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15 Attorney for Defendant  
16 YUMMYEARTH INC., individually and dba YUMEARTH

17 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
18 **COUNTY OF ALAMEDA**

19 **ENVIRONMENTAL RESEARCH**  
20 **CENTER, INC., a California non-profit**  
21 **corporation**

22 **Plaintiff,**

23 **vs.**

24 **YUMMYEARTH INC., individually and**  
25 **dba YUMEARTH; and DOES 1-100**

26 **Defendants.**

27 **CASE NO. 22CV014741**

28 **STIPULATED CONSENT**  
**JUDGMENT**

Health & Safety Code § 25249.5 *et seq.*

Action Filed: July 21, 2022

Trial Date: None set

1 **1. INTRODUCTION**

2 **1.1** On July 21, 2022, Plaintiff Environmental Research Center, Inc. (“ERC”), a  
3 non-profit corporation, as a private enforcer and in the public interest, initiated this action by  
4 filing a Complaint for Injunctive and Declaratory Relief and Civil Penalties (the “Complaint”)

1 pursuant to the provisions of California Health and Safety Code section 25249.5 *et seq.*  
2 (“Proposition 65”), against YummyEarth Inc., individually and dba YumEarth (“YumEarth”)  
3 and Does 1-100. In this action, ERC alleges that certain products manufactured, distributed, or  
4 sold by YumEarth contain lead, a chemical listed under Proposition 65 as a carcinogen and  
5 reproductive toxin, and expose consumers to this chemical at a level requiring a Proposition 65  
6 warning. These products (referred to hereinafter individually as a “Covered Product” or  
7 collectively as “Covered Products”) are: (1) YumEarth Organic Licorice Gluten Free  
8 Strawberry, (2) YumEarth Organic Licorice Gluten Free Pomegranate, (3) YumEarth Organic  
9 Licorice Gluten Free Peach, and (4) YumEarth Organic Pops Watermelon Wet-Face.  
10 YumEarth asserts that the YumEarth Organic Pops Watermelon Wet-Face is only sold in  
11 YumEarth Organic Pops Assorted Flavors bags.

12 **1.2** ERC and YumEarth are hereinafter referred to individually as a “Party” or  
13 collectively as the “Parties.”

14 **1.3** ERC is a 501 (c)(3) California non-profit corporation dedicated to, among other  
15 causes, helping safeguard the public from health hazards by reducing the use and misuse of  
16 hazardous and toxic chemicals, facilitating a safe environment for consumers and employees,  
17 and encouraging corporate responsibility.

18 **1.4** For purposes of this Consent Judgment, the Parties agree that YumEarth is a  
19 business entity that has employed ten or more persons at all times relevant to this action, and  
20 qualifies as a “person in the course of doing business” within the meaning of Proposition 65.  
21 YumEarth manufactures, distributes, and/or sells the Covered Products.

22 **1.5** The Complaint is based on allegations contained in ERC’s Notice of Violation  
23 dated January 25, 2022 that was served on the California Attorney General, other public  
24 enforcers, and YumEarth (“Notice”). A true and correct copy of the 60-Day Notice dated  
25 January 25, 2022 is attached hereto as *Exhibit A* and incorporated herein by reference. More  
26 than sixty (60) days have passed since the Notice was served on the Attorney General, public  
27 enforcers, and YumEarth and no designated governmental entity has filed a Complaint against  
28 YumEarth with regard to the Covered Products or the alleged violations.

1           **1.6**     ERC’s Notice and Complaint allege that use of the Covered Products by  
2 California consumers exposes them to lead without first receiving clear and reasonable  
3 warnings from YumEarth, which is in violation of California Health and Safety Code section  
4 25249.6. YumEarth denies all material allegations contained in the Notice and Complaint.

5           **1.7**     The Parties have entered into this Consent Judgment in order to settle,  
6 compromise, and resolve disputed claims and thus avoid prolonged and costly litigation.  
7 Nothing in this Consent Judgment nor compliance with this Consent Judgment shall constitute  
8 or be construed as an admission by any of the Parties or by any of their respective officers,  
9 directors, shareholders, employees, agents, parent companies, subsidiaries, divisions,  
10 franchisees, licensees, customers, suppliers, distributors, wholesalers, or retailers of any fact,  
11 issue of law, or violation of law.

12           **1.8**     Except as expressly set forth herein, nothing in this Consent Judgment shall  
13 prejudice, waive, or impair any right, remedy, argument, or defense the Parties may have in  
14 any current or future legal proceeding unrelated to these proceedings.

15           **1.9**     The Effective Date of this Consent Judgment is the date on which ERC serves  
16 the Notice of Entry of the Consent Judgment.

17           **2.     JURISDICTION AND VENUE**

18           For purposes of this Consent Judgment and any further court action that may become  
19 necessary to enforce this Consent Judgment, the Parties stipulate that this Court has subject matter  
20 jurisdiction over the allegations of violations contained in the Complaint and personal jurisdiction  
21 over YumEarth as to the acts alleged in the Complaint, that venue is proper in Alameda County,  
22 and that this Court has jurisdiction to enter this Consent Judgment as a full and final resolution of  
23 all claims up through and including the Compliance Date (as defined in Section 3.1) that were or  
24 could have been asserted in this action based on the facts alleged in the Notice and Complaint.

25           **3.     INJUNCTIVE RELIEF, REFORMULATION, TESTING AND WARNINGS**

26           **3.1**     Beginning 90 days after the Effective Date (“Compliance Date”), YumEarth  
27 shall be permanently enjoined from manufacturing for sale in the State of California,  
28 “Distributing into the State of California,” or directly selling in the State of California, any

1 Covered Product that exposes a person to a “Daily Lead Exposure Level” of more than 0.5  
2 micrograms of lead per day unless it meets the warning requirements under Section 3.2.

