been finalized, Settling Defendants expressed a desire to resolve Shefa's fees and costs. Each Settling Defendant agrees to pay Shefa and its counsel under the private attorney general doctrine codified at California Code of Civil Procedure section 1021.5, for all work performed through the mutual execution of this agreement, including without limitation the fees and costs incurred as a result of investigating, bringing this matter to the Settling Defendant's attention, negotiating a settlement, and seeking court approval of the same. Each Settling Defendant agrees to pay the amount of fees and costs indicated on that Settling Defendant's respective Exhibit A-K within ten (10) business days of the Effective Date. Payment shall be delivered to Daniel N. Greenbaum, Law Office of Daniel N. Greenbaum, 7120 Hayvenhurst Avenue, Suite 320, Van Nuys, CA 91406.

4. CLAIMS COVERED AND RELEASED

4.1 Plaintiff's Public Release of Proposition 65 Claims

This Consent Judgment is a full, final and binding resolution of all claims that were or could have been asserted in the Complaints arising out of Settling Defendants' alleged failure to provide Proposition 65 warnings for exposures to benzophenone in their respective Covered Products. Plaintiff, acting on its own behalf and in the public interest, releases each Settling Defendant and its respective parents, subsidiaries, affiliated entities under (full or partial) common ownership, manufacturers, suppliers and the directors, officers, employees, attorneys, and predecessors, successors or assigns of each of them ("Releasees") and each entity to whom a Settling Defendant directly or indirectly distributes or sells the Covered Products including, but not limited to, its downstream distributors, wholesalers, customers, retailers, franchisers, cooperative members, licensors and licensees, and including, without limitation, and including any and all subsidiaries, parents, marketplace retailers and/or affiliates of the foregoing retailers (collectively, the "Distribution Chain Releasees") for violations arising under Proposition 65 for unwarned

exposures to benzophenone from the Covered Products by each Settling Defendant prior to the Effective Date. Plaintiff's release of claims applies to all Covered Products which a Settling Defendant (or its manufacturer) either manufactured, and/or distributed and/or sold prior to the Effective Date, regardless of the date any person distributes or sells the subject Covered Products.

Upon entry of this Consent Judgment by the Court, going forward, a Settling Defendant's compliance with the terms of this Consent Judgment shall be deemed to constitute compliance with Proposition 65 with respect to benzophenone in that Settling Defendant's prior, current and future Covered Products.

4.2 Plaintiff's Individual Release of Claims

Plaintiff, in its individual capacity only and *not* in its representative capacity, also provides a release to each Settling Defendant, Releasee, and Distribution Chain Releasee, which release shall be effective as a full and final accord and satisfaction, as a bar to all actions, causes of action, obligations, costs, expenses, attorneys' fees, damages, losses, claims, liabilities and demands of Plaintiff of any nature, character or kind, whether known or unknown, suspected or unsuspected, arising out of alleged or actual exposures to benzophenone in each Settling Defendants' Covered Products prior to the Effective Date.

4.3 Settling Defendants' Release of Shefa

Each Settling Defendant, on behalf of itself, its past and current agents, representatives, attorneys, successors and assignees, hereby waives any and all claims against Shefa and its attorneys and other representatives, for any and all actions taken or statements made by Shefa and its attorneys and other representatives, whether in the course of investigating claims, otherwise seeking to enforce Proposition 65 against it in this matter, or with respect to the Covered Products up through the Effective Date.

4.4 Release and Dismissal of Retailer Defendants

This Consent Judgment provides a "downstream" release which resolves all claims in the Complaints for all Covered Products manufactured by, or on behalf of, distributed, or sold by a Settling Defendant. Any retailer who has been named in one or more Complaints (a "**Retailer Defendant**") due to its sale of one or more such Covered Products shall be dismissed without

prejudice unless, prior to the date this Consent Judgment was lodged, that Retailer Defendant had also received a Notice that identified an exemplar product not manufactured or supplied by either a Settling Defendant or an entity that has previously resolved Plaintiff's claims with a downstream release.

5. FORCE MAJEURE

In the event that it is not feasible for a Settling Defendant to obtain conforming octocrylene necessary so as to comply with any Reformulation Standard due to an Act of God (including fire, flood, earthquake, storm, hurricane or other natural disaster) or loss of adequate supplier ability to supply octocrylene on an uninterrupted basis compliant with the applicable Octocrylene Reformulation Standard, the provisions of this paragraph will dictate whether the applicable dates for meeting the Reformulation Standards for that Settling Defendant shall be extended. The criteria for determining whether it is feasible to obtain conforming octocrylene shall include the following factors: availability and reliability of supply that meets the applicable Octocrylene Reformulation Standard, cost of such conforming octocrylene and resulting increase in manufacturers' prices resulting from the use of conforming octocrylene, performance characteristics of conforming octocrylene and of the resulting Covered Products, including but not limited to formulation, performance, safety, efficacy, consumer acceptance, and stability.

The affected Settling Defendant(s) shall provide notice to Plaintiff and to JAMS mediator Judge James Warren, or if he is not available, another mediator from JAMS mutually agreed to by the Parties or, if necessary, as referred by the Court. Included in the notice shall be the specific reason or reasons for invoking the Force Majeure clause, along with a reasonable estimate of the time period during which the Settling Defendant will be unable to comply with the applicable Reformulation Standard. During the time invoked by the Settling Defendant, the Reformulation Standard shall be revised to 100 ppm for the Finished Product Reformulation Standard and 1,000 ppm for the Octocrylene Reformulation Standard.

If the Parties disagree as to whether a Settling Defendant has a valid reason to invoke the Force Majeure clause or disagree as to the length of time necessary for such Settling Defendant to comply with the Reformulation Standard, they shall attempt to resolve their differences through one

or more sessions with Judge Warren, or if he is not available, another mediator from JAMS mutually agreed to by the Parties or, if necessary, as referred by the Court. Shefa's reasonable fees and costs of the mediation sessions under this Section shall be borne solely by the participating Settling Defendants unless otherwise allocated by Judge Warren or other mediator from JAMS, who shall consider whether mediation was necessary and/or whether a Party asserted unreasonable or extreme positions. If the Parties cannot reach resolution via a meet and confer or the JAMS process, an aggrieved Party may move the Court via a noticed motion on all Parties, with a copy to the Office of the Attorney General, for such additional relief as that Party deems necessary.

6. COURT APPROVAL

This Consent Judgment is not effective until it is approved and entered by the Court and shall be null and void if, for any reason, it is not approved and entered by the Court within one year after it has been fully executed by the Parties, or by such additional time as the Parties may agree in writing.

7. SEVERABILITY

If, subsequent to the execution of this Consent Judgment, any provision of this Consent Judgment is held by a court to be void or unenforceable, or any Parties agree to modify any terms due to input from the Office of the Attorney General or after a hearing before the Court in connection with Shefa's Motion to Approve, or for other good cause, each Party to be bound by any such modified terms must re-execute the modified Consent Judgment and such modified Consent Judgment then shall be presented to the Court for approval by Shefa; provided, however, that if a provision of this Consent Judgment declared void or unenforceable is material to the Party for whom such term provided a benefit or protection, that Party can seek other remedies, including, without limitation, rescission or reformation, based on the provision being declared void or unenforceable.

8. GOVERNING LAW

The terms of this Consent Judgment shall be governed by the laws of the State of California and apply within the State of California. In the event that Proposition 65 is repealed or is otherwise rendered inapplicable by reason of law generally, or as to the Covered Products, including without

limitation the delisting of benzophenone, then Settling Defendants may provide written notice to Plaintiff of any asserted change in the law, and with the exception of Sections 3.1 and 3.2 above, have no further obligations pursuant to this Consent Judgment, with respect to, and to the extent that, the Covered Products are so affected. None of the terms of this Consent Judgment shall have any application to Covered Products sold outside of the State of California.

9. FUTURE FEDERAL REGULATION OF OCTOCRYLENE OR BENZOPHENONE

If FDA adopts new regulations or Congress enacts new laws governing octocrylene and/or benzophenone content in any Covered Products, then the Parties shall meet and confer regarding the effect of such changes in the law on the obligations of this Consent Judgment. If necessary to reach agreement, the Parties may refer any specific issue for consideration by Judge Warren or other JAMS mediator agreed to by the Parties or, if necessary, as appointed by the Court.

Notwithstanding the foregoing, if FDA authorizes the percentage of octocrylene to increase above the current limit of 10% in Covered Products, then this Consent Judgment shall by operation of law be amended to allow benzophenone in finished Covered Products to rise in proportion to the percentage increase. A Settling Defendant shall notify Plaintiff of the date this Section operates to change any Finished Product Reformulation Standard. This notice obligation shall sunset on June 1, 2023. Even if FDA changes the level of permissible octocrylene prior to June 1, 2018, the civil penalty provisions of Section 3.1.2 shall apply as written, not to any standards as modified by this Section 9. The Settling Defendants represent that other than as described in the proceedings and papers referenced in their briefs in these consolidated cases, they are not aware that the FDA currently has published or made public plans to raise the allowable levels of octocrylene in the Covered Products.

10. NOTICE

Unless specified herein, all correspondence and notice required to be provided pursuant to this Consent Judgment shall be in writing and sent by: (i) personal delivery; (ii) first-class registered or certified mail, return receipt requested; or (iii) overnight courier on any party by the other at the following addresses:

1	To Settling Defendants:	To Shefa:
2	At address(es) shown on Exhibits	Daniel N. Greenbaum
3	A-K	Law Office of Daniel N. Greenbaum 7120 Hayvenhurst Avenue, Suite 320
4		Van Nuys, CA 91406

Any Party, from time to time, may specify in writing to the other Party a change of address to which all notices and other communications shall be sent.

