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Equilibrium Nutrition Products LLC

SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF ALAMEDA

**ENVIRONMENTAL RESEARCH
CENTER, INC., a California non-profit
corporation**

Plaintiff,
vs.

**EQUILIBRIUM NUTRITION, LLC;
EQUILIBRIUM NUTRITION PRODUCTS
LLC; and DOES 1-100**

Defendants.

CASE NO. RG20062215

**STIPULATED CONSENT
JUDGMENT**

Health & Safety Code § 25249.5 *et seq.*

Action Filed: May 26, 2020
Trial Date: None set

1. INTRODUCTION

1.1 On May 26, 2020, Plaintiff Environmental Research Center, Inc. (“ERC”), a non-profit corporation, as a private enforcer and in the public interest, initiated this action by filing a Complaint for Injunctive and Declaratory Relief and Civil Penalties pursuant to the provisions of California Health and Safety Code section 25249.5 *et seq.* (“Proposition 65”),

1 against Equilibrium Nutrition, LLC and Equilibrium Nutrition Products LLC (collectively
2 “Equilibrium Nutrition”) and Does 1-100 alleging Proposition 65 violations in a number of
3 Equilibrium Nutrition’s nutritional supplement products. Subsequently, on July 2, 2020, a
4 First Amended Complaint (the operative Complaint referred to hereinafter as the “Complaint”) was
5 filed alleging Proposition 65 violations in an additional number of Equilibrium Nutrition’s
6 nutritional supplement products. In this action, ERC alleges that multiple products
7 manufactured, distributed, or sold by Equilibrium Nutrition contain lead, a chemical listed
8 under Proposition 65 as a carcinogen and reproductive toxin, and expose consumers to this
9 chemical at a level requiring a Proposition 65 warning. These products (referred to hereinafter
10 individually as a “Covered Product” or collectively as “Covered Products”) are: (1)
11 Equilibrium Daily Nutritional Support All-In-One Vitamin, Mineral & Protein Formula Pure
12 Chocolate, (2) Equilibrium Daily Fruit & Vegetable Blend Crisp Apple, (3) Equilibrium Daily
13 Fruit & Vegetable Blend Unflavored and Unsweetened, (4) Equilibrium Daily Nutritional
14 Support All-In-One Vitamin, Mineral & Protein Formula Natural Vanilla, (5) Equilibrium
15 Faster Way To Fat Loss Daily Fruit & Vegetable Blend Unflavored and Unsweetened, (6)
16 Equilibrium ParaPro Support Healthy Intestinal Formula, (7) Equilibrium Gluco Support, (8)
17 Equilibrium FM Detox Functional Detox Formula, (9) Equilibrium Healthy Belly, and (10)
18 Equilibrium Daily Fruit and Vegetable Blend.

19 **1.2** ERC and Equilibrium Nutrition are hereinafter referred to individually as a
20 “Party” or collectively as the “Parties.”

21 **1.3** ERC is a 501 (c)(3) California non-profit corporation dedicated to, among other
22 causes, helping safeguard the public from health hazards by reducing the use and misuse of
23 hazardous and toxic chemicals, facilitating a safe environment for consumers and employees,
24 and encouraging corporate responsibility.

25 **1.4** For purposes of this Stipulated Consent Judgment (the “Consent Judgment”), the
26 Parties agree that each defendant is a business entity each of which has employed ten or more
27 persons at all times relevant to this action, and qualifies as a “person in the course of doing
28 business” within the meaning of Proposition 65. Equilibrium Nutrition manufactures, distributes,

1 and/or sells the Covered Products.

2 **1.5** The Complaint is based on allegations contained in ERC's Notices of Violation
3 dated January 10, 2020 and April 30, 2020 that were served on the California Attorney
4 General, other public enforcers, and Equilibrium Nutrition (the "Notices"). True and correct
5 copies of the Notices dated January 10, 2020 and April 30, 2020 are attached hereto as
6 **Exhibits A and B**, respectively, and each is incorporated herein by reference. More than 60
7 days have passed since the Notices were served on the Attorney General, public enforcers, and
8 Equilibrium Nutrition, and no designated governmental entity has filed a complaint against
9 Equilibrium Nutrition with regard to the Covered Products or the alleged violations.

10 **1.6** ERC's Notices and Complaint allege that use of the Covered Products by
11 California consumers exposes them to lead without first receiving clear and reasonable
12 warnings, which ERC alleges is in violation of California Health and Safety Code section
13 25249.6. Equilibrium Nutrition denies all material allegations contained in the Notices and
14 Complaint.

15 **1.7** The Parties have entered into this Consent Judgment in order to settle,
16 compromise, and resolve disputed claims and thus avoid prolonged and costly litigation.
17 Nothing in this Consent Judgment nor compliance with this Consent Judgment shall constitute
18 or be construed as an admission by any of the Parties or by any of their respective officers,
19 directors, shareholders, employees, agents, parent companies, subsidiaries, divisions,
20 franchisees, licensees, customers, suppliers, distributors, wholesalers, or retailers of any fact,
21 issue of law, or violation of law.

22 **1.8** Except as expressly set forth herein, nothing in this Consent Judgment shall
23 prejudice, waive, or impair any right, remedy, argument, or defense the Parties may have in
24 any current or future legal proceeding unrelated to these proceedings.

25 **1.9** The Effective Date of this Consent Judgment is the date on which it is entered
26 as a Judgment by this Court.

27 **2. JURISDICTION AND VENUE**

28 For purposes of this Consent Judgment and any further court action that may become

1 necessary to enforce this Consent Judgment, the Parties stipulate that this Court has subject matter
2 jurisdiction over the allegations of violations contained in the Complaint, that personal jurisdiction
3 over Equilibrium Nutrition is proper as to the acts alleged in the Complaint, that venue is proper in
4 Alameda County, and that this Court has jurisdiction to enter this Consent Judgment as a full and
5 final resolution of all claims up through and including the Effective Date that were or could have
6 been asserted in this action based on the facts alleged in the Notices and Complaint.

7 **3. INJUNCTIVE RELIEF, REFORMULATION, TESTING AND WARNINGS**

8 **3.1** Beginning on the Effective Date (for internet warnings as described in Section
9 3.2) and on January 1, 2021 (the “Compliance Date”) (for all other warning methods described
10 in Section 3.2), Equilibrium Nutrition shall be enjoined from manufacturing for sale in the
11 State of California, “Distributing into the State of California,” or directly selling in the State of
12 California, any Covered Product that exposes a person to a “Daily Lead Exposure Level” of
13 more than 0.5 micrograms of lead per day unless it meets the warning requirements under
14 Section 3.2. Equilibrium Nutrition shall not be subject to further liability for Covered Products
15 sold to third parties without a warning prior to the Effective Date (for internet warnings) or
16 the Compliance Date (for all other warning methods).

17 **3.1.1** As used in this Consent Judgment, the term “Distributing into the State of
18 California” or “Distributed into the State of California” shall mean to directly ship a Covered
19 Product into California for sale in California or to sell a Covered Product to a distributor that
20 Equilibrium Nutrition knows or has reason to know will sell the Covered Product in California.

21 **3.1.2** For purposes of this Consent Judgment, the “Daily Lead Exposure Level”
22 shall be measured in micrograms, and shall be calculated using the following formula:
23 micrograms of lead per gram of product, multiplied by grams of product per serving of the
24 product (using the largest serving size appearing on the product label), multiplied by servings of
25 the product per day (using the largest number of recommended daily servings appearing on the
26 label), which equals micrograms of lead exposure per day, excluding, pursuant to Section 3.1.3,
27 amounts of allowances of lead in the ingredients listed in **Table 1** below. If the label contains no
28 recommended daily servings, then the number of recommended daily servings shall be one.