3 **3.1.1** As used in this Consent Judgment, the term “Distributing into the State  
4 of California” shall mean to directly ship a Covered Product into California for sale in  
5 California or to sell a Covered Product to a distributor that YumEarth knows will sell the  
6 Covered Product in California.

7 **3.1.2** For purposes of this Consent Judgment, the “Daily Lead Exposure  
8 Level” shall be measured in micrograms, and shall be calculated using the following formula:  
9 micrograms of lead per gram of product, multiplied by grams of product per serving of the  
10 product (using the largest serving size appearing on the product label), multiplied by servings  
11 of the product per day (using the largest number of recommended daily servings appearing on  
12 the label), which equals micrograms of lead exposure per day. If the label contains no  
13 recommended daily servings, then the number of recommended daily servings shall be one.

### 14 **3.2 Clear and Reasonable Warnings**

15 **3.2.1 Warning Content.** If YumEarth is required to provide a warning pursuant  
16 to Section 3.1, the following warning must be utilized (“Warning”):

17 **WARNING:** Consuming this product can expose you to chemicals including lead which  
18 are known to the State of California to cause [cancer and] birth defects or other  
19 reproductive harm. For more information go to [www.P65Warnings.ca.gov/food](http://www.P65Warnings.ca.gov/food).

20 YumEarth shall use the phrase “cancer and” in the Warning if the “Daily Lead Exposure  
21 Level” is greater than 15 micrograms of lead as determined pursuant to the quality control  
22 methodology set forth in Section 3.4 or if another Proposition 65 chemical is present which may  
23 require a cancer warning.

24 The Warning shall be securely affixed to or printed upon the label of each Covered  
25 Product and it must be set off from other surrounding information and enclosed in a box.

26 **3.2.2 Internet Warnings.** In addition, for any Covered Product sold by  
27 YumEarth over the internet, the Warning shall be displayed as follows: (a) on the primary  
28 display page for the Covered Product; (b) as a clearly marked hyperlink using the word  
“**WARNING**” in all capital and bold letters on the Covered Product’s primary display page, so

1 long as the hyperlink goes directly to a page prominently displaying the Warning without  
2 content that detracts from the Warning; (c) on the checkout page when a California delivery  
3 address is indicated for any purchase of any Covered Product and withn asterisk or other  
4 identifying method utilized to identify which products on the checkout page are subject to the  
5 Warning; or (d) by otherwise prominently displaying the Warning to the purchaser prior to  
6 completing the purchase.

7 **3.2.3 Warning Prominence.** The Warning shall be at least the same size as the  
8 largest of any other health or safety warnings also appearing on the website or on the label and the  
9 word “**WARNING**” shall be in all capital letters and in bold print. No statements intended to or  
10 likely to have the effect of diminishing the impact of the Warning on the average lay person shall  
11 accompany the Warning. Further, no statements may accompany the Warning that state or imply  
12 that the source of the listed chemical has an impact on or results in a less harmful effect of the  
13 listed chemical. YumEarth must display the above Warning with such conspicuousness, as  
14 compared with other words, statements or designs on the label, or on its website, if applicable, to  
15 render the Warning likely to be read and understood by an ordinary individual under customary  
16 conditions of purchase or use of the product.

17 For purposes of this Consent Judgment, the term “label” means a display of written,  
18 printed or graphic material that is printed on or affixed to a Covered Product or its immediate  
19 container or wrapper.

20 **3.2.4 Alternative Warning Content and Methods.** The Parties acknowledge  
21 that the Warning set forth above is the Safe Harbor Warning language for foods and dietary  
22 supplements currently set forth in Title 27, California Code of Regulations, section 25607.2. In  
23 the event that the warning language in section 25607.2 is amended or modified in the future,  
24 YumEarth may use the Safe Harbor Warning language in section 25607.2 as amended or  
25 modified so long as it remains applicable to the Covered Products.

26 **3.2.5 Stream of Commerce.** The requirements of Scctions 3.1 and 3.2 do not  
27 apply to Covered Products that “enter the stream of commerce” prior to the Compliance Date.  
28 For purposes of this Consent Judgment, the term “enter the stream of commerce” means that

1 Covered Products are put into final packaging for consumer sale and are no longer in the  
2 possession or under the control of YumEarth.

### 3 **3.3 Conforming Covered Products**

4 A Conforming Covered Product is a Covered Product for which the “Daily Lead Exposure  
5 Level” is no greater than 0.5 micrograms of lead per day as determined by the exposure  
6 methodology set forth in Section 3.1.2 and the quality control methodology described in Section  
7 3.4, and that is not known by YumEarth to contain other chemicals that violate Proposition 65’s  
8 safe harbor thresholds.

### 9 **3.4 Testing and Quality Control Methodology**

10 **3.4.1** Beginning within one year of the Effective Date, YumEarth shall  
11 arrange for lead testing of the Covered Products at least once a year for a minimum of three (3)  
12 consecutive years by arranging for testing of one (1) randomly selected sample of each of the  
13 Covered Products, in the form intended for sale to the end-user, which YumEarth intends to  
14 sell or is manufacturing for sale in California, directly selling to a consumer in California or  
15 “Distributing into the State of California.” If tests conducted pursuant to this Section  
16 demonstrate that no Warning is required for a Covered Product during each of three (3)  
17 consecutive years, then the testing requirements of this Section will no longer be required as to  
18 that Covered Product. However, if during or after the three-year testing period, YumEarth  
19 changes ingredient suppliers for any of the Covered Products and/or reformulates any of the  
20 Covered Products, YumEarth shall test that Covered Product annually for at least two (2)  
21 consecutive years after such change is made.