11. COUNTERPARTS; FACSIMILE AND PDF SIGNATURES

This Consent Judgment may be executed in counterparts and by facsimile or pdf signature, each of which shall be deemed an original, and all of which, when taken together, shall constitute one and the same document. A facsimile or pdf signature shall be as valid as the original.

12. COMPLIANCE WITH HEALTH & SAFETY CODE § 25249.7(f)

Plaintiff agrees to comply with the reporting form requirements referenced in California Health & Safety Code § 25249.7(f).

13. ADDITIONAL POST EXECUTION ACTIVITIES

The Parties acknowledge that, pursuant to California Health & Safety Code § 25249.7(f), Shefa is obligated to file a noticed motion to obtain judicial approval of this Consent Judgment. Settling Defendants agree to urge the Court to approve this Consent Judgment. If any third party objection to the noticed motion is filed, Plaintiff and Settling Defendants agree to work together to the extent appropriate, and shall appear at any hearing before the Court to urge the Court to approve the Consent Judgment.

14. MODIFICATION

This Consent Judgment may only be modified by a written instrument executed by the Party or Parties to be bound thereby, and after approval by the Court upon a noticed motion. Any motion to modify shall be served on all Parties and the Office of the Attorney General.

15. ENFORCEMENT

15.1 Settling Defendants

In order to assert a potential violation of the Consent Judgment, Plaintiff shall provide notice to the allegedly violating Settling Defendant as set forth in this paragraph ("Notice of Breach"): (a)

Plaintiff shall provide all results of testing conducted on a specific Covered Product during the three month period for which the violation is alleged; (b) such testing must be of no less than five (5) of the same Covered Product (irrespective of the volume size of the container) collected within the three (3) month period, from five different retail vendors; (c) the average of all test results for that period exceed the finished Product Reformulation Standard; and (d) Plaintiff shall provide the alleged violator a copy of (i) the purchase information for the allegedly violating Covered Product and (ii) a digital image of the allegedly violating Covered Product showing the SKU/UPC and, if present on the container, the Lot/Batch number(s).

The allegedly violating Settling Defendant and Plaintiff shall, within thirty (30) days of receipt of the Notice of Breach, meet and confer regarding the alleged violation, during which time Plaintiff shall not file any motion, application, action, or pleading regarding the alleged violation.

For the first alleged violation as to any specific Covered Product for which Plaintiff provides Notice of Breach, the Settling Defendant whose Covered Product is alleged to be in violation may demonstrate compliance by providing (1) a Certificate of Analysis or comparable verified quantitative benzophenone content information for five (5) units of the Covered Product or for the lot(s) of octocrylene from the supplier(s) of the octocrylene in the Covered Product at issue showing levels of benzophenone meeting the Octocrylene Reformulation Standard, or (2) a prior test result, using scientifically appropriate test methodologies, of the lot(s) of octocrylene used in the finished product which is the subject of the Notice of Breach, showing levels of benzophenone meeting the Octocrylene Reformulation Standard. If the Settling Defendant cannot demonstrate compliance, it must pay a stipulated civil penalty of \$25,000 to be allocated according to Section 3.1.

In the event that, thereafter, Plaintiff provides a Notice of Breach pertaining to a second alleged violation for the same Covered Product, he must do so in accordance with this section. For the second alleged violation noticed by Plaintiff of the same Covered Product, the Settling Defendant may demonstrate compliance with the terms of the Consent Judgment by providing test results, using scientifically appropriate test methodologies, conducted on five (5) units of the Covered Product or on the first three (3) lots of octocrylene received more than 30 days after receipt of the written response showing compliance with the Octocrylene Reformulation Standard received

from the supplier of the octocrylene used to make the finished product which was the subject of the first Notice of Breach, and used to manufacture that finished product. If fewer than three (3) lots are received during the relevant time period, testing is required only for such lots as were received. Such a showing shall constitute compliance.

In the event that a Settling Defendant cannot demonstrate compliance in the manner set forth above after receipt of a second Notice of Breach for the same Covered Product, and Plaintiff thereafter provides notice in accordance with the provisions in this Section of a third alleged violation for the same Covered Product, Settling Defendant shall pay a stipulated penalty of \$50,000 for each such second or subsequent violation.

15.2 Retailer Defendants

If Plaintiff sends a Notice of Breach to a Retailer Defendant, that Retailer Defendant shall be allowed to tender such notice to the manufacturer, distributor or seller of the subject Covered Product who is a Settling Defendant. Thereafter, Plaintiff shall proceed with such Settling Defendant in accordance with Section 15.1 in lieu of the Retailer Defendant.

16. <u>AUTHORIZATION</u>

ACREED TO

The undersigned are authorized to execute this Consent Judgment on behalf of their respective Parties and have read, understood and agree to all of the terms and conditions of this Consent Judgment.

ACREED TO.

ı	AGREED IO.	AGREED TO:
	Date: 5/23/16 By: Shefa LMV, LLC	Date: May 19 94 By: THE ESTEEMAUDER COMPANIES INC.
		SUP GLOBAZ RAD

Data: 5/23/16	Data: 5/23 /2016
Date: 5/23/16	Date: 5/23/2016 By: Hayaa / arable COSMETIC DERMATOLOGY, INC.
By: Shefa LMV, LLC	By: Mayaa Marabel
Shefa LMV, LLC	COSMETIC DERMATOLOGY, INC.
Date:	Date:
Date.	
By:Shefa LMV, LLC	By: By:
Shefa LMV, LLC	CROWN LABORATORIES, INC.
Date:	Date:
By:Shefa LMV, LLC	By: By: FIRST AID BEAUTY, INC.
Shefa LMV, LLC	FIRST AID BEAUTY, INC.
Date:	Date:
	To the state of th
By:Shefa LMV, LLC	By: PETER THOMAS ROTH LLC
Date:	Date:
By:Shefa LMV, LLC	By:
Shefa LMV, LLC	By: BOOTS RETAIL USA, INC.

Date:5/23/16 By:Shefa LMV, LLC	By: My 20, 2016 THE HAIN CELESTIAL GROUP, INC.
Date:	Date:
By:Shefa LMV, LLC	By: MARKWINS BEAUTY PRODUCTS, INC. and PHYSICIANS FORMULA, INC.
Date:	Date:
By:Shefa LMV, LLC	By: KISS MY FACE
Date:	Date:
By:Shefa LMV, LLC	By: STRIVECTIN OPERATING CO., INC. aka NIADYNE, INC.
Date:	Date:
By:Shefa LMV, LLC	By:BEIERSDORF, INC.

1	Date:	Date:
2 3 4	By:Shefa LMV, LLC	By: THE HAIN CELESTIAL GROUP, INC.
5		,
6	Date: 5/23/16	Date: 05/20/20/6 By: MARKWING BEAUTY PRODUCTS
7	lagued Start of	$\backslash \mathcal{M}_{b}$
8	Date: 5/23/16 By: Shefa LMV, LLC	By: MARKWINS BEAUTY PRODUCTS,
9	Sheia Livi V, LLC	INC. and PHYSICIANS FORMULA, INC.
11	*	
12	Date:	Date:
13 14	By:Shefa LMV, LLC	By: KISS MY FACE
15		
16		
17 18	Date:	Date:
19		
20	By:Shefa LMV, LLC	By: STRIVECTIN OPERATING CO., INC.
21	ŕ	aka NIADYNE, INC.
22		
23		
24	Date:	Date:
25		
26	By:Shefa LMV, LLC	BEIERSDORF, INC.
27	Shora Divi v, DDC	DIMERODONI, IIIO,
28		

1	Date:	Date:
2		
3	By:Shefa LMV, LLC	By: THE HAIN CELESTRIAL GROUP, INC.
4	Silicia Livi V, LLC	TILLS IN THE COURSE A SECOND CONTRACTOR
5		
6	Date:	Date:
7		
8	By: Shefa LMV, LLC	By: MARKWINS BEAUTY PRODUCTS, DIC also PHYSICIANS FORMULA, INC.
9	Silcia Divi V, EDC	INC. aka PHYSICIANS FORMULA, INC.
10		
11	E/22/16	Date: 5-20-20/6
12	Date:5/23/16	Date: 5-20-20/6
13	By:	Boy Wille
14	Shefa LMV, LLC	KISS MY FACE
15		
16		
17	Date:	Date:
18		
19	By:Shefa LMV, LLC	By:STRIVECTIN OPERATING CO., INC.
20		aka NIADYNE, INC.
21		
22		
23	Date:	Date:
24		
2526	By:Shefa LMV, LLC	By: BEIERSDORF, INC.
27	·	
28		

Date:
By: THE HAIN CELESTRIAL GROUP, INC.
Date:
By: MARKWINS BEAUTY PRODUCTS, INC. aka PHYSICIANS FORMULA, INC.
Date:
By: KISS MY FACE
Date: 5/23/16 By: Co., INC. NIADYNE, INC.
Date:
By:BEIERSDORF, INC.

Date:	Date:
By:Shefa LMV, LLC	By:By:THE HAIN CELESTRIAL GROUP, INC
Date:	Date:
By: Shefa LMV, LLC	By: MARKWINS BEAUTY PRODUCTS, INC. aka PHYSICIANS FORMULA, INC.
Date:	Date:
By:Shefa LMV, LLC	By: KISS MY FACE
Date:	Date:
By:Shefa LMV, LLC	By:By:STRIVECTIN OPERATING CO., INC. aka NIADYNE, INC.
	-1-0.10.011
Date: 5/23/16 By: Shefa LMV, LLC	Date: 570/2016 By: BEIERSDORF, INC.

