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1 2 3 4	828 San Pablo Avenue, Suite 115B Albany, CA 94706 Telephone: (415) 568 5200		
5 6	Attorneys for Plaintiff Center for Advanced Public Awareness, Inc. ("CAPA")		
7	SUPERIOR COURT OF THE STATE OF CALIFORNIA COUNTY OF SANTA CLARA		
9 10 11	AWARENESS, INC., a California nonprofit corporation, Plaintiff,	To. 17CV311167 STIPULATED] CONSENT JUDGMENT Health & Safety Code § 25249.6 et seq.)	
12131415	SANTA CRUZ GENETICS, INC., a California corporation; and DOES 1-25, inclusive,		
16 17 18	1. INTRODUCTION	ED EOD ADVANCED DUDI IC AWADENESS	
19 20 21	1.1 On May 31, 2017, Plaintiff CENTER FOR ADVANCED PUBLIC AWARENESS, INC. ("CAPA"), a non-profit corporation, in the public interest as a private enforcer, commenced this action by filing a Complaint for Permanent Injunction, Civil Penalties and Other Relief (the "Complaint") pursuant to the provisions of California Health and Safety Code, section 25249.5 et		
22232425	seq. ("Proposition 65"), against SANTA CRUZ GENETICS, INC. ("SANTA CRUZ GENETICS" in Santa Clara County Superior Court Case No. 17CV311167 ("Action"). In this action, CAPA alleges that SANTA CRUZ GENETICS produces, processes, markets, offers to sell, sells, and/or		
262728	distributes for sale in the State of California marijuana intended for smoking, the consumption and use of which results in the generation of marijuana smoke, without first providing the clear and reasonable exposure warning required by Proposition 65. Marijuana smoke is listed pursuant to		

Proposition 65 as a chemical that is known to the State of California to cause cancer. These products, specifically, flowers, leaves, stems, and other organic parts of marijuana plants (referred to hereinafter individually as a "Covered Product" or collectively as "Covered Products") are cannabis or marijuana intended for combustion via smoking and then inhalation. The intended and foreseeable consumption and use (inhalation) of the Covered Products allegedly results in exposures to marijuana smoke, that are produced, processed, marketed, distributed, offered for sale and/or sold by SANTA CRUZ GENETICS in California without a clear and reasonable warning.

- 1.2 CAPA and SANTA CRUZ GENETICS are hereinafter referred to individually as a "Party" or collectively as the "Parties."
- 1.3 CAPA is a California non-profit corporation that, among other purposes and causes it is dedicated to, provides information about the hazards of toxins in consumer products, protects the public health by preventing pollution and toxics from being discharged, released or emitted into the environment, and enforces state and federal laws in protection of consumers and the environment.
- 1.4 SANTA CRUZ GENETICS is a non-profit mutual benefit corporation that, among other purposes and causes it is dedicated to, provides medical cannabis to seriously ill Californians pursuant to the laws of the State of California and the laws of the City of San Jose. SANTA CRUZ GENETICS is a closed-loop Collective and only provides products to its registered members.
- 1.5 For purposes of this Consent Judgment, the Parties agree that SANTA CRUZ GENETICS is a business entity that has employed ten or more persons for a portion of the alleged violation period, and qualifies as a "person in the course of doing business" within the meaning of Proposition 65. SANTA CRUZ GENETICS provides the Covered Products to registered members of its Collective.
- 1.6 The Complaint is based on allegations contained in CAPA's Notice of Violation dated January 11, 2017, that was served on the California Attorney General, other public enforcers, and SANTA CRUZ GENETICS ("Notice of Violation" or "NOV"). A true and correct copy of the NOV is attached as **Exhibit A** and is hereby incorporated by reference. More than 60 days, plus 5 days for mailing, have passed since the NOV was mailed and uploaded to the Attorney General's website, and no designated governmental entity has diligently prosecuted this matter, as exemplified

by the filing of the Complaint against SANTA CRUZ GENETICS in regards to the Covered Products or the alleged violations.