1 **3.1.3** In calculating the Daily Lead Exposure Level for a Covered Product,
2 Equilibrium Nutrition shall be allowed to deduct the amount of lead which is deemed “naturally
3 occurring” in any ingredient listed in **Table 1** that is contained in that Covered Product under the
4 following conditions: For each year that Equilibrium Nutrition claims entitlement to a “naturally
5 occurring” allowance, Equilibrium Nutrition shall provide ERC with the following information:
6 (a) Equilibrium Nutrition must produce to ERC a list of each ingredient in the Covered Product
7 for which a “naturally occurring” allowance is claimed; (b) Equilibrium Nutrition must provide
8 ERC with documentation of laboratory testing that complies with Sections 3.4.3 and 3.4.4 and
9 that shows the amount of lead, if any, contained in any ingredient listed in **Table 1** that is
10 contained in the Covered Product and for which Equilibrium Nutrition intends to deduct
11 “naturally occurring” lead; (c) If the laboratory testing reveals the presence of lead in any
12 ingredient listed in **Table 1** that is contained in the Covered Product, Equilibrium Nutrition shall
13 be entitled to deduct up to the full amount of the allowance for that ingredient, as listed in **Table**
14 **1**, but not to exceed the total amount of lead actually contained in that ingredient in the Covered
15 Product; and (d) If the Covered Product does not contain an ingredient listed in **Table 1**,
16 Equilibrium Nutrition shall not be entitled to a deduction for “naturally occurring” lead in the
17 Covered Product for that ingredient. The information required by Sections 3.1.4 (a) and (b) shall
18 be provided to ERC within thirty (30) days of the Effective Date or anniversary thereof for any
19 year that Equilibrium Nutrition shall claim entitlement to the “naturally occurring” allowance.

20 **TABLE 1**

21 INGREDIENT	22 ALLOWANCES OF AMOUNT OF LEAD
23 Calcium (Elemental)	Up to 0.8 micrograms/gram
24 Ferrous Fumarate	Up to 0.4 micrograms/gram
25 Zinc Oxide	Up to 8.0 micrograms/gram
26 Magnesium Oxide	Up to 0.4 micrograms/gram
27 Magnesium Carbonate	Up to 0.332 micrograms/gram
28 Magnesium Hydroxide	Up to 0.4 micrograms/gram

Zinc Gluconate	Up to 0.8 micrograms/gram
Potassium Chloride	Up to 1.1 micrograms/gram
Cocoa-powder	Up to 1.0 micrograms/gram

3.2 Clear and Reasonable Warnings

If Equilibrium Nutrition is required to provide a warning pursuant to Section 3.1, the following warning must be utilized (“Warning”):

WARNING: Consuming this product can expose you to chemicals including [lead] which is [are] known to the State of California to cause [cancer and] birth defects or other reproductive harm. For more information go to www.P65Warnings.ca.gov/food.

Equilibrium Nutrition shall use the phrase “cancer and” in the Warning if Equilibrium Nutrition has reason to believe that the the “Daily Lead Exposure Level” is greater than 15 micrograms of lead as determined pursuant to the quality control methodology set forth in Section 3.4 or if Equilibrium Nutrition has reason to believe that another Proposition 65 chemical is present in an amount requiring a cancer warning. As identified in the brackets, the warning shall reflect at least one Proposition 65 substance for each end point (cancer and/or reproductive harm) that is present in each of the Covered Products.

The Warning shall be (1) securely affixed to or printed upon the label of each Covered Product, (2) provided via a leaflet or flier, containing only the Warning and an identification of the associated Covered Product(s) (a representation on a leaflet or flier that all products in a shipment are associated with the Warning is compliant with this Consent Judgment so long as there is a reasonable basis for a Warning on all products in the shipment), with each online order of Covered Product shipped into California, or (3) provided by shelf tag for the Covered Product at each point of display of the Covered Product in brick and mortar locations. If the Warning is provided on the label, it shall be securely affixed to or printed upon the label of each Covered Product. The Warning on the label must be set off from other surrounding information and enclosed in a box and must be securely affixed using adhesives or printed upon the label. In addition, for any Covered Product sold over the internet to a California

1 address, the Warning shall appear on the product's primary display page or checkout page. If
2 the Warning is provided on the checkout page, it must be clearly linked to the Covered Product
3 by use of an asterisk or other identifying method. If the Warning is provided on the product's
4 primary display page, the Warning must be inserted either directly onto the product's primary
5 display page or with a conspicuous hyperlink stating "**WARNING**" in all capital and bold
6 letters so long as the hyperlink goes directly to a page prominently displaying the Warning
7 without content that detracts from the Warning. Given Equilibrium Nutrition's lack of control
8 over third-party websites, the warning requirements expressed in this Section apply only to
9 Covered Products sold through Equilibrium Nutrition's website so long as Equilibrium
10 Nutrition complies with the requirements of 27 CCR 25600.2 (in effect on the date of entry of
11 this Consent Judgment).

12 The Warning shall be at least the same size as the largest of any other health or safety
13 warnings also appearing on Equilibrium Nutrition's website or on the label of Equilibrium
14 Nutrition's Covered Products, and the word "**WARNING**" shall be in all capital letters and in
15 bold print. No statements intended to or likely to have the effect of diminishing the impact of the
16 Warning on the average lay person shall accompany the Warning. Further, no statements may
17 accompany the Warning that state or imply that the source of the listed chemical has an impact on
18 or results in a less harmful effect of the listed chemical.

19 Equilibrium Nutrition must display the above Warning with such conspicuousness, as
20 compared with other words, statements, or designs on the label, or on its website, if applicable, to
21 render the Warning likely to be read and understood by an ordinary individual under customary
22 conditions of purchase or use of the product.

23 For purposes of this Consent Judgment, the term "label" means a display of written,
24 printed or graphic material that is printed on or affixed to a Covered Product or its immediate
25 container or wrapper.

26 **3.3 Conforming Covered Products**

27 A Conforming Covered Product is a Covered Product for which the "Daily Lead Exposure
28 Level" is no greater than 0.5 micrograms of lead per day as determined by the exposure

methodology set forth in Section 3.1.2 and the quality control methodology described in Section 3.4, and that is not known by Equilibrium Nutrition to contain other chemicals that violate Proposition 65's safe harbor thresholds.

3.4 Testing and Quality Control Methodology

3.4.1 Beginning within one year of the Effective Date, Equilibrium Nutrition shall arrange for lead testing of the Covered Products at least once a year for a minimum of four consecutive years by arranging for testing of at least one randomly selected sample of each of the Covered Products, in the form intended for sale to the end-user, which Equilibrium Nutrition intends to sell or is manufacturing for sale in California, directly selling to a consumer in California, or "Distributing into the State of California." If tests conducted pursuant to this Section demonstrate that no Warning is required for a Covered Product during each of four consecutive years, then the testing requirements of this Section will no longer be required as to that Covered Product.

3.4.2 For purposes of measuring the "Daily Lead Exposure Level," the highest lead detection result of the randomly selected sample of the Covered Product will be controlling.

3.4.3 All testing pursuant to this Consent Judgment shall be performed using a laboratory method that complies with the performance and quality control factors appropriate for the method used, including limit of detection and limit of quantification, sensitivity, accuracy, and precision that meets the following criteria: Inductively Coupled Plasma-Mass Spectrometry ("ICP-MS") achieving a limit of quantification of less than or equal to 0.010 mg/kg.

3.4.4 All testing pursuant to this Consent Judgment shall be performed by an independent third-party laboratory certified by the California Environmental Laboratory Accreditation Program, an independent third-party laboratory that is registered with the United States Food & Drug Administration, or, if agreed upon in writing by the parties, a third-party laboratory with other substantially similar accreditation.

3.4.5 Nothing in this Consent Judgment shall limit Equilibrium Nutrition's ability to conduct, or require that others conduct, additional testing of the Covered Products,

1 including the raw materials used in their manufacture.