Date:	Date:
By:Shefa LMV, LLC	By: COSMETIC DERMATOLOGY, INC
Date:5/23/16 By:Shefa LMV, LLC	Date: May 18, 2016 By amp 18, 2016 CROWN LABORATORIES, INC.
Date:	Date:
By:Shefa LMV, LLC	By: FIRST AID BEAUTY, INC.
Date:	Date:
By:Shefa LMV, LLC	By:PETER THOMAS ROTH LLC
Date:	Date:
By: Shefa LMV, LLC	By: BOOTS RETAIL USA, INC.

1	By:Shefa LMV, LLC	By: CROWN LABORATORIES, INC.
2	Shefa LMV, LLC	CROWN LABORATORIES, INC.
3		
4		
5	Date: 5/23/16	Date: S /19 / 16
6	limit should	Date: S /19 / 16 By: July H
7	By:Shefa LMV, LLC	By: Muly FIRST AID BEAUTY, INC.
8	Sileia Livi V, LLC	TIKST AID BEAUTT, INC.
9		
10		
11	Date:	Date:
12	D	D
13	By:Shefa LMV, LLC	By: PETER THOMAS ROTH LLC
14		
15		
16	Date:	Date:
17		
18	By: Shefa LMV, LLC	By: BOOTS RETAIL USA, INC.
19	Shefa LMV, LLC	BOOTS RETAIL USA, INC.
20		
21		
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Date:	Date:
By:Shefa LMV, LLC	By:COSMETIC DERMATOLOGY, INC.
Date:	Date:
By: Shefa LMV, LLC	By: CROWN LABORATORIES, INC.
Date:	Date:
By:Shefa LMV, LLC	By: FIRST AID BEAUTY, INC.
Date:5/23/16 luml Mohl By: Shefa LMV, LLC	Date: 5/20/16 PETER THOMAS ROTH LLC
Date:	Date:
By:Shefa LMV, LLC	By: BOOTS RETAIL USA, INC.

1	Date:	Date:
2 3 4	By:Shefa LMV, LLC	By:COSMETIC DERMATOLOGY, INC.
5		
6 7	Date:	Date:
8 9 10	By:Shefa LMV, LLC	By:CROWN LABORATORIES, INC.
11 12 13	Date:	Date:
14 15	By:Shefa LMV, LLC	By:FIRST AID BEAUTY, INC.
16 17 18	Date:	Date:
19 20	By:Shefa LMV, LLC	By:PETER THOMAS ROTH LLC
212223242526	Date: 5/23/16 By: Shefa LMV, LLC	Date: 572416 By: Lyle T(C) BOOTS RETAIL USA, INC.
27		

1		EXHI	BIT A	
2	I. Nan	ne of Settling Defendant (Mandatory)		
3			reviously named subsidiaries include ORIGINS	
4		L RESOURCES, INC. as DOE 20 an y sued as CLINIQUE LABORATOI	d CLINIQUE LABORATORIES, LLC RIES, INC.] as DOE 22)	
5	II. Date	e(s) of 60-Day Notices of Violation (M	Iandatory)	
6	August 14,	2015		
7	May 20, 20	016		
8	III. Nan	nes of Releasees (Optional; May be Pa	rtial)	
9	Partial and	l not exhaustive list of releasees: dru	gstore.com, overstock.com, Nordstrom, Inc.	
10			oducts Manufactured or Distributed for sale in dant (Mandatory for all Settling Defendants)	
11	ŕ	•	ustrative and not intended to be exhaustive list	
12	of brand	names: Aerin, Aramis, Aveda, Bo	bbi Brown, by Kilian, Bumble and bumble,	
13	Ermenegilo	Clinique, Coach, Darphin, Donna Karan New York, Editions de Parfums Frederic Malle, Ermenegilo Zegna, Estee Lauder, GLAMGLOW, GoodSkin Labs, Jo Malone London, Kiton, Labs, Labs, Saving Labs, MAG, Marris Michael Kara, Origina Origina, Origina		
14	La Mer, Lab Series, Le Labo, MAC, Marni, Michael Kors, Ojon, Origins, Osiao, Prescriptives, RODIN olio lusso, Smashbox, Tom Ford, Tommy Hilfiger, Tory Burch			
15	V. Sett	ling Defendant's Required Settlement	Payments (TBD for Each Settling Defendant)	
16 17	A.	Initial Civil Penalty: \$16,000.00 ; (*4,000.00	75%) to OEHHA: \$12,000.00; (25%) to Shefa:	
18	B.		pursuant to Section 3.1.2): \$47,000.00; (75%) to	
19	C.	OEHHA: \$35,250.00; (25%) to S	,	
20	C.	Reimbursement of attorneys' fees a	and costs: \$22,000.00	
21	VI. Rec	ipient of any notices pursuant to Section	on 9:	
22	Michèle Co	orash & Foerster LLP	Andrea Lewis Allan Vice President and Legal Counsel	
23	425 Marke		The Estée Lauder Companies 767 Fifth Avenue	
24	Alejandro	, 	New York, NY 10153	
25	Morrison & 425 Marke	& Foerster LLP		
26		isco, CA 94105		
27				
28				

1		EXHIBIT B	
2	т		
3	I.	Name of Settling Defendant (Mandatory)	
4	THE	HAIN CELESTIAL GROUP, INC. as DOE 5	
5	II.	Date(s) of 60-Day Notices of Violation (Mandatory)	
6 7		8, 2015 20, 2016	
8	III.	Names of Releasees (Optional; May be Partial)	
9	Parti:	al list of releasees: drugstore.com, soap.com, Bristol Farms	
10	1 41 41	ar not or resease aragotoresem, soupleoni, pristor ruring	
11	IV. Califo	Illustrative list of Brand Names of Products Manufactured or Distributed for sale in ornia, or sold in California by Settling Defendant (Mandatory for all Settling Defendants)	
12	Illust	Illustrative and not intended to be exhaustive list of brand names: Alba Botanica, JASON	
13			
14	V.	Settling Defendant's Required Settlement Payments	
15		A. Initial Civil Penalty: \$10,000.00; (75%) to OEHHA: \$7,500.00; (25%) to Shefa: \$2,500.00	
16 17		B. Final Civil Penalty (Unless waived pursuant to Section 3.1.2): \$10,000.00; (75%) to OEHHA: \$7,500.00; (25%) to Shefa: \$2,500.00	
18		C. Reimbursement of attorneys' fees and costs: \$22,000.00	
19			
20	VI.	Recipient of any notices pursuant to Section 9:	
21			
22		ton H. Norris Denise Faltischek Executive Vice President and	
23	10 th F	1 /	
24	San F	Francisco, CA 94111 1111 Marcus Ave., Bldg. 1 Lake Success, NY 11042	
25			
26			
27			
28			
		-20-	

1			EXHI	BIT C
2	I.	Name	of Settling Defendant (Mandatory)	
3				
4	MAR as D(S BEAUTY PRODUCTS, INC. as	DOE 33 and PHYSICIANS FORMULA, INC.
5				
6	II.	Date(s	s) of 60-Day Notices of Violation (M	(andatory)
7		8, 2015 26, 2015		
8	•	20, 201. 20, 201		
9	III.	Name	s of Releasees (Optional; May be Pa	rtial)
10	Parti	al list of	f releasees: Markwins Internationa	al Corporation, Rite Aid Corporation,
11			o., drugstore.com, Target Corpora	<u> </u>
12				
13	IV.			oducts Manufactured or Distributed for sale in
14	California, or sold in California by Settling Defendant (Mandatory for all Settling Defendants) Illustrative and not intended to be exhaustive list of brand names: Physicians Formula, Wet n			
15			Radiance, Bonne Bell, Lip Smacke	
16	V.	Settlir	ng Defendant's Required Settlement	Payments
17 18		A.	Initial Civil Penalty: \$10,000.00; ('\$2,500.00	75%) to OEHHA: \$7,500.00; (25%) to Shefa:
		В.		pursuant to Section 3.1.2): \$10,000.00 ; (75%) to
19		Б.	OEHHA: \$7,500.00; (25%) to Sh	
20		C.	Reimbursement of attorneys' fees a	and costs: \$22,000.00
21	* **			
22	VI.	•	ient of any notices pursuant to Section	
23	Arno		orter LLP	Roger Lin Markwins Beauty Products, Inc.
24	10 th F	loor	rcadero Center co, CA 94111	Physicians Formula, Inc. 22067 Ferrero Parkway
25	San F	i ancist	U, CA 74111	City of Industry, CA 91789
26				
27				
28				