22 **3.4.2** For purposes of measuring the “Daily Lead Exposure Level,” testing  
23 results of the one (1) randomly selected sample of each of the Covered Products will be  
24 controlling.

25 **3.4.3** All testing pursuant to this Consent Judgment shall be performed using a  
26 laboratory method that complies with the performance and quality control factors appropriate  
27 for the method used, including limit of detection and limit of quantification, sensitivity,  
28 accuracy and precision that meets the following criteria: Inductively Coupled Plasma-Mass

1 Spectrometry (“ICP-MS”) achieving a limit of quantification of less than or equal to 0.005  
2 mg/kg.

3 **3.4.4** All testing pursuant to this Consent Judgment shall be performed by an  
4 independent third party laboratory certified by the California Environmental Laboratory  
5 Accreditation Program or an independent third-party laboratory that is registered with the  
6 United States Food & Drug Administration.

7 **3.4.5** Nothing in this Consent Judgment shall limit YumEarth’s ability to  
8 conduct, or require that others conduct, additional testing of the Covered Products, including  
9 the raw materials used in their manufacture.

10 **3.4.6** Within thirty (30) days of ERC’s written request, YumEarth shall  
11 deliver lab reports obtained pursuant to Section 3.4 to ERC. YumEarth shall retain all test  
12 results and documentation for a period of three (3) years from the date of each test.

13 **3.4.7** The testing requirements of Section 3.4 do not apply to any Covered  
14 Product for which YumEarth has provided a Warning in compliance with Section 3.2  
15 continuously and uninterrupted after the Compliance Date; however, in the event YumEarth  
16 ceases to provide a Warning in compliance with Section 3.2, YumEarth shall be required to  
17 comply with the testing requirements of this Section beginning immediately after the date the  
18 Warning ceases to be provided or one year after the Effective Date, whichever date is later,  
19 unless YumEarth can show to the satisfaction of ERC that the cessation in providing the  
20 Warning was a temporary error that was resolved when discovered.

21 **4. SETTLEMENT PAYMENT**

22 **4.1** In full satisfaction of all potential civil penalties, additional settlement  
23 payments, attorney’s fees, and costs, YumEarth shall make a total payment of \$30,000.00  
24 (“Total Settlement Amount”) to ERC within ten (10) business days of the Effective Date (“Due  
25 Date”). YumEarth shall make this payment by wire transfer to ERC’s account, for which ERC  
26 will give YumEarth the necessary account information. The Total Settlement Amount shall be  
27 apportioned as follows:

28 **4.2** \$4,000.00 shall be considered a civil penalty pursuant to California Health and

1 Safety Code section 25249.7(b)(1). ERC shall remit 75% (\$3,000.00) of the civil penalty to the  
2 Office of Environmental Health Hazard Assessment (“OEHHA”) for deposit in the Safe  
3 Drinking Water and Toxic Enforcement Fund in accordance with California Health and Safety  
4 Code section 25249.12(c). ERC will retain the remaining 25% (\$1,000.00) of the civil penalty.

5 **4.3** \$3,563.09 shall be distributed to ERC as reimbursement to ERC for reasonable  
6 costs incurred in bringing this action.

7 **4.4** \$22,436.91 shall be distributed to ERC for its in-house legal fees. Except as  
8 explicitly provided herein, each Party shall bear its own fees and costs.

9 **4.5** In the event that YumEarth fails to remit the Total Settlement Amount owed  
10 under Section 4 of this Consent Judgment on or before the Due Date, YumEarth shall be  
11 deemed to be in material breach of its obligations under this Consent Judgment. ERC shall  
12 provide written notice of the delinquency to YumEarth via electronic mail. If YumEarth fails  
13 to deliver the Total Settlement Amount within five (5) days from the written notice, the Total  
14 Settlement Amount shall accrue interest at the statutory judgment interest rate provided in the  
15 California Code of Civil Procedure section 685.010. Additionally, YumEarth agrees to pay  
16 ERC’s reasonable attorney’s fees and costs for any efforts to collect the payment due under  
17 this Consent Judgment.

## 18 **5. MODIFICATION OF CONSENT JUDGMENT**

19 **5.1** This Consent Judgment may be modified only as to injunctive terms (i) by  
20 written stipulation of the Parties and upon entry by the Court of a modified consent judgment  
21 or (ii) by motion of either Party pursuant to Section 5.3 and upon entry by the Court of a  
22 modified consent judgment.

23 **5.2** If YumEarth seeks to modify this Consent Judgment under Section 5.1, then  
24 YumEarth must provide written notice to ERC of its intent (“Notice of Intent”). If ERC seeks  
25 to meet and confer regarding the proposed modification in the Notice of Intent, then ERC must  
26 provide written notice to YumEarth within thirty (30) days of receiving the Notice of Intent. If  
27 ERC notifies YumEarth in a timely manner of ERC’s intent to meet and confer, then the Parties  
28 shall meet and confer in good faith as required in this Section. The Parties shall meet in person



1 or via telephone within thirty (30) days of ERC's notification of its intent to meet and confer.  
2 Within thirty (30) days of such meeting, if ERC disputes the proposed modification, ERC shall  
3 provide to YumEarth a written basis for its position. The Parties shall continue to meet and  
4 confer for an additional thirty (30) days in an effort to resolve any remaining disputes. Should  
5 it become necessary, the Parties may agree in writing to different deadlines for the meet-and-  
6 confer period.

7 **5.3** In the event that YumEarth initiates or otherwise requests a modification under  
8 Section 5.1, and the meet and confer process leads to a joint motion or application for a  
9 modification of the Consent Judgment, YumEarth shall reimburse ERC its costs and reasonable  
10 attorney's fees for the time spent in the meet-and-confer process and filing and arguing the  
11 motion or application.