- 1.7 CAPA's NOV and Complaint allege that use of the Covered Products exposes persons in California to marijuana smoke without first providing clear and reasonable warnings in violation of California Health and Safety Code, section 25249.6. SANTA CRUZ GENETICS denies all material allegations contained in the Notice and Complaint.
- 1.8 The Parties have entered into this Consent Judgment in order to settle, compromise and resolve disputed claims and thus avoid prolonged and costly litigation. Nothing in this Consent Judgment shall constitute or be construed as an admission by any of the Parties, or by any of their respective officers, directors, shareholders, employees, agents, parent companies, or its subsidiaries or divisions. Except for the representations made above, nothing in this Consent Judgment shall be construed as an admission by the Parties of any fact, issue of law, or violation of law, nor shall compliance with this Consent Judgment be construed as an admission by the Parties of any fact, issue of law, or violation of law, at any time, for any purpose.
- 1.9 Except as expressly set forth herein, nothing in this Consent Judgment shall prejudice, waive, or impair any right, remedy, argument, or defense the Parties have in any other or future legal proceeding unrelated to these proceedings.
- 1.10 The "Effective Date" of this Consent Judgment is the date on which this Court enters the Judgment.

2. JURISDICTION AND VENUE

2.1 The Parties stipulate and agree that the Superior Court of California, County of Santa Clara has subject matter jurisdiction over the matters alleged in this action and personal jurisdiction over the Parties to this Final Judgment. The Parties further stipulate that venue is proper in Santa Clara County, and that this court has jurisdiction and authority to enter a full and final resolution of all claims up through the Effective Date, which were or could have been brought in this action based on the allegations contained in the NOV and Complaint.

3. INJUNCTIVE RELIEF AND WARNINGS TO BE PROVIDED

3.1 Pursuant to the provisions of California Health & Safety Code, section 25249.7, subdivision (a), Defendant shall be enjoined to comply with, and prohibited from violating or threatening to violate 24249.6 *et seq.*, and its implementing regulations California Code of Regulations, title 27, sections 25601 *et seq.*, as may be revised.

Commencing on or before the Effective Date, and for a period of three (3) years thereafter, SANTA CRUZ GENETICS shall be enjoined to provide a Clear and Reasonable Warning as set forth in Section 3.2.

3.2 Clear and Reasonable Warnings

3.2.1 Product Labeling. SANTA CRUZ GENETICS shall affix a warning label to the packaging of each Covered Product dispensed by SANTA CRUZ GENETICS, either at the Collective or through a delivery service, if any, in California. Either warning text shall be in a type size no smaller than the largest type size used for other consumer information on the product and, in no case, shall the warning appear in a type size smaller than 6-point type. The Warning shall be securely affixed to (via a label) or printed upon the container of each Covered Product. Employees may not write over the text of the warning for any reason.

WARNING: This product contains a chemical (Marijuana Smoke) known to the State of California to cause cancer.

or

WARNING: This product can expose you to chemicals including Marijuana Smoke, which is known to the state of California to cause cancer. For more information, go to www.P65Warnings.ca.gov.

or

⚠ WARNING. Cancer - <u>www.P65Warnings.ca.gov</u>

If a label warning is not utilized, a combination of at least two of the following warnings must be utilized:

3.2.2 Internet Website Warning. For all Covered Products that are advertised on a website as offered for sale at SANTA CRUZ GENETICS' dispensary or available for delivery

directly to SANTA CRUZ GENETICS' dispensary members, a warning that complies with the content requirements of Section 25603(a) must also be provided by including either the warning or a clearly marked hyperlink using the word "WARNING" on the product display page, or by otherwise prominently displaying the warning to the purchaser prior to completing the purchase. If an on-product warning is provided as set forth above, the warning provided on the website may use the same content as the on-product warning. No statements, whether intended or reasonably likely to have the effect of diminishing the meaning or impact of, or decreasing the clarity or conspicuousness of, shall accompany the Warning such that it may affect how the Warning is understood by a lay consumer/patient.

WARNING: This product contains a chemical (Marijuana Smoke) known to the State of California to cause cancer.

MARNING: This product can expose you to chemicals including Marijuana Smoke, which is known to the state of California to cause cancer. For more information, go to www.P65Warnings.ca.gov.