1			EXHIBIT D
2	I.	Name	e of Settling Defendant (Mandatory)
3		KISS	MY FACE, LLC as DOE 14
4			
5	II.	Date(s) of 60-Day Notices of Violation (Mandatory)
6			8, 2015 20, 2016
7		May .	20, 2016
8	III.	Name	es of Releasees (Optional; May be Partial)
9		Partia	ıl list of Releasees: drugstore.com
10			
11	IV. Califo		rative list of Brand Names of Products Manufactured or Distributed for sale in sold in California by Settling Defendant (Mandatory for all Settling Defendants)
12			My Face
13	* ,		
14	V.		ng Defendant's Required Settlement Payments for Each Settling Defendant)
15		A.	Initial Civil Penalty: \$10,000.00; (75%) to OEHHA: \$7,500.00; (25%) to Shefa: \$2,500.00
16		D	
17		В.	Final Civil Penalty (Unless waived pursuant to Section 3.1.2): \$10,000.00; (75%) to OEHHA: \$7,500.00; (25%) to Shefa: \$2,500.00.
18		C.	Reimbursement of attorneys' fees and costs: \$22,000.00
19	VI.	Recip	ient of any notices pursuant to Section 9:
20	V 1.	Ксстр	lent of any notices pursuant to section 9.
21			elson, CEO
22		Ay Face Iain Str	
23	Gardii	ner, NY	12525
24	_	arew To	oledo oledo, Law Corporation
25	3001 1	Douglas	s Blvd., Suite 340
26	Rosev	ille, CA	A 95658
27			
28			

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ĺ				
1		<u>EXHI</u>	BIT E	
2	I.	Name of Settling Defendant (Mandatory)		
3		STRIVECTIN OPERATING CO., INC. as	DOE 31; NIADYNE, INC. as DOE 30	
4				
5	II.	Date(s) of 60-Day Notices of Violation (M	andatory)	
6		May 8, 2015, May 14, 2015 and May 20, 2	016	
7	III.	Names of Releasees (Optional; May be Par	rtial)	
8		Ulta Salon, Cosmetics and Fragrance, Inc.		
9	IV.		oducts Manufactured or Distributed for sale in	
10	Callle	Fornia, or sold in California by Settling Defend	,	
11		NIA 24 Sun Damage Prevention Broad Sports StriVectin Repair & Protect Moisturizer Broad Sports & Protect Moisturizer	road Spectrum SPF 30	
12		StriVectin Clinical Corrector Anti-Aging Lip Tint SPF 20 (all shades) StriVectin Advanced Retinol Day Moisturizer Broad Spectrum SPF 30		
13		StriVectin-SH Age Protect SPF 30 StriVectin-WH Photo-White Day Lotion S	PF 30	
14		Strivectin CC plum SPF 20; UPC: 8177770 NIA24 Niacin-Powered Skin Therapy; UP	007183	
15 16	V.	Settling Defendant's Required Settlement (TBD for Each Settling Defendant)		
17		A. Initial Civil Penalty: \$10,000.00; (7 \$2,500.00	75%) to OEHHA: \$7,500.00; (25%) to Shefa:	
18			pursuant to Section 3.1.2): \$10,000.00; (75%) to	
19		OEHHA: \$7,500.00; (25%) to Shef	. ,	
20		C. Reimbursement of attorneys' fees a	and costs: \$22,000.00	
21	VI.	Recipient of any notices pursuant to Section	n 9:	
22	.	TZ		
23	Coun		Cori Aleardi Chief Financial Officer Stri Vestin Operating Company Inc.	
2425	5742	e, Parkinson & Kerr 2 W. Harold Gatty Drive Lake City, Utah 84116	StriVectin Operating Company, Inc. Niadyne, Inc. 1601 West 26 th Street	
26			Suite 105 New York, NY 10001	
27				

1		EXHIBIT F
2	I.	Name of Settling Defendant (Mandatory)
3		BEIERSDORF, INC. as DOE 2
4		
5	II.	Date(s) of 60-Day Notices of Violation (Mandatory)
6		March 26, 2015
7	III.	Names of Releasees (Optional; May be Partial)
8		
9		
11		
12	IV. Califo	Illustrative list of Brand Names of Products Manufactured or Distributed for sale in ornia, or sold in California by Settling Defendant (Mandatory for all Settling Defendants)
13		Aquaphor Broad Spectrum SPF 30; UPC: 072140010119
14	V.	Settling Defendant's Required Settlement Payments (TBD for Each Settling Defendant)
15 16		A. Initial Civil Penalty: \$10,000.00 (total amount), comprising of (75%) to OEHHA: \$7,500.00; (25%) to Shefa: \$2,500.00
17		B. Final Civil Penalty (Unless waived pursuant to Section 3.1.2): \$10,000.00 (total amount), comprising of (75%) to OEHHA: \$7,500.00; (25%) to Shefa: \$2,500.00.
18		C. Reimbursement of attorneys' fees and costs: \$22,000.00
19	VI.	Recipient of any notices pursuant to Section 9:
20	٧1.	Recipient of any notices pursuant to section 9.
21	Legal	Department Gregory Sperla
ρ2	Beiers	dorf, Inc. nbury Road
23	Wilton	n, CT, 06897 Greenberg Traurig, LLP
24	-	1201 K St., Suite 1100 Sacramento, CA 95814
25		
26		
27 28		
28		

1		EXHIBIT G
2	I.	Name of Settling Defendant (Mandatory)
3		COSMETIC DERMATOLOGY, INC. as DOE 19
4	II.	Date(s) of 60-Day Notices of Violation (Mandatory)
5		May 8, 2015 and Supplemental Notice on May 20, 2016
6	III.	Names of Releasees (Optional; May be Partial)
7		Includes but is not limited to Cosmetic Dermatology, Inc. and Drugstore.com.
8	IV.	Illustrative list of Brand Names of Products Manufactured or Distributed for sale in
9	Califo	rnia, or sold in California by Settling Defendant (Mandatory for all Settling Defendants), but nited to:
10	1100 111	- Dr. Brandt BB cream; UPC: 663963008249
11		- Dr. Brandt personal care products
12	V.	Settling Defendant's Required Settlement Payments
13		A. Initial Civil Penalty: \$5,500.00; (75%) to OEHHA: \$4,125.00; (25%) to Shefa: \$1,375.00
14		
15		B. Final Civil Penalty (Unless waived pursuant to Section 3.1.2): \$5,000.00; (75%) to OEHHA: \$3,750.00; (25%) to Shefa: \$1,250.00.
16		C. Reimbursement of attorneys' fees and costs: \$22,000.00
17		
18	VI.	Recipient of any notices pursuant to Section 9:
19		Name: Tamara Narcisse Name: Julie Hussey, Esq. Title: Executive Director of Finance Title: Attorney
20		Address: Cosmetic Dermatology, Inc. 8798 NW 15th Street Address: Perkins Coie LLP 11988 El Camino Real
21		Miami, FL 33172 Suite 350 San Diego, California 92130
22		
23		
24		
25		
26		
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EXHIBIT H

I.	Name	of Settling Defendant (Mandatory)	
	CROV	VN LABORATORIES, INC. as DOE	16
II.	Date(s) of 60-Day Notices of Violation (Ma	ndatory)
	May 2	6, 2015	
III.	Names	s of Releasees (Optional; May be Part	ial)
	Crown	Laboratories, Inc; Drugstore.com	
IV. Califo			lucts Manufactured or Distributed for sale in ant (Mandatory for all Settling Defendants)
303162	All BI 202050		ding but not limited to UPC Nos. 600270788 and
V.	Settlin	LIZARD Regular Sunscreen, includi g Defendant's Required Settlement Pa for Each Settling Defendant)	ng but not limited to UPC No. 600027000108. ayments
	A. Initial Civil Penalty: \$5,500.00; (75%) to OEHHA: \$4,125.00; (25%) to Shefa: \$1,375.00		
	B.	Final Civil Penalty (Unless waived p OEHHA: \$3,750.00; (25%) to Shefa	oursuant to Section 3.1.2): \$5,000.00; (75%) to : \$1,250.00
	C.	Reimbursement of attorneys' fees an	d costs: \$22,000.00
VI.	Recipi	ent of any notices pursuant to Section	9:
_James Name	s R. La	wrence, III	_Jeffery A. Bedard Name
_Gene Title	ral Cou	nsel	_Chief Executive Officer Title
349 L Addres		x Drive, Johnson City, TN 37604	349 Lafe Cox Drive, Johnson City, TN 37604_ Address

		EXHIBIT I	
I.	Name	e of Settling Defendant (Mandatory)	
	FIRS	ST AID BEAUTY, INC. as DOE 32	
II.		(s) of 60-Day Notices of Violation (Mandatory)	
	May	26, 2015	
III.	Name	es of Releasees (Optional; May be Partial)	
	Parti	al list of Releasees includes, but is not limited to, Drugstore.com.	
IV.	Calif	Illustrative list of Brand Names of Products Manufactured or Distributed for sale California, or sold in California by Settling Defendant (Mandatory for all Settl Defendants)	
	5 in 1	1 Face Cream SPF 30; UPC: 851939002081	
V.	Settli	ng Defendant's Required Settlement Payments	
	A.	Initial Civil Penalty: \$5,500.00 ; (75%) to OEHHA: \$4,125.00; (25%) to Shefa: \$1,375.00	
	B.	Final Civil Penalty (Unless waived pursuant to Section 3.1.2): \$5,000.00; (75%) OEHHA: \$3,750.00; (25%) to Shefa: \$1,250.00.	
	C.	Reimbursement of attorneys' fees and costs: \$22,000.00	
VI.	Recip	pient of any notices pursuant to Section 9:	
Name	e	Name	
Title		Title	
Addr	ess	Address	

		EXHIBIT J
I.	Name	e of Settling Defendant (Mandatory)
	PET	ER THOMAS ROTH LABS LLC as DOE 24
II.		(s) of 60-Day Notices of Violation (Mandatory)
	Marc	ch 26, 2015
III.	Name	es of Releasees (Optional; May be Partial)
		ial list of Releasees includes, but is not limited to, Beauty and Supplement orium.
IV.	Illustrative list of Brand Names of Products Manufactured or Distributed for California, or sold in California by Settling Defendant (Mandatory for all S Defendants)	
	Peter	r Thomas Roth Max Sheer All Day Moisture Defense; UPC: 670367013243
V.	Settli	ng Defendant's Required Settlement Payments
	A.	Initial Civil Penalty: \$5,500.00 ; (75%) to OEHHA: \$4,125.00 ; (25%) to Shefa: \$1,375.00
	B.	Final Civil Penalty (Unless waived pursuant to Section 3.1.2): \$5,000.00; (75%) to OEHHA: \$3,750.00; (25%) to Shefa: \$1,250.00.
	C.	Reimbursement of attorneys' fees and costs: \$22,000.00
VI.	Recip	pient of any notices pursuant to Section 9:
Name	e	Name
Title		Title
	ess	Address

EXHIBIT K

Name of Settling Defendant (Mandatory)

BOOTS RETAIL USA, INC. as DOE 28

II. Date(s) of 60-Day Notices of Violation (Mandatory)

May 8, 2015

May 20, 2016

Names of Releasees (Optional; May be Partial) III.