12 **6. RETENTION OF JURISDICTION, ENFORCEMENT OF CONSENT**  
13 **JUDGMENT**

14 **6.1** This Court shall retain jurisdiction of this matter to enforce, modify, or  
15 terminate this Consent Judgment.

16 **6.2** If ERC alleges that any Covered Product fails to qualify as a Conforming  
17 Covered Product (for which ERC alleges that no Warning has been provided), then ERC shall  
18 inform YumEarth in a reasonably prompt manner of its test results, including information  
19 sufficient to permit YumEarth to identify the Covered Products at issue. YumEarth shall, within  
20 thirty (30) days following such notice, provide ERC with testing information, from an  
21 independent third-party laboratory meeting the requirements of Sections 3.4.3 and 3.4.4,  
22 demonstrating YumEarth's compliance with the Consent Judgment. The Parties shall first  
23 attempt to resolve the matter prior to ERC taking any further legal action.

24 **7. APPLICATION OF CONSENT JUDGMENT**

25 This Consent Judgment may apply to, be binding upon, and benefit the Parties and their  
26 respective officers, directors, shareholders, employees, agents, parent companies, subsidiaries,  
27 divisions, franchisees, licensees, customers (excluding private labelers), distributors, wholesalers,  
28 retailers, predecessors, successors, and assigns. This Consent Judgment shall have no

1 application to any Covered Product that is distributed or sold exclusively outside the State of  
2 California and that is not used by California consumers.

3 **8. BINDING EFFECT, CLAIMS COVERED AND RELEASED**

4 **8.1** This Consent Judgment is a full, final, and binding resolution between ERC,  
5 on behalf of itself and in the public interest, and YumEarth and its respective officers,  
6 directors, shareholders, employees, agents, parent companies, subsidiaries, divisions, suppliers,  
7 franchisees, licensees, customers (not including private label customers of YumEarth),  
8 distributors, wholesalers, retailers, and all other upstream and downstream entities in the  
9 distribution chain of any Covered Product, and the predecessors, successors, and assigns of any  
10 of them (collectively, "Released Parties").

11 **8.2** ERC, acting in the public interest, releases the Released Parties from any  
12 and all claims for violations of Proposition 65 up through the Compliance Date based on  
13 exposure to lead from the Covered Products as set forth in the Notice and Complaint. ERC, on  
14 behalf of itself only, hereby fully releases and discharges the Released Parties from any and all  
15 claims, actions, causes of action, suits, demands, liabilities, damages, penalties, fees, costs, and  
16 expenses asserted, or that could have been asserted from the handling, use, or consumption of  
17 the Covered Products, as to any alleged violation of Proposition 65 or its implementing  
18 regulations arising from the failure to provide Proposition 65 warnings on the Covered  
19 Products regarding lead to and including the Compliance Date.

20 **8.3** ERC on its own behalf only, and YumEarth on its own behalf only, further  
21 waive and release any and all claims they may have against each other for all actions or  
22 statements made or undertaken in the course of seeking or opposing enforcement of  
23 Proposition 65 in connection with the Notice and Complaint up through and including the  
24 Effective Date, provided, however, that nothing in Section 8 shall affect or limit any Party's  
25 right to seek to enforce the terms of this Consent Judgment.

26 **8.4** It is possible that other claims not known to the Parties, arising out of the facts  
27 alleged in the Notice and Complaint, and relating to the Covered Products, will develop or be  
28 discovered. ERC on behalf of itself only, and YumEarth on behalf of itself only, acknowledge

1 that this Consent Judgment is expressly intended to cover and include all such claims up  
2 through and including the Effective Date, including all rights of action therefore. ERC and  
3 YumEarth acknowledge that the claims released in Sections 8.2 and 8.3 above may include  
4 unknown claims, and nevertheless waive California Civil Code section 1542 as to any such  
5 unknown claims. California Civil Code section 1542 reads as follows:

6 A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE  
7 CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO  
8 EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE  
9 AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY  
AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED  
PARTY.

10 ERC on behalf of itself only, and YumEarth on behalf of itself only, acknowledge and  
11 understand the significance and consequences of this specific waiver of California Civil Code  
12 section 1542.

13 **8.5** Compliance with the terms of this Consent Judgment shall be deemed to  
14 constitute compliance with Proposition 65 by any of the Released Parties regarding alleged  
15 exposures to lead in the Covered Products as set forth in the Notice and Complaint.

16 **8.6** Nothing in this Consent Judgment is intended to apply to any occupational or  
17 environmental exposures arising under Proposition 65, nor shall it apply to any of YumEarth's  
18 products other than the Covered Products.

## 19 **9. SEVERABILITY OF UNENFORCEABLE PROVISIONS**

20 In the event that any of the provisions of this Consent Judgment are held by a court to be  
21 unenforceable, the validity of the remaining enforceable provisions shall not be adversely  
22 affected.

## 23 **10. GOVERNING LAW**

24 The terms and conditions of this Consent Judgment shall be governed by and construed in  
25 accordance with the laws of the State of California.

## 26 **11. PROVISION OF NOTICE**

27 All notices required to be given to either Party to this Consent Judgment by the other shall  
28 be in writing and sent to the following agents listed below via first class mail or via electronic

1 mail where required. Courtesy copies via email may also be sent.