2 **3.4.6** Within thirty (30) days of ERC's written request, Equilibrium Nutrition
3 shall deliver lab reports obtained pursuant to Section 3.4 to ERC. Equilibrium Nutrition shall
4 retain all test results and documentation for a period of three years from the date of each test.
5 ERC shall treat all documents provided by Equilibrium Nutrition pursuant to this Section as
6 confidential.

7 **3.4.7** The testing requirements of Section 3.4 do not apply to any Covered
8 Product Distributed into the State of California for which Equilibrium Nutrition has provided the
9 Warning specified in Section 3.2 in a continuous and uninterrupted fashion since the Effective
10 Date (for internet warnings) or Compliance Date (for all other warning methods). However, in
11 the event Equilibrium Nutrition ceases to provide the Warning specified in Section 3.2 as to a
12 particular Covered Product, Equilibrium Nutrition shall be required to comply with the testing
13 requirements of this Section as to that Covered Product beginning immediately after the date the
14 Warning ceases to be provided or one year after the Effective Date, whichever date is later.

15 **3.4.8** During each yearly testing period required by Section 3.4.1, when the
16 required randomly selected testing for a Covered Product results in a "Daily Lead Exposure
17 Level" that is more than 0.5 micrograms of lead per day, Equilibrium Nutrition shall have the
18 right to test other lots of that Covered Product, from which lots no randomly selected samples
19 were tested pursuant to Section 3.4.1, to determine if those lots may be excepted from the
20 Warning requirements of Section 3.2 during that yearly testing period. For each such lot that is
21 tested, Equilibrium Nutrition shall test at least two randomly selected samples of the Covered
22 Product from that lot, and the highest lead detection result will be controlling in determining
23 the "Daily Lead Exposure Level." Lots that result in a "Daily Lead Exposure Level" of less
24 than .5 micrograms of lead per day may be sold in California without a Warning.

25 **4. SETTLEMENT PAYMENT**

26 **4.1** In full satisfaction of all potential civil penalties, additional settlement
27 payments, attorney's fees, and costs, Equilibrium Nutrition shall make a total payment of
28 \$50,000.00 ("Total Settlement Amount") to ERC according to the following payment schedule

1 (the "Periodic Payments"):

- 2 • Payment 1 -- \$25,000.00 within 5 business days of the Effective Date ("Due Date").
- 3 • Payment 2 -- \$25,000.00 within 35 days of the Effective Date ("Due Date").

4 Equilibrium Nutrition shall make the Periodic Payments by wire transfer to ERC's account, for
5 which ERC will give Equilibrium Nutrition the necessary account information. The Total
6 Settlement Amount shall be apportioned as follows:

7 **4.2** \$17,750.00 shall be considered a civil penalty pursuant to California Health and
8 Safety Code section 25249.7(b)(1). ERC shall remit 75% (\$13,312.50) of the civil penalty to
9 the Office of Environmental Health Hazard Assessment ("OEHHA") for deposit in the Safe
10 Drinking Water and Toxic Enforcement Fund in accordance with California Health and Safety
11 Code section 25249.12(c). ERC will retain the remaining 25% (\$4,437.50) of the civil penalty.

12 **4.3** \$5,664.36 shall be distributed to ERC as reimbursement to ERC for reasonable
13 costs incurred in bringing this action.

14 **4.4** \$13,339.74 shall be distributed to ERC as an Additional Settlement Payment
15 ("ASP"), pursuant to California Code of Regulations, title 11, sections 3203, subdivision (d)
16 and 3204. ERC will utilize the ASP for activities that address the same public harm as
17 allegedly caused by Defendant in this matter. These activities are detailed below and support
18 ERC's overarching goal of reducing and/or eliminating hazardous and toxic chemicals in
19 dietary supplement products in California. ERC's activities have had, and will continue to
20 have, a direct and primary effect within the State of California because California consumers
21 will be benefitted by the reduction and/or elimination of exposure to lead in dietary
22 supplements and/or by providing clear and reasonable warnings to California consumers prior
23 to ingestion of the products.

24 Based on a review of past years' actual budgets, ERC is providing the following list of
25 activities ERC engages in to protect California consumers through Proposition 65 citizen
26 enforcement, along with a breakdown of how ASP funds will be utilized to facilitate those
27 activities: (1) ENFORCEMENT (65-80%): obtaining, shipping, analyzing, and testing dietary
28 supplement products that may contain lead and are sold to California consumers. This work

1 includes continued monitoring and enforcement of past consent judgments and settlements to
2 ensure companies are in compliance with their obligations thereunder, with a specific focus on
3 those judgments and settlements concerning lead. This work also includes investigation of new
4 companies that ERC does not obtain any recovery through settlement or judgment; (2)
5 VOLUNTARY COMPLIANCE PROGRAM (10-20%): maintaining ERC's Voluntary
6 Compliance Program by acquiring products from companies, developing and maintaining a
7 case file, testing products from these companies, providing the test results and supporting
8 documentation to the companies, and offering guidance in warning or implementing a self-
9 testing program for lead in dietary supplement products; and (3) "GOT LEAD" PROGRAM
10 (up to 5%): maintaining ERC's "Got Lead?" Program which reduces the numbers of
11 contaminated products that reach California consumers by providing access to free testing for
12 lead in dietary supplement products (Products submitted to the program are screened for
13 ingredients which are suspected to be contaminated, and then may be purchased by ERC,
14 catalogued, sent to a qualified laboratory for testing, and the results shared with the consumer
15 that submitted the product).

16 ERC shall be fully accountable in that it will maintain adequate records to document
17 and will be able to demonstrate how the ASP funds will be spent and can assure that the funds
18 are being spent only for the proper, designated purposes described in this Consent Judgment.
19 ERC shall provide the Attorney General, within thirty days of any request, copies of
20 documentation demonstrating how such funds have been spent.

21 **4.5** \$13,245.90 shall be distributed to ERC for its in-house legal fees. Except as
22 explicitly provided herein, each Party shall bear its own fees and costs.

23 **4.6** In the event that Equilibrium Nutrition fails to remit, in full, either of the
24 Periodic Payments owed under Section 4 of this Consent Judgment on or before the applicable
25 Due Date, Equilibrium Nutrition shall be deemed to be in material breach of its obligations
26 under this Consent Judgment. ERC shall provide written notice of the delinquency to
27 Equilibrium Nutrition via electronic mail. If Equilibrium Nutrition fails to deliver the
28 delinquent payment within five (5) days from the date of its receipt of the written notice, the

1 Total Settlement Amount, less any amounts previously paid to ERC, shall be immediately due
2 and owing and shall accrue interest at the statutory judgment interest rate provided in
3 California Code of Civil Procedure section 685.010. Additionally, Equilibrium Nutrition
4 agrees to pay ERC's reasonable attorney's fees and costs for any efforts to collect the payment
5 due under this Consent Judgment.

6 **5. MODIFICATION OF CONSENT JUDGMENT**

7 **5.1** This Consent Judgment may be modified only as to injunctive terms (i) by
8 written stipulation of the Parties and upon entry by the Court of a modified consent judgment
9 or (ii) by motion of either Party pursuant to Section 5.3 and upon entry by the Court of a
10 modified consent judgment.

11 **5.2** If Equilibrium Nutrition seeks to modify this Consent Judgment under Section
12 5.1, then Equilibrium Nutrition must provide written notice to ERC of its intent ("Notice of
13 Intent"). If ERC seeks to meet and confer regarding the proposed modification in the Notice of
14 Intent, then ERC must provide written notice to Equilibrium Nutrition within thirty (30) days of
15 receiving the Notice of Intent. If ERC notifies Equilibrium Nutrition in a timely manner of
16 ERC's intent to meet and confer, then the Parties shall meet and confer in good faith as
17 required in this Section. The Parties shall meet in person or via telephone within thirty (30)
18 days of ERC's notification of its intent to meet and confer. Within thirty (30) days of such
19 meeting, if ERC disputes the proposed modification, ERC shall provide to Equilibrium
20 Nutrition a written basis for its position. The Parties shall continue to meet and confer for an
21 additional thirty (30) days in an effort to resolve any remaining disputes. Should it become
22 necessary, the Parties may agree in writing to different deadlines for the meet-and-confer
23 period.