All entities subject to sections 4.1, 4.2, 4.3, including, but not limited to:

- 1. ULTA SALON, COSMETICS & FRAGRANCE, INC
- 2. Target Corporation
- 3. Walgreen Co.

Illustrative list of Brand Names of Products Manufactured or Distributed for sale in California, or sold in California by Settling Defendant (Mandatory for all Settling Defendants)

No. 7 Dual Action SPF 15; Botanics Organic Hydrating Day Cream

Settling Defendant's Required Settlement Payments

(TBD for Each Settling Defendant)

- A. Initial Civil Penalty: \$5,500.00; (75%) to OEHHA: \$4,125.00; (25%) to Shefa: \$1,375.00
- В Final Civil Penalty (Unless waived pursuant to Section 3.1.2): \$5,000.00; (75%) to OEHHA: \$3,750.00; (25%) to Shefa: \$1,250.00.
- C. Reimbursement of attorneys' fees and costs: \$22,000.00

VI. Recipient of any notices pursuant to Section 9:

Anthony Cortez Greenberg Traurig, LLP 1201 K St., Suite 1100 Sacramento, CA 95814

Legal Department Boots Retail USA Inc. 40 Wall Street, 22nd Floor. New York, NY 10005



60-DAY NOTICE OF VIOLATION

SENT IN COMPLIANCE WITH CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)

DATE: May 8, 2015

To: Drugstore.com; Soap.com; Supergoop; Taylor James, Ltd; Valeant Pharmaceuticals North America LLC; Chums, Inc.; The Hain Celestial Group, Inc.; Lotus Brands, Inc.; Pierre Fabre Dermo Cosmetique USA, Inc.; Kiss My Face; Boots Retail USA, Inc.; C&K, LLC; The Hain Celestial Group, Inc.; Children's Healthcare Research Group, LLC; StriVectin Operating Company Inc.; Concept II Cosmetics Inc.; No-ad Products, LLC; Carma Laboratories Inc.; Physicians Formula, Inc.; Colour Prevails LLC; and

California Attorney General's Office;

District Attorney's Office for 58 Counties; and City Attorney's Office for Los Angeles, San Diego, San Jose and San Francisco.

From: Shefa LMV, LLC

I. INTRODUCTION

Our name is Shefa LMV, LLC. We are citizens and a Limited Liability Company of the State of California acting in the interest of the general public. We seek to promote awareness of exposures to toxic chemicals in products sold in California and, if possible, to improve public health and safety by reducing the hazardous substances contained in such items. This Notice is provided to the parties listed above pursuant to California Health & Safety Code §25249.6, et seq. ("Proposition 65"). The violations alleged by this Notice consist of product exposures, routes of exposures, and types of harm that may potentially result from exposures to the toxic chemical ("listed chemical") identified below:

Product Exposure: See Section VII, Exhibit A

Listed Chemical: Benzophenone; Routes of Exposure: Dermal absorption;

Ingestion; and Inhalation

Types of Harm: Carcinogen

II. NATURE OF ALLEGED VIOLATION (PRODUCT EXPOSURE)

The specific type of product that is causing consumer and occupational exposures in violation of Proposition 65, and which is the subject of this Notice, is listed under "Product Category/Type" in Exhibit A in Section VII below. All products within the type covered by this Notice shall be referred to hereinafter as the "products." The sales of these products in California dating at least as far back as **June 23, 2013** are subject to this Notice. As a result of the sales of these products, exposures to the listed chemical have been occurring without clear and responsible warnings as required by Proposition 65. Without proper warnings regarding the toxic effects of exposures to the listed chemical, resulting from contact with the products from dermal and ingestion exposure as well as inhalation, California citizenry lack the information necessary to make informed decisions on whether and how to eliminate (or reduce) the risk of exposure to the toxic chemical from the reasonably foreseeable use of the products.

Through the act of buying, acquiring and using the products, citizens of California are exposed to the listed chemical in homes, workplaces and elsewhere throughout California where these products are utilized. Exposure to consumers includes, but is not limited to, application to their bodies, their pets or their children. Exposure to consumers includes, but is not limited to, when handling the product Benzophenone is absorbed through dermal, and other migration pathways, including but not limited to incidental ingestion after one touch's the chemical and then touch's food or otherwise places his hands on or near his lips where his tongue can or will continue this pathway to ingestion exposure. Exposure may continue to occur for a significant period after the initial contact. People likely to be exposed are women, men, infants and children. These violations and threatened violations pertain to Proposition 65 chemicals that are listed by the state as known to cause cancer.

III. CONTACT INFORMATION

Please direct all questions concerning this notice to us through our counsel's offices at the following address:

Daniel N. Greenbaum, Esq. 7120 Hayvenhurst Avenue, Suite 320 Van Nuvs. CA 91406

Main: (818) 809-2199 Fax: (424) 243-7689

Email: dgreenbaum@greenbaumlawfirm.com

IV. PROPOSITION 65 INFORMATION

For general information concerning the provisions of Proposition 65, please feel free to contact the Office of Environmental Health Hazard Assessment ("OEHHA") in the Proposition 65 Implementation Office at (916) 445-6900. For the alleged Violator(s), I have attached a copy of "Proposition 65 in Plain Language" which has been prepared by OEHHA.

V. RESOLUTION OF NOTICED CLAIMS

Based on the allegations set forth in this Notice, we intend to file a citizen enforcement lawsuit against the alleged Violator(s), unless such Violator enters into a binding written agreement to: (1) recall products already sold or undertake best efforts to ensure that the requisite health hazard warnings are provided to those whom have received such products; (2) provide clear and reasonable warnings for products sold in the future, or reformulate such products to eliminate the Benzophenone exposures.

If the alleged Violator is interested in resolving this dispute without resorting to time-consuming and expensive litigation, please feel free to contact my counsel identified in Section III. It should be noted that neither my counsel nor I can: (1) finalize any settlement until after the 60-day Notice period elapses; nor (2) speak for the Attorney General or any District Attorney or City Attorney who received this Notice. Therefore, while reaching an agreement that will resolve my claims, such an agreement may not be approved by the Attorney General after referral to them, or by the court as the last voice on settlements.

VI. ADDITIONAL INFORMATION

Product

Sunscreen; Face lotion; Lip tint; Lip Balm; Skin Cream

Retailer(s)

Drugstore.com; Soap.com

Manufacturer(s)/Distributor(s)

Supergoop; Taylor James, Ltd.; Valeant Pharmaceuticals North America LLC; Chums, Inc.; The Hain Celestial Group, Inc.; Lotus Brands, Inc.; Pierre Fabre Dermo Cosmetique USA, Inc.; Kiss My Face; Boots Retail USA, Inc.; C&K, LLC; The Hain Celestial Group, Inc.; Children's Healthcare Research Group, LLC; StriVectin Operating Company Inc.; Concept II Cosmetics Inc.; No-ad Products, LLC; Carma Laboratories Inc.; Physicians Formula, Inc.; Colour Prevails LLC

VII. EXHIBIT A

Identified below are named products which contain the alleged chemicals at issue, and this reference is supportive of the material facts that are a part of my counsels Certificate of Merit that is attached hereto as being available for purchase or use in California that is within the category or type of offending product covered by this Notice. Based on publicly available information, the retailers, distributors and/or manufacturers of the example within the category or type of product are also provided below. I believe and allege that the sale of the offending products also has occurred without the requisite Proposition 65 "clear and reasonable warnings" at one or more locations and/or via other means including, but not limited to, transactions made over-the-counter, business to business, through the internet, and/or via catalog by the alleged Violator(s) and other distributors and retailers of the product.