3.2.3 Membership Agreement. SANTA CRUZ GENETICS shall provide a warning in its Membership Agreement which states "Certain products, dispensed at Santa Cruz Genetics, can expose you to chemicals including Marijuana Smoke, which is known to the state of California to cause cancer. For more information, go to www.P65Warnings.ca.gov." This Warning shall be printed in black ink, in a font that is easy to read and legible, in a size 12 Font, and separated from its surrounding text.

3.2.4 Point-of-Sale Warnings. In order that customers will view the warning before making the decision to purchase the Products at the dispensary, SANTA CRUZ GENETICS shall post a Warning sign, in the form below, using two or more of the following-point-of-sale warning options: a) at or near each cash register in the store; or b) at or near each display case in the store; or c) on a stanchion (crowd control post) message sign located at the front of the cash register entry line, closest to the register. The Warning shall be at least 8 ½ inches by 11 inches, and posted at a height and location that will make it conspicuous and easy to read for the average person. The

or

text of the warning shall be printed in black ink, in a font that is easy to read and legible, but in no case less than a size 36 Font.

WARNING: This product contains a chemical (Marijuana Smoke)

known to the State of California to cause cancer.

WARNING: This product can expose you to chemicals including Marijuana Smoke, which is known to the state of California to cause cancer. For more information, go to www.P65Warnings.ca.gov.

SETTLEMENT PAYMENT

- 4.1 In full satisfaction of all potential civil penalties, additional settlement payments, attorney's fees, and costs, SANTA CRUZ GENETICS shall make a total payment of \$60,000.00 ("Total Settlement Amount"). The Total Settlement Amount shall be paid in a single payment. The payment of \$60,000.00 shall be due five (5) days after the Effective Date. The Total Settlement Amount shall be apportioned as follows:
- 4.2 \$31,220.00 shall be considered a civil penalty pursuant to California Health and Safety Code section 25249.7, subdivision (B)(1). CAPA shall remit 75% \$23,415.00 of the civil penalty to the Office of Environmental Health Hazard Assessment ("OEHHA") for deposit in the Safe Drinking Water and Toxic Enforcement Fund in accordance with California Health and Safety Code, section 25249.12, subdivision (c). CAPA will retain the remaining 25%, or \$7,805.00 of the civil penalty.
- 4.3 \$1,463.75 shall be distributed to CAPA as reimbursement to CAPA for reasonable costs incurred in bringing this action.
- 4.4 \$27,316.25 shall be distributed to Aqua Terra Aeris Law Group ("ATA") for legal fees and costs incurred as a result of investigating, bringing this matter to SANTA CRUZ GENETICS' attention in the NOV, and negotiating a settlement in the public interest. SANTA CRUZ GENETICS shall make this payment by wire transfer to ATA's escrow account, for which ATA will give SANTA CRUZ GENETICS the necessary account information, within two (2) business days of the Court's approval of this Consent Judgment.

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- 4.5 In the event that SANTA CRUZ GENETICS fails to remit the Total Settlement Payment owed under Section 4 of this Consent Judgment on or before the Due Date, SANTA CRUZ GENETICS shall be deemed to be in material breach of its obligations under this Consent Judgment. CAPA shall provide written notice of the delinquency to SANTA CRUZ GENETICS' counsel via electronic mail. If SANTA CRUZ GENETICS fails to deliver the Total Settlement Payment within five (5) days from the written notice, the Total Settlement Payment shall become immediately due and payable and shall accrue interest at the statutory judgment interest rate provided in the Code of Civil Procedure, section 685.010. Additionally, SANTA CRUZ GENETICS agrees to pay ATA's reasonable attorney fees and costs for any efforts to collect the payment due under this Consent Judgment.
- **4.6** SANTA CRUZ GENETICS shall provide CAPA's counsel with separate 1099 forms for each of its payments under this Agreement to:
 - (a) "Office of Environmental Health Hazard Assessment," P.O. Box 4010,Sacramento, CA 95814 (EIN: 68-0284486) for civil penalties paid;
 - (b) "Center for Advanced Public Awareness, Inc.," whose address and tax identification number shall be furnished upon request after this Agreement has been fully executed by the Parties for its portion of the civil penalties paid.
 - (3) "Center for Advanced Public Awareness, Inc.," whose address and tax identification number shall be furnished upon request after this Agreement has been fully executed by the Parties for Additional Settlement Payments paid.
 - (4) "Center for Advanced Public Awareness, Inc.," whose address and tax identification number shall be furnished upon request after this Agreement has been fully executed by the Parties for reasonable costs incurred in bringing this action.
 - (5) "Aqua Terra Aeris Law Group," for attorneys' fees and costs reimbursed pursuant to Section 4.4.