24 **5.3** In the event that Equilibrium Nutrition initiates or otherwise requests a
25 modification under Section 5.1, and the meet and confer process leads to a joint motion or
26 application for a modification of the Consent Judgment, Equilibrium Nutrition shall reimburse
27 ERC its costs and reasonable attorney's fees for the time spent in the meet-and-confer process
28 and filing and arguing the motion or application.

1 **6. RETENTION OF JURISDICTION, ENFORCEMENT OF CONSENT**
2 **JUDGMENT**

3 **6.1** This Court shall retain jurisdiction of this matter to enforce, modify, or
4 terminate this Consent Judgment.

5 **6.2** If ERC alleges that any Covered Product fails to qualify as a Conforming
6 Covered Product (for which ERC alleges that no Warning has been provided), then ERC shall
7 inform Equilibrium Nutrition in a reasonably prompt manner of its test results, including
8 information sufficient to permit Equilibrium Nutrition to identify the Covered Products at issue.
9 Equilibrium Nutrition shall, within thirty (30) days following such notice, provide ERC with
10 testing information from an independent third-party laboratory meeting the requirements of
11 Sections 3.4.3 and 3.4.4, demonstrating Equilibrium Nutrition's compliance with the Consent
12 Judgment. The Parties shall first attempt to resolve the matter prior to ERC taking any further
13 legal action, if warranted.

14 **7. APPLICATION OF CONSENT JUDGMENT**

15 This Consent Judgment may apply to, be binding upon, and benefit the Parties and their
16 respective officers, directors, shareholders, employees, agents, parent companies, subsidiaries,
17 divisions, franchisees, licensees, customers (excluding private labelers), distributors, wholesalers,
18 retailers, predecessors, successors, and assigns. This Consent Judgment shall have no
19 application to any Covered Product that is distributed or sold exclusively outside the State of
20 California and that is not used by California consumers.

21 **8. BINDING EFFECT, CLAIMS COVERED AND RELEASED**

22 **8.1** This Consent Judgment is a full, final, and binding resolution between ERC,
23 on behalf of itself and in the public interest, and Equilibrium Nutrition and its respective
24 officers, directors, shareholders, employees, agents, parent companies, subsidiaries, divisions,
25 suppliers, franchisees, licensees, customers (not including private label customers of
26 Equilibrium Nutrition), distributors, wholesalers, retailers, and all other upstream and
27 downstream entities in the distribution chain of any Covered Product, and the predecessors,
28 successors, and assigns of any of them (collectively, "Released Parties"). ERC, on behalf of

1 itself and in the public interest, hereby fully releases and discharges the Released Parties from
2 any and all claims, actions, causes of action, suits, demands, liabilities, damages, penalties,
3 fees, costs, and expenses asserted, or that could have been asserted from the handling, use, or
4 consumption of the Covered Products, as to any alleged violation of Proposition 65 or its
5 implementing regulations arising from the failure to provide Proposition 65 warnings on
6 Covered Products placed into the stream of commerce up to and including the Effective Date
7 (for internet warnings) or Compliance Date (for all other warning methods).

8 **8.2** ERC on its own behalf only, and Equilibrium Nutrition on its own behalf
9 only, further waive and release any and all claims they may have against each other for all
10 actions or statements made or undertaken in the course of seeking or opposing enforcement of
11 Proposition 65 in connection with the Notices and Complaint up through and including the
12 Effective Date, provided, however, that nothing in Section 8 shall affect or limit any Party's
13 right to seek to enforce the terms of this Consent Judgment.

14 **8.3** It is possible that other claims not known to the Parties, arising out of the facts
15 alleged in the Notices and Complaint, and relating to the Covered Products, will develop or be
16 discovered, including Covered Products that were sold to third-party distributors and/or
17 retailers, without a warning, prior to the Effective Date (for internet warnings) or Compliance
18 Date (for all other warning methods). ERC on behalf of itself only, and Equilibrium Nutrition
19 on behalf of itself only, acknowledge that this Consent Judgment is expressly intended to cover
20 and include all such claims up through and including the Effective Date, including all rights of
21 action arising therefrom. The Parties acknowledge that the claims released in Sections 8.1 and
22 8.2 above may include unknown claims, and nevertheless waive California Civil Code section
23 1542 as to any such unknown claims. California Civil Code section 1542 reads as follows:

24 A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE
25 CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO
26 EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE
27 AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY
28 AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED
PARTY.

ERC on behalf of itself only, and Equilibrium Nutrition on behalf of itself only, acknowledge

1 and understand the significance and consequences of this specific waiver of California Civil
2 Code section 1542.

3 **8.4** Compliance with the terms of this Consent Judgment shall be deemed to
4 constitute compliance with Proposition 65 by any releasee regarding alleged exposures to lead
5 in the Covered Products as set forth in the Notices and Complaint.

6 **8.5** Nothing in this Consent Judgment is intended to apply to any of Equilibrium
7 Nutrition's products other than the Covered Products.

8 **9. SEVERABILITY OF UNENFORCEABLE PROVISIONS**

9 In the event that any of the provisions of this Consent Judgment are held by a court to be
10 unenforceable, the validity of the remaining enforceable provisions shall not be adversely
11 affected.

12 **10. GOVERNING LAW**

13 The terms and conditions of this Consent Judgment shall be governed by and construed in
14 accordance with the laws of the State of California.

15 **11. PROVISION OF NOTICE**

16 All notices required to be given to either Party to this Consent Judgment by the other shall
17 be in writing and sent to the following agents listed below via first-class mail or via electronic
18 mail where required. Courtesy copies via email may also be sent.

19 **FOR ENVIRONMENTAL RESEARCH CENTER, INC.:**

20 Chris Heptinstall, Executive Director, Environmental Research Center
21 3111 Camino Del Rio North, Suite 400
22 San Diego, CA 92108
23 Ph: (619) 500-3090
24 Email: chris.heptinstall@erc501c3.org

25 With a copy to:
26 Charles W. Poss
27 Environmental Research Center, Inc.
28 3111 Camino Del Rio North, Suite 400
San Diego, CA 92108
Ph: (619) 500-3090
Fax: (706) 858-0326
Email: charles.poss@erc501c3.org

1 **FOR EQUILIBRIUM NUTRITION, LLC;**
2 **EQUILIBRIUM NUTRITION PRODUCTS LLC.:**

3 Stephen Cabral, Manager
4 581 Boylston Street, Unit 700A
5 Boston, MA 02116
6 Email: drcabral@cabralwellnessinstitute.com
7 Ph: 888-348-8890

8 With a copy to:
9 Willis M. Wagner
10 Greenberg Traurig, LLP
11 1201 K Street, Suite 1100
12 Sacramento, CA 95814
13 Ph: (916) 868-0655
14 Fax: (916) 448-1709
15 Email: wagnerw@gtlaw.com

16 **12. COURT APPROVAL**

17 **12.1** Upon execution of this Consent Judgment by the Parties, ERC shall notice a
18 Motion for Court Approval. The Parties shall use their best efforts to support entry of this
19 Consent Judgment.

20 **12.2** If the California Attorney General objects to any term in this Consent Judgment,
21 the Parties shall use their best efforts to resolve the concern in a timely manner, and if possible
22 prior to the hearing on the Motion for Court Approval.