Product				
Category	Specific Product	UPC	Sold by	Manufacturer/Distributor
Sunscreen	Supergoop! SPF 30 w/ vitamin C	894280001662	drugstore.com	Supergoop / Taylor James, Ltd.
Sunscreen	CeraVe wet skin spray SPF 50	301872206010	drugstore.com	Valeant Pharmaceuticals North America LLC
Sunscreen	Beyond Coastal Active Facestick SPF 30	093039150167	drugstore.com	Chums, Inc.
Sunscreen	Alba Botanica Hawaiian Greentea SPF 45	724742008277	drugstore.com	The Hain Celestial Group, Inc.
Face Lotion	BWC daily facial lotion SPF 18	000056454154	drugstore.com	Lotus Brands, Inc.
Sunscreen	Eau Thermale Avene SPF 50	838760007898	drugstore.com	Pierre Fabre Dermo Cosmetique USA, Inc.
Sunscreen	Kiss my Face Kids defense spray SPF 50	028367841975	drugstore.com	Kiss My Face
Skin Cream	No. 7 Dual Action SPF 15	5000167143218	drugstore.com	Boots Retail USA, Inc.
Sunscreen	Coola Sport Unscented SPF 50	853319002735	drugstore.com	C&K, LLC
Sunscreen	Jason Sun Sport Sunscreen SPF 45	078522083207	Soap.com	The Hain Celestial Group, Inc.
Sunscreen	Baby blanket faces SPF 50	050778150032	drugstore.com	Children's Healthcare Research Group, LLC
Lip Tint	Strivectin CC plum SPF 20	817777007183	drugstore.com	StriVectin Operating Co. Inc.
Sunscreen	SolScents Broad Spectrum Flower BlastSPF 50	763319108620	drugstore.com	Concept II Cosmetics Inc.
Sunscreen	No-Ad Broad Spectrum SPF 85	897640002286	drugstore.com	No-ad Products, LLC
Lip Balm	Carmex Cherry Lip Balm	083078000301	drugstore.com	Carma Laboratories Inc.
Skin Cream	Physicians Formula Wrinkle Corrector SPF 15	044386075276	drugstore.com	Physicians Formula, Inc.
Skin Cream	Nonie Crème Colour Prevails Spectrum SPF 20	812580020091	drugstore.com	Colour Prevails LLC

PROOF OF SERVICE OF DOCUMENT

I am over the age of 18 and not a party to this case or action. My business address is: 7120 Hayvenhurst Avenue, Suite 320, Van Nuys, CA 91406

A True and Correct copy of the documents entitled 60 DAY NOTICE OF VIOLATION; CERTIFICATE OF MERIT; THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY will be served or was served in the manner stated below:

I. <u>Interested Parties (Served via Certified Mail):</u> On May 8, 2015, I served the following persons and/or entities at the last known addresses by placing a true and correct copy thereof in a sealed envelope in the United States Mail, postage prepaid, and addressed as follows:

Drugstore.com	ATTN: CEO or President	12835 Old Virginia Rd., Reno, NV 89521
Soap.com	ATTN: CEO or President	PO Box 483, Jersey City NJ 07303
Supergoop	ATTN: CEO or President	200 E GRAYSON ST STE 112, SAN ANTONIO, TX 78215-1269
Supergoop	ATTN: Holly Thaggard	2843 Thomas Ave., Dallas TX 75204
Taylor James Ltd.	ATTN: Taylor Thaggard	314 E. Commerce Street Suite 300, San Antonio TX 78205
Valeant Pharmaceuticals North America LLC	ATTN: CEO or President	700 RTE 202, BRIDGEWATER, NJ 08807
Valeant Pharmaceuticals North America LLC	ATTN: CEO or President	280 S. Mangum Street, Suite 210, Durham NC 27701
Valeant Pharmaceuticals North America LLC	ATTN: CEO or President	400 Somerset Corporate Blvd., Bridgewater NJ 08807
Chums, Inc.	ATTN: Charles Ferries	2424 South 2570 West, Salt Lake City, UT 84119
The Hain Celestial Group, Inc.	ATTN: General Counsel	1111 MARCUS AVE., NEW HYDE PARK, NY 11042-1221
Lotus Brands, Inc.	ATTN: Santosh Krinsky	1100 Lotus Drive Building 3, Silver Lake, WI 53170
Pierre Fabre Dermo Cosmetique USA, Inc.	ATTN: CEO or President	8 Campus Dr, Parsippany, NJ 07054
Kiss My Face	ATTN: CEO or President	144 MAIN ST., GARDINER, NY 12525-5245
C/O CT CORPORATION SYSTEM	Kiss My Face	111 8 th Ave., New York NY 10011
Boots Retail USA, Inc.	ATTN: CEO or President	383 Main Ave., 7th Floor, Norwalk, CT 06851
Corporation Service Company	c/o Boots Retail USA, Inc.	50 Weston Street, Hartford CT 06120
C & K, LLC	ATTN: CEO or President	1726 ORD WAY, OCEANSIDE, CA 92056-1501
C & K, LLC	ATTN: Julie Cardin	1726 ORD WAY, OCEANSIDE, CA 92056-1501
Children's Healthcare Research Group, LLC	ATTN: CEO or President	1504 BREAKERS WEST BLVD., WEST PALM BEACH, FL 33411
Children's Healthcare Research Group, LLC	C/O Kevin Richardson, Esq.	1401 Forum Way, Suite 720, West Palm Beach FL 33401
The Mercer Group LTD	ATTN: CEO or President	254 Hornbine Road, Rehoboth MA 02769
The Mercer Group LTD	ATTN: John Mercer	1628 Highland Ave., Fall River MA 02720
StriVectin Operating Company Inc.	ATTN: CEO or President	601 W 26TH ST., Suite 1505, NEW YORK, NY 10001-1138
Richard D. Gersten & Jonathan H. Owsley	Strivectin Operating Co, Inc.	7 Greenwich O.P. Ste 200, 599 West Putnam Ave., Greenwich CT 06830
Concept II Cosmetics	ATTN: CEO or President	2200 NW 92ND AVE., MIAMI, FL 33172-4813

Concept II Cosmetics	ATTN: Daniel Bittner	2200 NW 92ND AVE., MIAMI, FL 33172-4813
No-ad Products, LLC	ATTN: CEO or President	851 GREENSBORO RD., COCOA, FL 32926-4516
Carma Laboratories, Inc.	ATTN: CEO or President	9750 S FRANKLIN DR., FRANKLIN, WI 53132-8848
Carma Laboratories, Inc.	ATTN: Paul A. Woelbing	5801 W. Airways Ave., Franklin WI 53132
Physicians Formula, Inc.	ATTN: CEO or President	22067 FERRERO PKWY, CITY OF INDUSTRY, CA 91789-5214
Physicians Formula, Inc.	ATTN: Leslie Keegan	22067 FERRERO PKWY, CITY OF INDUSTRY, CA 91789-5214
Colour Prevails LLC	ATTN: CEO or President	950 3RD AVE., 3 rd Floor, NEW YORK, NY 10022-2705
Colour Prevails LLC	ATTN: Stuart J. Litman	950 3RD AVE., 3 rd Floor, NEW YORK, NY 10022-2705

- II. <u>California Attorney General (via website Portal):</u> On May 8, 2015, I uploaded a true and correct copy thereof as a PDF file via the California Attorney General's website.
- III. <u>District and City Attorneys (via U.S. Mail)</u>: On May 8, 2015, I served the following persons and/or entities at the last known addresses by placing a true and correct copy thereof in a sealed envelope in the United States Mail, first class, postage prepaid, and addressed as follows **See attached pages for full service list**I declare under penalty of perjury under the laws of the State of California and the United States of America that the foregoing is true and correct.

May 8, 2015	Daniel N. Greenbaum, Esq.	Smiller.
Date	Printed Name	Signature

District Attorney ALAMEDA COUNTY 1225 Fallon Street, Room 900 Oakland, CA 94612

District Attorney ALPINE COUNTY PO Box 248 Markleeville, CA 96120

District Attorney AMADOR COUNTY 708 Court Street, #202 Jackson, CA 95642

District Attorney
BUTTE COUNTY
25 County Center Drive —
Administration Building
Oroville, CA 95965

District Attorney CALAVERAS COUNTY 891 Mountain Ranch Road San Andreas, CA 95249

District Attorney COLUSA COUNTY 346 5th Street, Suite. 101 Colusa, CA 95932

District Attorney CONTRA COSTA COUNTY 900 Ward Street Martinez, CA 94553

District Attorney DEL NORTE COUNTY 450 H Street, Room 171 Crescent City, CA 95531

District Attorney
EL DORADO COUNTY
515 Main Street
Placerville, CA 95667

District Attorney FRESNO COUNTY 2220 Tulare Street, Suite. 1000 Fresno, CA 93721

District Attorney GLENN COUNTY PO Box 430 Willows, CA 95988

District Attorney HUMBOLDT COUNTY 825 5th Street Eureka, CA 95501

District Attorney IMPERIAL COUNTY 940 West Main Street, Suite. 102 El Centro, CA 92243 District Attorney INYO COUNTY 168 North Edwards Independence, CA 93526

District Attorney KERN COUNTY 1215 Truxtun Avenue Bakersfield, CA 93301

District Attorney KINGS COUNTY 1400 West Lacey Blvd. Hanford, CA 93230

District Attorney LAKE COUNTY 255 N. Forbes Street Lakeport, CA 95453

District Attorney LASSEN COUNTY 220 S. Lassen Street, Suite. 8 Susanville, CA 96130

District Attorney LOS ANGELES COUNTY 210 W. Temple Street Los Angeles, CA 90012

District Attorney MADERA COUNTY 209 West Yosemite Avenue Madera, CA 93637

District Attorney MARIN COUNTY 3501 Civic Center Drive, Room 130 San Rafael, CA 94903

District Attorney MARIPOSA COUNTY PO BOX 730 Mariposa, CA 95338

District Attorney MENDOCINO COUNTY PO BOX 1000 Ukiah, CA 95482

District Attorney MERCED COUNTY 550 West Main Street Merced, CA 95340

District Attorney MODOC COUNTY 204 S. Court Street, Room 202 Alturas, CA 96101

District Attorney MONO COUNTY PO BOX 2053 Mammoth Lakes, CA 93546 District Attorney MONTEREY COUNTY PO BOX 1131 Salinas, CA 93902