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For any payment that is returned for insufficient funds, payment must be made by a cashier's check within ten (10) calendar days of notification of insufficient funds, plus a 10% service fee.

5. CLAIMS COVERED AND RELEASE

- 5.1 Plaintiff's Public Binding Release of Proposition 65 Claims. This Consent Judgment is a full, final, and binding resolution between CAPA, acting on behalf of itself and in the public interest, and SANTA CRUZ GENETICS, and its parents, subsidiaries, directors, officers, employees, attorneys, and for those affiliated entities under common ownership who were disclosed prior to the full execution of this Consent Judgment by the Parties (collectively, "Releasees") related to any violation of Proposition 65 that was or could have been asserted by CAPA, on behalf of itself, against Releasees for unwarned exposures to marijuana smoke from the Covered Products produced, processed, marketed, offered for sale, sold or distributed for sale in California by SANTA CRUZ GENETICS prior to the Effective Date. Compliance with the terms of this Consent Judgment constitutes compliance with Proposition 65 with respect to exposures to marijuana smoke from the Products sold by or through SANTA CRUZ GENETICS after the Effective Date.
- 5.2 CAPA's Individual Release of Claims. CAPA, on its own behalf, also provides a release herein which shall be effective as a full and final accord and satisfaction, as a bar to all actions, causes of action, obligations, costs, expenses, attorneys' fees, damages, losses, claims, liabilities and demands of CAPA of any nature, character or kind, whether known or unknown, suspected or unsuspected, arising out of alleged or actual exposures to marijuana smoke in the Covered Products grown, cultivated, processed or sold or distributed for sale by SANTA CRUZ GENETICS in California before the Effective Date.
- 5.3 SANTA CRUZ GENETICS' Release of CAPA. SANTA CRUZ GENETICS. on its own behalf and on behalf of its past and current agents, representatives, attorneys, successors, and assignees, hereby waives any and all claims that it may have against CAPA and its attorneys and other representatives, for any and all actions taken or statements made (or those that could have been taken or made) by CAPA and its attorneys and other representatives.

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whether in the course of investigating claims, otherwise seeking to enforce Proposition 65 against it in this matter.

5.4 California Civil Code, Section 1542. It is possible that other claims not known to the Parties arising out of the facts alleged in the Notice and relating to the Covered Products will develop or be discovered. CAPA on its behalf only, on one hand, and SANTA CRUZ GENETICS, on the other hand, acknowledge that this Agreement is expressly intended to cover and include all such claims up through the Effective Date, including all rights of action therefor. CAPA and SANTA CRUZ GENETICS acknowledge that the claims released in Sections 5.2 and 5.3 above may include unknown claims, and nevertheless waive California Civil Code, section 1542 as to any such unknown claims. California Civil Code, section 1542 reads as follows:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH
THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS
OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE,
WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY
AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR.

CAPA and SANTA CRUZ GENETICS each acknowledge and understand the significance and consequences of this specific waiver of California Civil Code, section 1542.

6. COURT APPROVAL

This Consent Judgment is not effective until it is approved and entered by the Court and shall be null and void if, for any reason, it is not approved and entered by the Court within one year after it has been fully executed by all Parties.

7. SEVERABILITY

If, subsequent to the execution of this Consent Judgment, any provision of this Consent Judgment is held by a court to be unenforceable, the validity of the remaining provisions shall not be adversely affected.