2 **FOR ENVIRONMENTAL RESEARCH CENTER, INC.:**

3 Chris Heptinstall, Executive Director, Environmental Research Center  
4 3111 Camino Del Rio North, Suite 400  
5 San Diego, CA 92108  
6 Ph: (619) 500-3090  
7 Email: [chris.heptinstall@erc501c3.org](mailto:chris.heptinstall@erc501c3.org)

8 With a copy to:  
9 Charles W. Poss Environmental Research Center, Inc.  
10 3111 Camino Del Rio North, Suite 400  
11 San Diego, CA 92108  
12 Ph: (619) 500-3090  
13 Email: [charles.poss@erc501c3.org](mailto:charles.poss@erc501c3.org)

14 **FOR YUMMYEARTH INC.:**

15 Aleksandra Auguste  
16 YummyEarth Inc.  
17 9 West Broad St , Suite #440  
18 Stamford, CT 06902  
19 Email: [sauguste@yumearth.com](mailto:sauguste@yumearth.com)

20 With a copy to:  
21 Peg Carew Toledo  
22 Arnold & Porter Kaye Scholer LLP  
23 Three Embarcadero Center, 10<sup>th</sup> Floor  
24 San Francisco, CA 94111  
25 Telephone: (415) 471-3110  
26 Email: [peg.toledo@arnoldporter.com](mailto:peg.toledo@arnoldporter.com)

27 **12. COURT APPROVAL**

28 **12.1** Upon execution of this Consent Judgment by the Parties, ERC shall notice a  
Motion for Court Approval. The Parties shall use their best efforts to support entry of this  
Consent Judgment.

**12.2** If the California Attorney General objects to any term in this Consent Judgment,  
the Parties shall use their best efforts to resolve the concern in a timely manner, and if possible  
prior to the hearing on the motion.

**12.3** If this Consent Judgment is not approved by the Court, it shall be void and have

1 no force or effect.

2 **13. EXECUTION AND COUNTERPARTS**

3 This Consent Judgment may be executed in counterparts, which taken together shall be  
4 deemed to constitute one document. A facsimile or .pdf signature shall be construed to be as valid  
5 as the original signature.

6 **14. DRAFTING**

7 The terms of this Consent Judgment have been reviewed by the respective counsel for  
8 each Party prior to its signing, and each Party has had an opportunity to fully discuss the terms  
9 and conditions with legal counsel. The Parties agree that, in any subsequent interpretation and  
10 construction of this Consent Judgment, no inference, assumption, or presumption shall be drawn,  
11 and no provision of this Consent Judgment shall be construed against any Party, based on the fact  
12 that one of the Parties and/or one of the Parties' legal counsel prepared and/or drafted all or any  
13 portion of the Consent Judgment. It is conclusively presumed that all of the Parties participated  
14 equally in the preparation and drafting of this Consent Judgment.

15 **15. GOOD FAITH ATTEMPT TO RESOLVE DISPUTES**

16 If a dispute arises with respect to either Party's compliance with the terms of this Consent  
17 Judgment entered by the Court, the Parties shall meet and confer in person, by telephone, and/or  
18 in writing and endeavor to resolve the dispute in an amicable manner. No action or motion may  
19 be filed in the absence of such a good faith attempt to resolve the dispute beforehand.

20 **16. ENFORCEMENT**

21 ERC may, by motion or order to show cause before the Superior Court of Alameda  
22 County, enforce the terms and conditions contained in this Consent Judgment. In any action  
23 brought by ERC to enforce this Consent Judgment, ERC may seek whatever fines, costs,  
24 penalties, or remedies as are provided by law for failure to comply with the Consent Judgment.

25 **17. ENTIRE AGREEMENT, AUTHORIZATION**

26 **17.1** This Consent Judgment contains the sole and entire agreement and  
27 understanding of the Parties with respect to the entire subject matter herein, including any and  
28 all prior discussions, negotiations, commitments, and understandings related thereto. No

1 representations, oral or otherwise, express or implied, other than those contained herein have  
2 been made by any Party. No other agreements, oral or otherwise, unless specifically referred to  
3 herein, shall be deemed to exist or to bind any Party.

4 17.2 Each signatory to this Consent Judgment certifies that he or she is fully  
5 authorized by the Party he or she represents to stipulate to this Consent Judgment.

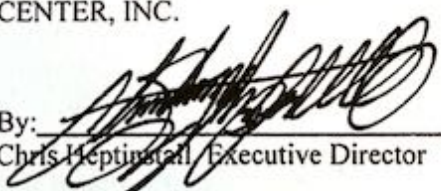
6 **18. REQUEST FOR FINDINGS, APPROVAL OF SETTLEMENT AND ENTRY OF**  
7 **CONSENT JUDGMENT**

8 This Consent Judgment has come before the Court upon the request of the Parties. The  
9 Parties request the Court to fully review this Consent Judgment and, being fully informed  
10 regarding the matters which are the subject of this action, to make the findings pursuant to  
11 California Health and Safety Code section 25249.7(f)(4), approve the Settlement, and approve this  
12 Consent Judgment.

13 **IT IS SO STIPULATED:**


14  
15 Dated: 8/4/, 2022

ENVIRONMENTAL RESEARCH  
CENTER, INC.

16  
17  
18 By:   
Chris Heptinstall, Executive Director

19  
20 Dated: 8/5/, 2022

YUMMYEARTH INC., individually and  
dba YUMEARTH

21  
22  
23 By:   
Aleksandra Auguste, Vice-President,  
Marketing & Quality


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APPROVED AS TO FORM:

STIPULATED CONSENT JUDGMENT

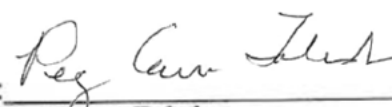
Dated: August 8, 2022

ENVIRONMENTAL RESEARCH  
CENTER, INC.

By:   
Charles W. Poss  
In-House Counsel

Dated: August 5, 2022

ARNOLD & PORTER KAYE SCHOLER  
LLP

By:   
Peg Carew Toledo  
Attorney for YummyEarth Inc.,  
individually and dba YumEarth

**ORDER AND JUDGMENT**

Based upon the Parties’ Stipulation, and good cause appearing, this Consent Judgment is approved and Judgment is hereby entered according to its terms.