23 **12.3** If this Consent Judgment is not approved by the Court, it shall be void and have
24 no force or effect.

25 **13. EXECUTION AND COUNTERPARTS**

26 This Consent Judgment may be executed in counterparts, which taken together shall be
27 deemed to constitute one document. A facsimile or .pdf signature shall be construed to be as valid
28 as the original signature.

1 **14. DRAFTING**

2 The terms of this Consent Judgment have been reviewed by the respective counsel for
3 each Party prior to its signing, and each Party has had an opportunity to fully discuss the terms
4 and conditions with legal counsel. The Parties agree that, in any subsequent interpretation and

1 construction of this Consent Judgment, no inference, assumption, or presumption shall be drawn,
2 and no provision of this Consent Judgment shall be construed against any Party, based on the fact
3 that one of the Parties and/or one of the Parties' legal counsel prepared and/or drafted all or any
4 portion of the Consent Judgment. It is conclusively presumed that all of the Parties participated
5 equally in the preparation and drafting of this Consent Judgment.

6 **15. GOOD FAITH ATTEMPT TO RESOLVE DISPUTES**

7 If a dispute arises with respect to either Party's compliance with the terms of this Consent
8 Judgment entered by the Court, the Parties shall meet and confer in person, by telephone, and/or
9 in writing and endeavor to resolve the dispute in an amicable manner. No action or motion may
10 be filed in the absence of such a good faith attempt to resolve the dispute beforehand.

11 **16. ENFORCEMENT**

12 ERC may, by motion or order to show cause before the Superior Court of Alameda
13 County, enforce the terms and conditions contained in this Consent Judgment. In any action
14 brought by ERC to enforce this Consent Judgment, ERC may seek whatever fines, costs,
15 penalties, or remedies as are provided by law for failure to comply with the Consent Judgment.
16 To the extent the failure to comply with the Consent Judgment constitutes a violation of
17 Proposition 65 or other laws, ERC shall not be limited to enforcement of this Consent
18 Judgment, but may seek in another action whatever fines, costs, penalties, or remedies as are
19 provided by law for failure to comply with Proposition 65 or other laws.

20 **17. ENTIRE AGREEMENT, AUTHORIZATION**

21 **17.1** This Consent Judgment contains the sole and entire agreement and
22 understanding of the Parties with respect to the entire subject matter herein, including any and
23 all prior discussions, negotiations, commitments, and understandings related thereto. No
24 representations, oral or otherwise, express or implied, other than those contained herein have
25 been made by any Party. No other agreements, oral or otherwise, unless specifically referred to
26 herein, shall be deemed to exist or to bind any Party.

27 **17.2** Each signatory to this Consent Judgment certifies that he or she is fully
28 authorized by the Party he or she represents to stipulate to this Consent Judgment.

18. REQUEST FOR FINDINGS, APPROVAL OF SETTLEMENT AND ENTRY OF
CONSENT JUDGMENT

This Consent Judgment has come before the Court upon the request of the Parties. The Parties request the Court to fully review this Consent Judgment and, being fully informed regarding the matters which are the subject of this action, to:

(1) Find that the terms and provisions of this Consent Judgment represent a fair and equitable settlement of all matters raised by the allegations of the Complaint, that the matter has been diligently prosecuted, and that the public interest is served by such settlement; and

(2) Make the findings pursuant to California Health and Safety Code section 25249.7(f)(4), approve the Settlement, and approve this Consent Judgment.

IT IS SO STIPULATED:

Dated: 8/6/2020

ENVIRONMENTAL RESEARCH
CENTER, INC.

By: 
Chris Hepburn, Executive Director

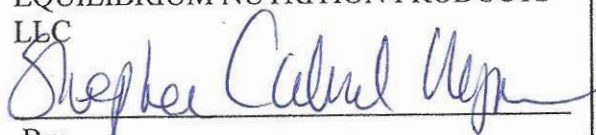
Dated: 8/6/2020

EQUILIBRIUM NUTRITION, LLC

By: 
Its:

Dated: 8/6/2020

EQUILIBRIUM NUTRITION PRODUCTS
LLC

By: 
Its:

///

///

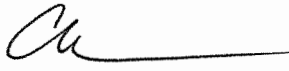
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1 **APPROVED AS TO FORM:**


2 Dated: August 6, 2020

ENVIRONMENTAL RESEARCH
CENTER, INC.

3
4 By: 
5 Charles W. Poss
6 In-House Counsel

7
8 Dated: August 10, 2020

GREENBERG TRAURIG, LLP

9
10 By: 
11 Willis M. Wagner
12 Attorney for Defendants Equilibrium
13 Nutrition, LLC and Equilibrium Nutrition
14 Products LLC

15
16 **ORDER AND JUDGMENT**

17 Based upon the Parties' Stipulation, and good cause appearing, this Consent Judgment is
18 approved and Judgment is hereby entered according to its terms.

19 IT IS SO ORDERED, ADJUDGED AND DECREED.

20
21 Dated: _____, 2020

Judge of the Superior Court

EXHIBIT A



Environmental Research Center

3111 Camino Del Rio North, Suite 400

San Diego, CA 92108

619-500-3090

January 10, 2020

NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violators and the Appropriate Public Enforcement Agencies:

I am the Executive Director of Environmental Research Center, Inc. (“ERC”). ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

ERC has identified violations of California’s Safe Drinking Water and Toxic Enforcement Act of 1986 (“Proposition 65”), which is codified at California Health & Safety Code §25249.5 *et seq.*, with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violators identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violators and the appropriate public enforcement agencies. Pursuant to Section 25249.7(d) of the statute, ERC intends to file a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

General Information about Proposition 65. A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is attached with the copy of this letter served to the alleged Violators identified below.

Alleged Violators. The names of the companies covered by this notice that violated Proposition 65 (hereinafter the “Violators”) are:

Equilibrium Nutrition, LLC
Equilibrium Nutrition Products LLC

Consumer Products and Listed Chemical. The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

- 1. Equilibrium Daily Nutritional Support All-In-One Vitamin, Mineral & Protein Formula Pure Chocolate - Lead**

2. **Equilibrium Daily Fruit & Vegetable Blend Crisp Apple - Lead**
3. **Equilibrium Daily Fruit & Vegetable Blend Unflavored and Unsweetened - Lead**
4. **Equilibrium Daily Nutritional Support All-In-One Vitamin, Mineral & Protein Formula Natural Vanilla - Lead**
5. **Equilibrium Faster Way To Fat Loss Daily Fruit & Vegetable Blend Unflavored and Unsweetened - Lead**
6. **Equilibrium ParaPro Support Healthy Intestinal Formula - Lead**
7. **Equilibrium Gluco Support - Lead**

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

It should be noted that ERC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

Route of Exposure. The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to this chemical has been and continues to be through ingestion.

Approximate Time Period of Violations. Ongoing violations have occurred every day since at least January 10, 2017, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until this known toxic chemical is either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemical. The method of warning should be a warning that appears on the product label. The Violators violated Proposition 65 because they failed to provide persons ingesting these products with appropriate warnings that they are being exposed to this chemical.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, ERC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violators to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemical, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemical, as well as an expensive and time-consuming litigation.

January 10, 2020

Page 3

Please direct all questions concerning this notice to ERC at the above listed address and telephone number.

Sincerely,



Chris Heptinstall
Executive Director
Environmental Research Center

Attachments

Certificate of Merit

Certificate of Service

OEHHA Summary (to Equilibrium Nutrition, LLC, Equilibrium Nutrition Products LLC
and their Registered Agents for Service of Process only)

Additional Supporting Information for Certificate of Merit (to AG only)

CERTIFICATE OF MERIT

**Re: Environmental Research Center, Inc.'s Notice of Proposition 65 Violations by
Equilibrium Nutrition, LLC and Equilibrium Nutrition Products LLC**

I, Chris Heptinstall, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged the parties identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.