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8. GOVERNING LAW AND CONTINUING JURISDICTION

The terms of this Consent Judgment shall be governed by the laws of the state of California and apply within the state of California. Pursuant to Code of Civil Procedure section 664.6, the Court retains jurisdiction over this matter and terms of the Judgment contained herein. In the event Proposition 65 is repealed or is otherwise rendered inapplicable by reason of law generally, or as to the Covered Products, then SANTA CRUZ GENETICS may provide written notice to CAPA of any asserted change in the law, and have no further obligations pursuant to this Consent Judgment, with respect to, and to the extent that, the Covered Products are so affected. Nothing in this Consent Judgment shall be interpreted to relieve SANTA CRUZ GENETICS from any obligation to comply with any pertinent state or federal laws concerning labeling, warning or toxics in consumer products. If the California Office of Environmental Health Hazard Assessment or other governmental body with authority promulgates regulations setting forth warning text and/or methods of transmission required or permitted to be used under Proposition 65 for exposures to marijuana smoke in the Covered Products as defined herein. then at its sole discretion SANTA CRUZ GENETICS may use such other warning text and/or method of transmission, without being deemed in breach of this Agreement, provided that Defendant sends written notice of this decision and includes the content and means of transmission of the warning to CAPA sixty (60) days in advance of implementing any such changes. CAPA shall have an opportunity to provide comments and to meet and confer regarding the proposed changes before they are fully implemented.

9. NOTICES

Unless specified herein, all correspondence and notices required to be provided pursuant to this Consent Judgment shall be in writing and sent by: (i) personal delivery; (ii) first-class mail, registered or certified, with return receipt requested; or (iii) a recognized overnight courier on any party by the other party at the following addresses:

For SANTA CRUZ GENETICS:

SANTA CRUZ GENETICS, Inc. Attn: Mark Edwards 92 Pullman Way San Jose, CA 95111

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With a copy to:
Roberts & Elliott, A Law Corporation
c/o James Roberts
150 Almaden Blvd.
Suite 950
San Jose, CA 95113

For CAPA:

Executive Director Center for Advanced Public Awareness, Inc. 180 Promenade Cir. Sacramento, CA 95834

With a copy to: Aqua Terra Aeris Law Group c/o Matthew Maclear 828 San Pablo Avenue, Suite 115B Albany, CA 94706

Any Party may change its notice name and address by informing the other party in writing, but no change is effective until it proof of receipt is confirmed. All notices and other communications required or permitted under this Final Judgment that are properly addressed as provided in this paragraph are effective upon delivery if delivered personally or by overnight mail, or are effective five (5) days following deposit in the United States mail, postage prepaid, if delivered by First Class mail.

10. COUNTERPARTS; FACSIMILE SIGNATURES

This Consent Judgment may be executed in counterparts, and by facsimile or portable document format (PDF) signature, each of which shall be deemed an original, and all of which, when taken together, shall constitute one and the same document.

11. POST EXECUTION ACTIVITIES

CAPA agrees to comply with the reporting form requirements referenced in Health & Safety Code, section 25249.7, subdivision (f). The Parties further acknowledge that, pursuant to Health & Safety Code, section 25249.7, subdivision (f), a noticed motion is required to obtain judicial approval of the settlement. In furtherance of obtaining such approval, CAPA and SANTA CRUZ GENETICS agree to mutually employ their best efforts, and that of their

counsel, to support the entry of this agreement as a Consent Judgment, and to obtain judicial approval of the settlement in a timely manner. If the Attorney General objects to any term in this Consent Judgment, the Parties shall use their best individual and collective efforts to resolve the concern in a timely manner, and if possible in advance of the hearing on the motion to approve settlement. If the Court does not approve of the Stipulated Consent Judgment, it shall be void or voided and have no force or effect.

12. MODIFICATION

This Consent Judgment may be modified only by: (i) a written agreement of the Parties and upon entry of a modified consent judgment by the Court thereon; or (ii) upon successful motion or application of any Party and the entry of a modified consent judgment by the Court.

13. AUTHORIZATION

The undersigned are authorized to executed this Consent Judgment on behalf of their respective Parties and have read, understood and agree to all of the terms and conditions of this Consent Judgment.

14. DRAFTING

It shall be conclusively presumed that the Parties participated equally in the drafting of this Consent Judgment. The Parties discussed each and every term and provision, and the meaning thereof, in advance of executing this stipulation. Each Party has had an opportunity to fully discuss the terms and conditions with legal counsel.

15. ENFORCEMENT

If a dispute arises with respect to either Party's compliance with the terms of this Consent Judgment entered by the Court, the Parties shall meet and confer in person, in writing or via telephone to attempt to resolve the dispute in an amicable and amenable fashion. No action or motion may be filed unless such a good faith attempt to resolve the dispute occurs before such a filing.