IT IS SO ORDERED, ADJUDGED AND DECREED.

Dated: \_\_\_\_\_, 2022

\_\_\_\_\_  
Judge of the Superior Court

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# **EXHIBIT A**



## Environmental Research Center

3111 Camino Del Rio North, Suite 400  
San Diego, CA 92108  
619-500-3090

January 25, 2022

### NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE SECTION 25249.5 *ET SEQ.* (PROPOSITION 65)

Dear Alleged Violators and the Appropriate Public Enforcement Agencies:

I am the Executive Director of Environmental Research Center, Inc. (“ERC”). ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

ERC has identified violations of California’s Safe Drinking Water and Toxic Enforcement Act of 1986 (“Proposition 65”), which is codified at California Health & Safety Code §25249.5 *et seq.*, with respect to the products identified below. These violations have occurred and continue to occur because the alleged Violators identified below failed to provide required clear and reasonable warnings with these products. This letter serves as a notice of these violations to the alleged Violators and the appropriate public enforcement agencies. Pursuant to Section 25249.7(d) of the statute, ERC intends to file a private enforcement action in the public interest 60 days after effective service of this notice unless the public enforcement agencies have commenced and are diligently prosecuting an action to rectify these violations.

**General Information about Proposition 65.** A copy of a summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is attached with the copy of this letter served to the alleged Violators identified below.

**Alleged Violators.** The name of the companies covered by this notice that violated Proposition 65 (hereinafter the “Violators”) are:

**YummyEarth Inc., individually and dba YumEarth  
Riverside Partners L.L.C., individually and dba The Riverside Company**

**Consumer Products and Listed Chemical.** The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

- 1. YumEarth Organic Licorice Gluten Free Strawberry - Lead**
- 2. YumEarth Organic Licorice Gluten Free Pomegranate - Lead**
- 3. YumEarth Organic Licorice Gluten Free Peach - Lead**
- 4. YumEarth Organic Pops Watermelon Wet-Face - Lead**

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

It should be noted that ERC may continue to investigate other products that may reveal further violations and result in subsequent notices of violations.

**Route of Exposure.** The consumer exposures that are the subject of this notice result from the recommended use of these products. Consequently, the route of exposure to this chemical has been and continues to be through ingestion.

**Approximate Time Period of Violations.** Ongoing violations have occurred every day since at least January 25, 2019, as well as every day since the products were introduced into the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users or until this known toxic chemical is either removed from or reduced to allowable levels in the products. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to the identified chemical. The method of warning should be a warning that appears on the product label. The Violators violated Proposition 65 because they failed to provide persons ingesting these products with appropriate warnings that they are being exposed to this chemical.

Consistent with the public interest goals of Proposition 65 and a desire to have these ongoing violations of California law quickly rectified, ERC is interested in seeking a constructive resolution of this matter that includes an enforceable written agreement by the Violators to: (1) reformulate the identified products so as to eliminate further exposures to the identified chemical, or provide appropriate warnings on the labels of these products; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last three years. Such a resolution will prevent further unwarned consumer exposures to the identified chemical, as well as an expensive and time-consuming litigation.

Please direct all questions concerning this notice to ERC at the above listed address and telephone number.

Sincerely,



---

Chris Heptinstall  
Executive Director  
Environmental Research Center

Attachments

Certificate of Merit

Certificate of Service

OEHHA Summary (to YummyEarth Inc., individually and dba YumEarth, Riverside Partners L.L.C., individually and dba The Riverside Company, and their Registered Agents for Service of Process only)

Additional Supporting Information for Certificate of Merit (to AG only)

**CERTIFICATE OF MERIT**

**Re: Environmental Research Center, Inc.’s Notice of Proposition 65 Violations by YummyEarth Inc., individually and dba YumEarth and Riverside Partners L.L.C., individually and dba The Riverside Company**

I, Chris Heptinstall, declare:

1. This Certificate of Merit accompanies the attached 60-day notice in which it is alleged the parties identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.

2. I am the Executive Director for the noticing party.

3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.

4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that “reasonable and meritorious case for the private action” means that the information provides a credible basis that all elements of the plaintiff’s case can be established and that the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute.

5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.



Dated: January 25, 2022

---

Chris Heptinstall

**CERTIFICATE OF SERVICE PURSUANT TO 27 CCR § 25903**

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States and over the age of 18 years of age. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On January 25, 2022, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: **NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; “THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY”** on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties listed below and depositing it in a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Current President or CEO  
YummyEarth Inc., individually and dba YumEarth  
9 W Broad St, Ste 440  
Stamford, CT 06902

CT Corporation System  
(Registered Agent for YummyEarth Inc.,  
individually and dba YumEarth)  
67 Burnside Ave  
East Hartford, CT 06108

Current President or CEO  
Riverside Partners L.L.C., individually and dba  
The Riverside Company  
45 Rockefeller Ctr  
630 Fifth Ave, Ste 400  
New York, NY 10111

CT Corporation System  
(Registered Agent for YummyEarth Inc.,  
individually and dba YumEarth)  
820 Bear Tavern Rd  
Ewing, NJ 08628

The Corporation Trust Company  
(Registered Agent for Riverside Partners L.L.C., individually  
And dba The Riverside Company)  
Corporation Trust Center  
1209 N. Orange St  
Wilmington, DE 19801

On January 25, 2022, between 8:00 a.m. and 5:00 p.m. Eastern Time, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1)** were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General’s website, which can be accessed at <https://oag.ca.gov/prop65/add-60-day-notice> :

Office of the California Attorney General  
Prop 65 Enforcement Reporting  
1515 Clay Street, Suite 2000  
Post Office Box 70550  
Oakland, CA 94612-0550