District Attorney NAPA COUNTY PO BOX 720 Napa, CA 94559

District Attorney NEVADA COUNTY 201 Commercial Street Nevada City, CA 95959

District Attorney ORANGE COUNTY 401 Civic Center Drive West Santa Ana, CA 92701

District Attorney PLACER COUNTY 10810 Justice Center Drive Roseville, CA 95678

District Attorney PLUMAS COUNTY 520 Main Street, Room 404 Quincy, CA 95971

District Attorney RIVERSIDE COUNTY 3960 Orange Street Riverside, CA 92501

District Attorney SACRAMENTO COUNTY 901 G Street Sacramento, CA 95812

District Attorney SAN BENITO COUNTY 419 4th Street Hollister, CA 95023

District Attorney SAN BERNARDINO COUNTY 303 W. Third Street San Bernardino, CA 92415

District Attorney SAN DIEGO COUNTY 330 W. Broadway, Suite 1300 San Diego, CA 92101

District Attorney SAN FRANCISCO COUNTY 880 Bryant Street, Third Floor San Francisco, CA 94103

District Attorney SAN JOAQUIN COUNTY PO BOX 990 Stockton, CA 95202 District Attorney SAN LUIS OBISPO COUNTY Courthouse Annex, 4th Floor San Luis Obispo, CA 93408

District Attorney SAN MATEO COUNTY 400 County Center, Third Floor Redwood City, CA 94063

District Attorney SANTA BARBARA COUNTY 1112 Santa Barbara Street Santa Barbara, CA 93101

District Attorney SANTA CLARA COUNTY 70 West Hedding Street, West Wing San Jose, CA 95110

District Attorney SANTA CRUZ COUNTY 701 Ocean Street, Room 200 Santa Cruz, CA 95060

District Attorney SHASTA COUNTY 1355 West Street Redding, CA 96001

District Attorney SIERRA COUNTY 100 Courthouse Square Downieville, CA 95936

District Attorney SISKIYOU COUNTY PO BOX 986 Yreka, CA 96097 District Attorney SOLANO COUNTY 675 Texas Street, Suite 4500 Fairfield, CA 94533

District Attorney SONOMA COUNTY 600 Administration Drive, Room 212J Santa Rosa, CA 95403

District Attorney STANISLAUS COUNTY 832 12th Street, Suite 300 Modesto, CA 95353

District Attorney SUTTER COUNTY 446 Second Street, Suite 102 Yuba City, CA 95991

District Attorney TEHAMA COUNTY PO BOX 519 Red Bluff, CA 96080

District Attorney TRINITY COUNTY PO BOX 310 Weaverville, CA 96093

District Attorney
TULARE COUNTY
221 South Mooney Blvd., Suite 224

Visalia, CA 93291

District Attorney TUOLUMNE COUNTY 423 No. Washington Street Sonora, CA 95370 District Attorney VENTURA COUNTY 800 South Victoria Avenue Ventura, CA 93009

District Attorney YOLO COUNTY 301 Second Street Woodland, CA 95695

District Attorney YUBA COUNTY 215 Fifth Street, Suite. 152 Marysville, CA 95901

Mike Feuer City Attorney CITY OF LOS ANGELES 200 N. Main Street Los Angeles, CA 90012

Jan Goldsmith City Attorney CITY OF SAN DIEGO 1200 Third Avenue, 3rd Floor San Diego, CA 92101

Richard Doyle City Attorney CITY OF SAN JOSE 200 East Santa Clara Street San Jose, CA 95113

Dennis J. Herrera City Attorney CITY OF SAN FRANCISCO City Hall, Room 234 1 Dr. Carlton B. Goodlett Place San Francisco, CA 94102

CERTIFICATE OF MERIT

- I, Daniel N. Greenbaum, hereby declare:
- (1) This Certificate of Merit accompanies the attached sixty-day notice(s) in which it is alleged the parties identified in the notices have violated Health and Safety Code section 25249.6 by failing to provide clear and reasonable warnings.
- (2) I am the attorney for the noticing party.
- (3) I have consulted with one or more persons with relevant and appropriate experience or expertise who has reviewed facts, studies, or other data regarding the alleged exposure to the listed chemical that is the subject of the action.
- (4) Based on the information obtained through those consultations, and on all other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiffs' case can be established and the information did not prove that the alleged violator will be able to establish any of the affirmative defenses set forth in the statute.
- (5) The copy of this Certificate of Merit served on the Attorney General attaches to it factual information sufficient to establish the basis for this certificate, including the information identified in Health and Safety Code section 25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

May 8, 2015	Daniel N. Greenbaum, Esq.	Smiller.
Date	Printed Name	Signature

60-DAY NOTICE OF VIOLATION

SENT IN COMPLIANCE WITH CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)

DATE: May 26, 2015

To: Drugstore.com; PEY Beauty and Health LLC; Crown Laboratories, Inc.; First Aid Beauty LLC; Markwins Beauty Products, Inc. and

California Attorney General's Office;

District Attorney's Office for 58 Counties; and City Attorney's Office for Los Angeles, San Diego, San Jose and San Francisco.

From: Shefa LMV, LLC

I. INTRODUCTION

Our name is Shefa LMV, LLC. We are citizens and a Limited Liability Company of the State of California acting in the interest of the general public. We seek to promote awareness of exposures to toxic chemicals in products sold in California and, if possible, to improve public health and safety by reducing the hazardous substances contained in such items. This Notice is provided to the parties listed above pursuant to California Health & Safety Code §25249.6, et seq. ("Proposition 65"). The violations alleged by this Notice consist of product exposures, routes of exposures, and types of harm that may potentially result from exposures to the toxic chemical ("listed chemical") identified below:

Product Exposure: See Section VII, Exhibit A

Listed Chemical: Benzophenone; Routes of Exposure: Dermal absorption;

Ingestion; and Inhalation

Types of Harm: Carcinogen

II. NATURE OF ALLEGED VIOLATION (PRODUCT EXPOSURE)

The specific type of product that is causing consumer and occupational exposures in violation of Proposition 65, and which is the subject of this Notice, is listed under "Product Category/Type" in Exhibit A in Section VII below. All products within the type covered by this Notice shall be referred to hereinafter as the "products." The sales of these products in California dating at least as far back as **June 23, 2013** are subject to this Notice. As a result of the sales of these products, exposures to the listed chemical have been occurring without clear and responsible warnings as required by Proposition 65. Without proper warnings regarding the toxic effects of exposures to the listed chemical, resulting from contact with the products from dermal and ingestion exposure as well as inhalation, California citizenry lack the information necessary to make informed decisions on whether and how to eliminate (or reduce) the risk of exposure to the toxic chemical from the reasonably foreseeable use of the products.

Through the act of buying, acquiring and using the products, citizens of California are exposed to the listed chemical in homes, workplaces and elsewhere throughout California where these products are utilized. Exposure to consumers includes, but is not limited to, application to their bodies, their pets or their children. Exposure to consumers includes, but is not limited to, when handling the product

Benzophenone is absorbed through dermal, and other migration pathways, including but not limited to incidental ingestion after one touch's the chemical and then touch's food or otherwise places his hands on or near his lips where his tongue can or will continue this pathway to ingestion exposure. Exposure may continue to occur for a significant period after the initial contact. People likely to be exposed are women, men, infants and children. These violations and threatened violations pertain to Proposition 65 chemicals that are listed by the state as known to cause cancer.

III. CONTACT INFORMATION

Please direct all questions concerning this notice to us through our counsel's offices at the following address:

Daniel N. Greenbaum, Esq. 7120 Hayvenhurst Avenue, Suite 320 Van Nuys, CA 91406 Main: (818) 809-2199

Fax: (424) 243-7689

Email: dgreenbaum@greenbaumlawfirm.com

IV. PROPOSITION 65 INFORMATION

For general information concerning the provisions of Proposition 65, please feel free to contact the Office of Environmental Health Hazard Assessment ("OEHHA") in the Proposition 65 Implementation Office at (916) 445-6900. For the alleged Violator(s), I have attached a copy of "Proposition 65 in Plain Language" which has been prepared by OEHHA.

V. RESOLUTION OF NOTICED CLAIMS

Based on the allegations set forth in this Notice, we intend to file a citizen enforcement lawsuit against the alleged Violator(s), unless such Violator enters into a binding written agreement to: (1) recall products already sold or undertake best efforts to ensure that the requisite health hazard warnings are provided to those whom have received such products; (2) provide clear and reasonable warnings for products sold in the future, or reformulate such products to eliminate the Benzophenone exposures.

If the alleged Violator is interested in resolving this dispute without resorting to time-consuming and expensive litigation, please feel free to contact my counsel identified in Section III. It should be noted that neither my counsel nor I can: (1) finalize any settlement until after the 60-day Notice period elapses; nor (2) speak for the Attorney General or any District Attorney or City Attorney who received this Notice. Therefore, while reaching an agreement that will resolve my claims, such an agreement may not be approved by the Attorney General after referral to them, or by the court as the last voice on settlements.

VI. ADDITIONAL INFORMATION

<u>Product</u> <u>Retailer(s)</u>

Sunscreen; Skin Cream; Face Cream Drugstore.com

Manufacturer(s)/Distributor(s)

PEY Beauty and Health LLC; Crown Laboratories, Inc.; First Aid Beauty LLC; Markwins Beauty Products, Inc.