2. I am the Executive Director for the noticing party.

3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.

4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute.

5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.



Dated: January 10, 2020

Chris Heptinstall

CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On January 10, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: **NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; “THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY”** on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties listed below and depositing it in a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Current President or CEO
Equilibrium Nutrition, LLC
540 Tremont St, Ste 9
Boston, MA 02116

Stephen Cabral
(Registered Agent for Equilibrium Nutrition
Products LLC)
581 Boylston St, Ste 602BC
Boston, MA 02116

Current President or CEO
Equilibrium Nutrition Products LLC
540 Tremont St, Ste 9
Boston, MA 02116

United States Corporation Agents Inc.
(Registered Agent for Equilibrium Nutrition, LLC)
9900 Corporate Campus Dr, Ste 3000
Louisville, KY 40223

Current President or CEO
Equilibrium Nutrition, LLC
581 Boylston St, Ste 602BC
Boston, MA 02116

Michael B. Cabral, Esq.
(Registered Agent for Equilibrium Nutrition, LLC)
6 Beacon St, Ste 215
Boston, MA 02108

Current President or CEO
Equilibrium Nutrition Products LLC
581 Boylston St, Ste 602BC
Boston, MA 02116

On January 10, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1)** were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General’s website, which can be accessed at <https://oag.ca.gov/prop65/add-60-day-notice> :

Office of the California Attorney General
Prop 65 Enforcement Reporting
1515 Clay Street, Suite 2000
Post Office Box 70550
Oakland, CA 94612-0550

On January 10, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT** were served on the following parties when a true and correct copy thereof was sent via electronic mail to each of the parties listed below:

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

January 10, 2020

Page 6

Nancy O'Malley, District Attorney
Alameda County
7677 Oakport Street, Suite 650 Oakland,
CA 94621
CEPDProp65@acgov.org

Barbara Yook, District Attorney
Calaveras County
891 Mountain Ranch Road
San Andreas, CA 95249
Prop65Env@co.calaveras.ca.us

Stacey Grassini, Deputy District Attorney
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Martinez, CA 94553
sgrassini@contracostada.org

Thomas L. Hardy, District Attorney
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Independence, CA 93526
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Michelle Latimer, Program Coordinator
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Susanville, CA 96130
mlatimer@co.lassen.ca.us

Dije Ndreu, Deputy District Attorney
Monterey County
1200 Aguajito Road
Monterey, CA 93940
Prop65DA@co.monterey.ca.us

Allison Haley, District Attorney
Napa County
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Napa, CA 94559
CEPD@countyofnapa.org

Paul E. Zellerbach, District Attorney
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Riverside, CA 92501
Prop65@rivcoda.org

Anne Marie Schubert, District Attorney
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901 G Street
Sacramento, CA 95814
Prop65@sacda.org

Mark Ankorn, Deputy City Attorney
San Diego City Attorney
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San Diego, CA 92101
CityAttyProp65@sandiego.gov

Gregory Alker, Assistant District Attorney
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San Francisco, CA 94103
gregory.alker@sfgov.org

Valerie Lopez, Deputy City Attorney
San Francisco City Attorney
1390 Market Street, 7th Floor
San Francisco, CA 94102
Valerie.Lopez@sfcityatty.org

Tori Verber Salazar, District Attorney
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222 E. Weber Avenue, Room 202
Stockton, CA 95202
DAConsumer.Environmental@sjcda.org

Eric J. Dobroth, Deputy District Attorney
San Luis Obispo County
County Government Center Annex, 4th Floor
San Luis Obispo, CA 93408
edobroth@co.slo.ca.us

Christopher Dalbey, Deputy District Attorney
Santa Barbara County
1112 Santa Barbara Street
Santa Barbara, CA 93101
DAProp65@co.santa-barbara.ca.us

Bud Porter, Supervising Deputy District Attorney
Santa Clara County
70 W Hedding St
San Jose, CA 95110
EPU@da.sccgov.org

Jeffrey S. Rosell, District Attorney
Santa Cruz County
701 Ocean Street
Santa Cruz, CA 95060
Prop65DA@santacruzcounty.us

Stephan R. Passalacqua, District Attorney
Sonoma County
600 Administration Dr
Sonoma, CA 95403
jbarnes@sonoma-county.org

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

January 10, 2020

Page 7

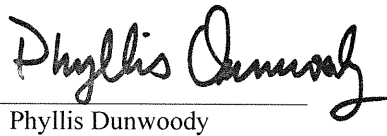
Phillip J. Cline, District Attorney
Tulare County
221 S Mooney Blvd
Visalia, CA 95370
Prop65@co.tulare.ca.us

Gregory D. Totten, District Attorney
Ventura County
800 S Victoria Ave
Ventura, CA 93009
daspecialops@ventura.org

Jeff W. Reisig, District Attorney
Yolo County
301 Second Street
Woodland, CA 95695
cfepd@yolocounty.org

On January 10, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents:
NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.;
CERTIFICATE OF MERIT on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it with the U.S. Postal Service with the postage fully prepaid for delivery by First Class Mail.

Executed on January 10, 2020, in Fort Oglethorpe, Georgia.



Phyllis Dunwoody

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

January 10, 2020

Page 8

Service List

District Attorney, Alpine
County
P.O. Box 248
Markleeville, CA 96120

District Attorney, Amador
County
708 Court Street, Suite 202
Jackson, CA 95642

District Attorney, Butte
County
25 County Center Drive, Suite
245
Oroville, CA 95965

District Attorney, Colusa
County
346 Fifth Street Suite 101
Colusa, CA 95932

District Attorney, Del Norte
County
450 H Street, Room 171
Crescent City, CA 95531

District Attorney, El Dorado
County
778 Pacific St.
Placerville, CA 95667

District Attorney, Fresno
County
2220 Tulare Street, Suite 1000
Fresno, CA 93721

District Attorney, Glenn
County
Post Office Box 430
Willows, CA 95988

District Attorney, Humboldt
County
825 5th Street 4th Floor
Eureka, CA 95501

District Attorney, Imperial
County
940 West Main Street, Ste 102
El Centro, CA 92243

District Attorney, Kern County
1215 Truxtun Avenue
Bakersfield, CA 93301

District Attorney, Kings
County
1400 West Lacey Boulevard
Hanford, CA 93230

District Attorney, Lake County
255 N. Forbes Street
Lakeport, CA 95453

District Attorney, Los Angeles
County
Hall of Justice
211 West Temple St., Ste 1200
Los Angeles, CA 90012

District Attorney, Madera
County
209 West Yosemite Avenue
Madera, CA 93637

District Attorney, Marin
County
3501 Civic Center Drive,
Room 130
San Rafael, CA 94903

District Attorney, Mariposa
County
Post Office Box 730
Mariposa, CA 95338

District Attorney, Mendocino
County
Post Office Box 1000
Ukiah, CA 95482

District Attorney, Merced
County
550 W. Main Street
Merced, CA 95340

District Attorney, Modoc
County
204 S Court Street, Room 202
Alturas, CA 96101-4020

District Attorney, Mono
County
Post Office Box 617
Bridgeport, CA 93517

District Attorney, Nevada
County
201 Commercial Street
Nevada City, CA 95959

District Attorney, Orange
County
401 West Civic Center Drive
Santa Ana, CA 92701

District Attorney, Placer
County
10810 Justice Center Drive,
Ste 240
Roseville, CA 95678

District Attorney, Plumas
County
520 Main Street, Room 404
Quincy, CA 95971

District Attorney, San Benito
County
419 Fourth Street, 2nd Floor
Hollister, CA 95023

District Attorney, San
Bernardino County
303 West Third Street
San Bernadino, CA 92415

District Attorney, San Diego
County
330 West Broadway, Suite
1300
San Diego, CA 92101