On January 25, 2022, between 8:00 a.m. and 5:00 p.m. Eastern Time, verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT** were served on the following parties when a true and correct copy thereof was sent via electronic mail to each of the parties listed below:

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

January 25, 2022

Page 5

Nancy O'Malley, District Attorney  
Alameda County  
7677 Oakport Street, Suite 650  
Oakland, CA 94621  
CEPDProp65@acgov.org

Barbara Yook, District Attorney  
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891 Mountain Ranch Road  
San Andreas, CA 95249  
Prop65Env@co.calaveras.ca.us

Stacey Grassini, Deputy District Attorney  
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Martinez, CA 94553  
sgrassini@contracostada.org

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Fresno County  
2100 Tulare Street  
Fresno, CA 93721  
consumerprotection@fresnocountyca.gov

Thomas L. Hardy, District Attorney  
Inyo County  
168 North Edwards Street  
Independence, CA 93526  
inyoda@inyocounty.us

Michelle Latimer, Program Coordinator  
Lassen County  
220 S. Lassen Street  
Susanville, CA 96130  
mlatimer@co.lassen.ca.us

Walter W. Wall, District Attorney  
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mcda@mariposacounty.org

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550 West Main St  
Merced, CA 95340  
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Prop65DA@co.monterey.ca.us

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1127 First Street, Ste C  
Napa, CA 94559  
CEPD@countyofnapa.org

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DA.Prop65@co.nevada.ca.us

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davidhollister@countyofplumas.com

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Prop65@rivcoda.org

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Prop65@sacda.org

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SanDiegoDAProp65@sdca.org

Mark Ankcorn, Deputy City Attorney  
San Diego City Attorney  
1200 Third Avenue  
San Diego, CA 92101  
CityAttyProp65@sandiego.gov

Alexandra Grayner, Assistant District Attorney  
San Francisco District Attorney's Office  
350 Rhode Island Street  
San Francisco, CA 94103  
Alexandra.grayner@sfgov.org

Notice of Violations of California Health & Safety Code §25249.5 *et seq.*

January 25, 2022

Page 6

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Valerie.Lopez@sfcityattty.org

Nora V. Frimann, City Attorney  
San Jose City Attorney  
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San Jose, CA 96113  
Proposition65notices@sanjoseca.gov

Tori Verber Salazar, District Attorney  
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222 E. Weber Avenue, Room 202  
Stockton, CA 95202  
DAConsumer.Environmental@sjcda.org

Jeffrey S. Rosell, District Attorney  
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701 Ocean Street  
Santa Cruz, CA 95060  
Prop65DA@santacruzcounty.us

Eric J. Dobroth, Deputy District Attorney  
San Luis Obispo County  
County Government Center Annex, 4<sup>th</sup> Floor  
San Luis Obispo, CA 93408  
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Phillip J. Cline, District Attorney  
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221 S Mooney Blvd  
Visalia, CA 95370  
Prop65@co.tulare.ca.us

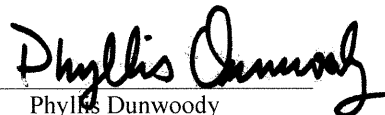
Bud Porter, Supervising Deputy District Attorney  
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San Jose, CA 95110  
EPU@da.sccgov.org

Gregory D. Totten, District Attorney  
Ventura County  
800 S Victoria Ave  
Ventura, CA 93009  
daspecialops@ventura.org

Jeff W. Reisig, District Attorney  
Yolo County  
301 Second Street  
Woodland, CA 95695  
cfepd@yolocounty.org

On January 25, 2022, between 8:00 a.m. and 5:00 p.m. Eastern Time, I served the following documents: **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT** on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties on the Service List attached hereto, and depositing it with the U.S. Postal Service with the postage fully prepaid for delivery by First Class Mail.

Executed on January 25, 2022, in Fort Oglethorpe, Georgia.

  
Phyllis Dunwoody

**Service List**

District Attorney, Alpine  
County  
P.O. Box 248  
Markleeville, CA 96120

District Attorney, Amador  
County  
708 Court Street, Suite 202  
Jackson, CA 95642

District Attorney, Butte  
County  
25 County Center Drive, Suite  
245  
Oroville, CA 95965

District Attorney, Colusa  
County  
310 6<sup>th</sup> St  
Colusa, CA 95932

District Attorney, Del Norte  
County  
450 H Street, Room 171  
Crescent City, CA 95531

District Attorney, El Dorado  
County  
778 Pacific St.  
Placerville, CA 95667

District Attorney, Glenn  
County  
Post Office Box 430  
Willows, CA 95988

District Attorney, Humboldt  
County  
825 5th Street 4<sup>th</sup> Floor  
Eureka, CA 95501

District Attorney, Imperial  
County  
940 West Main Street, Ste 102  
El Centro, CA 92243

District Attorney, Kern County  
1215 Truxtun Avenue  
Bakersfield, CA 93301

District Attorney, Kings  
County  
1400 West Lacey Boulevard  
Hanford, CA 93230

District Attorney, Lake County  
255 N. Forbes Street  
Lakeport, CA 95453

District Attorney, Los Angeles  
County  
Hall of Justice  
211 West Temple St., Ste 1200  
Los Angeles, CA 90012

District Attorney, Madera  
County  
209 West Yosemite Avenue  
Madera, CA 93637

District Attorney, Marin  
County  
3501 Civic Center Drive,  
Room 130  
San Rafael, CA 94903

District Attorney, Mendocino  
County  
Post Office Box 1000  
Ukiah, CA 95482

District Attorney, Modoc  
County  
204 S Court Street, Room 202  
Alturas, CA 96101-4020