VII. EXHIBIT A

Identified below are named products which contain the alleged chemicals at issue, and this reference is supportive of the material facts that are a part of my counsels Certificate of Merit that is attached hereto as being available for purchase or use in California that is within the category or type of offending product covered by this Notice. Based on publicly available information, the retailers, distributors and/or manufacturers of the example within the category or type of product are also provided below. I believe and allege that the sale of the offending products also has occurred without the requisite Proposition 65 "clear and reasonable warnings" at one or more locations and/or via other means including, but not limited to, transactions made over-the-counter, business to business, through the internet, and/or via catalog by the alleged Violator(s) and other distributors and retailers of the product.

Product Category	Specific Product	UPC	Sold by	Manufacturer/Distributor
Skin Cream	Happy Me anti aging hand repair SPF 30	856114000647	Drugstore.com	PEY Beauty and Health LLC
Sunscreen	Blue Lizard Australian Sunscreen Sport SPF 30+	600027000375	Drugstore.com	Crown Laboratories, Inc.
Face Cream	5 in 1 Face Cream SPF 30	851939002081	Drugstore.com	First Aid Beauty LLC
Skin Cream	Black Radiance BB Cream SPF 15	077802645340	Drugstore.com	Markwins Beauty Products Inc.

PROOF OF SERVICE OF DOCUMENT

I am over the age of 18 and not a party to this case or action. My business address is: 7120 Hayvenhurst Avenue, Suite 320, Van Nuys, CA 91406

A True and Correct copy of the documents entitled **60 DAY NOTICE OF VIOLATION**; **CERTIFICATE OF MERIT**; **THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY** will be served or was served in the manner stated below:

I. <u>Interested Parties (Served via Certified Mail):</u> On May 26, 2015, I served the following persons and/or entities at the last known addresses by placing a true and correct copy thereof in a sealed envelope in the United States Mail, postage prepaid, and addressed as follows:

Drugstore.com	ATTN: CEO or President	12835 Old Virginia Rd., Reno, NV 89521
PEY Beauty and Health LLC	ATTN: Nate Dakar	1456 S DURANGO AVE., LOS ANGELES CA 90035
Crown Laboratories, Inc.	ATTN: Libby Erwin	349 Lafe Cox Drive, Johnson City, TN 37604
First Aid Beauty	ATTN: CEO or President	246 WALNUT ST., STE 302, NEWTON, MA 02460-1639
CT Corporation System	c/o First Aid Beauty	155 Federal St., Suite 700, Boston MA 02110
First Aid Beauty LLC	ATTN: Lilli Gordon	51 WATER ST. STE. 202, WATERTOWN, MA 02472
Markwins Beauty Products, Inc.	ATTN: CEO or President	22067 FERRERO PKWY., CITY OF INDUSTRY, CA 91789
Markwins Beauty Products, Inc.	ATTN: Frederick Gartside	1900 Avenue of the Stars, 7th Floor, Los Angeles CA 90067

- II. <u>California Attorney General (via website Portal):</u> On May 26, 2015, I uploaded a true and correct copy thereof as a PDF file via the California Attorney General's website.
- III. <u>District and City Attorneys (via U.S. Mail)</u>: On May 26, 2015, I served the following persons and/or entities at the last known addresses by placing a true and correct copy thereof in a sealed envelope in the United States Mail, first class, postage prepaid, and addressed as follows **See attached pages for full service list** I declare under penalty of perjury under the laws of the State of California and the United States of America that the foregoing is true and correct.

May 26, 2015	Daniel N. Greenbaum, Esq.	Smills.
Date	Printed Name	Signature

District Attorney ALAMEDA COUNTY 1225 Fallon Street, Room 900 Oakland, CA 94612

District Attorney ALPINE COUNTY PO Box 248 Markleeville, CA 96120

District Attorney AMADOR COUNTY 708 Court Street, #202 Jackson, CA 95642

District Attorney
BUTTE COUNTY
25 County Center Drive —
Administration Building
Oroville, CA 95965

District Attorney CALAVERAS COUNTY 891 Mountain Ranch Road San Andreas, CA 95249

District Attorney COLUSA COUNTY 346 5th Street, Suite. 101 Colusa, CA 95932

District Attorney CONTRA COSTA COUNTY 900 Ward Street Martinez, CA 94553

District Attorney DEL NORTE COUNTY 450 H Street, Room 171 Crescent City, CA 95531

District Attorney
EL DORADO COUNTY
515 Main Street
Placerville, CA 95667

District Attorney FRESNO COUNTY 2220 Tulare Street, Suite. 1000 Fresno, CA 93721

District Attorney GLENN COUNTY PO Box 430 Willows, CA 95988

District Attorney HUMBOLDT COUNTY 825 5th Street Eureka, CA 95501

District Attorney
IMPERIAL COUNTY
940 West Main Street, Suite. 102
El Centro, CA 92243

District Attorney INYO COUNTY 168 North Edwards Independence, CA 93526

District Attorney KERN COUNTY 1215 Truxtun Avenue Bakersfield, CA 93301

District Attorney KINGS COUNTY 1400 West Lacey Blvd. Hanford, CA 93230

District Attorney LAKE COUNTY 255 N. Forbes Street Lakeport, CA 95453

District Attorney LASSEN COUNTY 220 S. Lassen Street, Suite. 8 Susanville, CA 96130

District Attorney LOS ANGELES COUNTY 210 W. Temple Street Los Angeles, CA 90012

District Attorney MADERA COUNTY 209 West Yosemite Avenue Madera, CA 93637

District Attorney MARIN COUNTY 3501 Civic Center Drive, Room 130 San Rafael, CA 94903

District Attorney MARIPOSA COUNTY PO BOX 730 Mariposa, CA 95338

District Attorney MENDOCINO COUNTY PO BOX 1000 Ukiah, CA 95482

District Attorney MERCED COUNTY 550 West Main Street Merced, CA 95340

District Attorney MODOC COUNTY 204 S. Court Street, Room 202 Alturas, CA 96101

District Attorney MONO COUNTY PO BOX 2053 Mammoth Lakes, CA 93546 District Attorney MONTEREY COUNTY PO BOX 1131 Salinas, CA 93902

District Attorney NAPA COUNTY PO BOX 720 Napa, CA 94559

District Attorney NEVADA COUNTY 201 Commercial Street Nevada City, CA 95959

District Attorney ORANGE COUNTY 401 Civic Center Drive West Santa Ana, CA 92701

District Attorney PLACER COUNTY 10810 Justice Center Drive Roseville, CA 95678

District Attorney PLUMAS COUNTY 520 Main Street, Room 404 Quincy, CA 95971

District Attorney RIVERSIDE COUNTY 3960 Orange Street Riverside, CA 92501

District Attorney SACRAMENTO COUNTY 901 G Street Sacramento, CA 95812

District Attorney SAN BENITO COUNTY 419 4th Street Hollister, CA 95023

District Attorney SAN BERNARDINO COUNTY 303 W. Third Street San Bernardino, CA 92415

District Attorney SAN DIEGO COUNTY 330 W. Broadway, Suite 1300 San Diego, CA 92101

District Attorney SAN FRANCISCO COUNTY 880 Bryant Street, Third Floor San Francisco, CA 94103

District Attorney SAN JOAQUIN COUNTY PO BOX 990 Stockton, CA 95202 District Attorney SAN LUIS OBISPO COUNTY Courthouse Annex, 4th Floor San Luis Obispo, CA 93408

District Attorney SAN MATEO COUNTY 400 County Center, Third Floor Redwood City, CA 94063

District Attorney SANTA BARBARA COUNTY 1112 Santa Barbara Street Santa Barbara, CA 93101

District Attorney SANTA CLARA COUNTY 70 West Hedding Street, West Wing San Jose, CA 95110

District Attorney SANTA CRUZ COUNTY 701 Ocean Street, Room 200 Santa Cruz, CA 95060

District Attorney SHASTA COUNTY 1355 West Street Redding, CA 96001

District Attorney SIERRA COUNTY 100 Courthouse Square Downieville, CA 95936

District Attorney SISKIYOU COUNTY PO BOX 986 Yreka, CA 96097 District Attorney SOLANO COUNTY 675 Texas Street, Suite 4500 Fairfield, CA 94533

District Attorney SONOMA COUNTY 600 Administration Drive, Room 212J Santa Rosa, CA 95403

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Richard Doyle City Attorney CITY OF SAN JOSE 200 East Santa Clara Street San Jose, CA 95113

Dennis J. Herrera City Attorney CITY OF SAN FRANCISCO City Hall, Room 234 1 Dr. Carlton B. Goodlett Place San Francisco, CA 94102

CERTIFICATE OF MERIT

- I, Daniel N. Greenbaum, hereby declare:
- (1) This Certificate of Merit accompanies the attached sixty-day notice(s) in which it is alleged the parties identified in the notices have violated Health and Safety Code section 25249.6 by failing to provide clear and reasonable warnings.
- (2) I am the attorney for the noticing party.
- (3) I have consulted with one or more persons with relevant and appropriate experience or expertise who has reviewed facts, studies, or other data regarding the alleged exposure to the listed chemical that is the subject of the action.
- (4) Based on the information obtained through those consultations, and on all other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiffs' case can be established and the information did not prove that the alleged violator will be able to establish any of the affirmative defenses set forth in the statute.
- (5) The copy of this Certificate of Merit served on the Attorney General attaches to it factual information sufficient to establish the basis for this certificate, including the information identified in Health and Safety Code section 25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

May 26, 2015	Daniel N. Greenbaum, Esq.	Smiller
Date	Printed Name	Signature

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