District Attorney, San Mateo
County
400 County Ctr., 3rd Floor
Redwood City, CA 94063

District Attorney, Shasta
County
1355 West Street
Redding, CA 96001

District Attorney, Sierra
County
100 Courthouse Square, 2nd
Floor
Downieville, CA 95936

District Attorney, Siskiyou
County
Post Office Box 986
Yreka, CA 96097

District Attorney, Solano
County
675 Texas Street, Ste 4500
Fairfield, CA 94533

District Attorney, Stanislaus
County
832 12th Street, Ste 300
Modesto, CA 95354

District Attorney, Sutter
County
463 2nd Street
Yuba City, CA 95991

District Attorney, Tehama
County
Post Office Box 519
Red Bluff, CA 96080

District Attorney, Trinity
County
Post Office Box 310
Weaverville, CA 96093

District Attorney, Tuolumne
County
423 N. Washington Street
Sonora, CA 95370

District Attorney, Yuba
County
215 Fifth Street, Suite 152
Marysville, CA 95901

Los Angeles City Attorney's
Office
City Hall East
200 N. Main Street, Suite 800
Los Angeles, CA 90012

San Jose City Attorney's
Office
200 East Santa Clara Street,
16th Floor
San Jose, CA 95113

APPENDIX A

OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as “Proposition 65”). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: <http://oehha.ca.gov/prop65/law/P65law72003.html>. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.¹ These implementing regulations are available online at: <http://oehha.ca.gov/prop65/law/P65Regs.html>.

WHAT DOES PROPOSITION 65 REQUIRE?

The “Proposition 65 List.” Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

¹ All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: <http://www.oehha.ca.gov/prop65/law/index.html>.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: http://www.oehha.ca.gov/prop65/prop65_list/Newlist.html.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

Clear and reasonable warnings. A business is required to warn a person before “knowingly and intentionally” exposing that person to a listed chemical unless an exemption applies. The warning given must be “clear and reasonable.” This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

Prohibition from discharges into drinking water. A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (<http://www.oehha.ca.gov/prop65/law/index.html>) to determine all applicable exemptions, the most common of which are the following:

Grace Period. Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

Governmental agencies and public water utilities. All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

Businesses with nine or fewer employees. Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

Exposures that pose no significant risk of cancer. For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses “no significant risk.” This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific “No Significant Risk Levels” (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures that will produce no observable reproductive effect at 1,000 times the level in question. For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the “no observable effect level” divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures to Naturally Occurring Chemicals in Food. Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant² it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a “significant amount” of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a “significant amount” of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A “significant amount” means any detectable amount, except an amount that would meet the “no significant risk” level for chemicals that cause cancer or that is 1,000 times below the “no observable effect” level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

² See Section 25501(a)(4).

HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at:
<http://oehha.ca.gov/prop65/law/p65law72003.html>.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at
P65Public.Comments@oehha.ca.gov.

Revised: May 2017

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.

EXHIBIT B



Environmental Research Center

3111 Camino Del Rio North, Suite 400
San Diego, CA 92108
619-500-3090

April 30, 2020

NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violators and the Appropriate Public Enforcement Agencies:

I am the Executive Director of Environmental Research Center, Inc. (“ERC”). ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

ERC has identified violations of California’s Safe Drinking Water and Toxic Enforcement Act of 1986 (“Proposition 65”), which is codified at California Health & Safety Code §25249.5 *et seq.*, with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violators identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violators and the appropriate public enforcement agencies. Pursuant to Section 25249.7(d) of the statute, ERC intends to file a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

General Information about Proposition 65. A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is attached with the copy of this letter served to the alleged Violators identified below.

Alleged Violators. The names of the companies covered by this notice that violated Proposition 65 (hereinafter the “Violators”) are:

Equilibrium Nutrition, LLC
Equilibrium Nutrition Products LLC

Consumer Products and Listed Chemical. The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

- 1. Equilibrium FM Detox Functional Detox Formula – Lead**
- 2. Equilibrium Healthy Belly - Lead**
- 3. Equilibrium Daily Fruit and Vegetable Blend - Lead**

April 30, 2020

Page 2

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

It should be noted that ERC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

Route of Exposure. The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to this chemical has been and continues to be through ingestion.

Approximate Time Period of Violations. Ongoing violations have occurred every day since at least April 30, 2017, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until this known toxic chemical is either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemical. The method of warning should be a warning that appears on the product label. The Violators violated Proposition 65 because they failed to provide persons ingesting these products with appropriate warnings that they are being exposed to this chemical.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, ERC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violator to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemical, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemical, as well as an expensive and time-consuming litigation.

Please direct all questions concerning this notice to ERC at the above listed address and telephone number.

Sincerely,



Chris Heptinstall
Executive Director
Environmental Research Center

Attachments

Certificate of Merit
Certificate of Service
OEHHA Summary (to Equilibrium Nutrition, LLC, Equilibrium Nutrition Products LLC, and their Registered Agents for Service of Process only)
Additional Supporting Information for Certificate of Merit (to AG only)

CERTIFICATE OF MERIT

**Re: Environmental Research Center, Inc.'s Notice of Proposition 65 Violations by
Equilibrium Nutrition, LLC and Equilibrium Nutrition Products LLC**

I, Chris Heptinstall, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged the parties identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.

2. I am the Executive Director for the noticing party.

3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.

4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute.

5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.



Dated: April 30, 2020

Chris Heptinstall

CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On April 30, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents: **NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; “THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY”** were served on the following party when a true and correct copy thereof was sent via electronic mail to the party listed below, through its attorney pursuant to agreement:

Equilibrium Nutrition, LLC and
Equilibrium Nutrition Products LLC
c/o Joanne M. Gray
Goodwin Procter LLP
The New York Times Building
620 Eighth Avenue
New York, NY 10018
Ph: (212) 813-8800
Email: jgray@goodwinlaw.com

On April 30, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1)** were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General’s website, which can be accessed at <https://oag.ca.gov/prop65/add-60-day-notice> :

Office of the California Attorney General
Prop 65 Enforcement Reporting
1515 Clay Street, Suite 2000
Post Office Box 70550
Oakland, CA 94612-0550

On April 30, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT** were served on the following parties when a true and correct copy thereof was sent via electronic mail to each of the parties listed below:

Nancy O’Malley, District Attorney
Alameda County
7677 Oakport Street, Suite 650
Oakland, CA 94621
CEPDProp65@acgov.org

Stacey Grassini, Deputy District Attorney
Contra Costa County
900 Ward Street
Martinez, CA 94553
sgrassini@contracostada.org

Barbara Yook, District Attorney
Calaveras County
891 Mountain Ranch Road
San Andreas, CA 95249
Prop65Env@co.calaveras.ca.us

Thomas L. Hardy, District Attorney
Inyo County
168 North Edwards Street
Independence, CA 93526
inyoda@inyocounty.us

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

April 30, 2020

Page 5

Michelle Latimer, Program Coordinator
Lassen County
220 S. Lassen Street
Susanville, CA 96130
mlatimer@co.lassen.ca.us

Jeannine M. Pacioni, District Attorney
Monterey County
1200 Aguajito Road
Monterey, CA 93940
Prop65DA@co.monterey.ca.us

Gary Lieberstein, District Attorney
Napa County
931 Parkway Mall
Napa, CA 94559
CEPD@countyofnapa.org

Paul E. Zellerbach, District Attorney
Riverside County
3072 Orange Street
Riverside, CA 92501
Prop65@rivcoda.org

Anne Marie Schubert, District Attorney
Sacramento County
901 G Street
Sacramento, CA 95814
Prop65@sacda.org

Summer Stephan, District Attorney
San Diego County
330 West Broadway
San Diego, CA 92101
SanDiegoDAProp65@sdca.org