District Attorney, Mono  
County  
Post Office Box 617  
Bridgeport, CA 93517

District Attorney, Orange  
County  
300 N Flower St  
Santa Ana, CA 92703

District Attorney, San Benito  
County  
419 Fourth Street, 2nd Floor  
Hollister, CA 95023

District Attorney, San  
Bernardino County  
303 West Third Street  
San Bernadino, CA 92415

District Attorney, San Mateo  
County  
400 County Ctr., 3rd Floor  
Redwood City, CA 94063

District Attorney, Shasta  
County  
1355 West Street  
Redding, CA 96001

District Attorney, Sierra  
County  
Post Office Box 457  
100 Courthouse Square, 2<sup>nd</sup>  
Floor  
Downieville, CA 95936

District Attorney, Siskiyou  
County  
Post Office Box 986  
Yreka, CA 96097

District Attorney, Solano  
County  
675 Texas Street, Ste 4500  
Fairfield, CA 94533

District Attorney, Stanislaus  
County  
832 12th Street, Ste 300  
Modesto, CA 95354

District Attorney, Sutter  
County  
463 2<sup>nd</sup> Street  
Yuba City, CA 95991

District Attorney, Tehama  
County  
Post Office Box 519  
Red Bluff, CA 96080

District Attorney, Trinity  
County  
Post Office Box 310  
Weaverville, CA 96093

District Attorney, Tuolumne  
County  
423 N. Washington Street  
Sonora, CA 95370

District Attorney, Yuba  
County  
215 Fifth Street, Suite 152  
Marysville, CA 95901

Los Angeles City Attorney's  
Office  
City Hall East  
200 N. Main Street, Suite 800  
Los Angeles, CA 90012



## APPENDIX A

### OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

#### THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as “Proposition 65”). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

The text of Proposition 65 (Health and Safety Code Sections 25249.5 through 25249.13) is available online at: <http://oehha.ca.gov/prop65/law/P65law72003.html>. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.<sup>1</sup> These implementing regulations are available online at: <http://oehha.ca.gov/prop65/law/P65Regs.html>.

#### *WHAT DOES PROPOSITION 65 REQUIRE?*

***The “Proposition 65 List.”*** Under Proposition 65, the lead agency (OEHHA) publishes a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. Chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as damage to

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<sup>1</sup> All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: <http://www.oehha.ca.gov/prop65/law/index.html>.

female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at: [http://www.oehha.ca.gov/prop65/prop65\\_list/Newlist.html](http://www.oehha.ca.gov/prop65/prop65_list/Newlist.html).

Only those chemicals that are on the list are regulated under Proposition 65. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

***Clear and reasonable warnings.*** A business is required to warn a person before “knowingly and intentionally” exposing that person to a listed chemical unless an exemption applies. The warning given must be “clear and reasonable.” This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm; and (2) be given in such a way that it will effectively reach the person before he or she is exposed to that chemical. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

***Prohibition from discharges into drinking water.*** A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

#### *DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?*

Yes. You should consult the current version of the statute and regulations (<http://www.oehha.ca.gov/prop65/law/index.html>) to determine all applicable exemptions, the most common of which are the following:

***Grace Period.*** Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

***Governmental agencies and public water utilities.*** All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

***Businesses with nine or fewer employees.*** Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

**Exposures that pose no significant risk of cancer.** For chemicals that are listed under Proposition 65 as known to the State to cause cancer, a warning is not required if the business causing the exposure can demonstrate that the exposure occurs at a level that poses “no significant risk.” This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific “No Significant Risk Levels” (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

**Exposures that will produce no observable reproductive effect at 1,000 times the level in question.** For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business causing the exposure can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the “no observable effect level” divided by 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA's website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

**Exposures to Naturally Occurring Chemicals in Food.** Certain exposures to chemicals that naturally occur in foods (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant<sup>2</sup> it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

**Discharges that do not result in a “significant amount” of the listed chemical entering any source of drinking water.** The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a “significant amount” of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A “significant amount” means any detectable amount, except an amount that would meet the “no significant risk” level for chemicals that cause cancer or that is 1,000 times below the “no observable effect” level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

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<sup>2</sup> See Section 25501(a)(4).

## *HOW IS PROPOSITION 65 ENFORCED?*

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of Title 27 and sections 3100-3103 of Title 11. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an enforcement action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

A private party may not file an enforcement action based on certain exposures if the alleged violator meets specific conditions. For the following types of exposures, the Act provides an opportunity for the business to correct the alleged violation:

- An exposure to alcoholic beverages that are consumed on the alleged violator's premises to the extent onsite consumption is permitted by law;
- An exposure to a Proposition 65 listed chemical in a food or beverage prepared and sold on the alleged violator's premises that is primarily intended for immediate consumption on- or off-premises. This only applies if the chemical was not intentionally added to the food, and was formed by cooking or similar preparation of food or beverage components necessary to render the food or beverage palatable or to avoid microbiological contamination;
- An exposure to environmental tobacco smoke caused by entry of persons (other than employees) on premises owned or operated by the alleged violator where smoking is permitted at any location on the premises;
- An exposure to listed chemicals in engine exhaust, to the extent the exposure occurs inside a facility owned or operated by the alleged violator and primarily intended for parking non-commercial vehicles.

If a private party alleges that a violation occurred based on one of the exposures described above, the private party must first provide the alleged violator a notice of special compliance procedure and proof of compliance form.

A copy of the notice of special compliance procedure and proof of compliance form is included in Appendix B and can be downloaded from OEHHA's website at: <http://oehha.ca.gov/prop65/law/p65law72003.html>.

*FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...*

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at [P65Public.Comments@oehha.ca.gov](mailto:P65Public.Comments@oehha.ca.gov).

Revised: May 2017

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.7, 25249.9, 25249.10 and 25249.11, Health and Safety Code.