CAPA may, by motion or order to show cause before this Court, seek to enforce the terms and conditions contained in this Consent Judgment. CAPA may seek whatever penalties/fines, costs, fees or other remedies are provided for in the Consent Judgment or

allowed by law for failure to comply with the Consent Judgment. To the extent that the alleged failure to comply with the Consent Judgment constitutes a violation of Proposition 65 or other laws, CAPA shall not be limited to enforcement of this Consent Judgment and may seek, in a separately filed action, whatever penalties/fines, costs, fees or other remedies as provided for by law for failure to comply with Proposition 65 or other law(s).

16. ENTIRE AGREEMENT

This Consent Judgment contains the sole and entire agreement and understanding of the Parties with regard to this matter, including any and all prior discussions, negotiations, commitments or understanding related thereto. No representations, oral, written or otherwise, express or implied, unless specifically referred to herein shall be deemed to exist or to bind any Party as it relates to the allegations made in this action.

17. STIPULATED REQUEST FOR FINDINGS, APPROVAL OF SETTLEMENT AND ENTRY OF CONSENT JUDGMENT

This Consent Judgment has come before the Court upon request of the Parties for the Court to fully review its terms and to be fully informed regarding the matters which are the subject of this action, and to:

- (1) Find the terms and provisions of this Consent Judgment represent a fair and equitable settlement of all matters raised by the allegations of the Complaint, that the matter has been diligently prosecuted and that the public interest is served by such settlement; and
- (2) Make the statutory findings required pursuant to Health and Safety Code section 25249.7, subdivision (f)(4), approve the settlement and approve this Consent Judgment.

IT IS SO STIPULATED.

Date:	CENTER FOR ADVANCED PUBLIC AWARENESS, INC.

By:

allowed by law for failure to comply with the Consent Judgment. To the extent that the alleged failure to comply with the Consent Judgment constitutes a violation of Proposition 65 or other laws, CAPA shall not be limited to enforcement of this Consent Judgment and may seek, in a separately filed action, whatever penalties/fines, costs, fees or other remedies as provided for by law for failure to comply with Proposition 65 or other law(s).

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- (1) Find the terms and provisions of this Consent Judgment represent a fair and equitable settlement of all matters raised by the allegations of the Complaint, that the matter has been diligently prosecuted and that the public interest is served by such settlement; and
- (2) Make the statutory findings required pursuant to Health and Safety Code section 25249.7, subdivision (f)(4), approve the settlement and approve this Consent Judgment.

IT IS SO STIPULATED.

Date: 7/12/17

CENTER FOR ADVANCED PUBLIC AWARENESS, INC.

1	Date: 7/12/17 SANTA CRUZ GENETICS, INC.	
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3	By://and	
4	Mark Edwards	
5	Chief Executive Officer APPROVED AS TO FORM:	
6	Date: 7 12 17	LP.
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8	Bu My Mocley	
	Matthew Maclear	
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10	Advanced Public Awareness, Inc.	
11	Date: ROBERTS & ELLIOT	
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	By: ////	
13	James Roberts	
14	Attorneys for Defendant SANTA CRUZ GENETICS, Inc.	
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17	ORDER AND JUDGMENT	
18	Based upon the Parties' stipulation, and good cause appearing therefor, this Consent Judgme	ent
19	is approved and Judgment is hereby entered according to its terms.	
20	IT IS SO ORDERED, ADJUDGED AND DECREED.	
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22	Date:	
23	Judge of the Superior Court	
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1	Date: 7/12/17	SANTA CRUZ GENETICS, INC.
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3		By://ank
4		Mark Edwards Chief Executive Officer
5	APPROVED AS TO FORM:	(SANOT ZANOGRAVO SANOGRA
6	Date:	AQUA TERRA AERIS LAW GROUP, LLP
7		
8		By: Matthew Maclear
9		Matthew Maclear Attorneys for Plaintiff Center for
	\sim	Advanced Public Awareness, Inc.
10	Date: 7-12-17	ROBERTS & ELLIOT
11		
12		By:
13		James Røberts
14		Attorneys for Defendant SANTA CRUZ GENETICS, Inc.
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16		
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22	Date:	
23		Judge of the Superior Court
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