Mark Ankorn, Deputy City Attorney
San Diego City Attorney
1200 Third Avenue
San Diego, CA 92101
CityAttyProp65@sandiego.gov

Gregory Alker, Assistant District Attorney
San Francisco County
732 Brannan Street
San Francisco, CA 94103
gregory.alker@sfgov.org

Valerie Lopez, Deputy City Attorney
San Francisco City Attorney
1390 Market Street, 7th Floor
San Francisco, CA 94102
Valerie.Lopez@sfcityattys.org

Tori Verber Salazar, District Attorney
San Joaquin County
222 E. Weber Avenue, Room 202
Stockton, CA 95202
DAConsumer.Environmental@sjcda.org

Eric J. Dobroth, Deputy District Attorney
San Luis Obispo County
County Government Center Annex, 4th Floor
San Luis Obispo, CA 93408
edobroth@co.slo.ca.us

Christopher Dalbey, Deputy District Attorney
Santa Barbara County
1112 Santa Barbara Street
Santa Barbara, CA 93101
DAProp65@co.santa-barbara.ca.us

Bud Porter, Supervising Deputy District Attorney
Santa Clara County
70 W Hedding St
San Jose, CA 95110
EPU@da.sccgov.org

Jeffrey S. Rosell, District Attorney
Santa Cruz County
701 Ocean Street
Santa Cruz, CA 95060
Prop65DA@santacruzcounty.us

Stephan R. Passalacqua, District Attorney
Sonoma County
600 Administration Dr
Sonoma, CA 95403
jbarnes@sonoma-county.org

Phillip J. Cline, District Attorney
Tulare County
221 S Mooney Blvd
Visalia, CA 95370
Prop65@co.tulare.ca.us

Gregory D. Totten, District Attorney
Ventura County
800 S Victoria Ave
Ventura, CA 93009
daspecialops@ventura.org

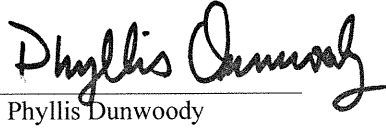
Jeff W. Reisig, District Attorney
Yolo County
301 Second Street
Woodland, CA 95695
cfepd@yolocounty.org

April 30, 2020

Page 6

On April 30, 2020, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT** on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it with the U.S. Postal Service with the postage fully prepaid for delivery by First Class Mail.

Executed on April 30, 2020, in Fort Oglethorpe, Georgia.



Phyllis Dunwoody

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

April 30, 2020

Page 7

Service List

District Attorney, Alpine
County
P.O. Box 248
Markleeville, CA 96120

District Attorney, Amador
County
708 Court Street, Suite 202
Jackson, CA 95642

District Attorney, Butte
County
25 County Center Drive, Suite
245
Oroville, CA 95965

District Attorney, Colusa
County
346 Fifth Street Suite 101
Colusa, CA 95932

District Attorney, Del Norte
County
450 H Street, Room 171
Crescent City, CA 95531

District Attorney, El Dorado
County
778 Pacific St.
Placerville, CA 95667

District Attorney, Fresno
County
2220 Tulare Street, Suite 1000
Fresno, CA 93721

District Attorney, Glenn
County
Post Office Box 430
Willows, CA 95988

District Attorney, Humboldt
County
825 5th Street 4th Floor
Eureka, CA 95501

District Attorney, Imperial
County
940 West Main Street, Ste 102
El Centro, CA 92243

District Attorney, Kern County
1215 Truxtun Avenue
Bakersfield, CA 93301

District Attorney, Kings
County
1400 West Lacey Boulevard
Hanford, CA 93230

District Attorney, Lake County
255 N. Forbes Street
Lakeport, CA 95453

District Attorney, Los Angeles
County
Hall of Justice
211 West Temple St., Ste 1200
Los Angeles, CA 90012

District Attorney, Madera
County
209 West Yosemite Avenue
Madera, CA 93637

District Attorney, Marin
County
3501 Civic Center Drive,
Room 130
San Rafael, CA 94903

District Attorney, Mariposa
County
Post Office Box 730
Mariposa, CA 95338

District Attorney, Mendocino
County
Post Office Box 1000
Ukiah, CA 95482

District Attorney, Merced
County
550 W. Main Street
Merced, CA 95340

District Attorney, Modoc
County
204 S Court Street, Room 202
Alturas, CA 96101-4020

District Attorney, Mono
County
Post Office Box 617
Bridgeport, CA 93517

District Attorney, Nevada
County
201 Commercial Street
Nevada City, CA 95959

District Attorney, Orange
County
401 West Civic Center Drive
Santa Ana, CA 92701

District Attorney, Placer
County
10810 Justice Center Drive,
Ste 240
Roseville, CA 95678

District Attorney, Plumas
County
520 Main Street, Room 404
Quincy, CA 95971

District Attorney, San Benito
County
419 Fourth Street, 2nd Floor
Hollister, CA 95023

District Attorney, San
Bernardino County
303 West Third Street
San Bernadino, CA 92415

District Attorney, San Mateo
County
400 County Ctr., 3rd Floor
Redwood City, CA 94063

District Attorney, Shasta
County
1355 West Street
Redding, CA 96001

District Attorney, Sierra
County
100 Courthouse Square, 2nd
Floor
Downieville, CA 95936

District Attorney, Siskiyou
County
Post Office Box 986
Yreka, CA 96097

District Attorney, Solano
County
675 Texas Street, Ste 4500
Fairfield, CA 94533

District Attorney, Stanislaus
County
832 12th Street, Ste 300
Modesto, CA 95354

District Attorney, Sutter
County
463 2nd Street
Yuba City, CA 95991

District Attorney, Tehama
County
Post Office Box 519
Red Bluff, CA 96080

District Attorney, Trinity
County
Post Office Box 310
Weaverville, CA 96093

District Attorney, Tuolumne
County
423 N. Washington Street
Sonora, CA 95370

District Attorney, Yuba
County
215 Fifth Street, Suite 152
Marysville, CA 95901

Los Angeles City Attorney's
Office
City Hall East
200 N. Main Street, Suite 800
Los Angeles, CA 90012

San Jose City Attorney's
Office
200 East Santa Clara Street,
16th Floor
San Jose, CA 95113

APPENDIX A

OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as “Proposition 65”). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: <http://oehha.ca.gov/prop65/law/P65law72003.html>. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.¹ These implementing regulations are available online at: <http://oehha.ca.gov/prop65/law/P65Regs.html>.

WHAT DOES PROPOSITION 65 REQUIRE?

The “Proposition 65 List.” Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

¹ All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: <http://www.oehha.ca.gov/prop65/law/index.html>.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: http://www.oehha.ca.gov/prop65/prop65_list/Newlist.html.

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

Clear and reasonable warnings. A business is required to warn a person before “knowingly and intentionally” exposing that person to a listed chemical unless an exemption applies. The warning given must be “clear and reasonable.” This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

Prohibition from discharges into drinking water. A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (<http://www.oehha.ca.gov/prop65/law/index.html>) to determine all applicable exemptions, the most common of which are the following:

Grace Period. Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

Governmental agencies and public water utilities. All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

Businesses with nine or fewer employees. Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

Exposures that pose no significant risk of cancer. For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses “no significant risk.” This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific “No Significant Risk Levels” (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures that will produce no observable reproductive effect at 1,000 times the level in question. For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the “no observable effect level” divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures to Naturally Occurring Chemicals in Food. Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant² it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a “significant amount” of the listed chemical entering any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a “significant amount” of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A “significant amount” means any detectable amount, except an amount that would meet the “no significant risk” level for chemicals that cause cancer or that is 1,000 times below the “no observable effect” level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

² See Section 25501(a)(4).

HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at:
<http://oehha.ca.gov/prop65/law/p65law72003.html>.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at
P65Public.Comments@oehha.ca.gov.

Revised: May 2017

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.